# Lesson 1

#### Introduction to formal languages and automata theory



# Agenda

- Hour I
  - Brief introduction to Automata Theory and Formal languages
  - Some hints for Lab 1
- Hour 2
  - Problem solving (See exercises on the course homepage)



# A Formal Language

- Consists of words
  - A.k.a Strings, Symbol sequence?
- A word consists of letters
  - A.k.a Symbols, Glyphs
  - Do not need to be what we think about as letters
- Must be well-formed
- Classes of languages exist
  - More about this later in the course



# What is a Letter and an Alphabet?

- Letter (Symbol, Glyph,...)
- Alphabet usually denoted with the Greek letter big sigma
- EX:
  - $-\Sigma = \{A, B\}$



# Word and Words

- From these definitions we know that AAA, ABA and ABBBBA are words in <u>our</u> language, assuming it is well formed
- A formal language is the set of the possibility infinite words we can construct from our alphabet



#### What is Automata?



#### **Examples of Automata**

- Your Computer
  - It is a Turing machine
- The Coffee Machine
  - Finite State Machine (FSM). However, might as well be a Turing machine as well  $\otimes$
- Different classes of Automata
  - Read more in introduction to Automata Theory, Languages, and Computation  $\odot$
  - Chapter 1 & Chapter 2 are relevant for this course. Focus on concepts not proofs/lemmas.
  - Formal Languages and Automata Theory, 6 credits (TDDD14)
- For Lab 1 we deal with FA and regular languages. More specifically regular expressions which we use to specify our Automata that does tokenizing!



#### What is Automata Theory?

- It is the theory/study of Automata
- In textbooks Automata usually looks like transition diagrams
- Moore and Mealy machines are a variant of automata with output ③
  - Moore
    - The Output is associated with state
  - Mealy
    - The Output is associated with transition from one state to the next



# Digital Logic

- Alphabet
  - $-\Sigma = \{0,1\}$
- Words:
  - {01,10,11...}



## State Diagrams/Finite Automaton (FA)

- Directed graph (Digraph)
  - Set of states:
  - Set of transitions:
- A string is accepted by a **FA** if we go from the start state to some accepted state
- Nondeterministic finite automaton(NFA)



# **Practical Applications**

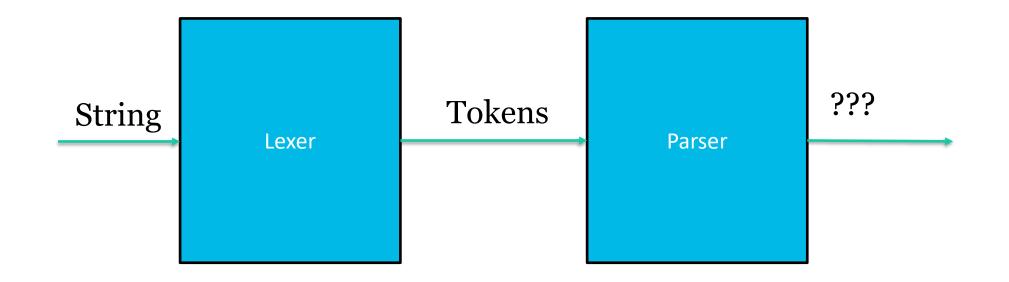


# Applications

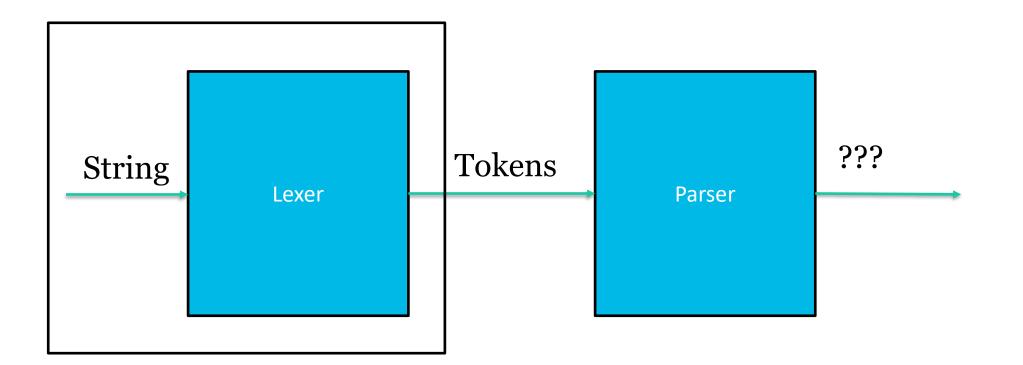
- Regular expressions
- Digital circuits
- Computers
- Compilers



