## Lecture 16

## Recursive search

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## 1 Recursive search

Solution for recursive problems
if ( the problem is simple enough) \{

- Solve the problem directly
- Return the solution
\}else \{
- Divide the problem in one or more minor problems with the same structure as the original problem
- Solve the minor problem
- Combine the result with the solution of the next recursion, until reaching the original problem
- Return the solution
\}


### 1.1 Exhaustive search

## Generate all opportunities

- Usually, you need to generate all objects that meet a given criterion
- Word chains: Generate all words that differ in exactly one letter
- Often, the objects are generated iteratively
- In many cases it is better to consider a method for recursive generation of the opportunities
$\qquad$


## Subsets

- Given a set $S$, we can form a subset of $S$ by selecting a number of elements from $S$
- Example:
$-\{0,1,2\}$ is a subset of $\{0,1,2,3,4,5\}$
- \{dikdik, ibex $\}$ is a subset of $\{$ dikdik, ibex $\}$
$-\{A, G, C, T\}$ is a subset of $\{A, B, C, D, E, \ldots, Z\}$
$-\{ \} \subseteq\{a, b, c\}$
$-\{ \} \subseteq\{ \}$


## Generate subsets

- Many important problems in computer science can be solved by listing all subsets of a set $S$ and find the "best" of them.
- Example:
- You have a set of sensors on an autonomous craft that all collect data
- Which subset of the sensors you choose to listen to given that each one takes a different time to read?

Generate subsets

$$
\{0,1,2\}
$$

$$
\} \quad\{0
$$

$$
\{2\} \quad\{0,2\}
$$


$\{1,2\}$
$\{0,1,2$

Generate subsets

$$
\{0,1,2\}
$$



[^0]\[

$$
\begin{aligned}
& \{0,1,2\} \\
& \} \\
& \{\text { ? }\{\text { ? } \\
& \{1 /\} \\
& \text { \{ 1,2 \} } \\
& \begin{array}{l}
\{0,0,1
\end{array}\left\{\begin{array}{l}
\{0,1, ?
\end{array}\right.
\end{aligned}
$$
\]

Generate subsets

$$
\begin{aligned}
& \{0,1,2\} \\
& \begin{array}{l}
\left\{\begin{array}{rr}
\{ \\
\left\{\begin{aligned}
2\}
\end{aligned}\right. & \{0 \\
\{-1 & \{0,2\} \\
\{1,2\} & \{0,1
\end{array}\right. \\
\{0,1,2\}
\end{array}
\end{aligned}
$$

Generate subsets

$$
\{0,1,2\}
$$



Generate subsets

## $\{0,1,2\}$



## Generate subsets

- Basic case:
- The only subset of the empty set is the empty set
- Recursive case:
- Choose any element $X$ in the set
- Generate all subsets of the given set when $x$ is removed from the set
- These subsets are subsets of the origin set
- All sets formed by adding the $x$ to these subsets are subsets of the original set

Track the recursion
\{ A, H, I \}

Track the recursion

# \{ A, H, I \} 

\{ H, I \}

Track the recursion

$$
\{\mathrm{A}, \mathrm{H}, \mathrm{I}\}
$$

\{ H, I \}
\{ I \}

Track the recursion
\{ A, H, I \}
\{ H, I \}

## \{ I \}

## \{ \}

Track the recursion

$$
\{\mathrm{A}, \mathrm{H}, \mathrm{I}\}
$$

\{ H, I \}

## \{ I \}

\{ \}
\{ \}

## \{ A, H, I \}

## \{ H, I \}

## \{ I \}

\{ \}
\{I\}, \{ \}
\{ \}

Track the recursion

$$
\{\mathrm{A}, \mathrm{H}, \mathrm{I}\}
$$

\{ H, I \}
\{ I \}
$\{\mathrm{H}, \mathrm{I}\},\{\mathrm{H}\},\{\mathrm{I}\},\{ \}$
\{I\}, \{ \}
\{ \}

