



## Error Management in Compilers and Run-time Systems

- **Classification of program errors**
- **Handling static errors in the compiler**
- **Handling run-time errors by the run-time system**
  - **Exception concept and implementation**

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## Program errors ...



- A major part of the total cost of software projects is due to testing and debugging.
- US-Study 2002:  
Software errors cost the US economy yearly ~ 60 Mrd. \$
- **What error types can occur?**
  - Classification
- **Prevention, Diagnosis, Treatment**
  - Programming language concepts
  - Compiler, IDE, Run-time support
  - Other tools: Debugger, Verifier, ...

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## Classification of program errors (1)



- **Design-Time Errors** (not considered here)
  - Algorithmic errors e.g.: forgotten special case; non-terminating program
    - ▶ Numeric errors Accumulation of rounding errors
  - Contract violation Violating required invariants
- **Static Errors**
  - **Syntax Error** forgotten semicolon, misspelled keyword
  - **Semantic Error**
    - ▶ Static type error Wrong parameter number or type; Downcast without run-time check
    - ▶ Undeclared variable
    - ▶ Use of uninitialized variable
    - ▶ Static overflow Constant too large for target format
- **Compiler Errors** Symbol table / constant table / string table / type table overflow

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## Classification of program errors (2)



- **Run-time errors** – usually not checkable statically
  - Memory access error e.g.:
    - ▶ Array index error Index out of bounds
    - ▶ Pointer error Dereferenced NULL-pointer
  - Arithmetic error Division by 0; Overflow
  - I/O – error unexpected end of file write to non-opened file
  - Communication error Wrong receiver, wrong type
  - Synchronisation error Data "race", deadlock
  - Ressource exhaustion Stack / heap overflow, time account exhausted
  - ...
- **Remark:** There are further types of errors, and combinations.

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## Error prevention, diagnosis, treatment



- Programming language concepts
  - Type safety → static type errors
  - Exception concept → run-time errors
  - Automatic memory mgmt → memory leaks, pointer errors
- Compiler frontend → syntax errors, static semantic errors
- Program verifier → Contract violation
- Code Inspection [Fagan'76] → All error types
- Testing and Debugging → Run-time errors
- Runtime protection monitor → Access errors
- Trace Visualiser → Communication errors, Synchronisation errors

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## The task of the compiler...



- Discover errors
- Report errors
- Restart parsing after errors, automatic recovery
- Correct errors on-the-fly if possible

### Requirements on error management in the compiler

- Correct and meaningful error messages
- All static program errors (as defined by language) must be found
- Not to introduce any new errors
- Suppress code generation if error encountered

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## Handling Syntactic Errors

in the lexical analyser and parser

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## Syntax errors



- Discovered rarely by the lexical analyzer
  - E.g., "unterminated string constant; identifier too long"
- Mostly in the parser
- Usually local errors
  - should be handled locally by lexical analyser or parser
- LL and LR parsers have the **viable prefix property**,  
i.e. discover an error as soon as the substring being analysed together with the next input symbol does not form a viable prefix of the language.

### Methods for syntax error management:

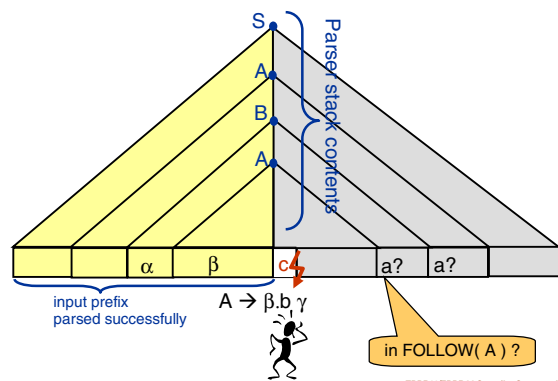
- Panic mode (for LL parsing)
- Coding error entries in the ACTION table (for LR parsing)
- Error productions for "typical" errors (LL and LR parsing)

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## Synchronization points for recovery after a syntax error

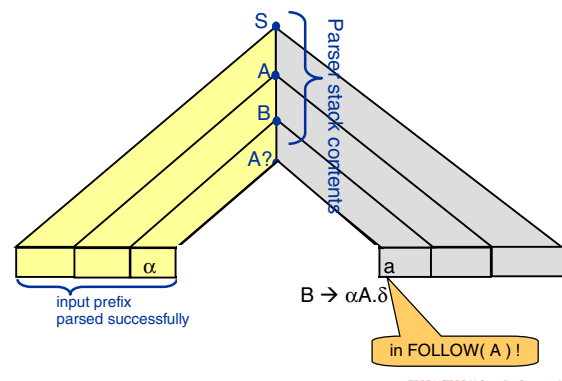


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## Panic mode recovery after a syntax error



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## Panic mode (for predictive (LL) parsing)