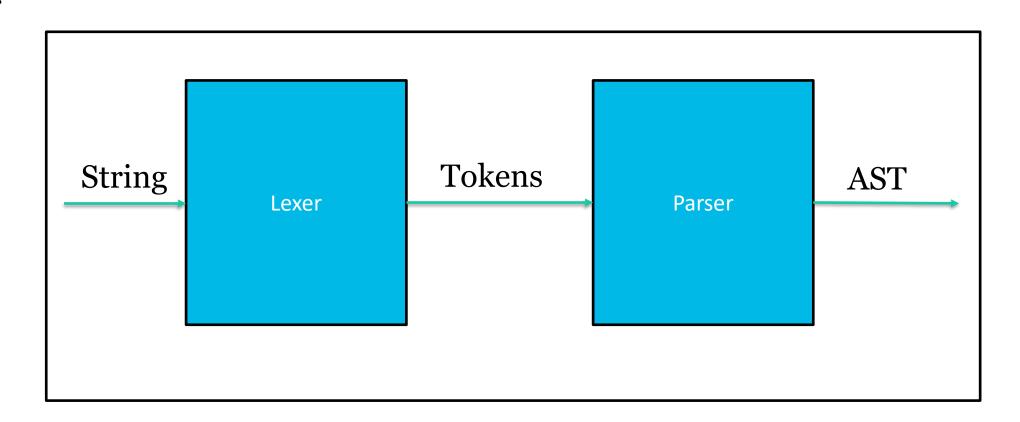
#### **Compiler Pipeline**













#### Regular expressions and FA

- What is accepted by **FA** can also be described by a regular expression!
- Important. The limitations of finite automata also applies to regular expressions
- Finite automata can only count.
- You can't parse using regular expressions!



# Dictionary. Some short terms explained

- $\Sigma$  = Alphabet, sequence of symbols (Big Sigma)
- $\mathbf{Q}$  = The set of states in our FA
- $\boldsymbol{\delta}$  = State transition function (Little delta)
- F = Set of final states, or you can say accept states
- $q_0$  = Initial state
- FA = Finite Automata
- NFA = None deterministic finite automata
- DFA = Deterministic finite automata



#### Dictionary. Some short terms explained

- $\varepsilon = \text{Empty string (Small Epsilon)}$ 
  - AεBεC ⇔ ABC
- \* = The Kleene star
- *AB* = Juxtaposition or concatenation between string A and B
- + = |
  - In the tradition of the text (Formal languages): + means or (|)
  - It might also mean concatenation/juxtaposition in some literature
    - Please state what definition you use!





- Instructions:
  - https://www.ida.liu.se/~TDDD55/laboratories/instructions/lab1.html
- Clone the lab from
  - <u>https://gitlab.liu.se/tddd55/tddd55-lab</u>
- It is important to consult the documentation and not attempt to make progress by trial and error!



- Lab 1 consists of several files
  - main.cc
  - Makefile
  - Makefile.dependencies
  - scanner.h
  - scanner.l
- scanner.l is the only file that you need to modify



- To Compile:
  - Type make at the directory where the files are
- Test the lab by executing:
  - ./scanner ./test/<file you want to run>



- Scanner specification via regular expressions
- Some definitions that <u>usually</u> means the same thing
  - Tokenizer, Lexical analyser, Scanner
- Necessary to escape special tokens (Or rather token that has a meaning in Flex)
- Try the examples from the Flex manual
  - https://www.ida.liu.se/~TDDB44/laboratories/instructions/\_static/flex/in dex.html



- An Integer with a dot
  - INTDOT [0-9]+.
- An Integer
  - INTEGER [0-9]+
- A Integer or an an Integer with a dot
  INTEGER\_OR\_INTDOT (INTEGER)|(INTDOT)
- Nested comments might be hard. **Tip:** Read up on Flex start conditions. See chapter 10 in the flex manual.