Track the recursion
\{ A, H, I \}
$\{A, H, I\},\{A, H\},\{A, I\}$ $\{\mathrm{H}, \mathrm{I}\},\{\mathrm{H}\},\{\mathrm{I}\},\{ \}$

$$
\{\mathrm{H}, \mathrm{I}\}
$$

$$
\{H, I\},\{H\},\{I\},\{ \}
$$

$$
\{I\}
$$

$$
\{I\},\{ \}
$$

$$
\}
$$

## Analysis of the method

- How many subsets exist for a set of $n$ elements?
- For each element, we choose whether it will be included in the subset or not
- We do $n$ choice with 2 possibilities for each choice, so there are $2^{n}$ subsets
- The returned collection of subsets use $\mathscr{O}\left(2^{n}\right)$ memory


## Reducing the memory utilization

- In many cases, we need to perform an operation on each subset but we do not need to save the subsets
- Idea: Generate each subset, treat it and throw it away
* Question: How do we do this?


## Permutations

- Write a function permute which takes a string parameter and outputs all possible rearrangements of the letters in the string. It does not matter in which order the output of the various displacements occur.
- Example: permute ("MARTY") outputs the following sequence of lines:



## Reviewing the problem

- Think of each permutation as a set of choices or decision
- Which letter I will place first?
- Which letter I will put in the second place?
- ...
- Solution Space: set of all possible sets of the decision to explore
- We generate all possible sequences of decisions
- for (each possible initial letter):
- for (each possible second letter):
- for (each possible third letter):
- ...
- print!
- This is a depth-first search


## Decision trees

| chosen | available |
| :--- | :--- |
|  | M A R T Y |


1.2 Backtracking

## Backtracking

- A general algorithm for finding solutions to a computational problem by testing partial solutions and then abandon them ("backtracking") if they do not fit
- en "brute force"-technique (test all possibilities)
- often (but not always) implemented recursively
- Applications:
- produce all permutations of a set of values
- parse the language
- Game: anagrams, crosswords, 8 queens, Boggle
- Combinatorics and logic programming

Backtracking-algorithms
General pseudo-code for back-tracking problems:

- Explore (choice):
- if there is no more choice: stay
- otherwise, for each available choice $C$
* Select $C$
* Explore the remaining choices
* "deselect" $C$ if necessary (backtrack)


## Backtracking strategies

- Ask the following questions when using backtracking to solve a problem:
- What determines the "choices" in this problem?
* What is the "base case"? (How do I know when I run out of choice possibilities?)
- How "do" I do a choice?
* Do I need to create additional variables to remember my selection?
* Do I need to modify the values of existing variables?
- How do I explore the remaining choices??
* Do I need to remove the selection made from the list of choices?
- When I finish exploring the remaining choices, what should I do?
- How do I make a choice undone?


## Permutations again

- Write a function permute which takes a string parameter and outputs all possible rearrangements of the letters in the string. It does not matter in which order the output of the various displacements occur.
- Example: permute ("MARTY") outputs the following sequence of cases:
- (which way leads the problem to be uniform? Recursive?)

| MARTY | MYRAT | ATYMR | RTMAY | TARMY | YMTAR |
| :--- | :--- | :--- | :--- | :--- | :--- |
| MARYT | MYRTA | ATYRM | RTMYA | TARYM | YMTRA |
| MATRY | MYTAR | AYMRT | RTAMY | TAYMR | YAMRT |
| MATYR | MYTRA | AYMTR | RTAYM | TAYRM | YAMTR |
| MAYRT | AMRTY | AYRMT | RTYMA | TRMAY | YARMT |
| MAYYR | AMRYT | AYRTM | RTYAM | TRMYA | YARTM |
| MRATY | AMTRY | AYTMR | RYMAT | TRAMY | YATMR |
| MRTAY | AMTYR | AYTRM | RYMTA | TRAYM | YATRM |
| MRTYA | AMYTR | RMATY | RYAMT | TRYMA | YRMAT |
| MRYAT | ARMTY | RMTAY | RYTMA | TRYAM | YRMMAA |
| MRYTA | ARMYT | RMTYA | RYTAM | TYMRA | YRAMT |
| MTARY | ARTMY | RMYAT | TMARY | TYAMR | YRTMA |
| MTRAY | ARTYM | RMYTA | TMAYR | TYARM | YRTAM |
| MTRYA | ARYTM | RAMTY | RAMYYT | TMRAY | TYRMA |
| MTMARAR | TYRAM | YTMRA |  |  |  |
| MTYARA | ATMRY | RATMY | TMYAR | YMART | YTAMR |
| MYART | ATRMY | RATYM | TMYRA | YMATR | YTARM |
| MYATR | ATRYM | RAYTM | TAMRY | YMRAM | YTRMA |
| MMRTA | YTRAM |  |  |  |  |