- A wrong token  $c$  was found for current production  $A \rightarrow \beta . b \gamma$
  - Skip input tokens until either
    - parsing can continue (find  $b$ ), or
    - a *synchronizing token* is found for the current production (e.g.  $\{ , \}$ , **while**, **if**,  $;$  ...)
  - tokens in  $\text{FOLLOW}(A)$  for current LHS nonterminal  $A$ 
    - then pop  $A$  and continue
  - tokens in  $\text{FOLLOW}(B)$  for some LHS nonterminal  $B$  on the stack below  $A$ 
    - then pop the stack until and including  $B$ , and continue
  - tokens in  $\text{FIRST}(A)$ 
    - Then resume parsing by the matching production for  $A$
  - Further details: [ALSU06] 4.4.5
- ⊙ Systematic, easy to implement  
⊙ Does not require extra memory  
⊙ Much input can be removed  
⊙ Semantic information on stack is lost if popped for error recovery

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## Error productions



- For "typical beginner's" syntax errors
    - E.g. by former Pascal programmers changing to C
  - Define "fake" productions that "allow" the error idiom:
    - E.g.,  $\langle id \rangle := \langle expr \rangle$  similarly to  $\langle id \rangle = \langle expr \rangle$
- Error message:  
"Syntax error in line 123,  $v := 17$  should read  $v = 17$  ?"
- ⊙ very good error messages  
⊙ can easily repair the error  
⊙ difficult to foresee all such error idioms  
⊙ increases grammar size and thereby parser size

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## Error entries in the ACTION table (LR)



- Empty fields in the ACTION table (= no transition in GOTO graph when seeing a token) correspond to syntax errors.
- LR Panic-mode recovery:**  
Scan down the stack until a state  $s$  with a goto on a particular nonterminal  $A$  is found such that one of the next input symbols  $a$  is in  $\text{FOLLOW}(A)$ . Then push the state  $\text{GOTO}(s, A)$  and resume parsing from  $a$ .
  - Eliminates the erroneous phrase (subexpr., stmt., block) completely.
- LR Phrase-level recovery:**  
For typical error cases (e.g. semicolon before **else** in Pascal) define a special error transition with pointer to an error handling routine, called if the error is encountered
  - See example and [ALSU06] 4.8.3 for details
  - Can provide very good error messages
  - Difficult to foresee all possible cases
  - Much coding
  - Modifying the grammar means recoding the error entries

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## Example: LR Phrase-level Recovery



0.	$S' \rightarrow L \mid \epsilon$
1.	$L \rightarrow L, E$
2.	$L \rightarrow E$
3.	$E \rightarrow a$
4.	$L \rightarrow b$

ACTION table:					
state		,	a	b	
0	E1	E2	S4	S5	
1	A	S2	E4	E4	
2	E1	E3	S4	S5	
3	R1	R1	E5	E5	
4	R3	R3	E6	E6	
5	R4	R4	E6	E6	
6	R2	R2	E5	E5	

GOTO table:		
state	L	E
0	1	6
1	*	*
2	*	3
3	*	*
4	*	*
5	*	*
6	*	*

**Error handling routines**  
triggered by new ACTION  
table error transitions:

- E1: errmsg("Found EOF where element expected");  
push state 3 = the GOTO target of finding (fictitious) E
- E2: errmsg("No leading comma"); read the comma away and stay in state 0
- E3: errmsg("Duplicate comma"); read the comma away and stay in state 2
- E4: errmsg("Missing comma between elements");  
push state 2 (pretend to have seen and shifted a comma)
- E5: errmsg("Missing comma"); reduce + push state 1 as if seeing the comma
- E6: errmsg("Missing comma"); reduce + push state 3 as if seeing the comma

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## Error productions in Yacc



- Extend grammar with error productions of the form  
 $A ::= \text{error } \alpha$   
which correspond to most common errors  $A \rightarrow \alpha$   
**error**: fictitious token, reserved keyword in Yacc
  - Example:  $\langle \text{stmt} \rangle ::= \text{error } \langle \text{id} \rangle := \langle \text{expr} \rangle$

### Panic mode for LR parsing

- When an error occurs:
  - Pop stack elements until the state on top of the stack has an item of the form  $[A \rightarrow \cdot \text{error } \alpha]$  in its item set
  - Shift **error** in as a token
  - If  $\alpha$  is  $\epsilon$ , reduce using semantic action for this rule:  
 $A ::= \text{error } \epsilon \quad \{ \text{printf}(\text{"Error: ..."}); \}$
  - Otherwise, skip tokens until a string derivable from  $\alpha$  is found, and reduce for this rule:  
 $A ::= \text{error } \alpha \quad \{ \text{printf}(\text{"Error, continued from } \alpha \text{"}); \}$
- Example:**  $A ::= \text{error}; \quad \{ \text{printf}(\text{"Error, continued from semicolon"}); \}$

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## Handling Semantic Errors in the compiler front end

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## Semantic errors



- Can be global  
(needs not be tied to a specific code location or nesting level)
- Do not affect the parsing progress
- Usually hard to recover automatically
  - May e.g. automatically declare an undeclared identifier with a default type (int) in the current local scope – but this may lead to further semantic errors later
  - May e.g. automatically insert a missing type conversion
- Usually handled ad-hoc in the semantic actions / frontend code

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## Exception handling Concept and Implementation