## Solution

```
// Outputs all permutations of the given string.
void permute(string s, string chosen = "") {
    if (s == "") {
        cout << chosen << endl; // base case: no choices left
    } else {
        // recursive case: choose each possible next letter
        for (int i = 0; i < s.length(); i++) {
            char c = s[i]; // choose
            s.erase(i, 1);
            permute(s, chosen + c); // explore
            s.insert(i, 1, c); // un-choose
        }
    }
}
```


## Combinations

- Write a function combinations which takes a string $s$ and an integer $k$, and outputs all possible strings having $k$ letters. Strings can be formed by different letters from the original string. The order in which the output of the different combinations occurs is not important.
- Example: combinations ("GOOGLE", 3) outputs the sequence of cases in the right:
- To simplify the problem we can assume that the string $s$ contains at least $k$ unique letters.

| EGL | LEG |
| :---: | :---: |
| EGO | LEO |
| ELG | LGE |
| ELO | LGO |
| EOG | LOE |
| EOL | LOG |
| GEL | OEG |
| GEO | OEL |
| GLE | OGE |
| GLO | OGL |
| GOE | OLE |
| GOL | OLG |

First solution attempt

```
// Outputs all unique k-letter combinations of the given string.
void combinations(string s, int length, string chosen = "") {
    if (length == 0) {
        cout << chosen << endl; // base case: no choices left
    } else {
        for (int i = 0; i < s.length(); i++) {
                if (chosen.find(s[i]) == string::npos) {
                    char c = s[i];
                        s.erase(i, 1);
                        combinations(s, length - 1, chosen + c);
                        s.insert(i, 1, c);
                }
            }
    }
}
```

- Problem: prints the same string many times.


## Solution

```
// Outputs all unique k-letter combinations of the given string.
void combinations(string s, int length) {
    Set<string> found;
    combinHelper(s, length, "", found);
}
void combinHelper(string s, int length, string chosen, Set<string>& found) {
    if (length == 0 && !found.contains(chosen)) {
        cout << chosen << endl; // base case: no choices left
        found.add(chosen);
    } else {
        for (int i = 0; i < s.length(); i++) {
            if (chosen.find(s[i]) == string::npos) {
                    char c = s[i];
                        s.erase(i, 1);
                        combinHelper(s, length - 1, chosen + c, found);
                        s.insert(i, 1, c);
                }
        }
    }
}
```

Dice Roll

- Write a function diceRoll which takes in an integer representing a number of six-sided dice to throw and outputs all possible combinations of values that can appear on the dice.
diceRoll(2);

| $\{1,1\}$ | $\{3,1\}$ | $\{5,1\}$ |
| :--- | :--- | :--- |
| $\{1,2\}$ | $\{3,2\}$ | $\{5,2\}$ |
| $\{1,3\}$ | $\{3,3\}$ | $\{5,3\}$ |
| $\{1,4\}$ | $\{3,4\}$ | $\{5,4\}$ |
| $\{1,5\}$ | $\{3,5\}$ | $\{5,5\}$ |
| $\{1,6\}$ | $\{3,6\}$ | $\{5,6\}$ |
| $\{2,1\}$ | $\{4,1\}$ | $\{6,1\}$ |
| $\{2,2\}$ | $\{4,2\}$ | $\{6,2\}$ |
| $\{2,3\}$ | $\{4,3\}$ | $\{6,3\}$ |
| $\{2,4\}$ | $\{4,4\}$ | $\{6,4\}$ |
| $\{2,5\}$ | $\{4,5\}$ | $\{6,5\}$ |
| $\{2,6\}$ | $\{4,6\}$ | $\{6,6\}$ |

diceRoll(3);
$\{1,1,1\}$
$\{1,1,2\}$
$\{1,1,3\}$
$\{1,1,4\}$
$\{1,1,5\}$
$\{1,1,6\}$
$\{1,2,1\}$
$\{1,2,2\}$
$\{6,6,4\}$
$\{6,6,5\}$
$\{6,6,6\}$