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## Exception Concept

- PL/I (IBM) ca. 1965: **ON condition** ...
- J. B. Goodenough, POPL'1975 und *Comm. ACM* Dec. 1975
- Supported in many modern programming languages
  - CLU, Ada, Modula-3, ML, C++, Java, C#

### Overview:

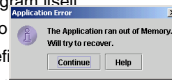
- Terminology: Error vs. Exception
- Exception Propagation
- Checked vs. Unchecked Exceptions
- Implementation

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## Exception Concept

2 sorts of run-time errors:

- **Error:** cannot be handled by application program – terminate execution
- **Exception:** *may* be handled by the program itself
  - Triggered (**thrown**) by run-time system when recognizing a run-time error, or by the program itself
  - Message (signal) to error situation
  - Run-time object definition
    - ▶ has a type (*Exception class*)
    - ▶ May have parameters, e.g. a string with clear-text error message
    - ▶ Also user-defined exceptions e.g. for boundary cases
  - **Exception Handler:**
    - ▶ Contains a code block for treatment
    - ▶ is statically associated with the monitored code block, which it replaces in the case of an exception



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## Exception Example (in Java)

```
public class class1 {
    public static void main ( String[] args ) {
        try {
            System.out.println("Hello, " + args[0]);
        } catch (ArrayIndexOutOfBoundsException e) {
            System.out.println("Please provide an argument! " + e);
        }
        System.out.println("Goodbye");
    }
}
```

```
% java class1 Christoph
Hello Christoph
Please provide an argument! java.lang.ArrayIndexOutOfBoundsException: 0
Goodbye
```

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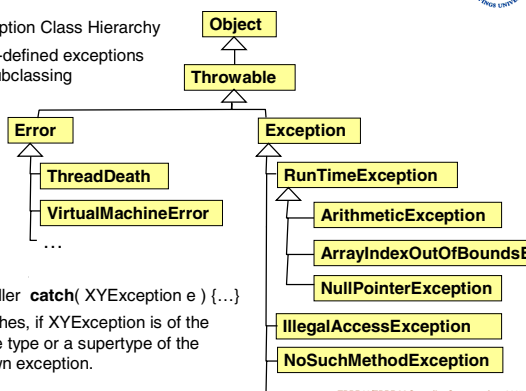
## Propagating Exceptions

- If an exception is not handled in the current method, program control returns from the method and triggers the same exception to the caller. This schema will repeat until either
  - a matching handler is found, or
  - main() is left (then error message and program termination).
- Optional **finally**-block will always be executed, though.
  - E.g. for releasing of allocated resources or held locks
- **To be determined:**
  - When does a handler *match*?
  - How can we guarantee *statically* that a certain exception is *eventually* handled within the program?
  - Implementation?

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## When does a handler "match"?

- Exception Class Hierarchy
- User-defined exceptions by subclassing



- Handler `catch( XYException e ) { ... }` matches, if XYException is of the same type or a supertype of the thrown exception.

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## Checked and Unchecked Exceptions

- **Checked Exception:** must be
  - Treated in a method, or
  - Explicitly declared in method declaration as propagated exception:
 

```
void writeEntry( ... ) throws IOException { ... }
```
- **Unchecked Exception:** will be propagated implicitly
- In Java: All Exceptions are checked, except RuntimeException und its subtypes.
- Checked Exceptions:
  - ⊙ Encapsulation
  - ⊙ Consistency can be checked statically
  - ⊙ become part of the *contract* of the method's class/interface
  - ⊙ suitable for component systems, e.g. CORBA (→ TDDC18)
  - ⊙ Extensibility

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## Implementation

### Simple solution:

- Stack of handlers
- When entering a monitored block (**try {...}**):
  - Push all its handlers (**catch(...){...}**)
- When an exception occurs:
  - Pop topmost handler and start (test of exception type).  
If it does not match, re-throw and repeat.  
(If the last handler in current method did not match either,  
pop also the method's activation record → exit method.)
- If leaving the try-block normally: pop its handlers
- ⊗ simple
- ⊗ Overhead (push/pop) also if no exception occurs

### More efficient solution:

- Compiler generates table of pairs (try-block, matching handler)

■ When exception occurs: find try-block by binary search (PC)

```
void bar(...) {
  try { ... }
  catch(E1 e) { ... }
  catch(E2 e) { ... }
  ...
}
```

fp(bar):

-> catch(E1)
-> catch(E2)
AR( bar )
-> catch(E2)
-> catch(...)
fp(foo): AR( foo )
main: AR( main )

fp(foo):

main:

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## Exceptions: Summary, Literature

### ■ Exceptions

- Well-proven concept for treatment of run-time errors
- Efficiently implementable
- Suitable for component based software development



M. Scott: *Programming Language Pragmatics*. Morgan Kaufmann, 2000. Section 8.5 about Exception Handling.

J. Goodenough: Structured Exception Handling. ACM POPL, Jan. 1975

J. Goodenough: Exception Handling: Issues and a proposed notation. *Communications of the ACM*, Dec. 1975

B. Ryder, M. Soffa: Influences on the Design of Exception Handling, 2003

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