## Reviewing the problem

- We generate all possible sequences of decisions
- for (each possible initial letter):
- for (each possible second letter):
- for (each possible third letter):
- ...
- print!
- This is a depth-first search
- How can we fully explore such a large search space?

Decision tree


## Solution

```
// Prints all possible outcomes of rolling the given
// number of six-sided dice in {#, #, #} format.
void diceRolls(int dice) {
    vector<int> chosen;
    diceRollHelper(dice, chosen);
}
// private recursive helper to implement diceRolls logic
void diceRollHelper(int dice, vector<int>& chosen) {
    if (dice == 0) {
        cout << chosen << endl; // base case
    } else {
        for (int i = 1; i <= 6; i++) {
            chosen.add(i); // choose
            diceRollHelper(dice - 1, chosen); // explore
            chosen.remove(chosen.size() - 1); // un-choose
        }
    }
}
```


## Sum of the dice roll

- Write a function diceSum which is similar to diceRoll but takes also a number representing the sum and outputs the combinations having a summation equal to sum.


## diceSum(2, 7);

| $\{1$, | $6\}$ |
| :--- | :--- |
| $\{2$, | $5\}$ |
| $\{3$, | $4\}$ |
| $\{4$, | $3\}$ |
| $\{5$, | $2\}$ |
| $\{6$, | $1\}$ |

## diceSum(3, 7);

$\{1,1,5\}$
$\{1,2,4\}$
$\{1,3,3\}$
$\{1,4,2\}$
$\{1,5,1\}$
$\{2,1,4\}$
$\{2,2,3\}$
$\{5,1,1\}$

Minimal modification

```
// Prints all possible outcomes of rolling the given
// number of six-sided dice in {#, #, #} format.
void diceRolls(int dice, int desiredSum) {
    vector<int> chosen;
    diceSumHelper(dice, desuredSum, chosen);
}
void diceRollHelper(int dice, int desiredSum, vector<int>& chosen) {
    if (dice == 0) {
            if (sumAll(chosen) == desiredSum) {
                cout << chosen << endl; // base case
            }
        } else {
            for (int i = 1; i <= 6; i++) {
                chosen.add(i); // choose
                diceSumHelper(dice - 1, desiredSum, chosen); // explore
                chosen.remove(chosen.size() - 1); // un-choose
            }
    }
}
int sumAll(const vector<int>& v) {
    int sum = 0;
    for (int k : v) { sum += k; }
    return sum;
}
```

Wasteful decision tree


Optimization

- We do not need to visit each branch of the decision tree.
- Some branches will obviously not be added to a solution.
- We can terminate, or crop (prune), these branches.
- Inefficiencies in the solution:
- Sometimes the current sum is already too high. (Reaching one would exceed the desired sum.)
- Sometimes the current sum is too low. (any remaining dice would not be enough to achieve the desired balance.)
- When we finish, the code must always produce the sum.


## Solution

```
void diceSum(int dice, int desiredSum) {
    vector<int> chosen;
    diceSumHelper(dice, 0, desiredSum, chosen);
}
void diceSumHelper(int dice, int sum, int desiredSum, vector<int>& chosen) {
    if (dice == 0) {
        if (sum == desiredSum) {
            cout << chosen << endl; // base case
        }
    } else if (sum <= desiredSum && sum + 6*dice >= desiredSum) {
        for (int i = 1; i <= 6; i++) {
            chosen.add(i); // choose
                diceSumHelper(dice - 1, sum + i, desiredSum, chosen); // explore
                chosen.remove(chosen.size() - 1); // un-choose
            }
    }
}
```


[^0]:    Generate subsets