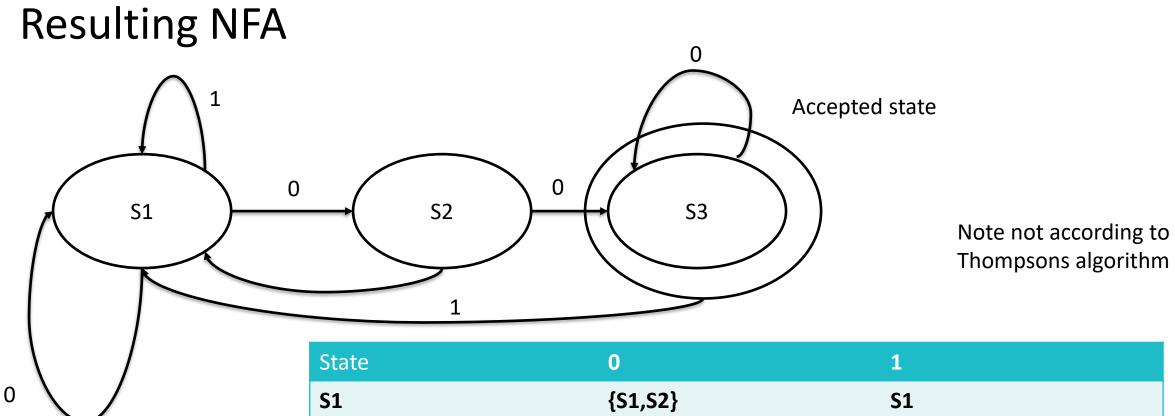
#### **Extended solution proposals**



#### Extended solution proposal to Exercise 2.4

- We can write L1 as the following regular expression:
  - -(0|1)\*00
- From this we define our NFA
  - From our start state we can select between two paths
    - 0\* or 1\*
  - For oo. We simply go forward two steps





Here, I have removed ε moves for clarity!





#### Extended solution proposal to Exercise 2.4

- Deriving a DFA
- ( Q ,  $\Sigma$  ,  $\delta$  ,  $q_0$  , F )
  - Alphabet:  $\Sigma = \{0, 1\}$
  - Transition function:  $\delta$  See next slide
  - States: Q =  $\{S_{1}, S_{2}, S_{3}\}$  //*Intuition*: We have to handle atleast 3 tokens
  - Accept states:  $F = {S_3}$ 
    - *S*1 –> *S*2 –> *S*3 for the input 00



#### State transition table for our transition function: $\delta$

State	0	1
S1	S2	S1
S2	S3	S1
S3	S3	S1

Alphabet:  $\Sigma = \{0, 1\}$ Transition function:  $\delta$  The state transistion table States:  $Q = \{S1, S2, S3\}$ Accept states:  $F = \{S3\}$ 



# Extended solution proposal for 6.1

- Given the following regular expressions
  - $00(1|0)^* 1$
  - $-101(101)^*101(010)^*$
  - $-(11|010)^*11(00|11)^*$
- Find Context Free Grammars(CFG) that correspond to the word that is accepted by the regular expression
- If there are any insecurities regarding CFG and production rules
  - See lecture 3



# Regular Expression 6.1 A

- $00(1 \mid 0)^* 1$
- For this expression we shall first consider the types of strings we can accept
- We know that our alphabet is:

 $-\Sigma = \{0,1\}$ 

- Let's derive a set of words that we would accept:
  - {001, 0001, 00101}
- Note the Kleene star \* and |
  - Kleene star \* allows the empty string



# Deriving a CFG for 6.1 A

- $00(1|0)^* 1$
- Intuition
  - From the expression above we notice that we always need oo as a prefix
  - Likewise the suffix must be 1
- Rule 1
  - $-S \rightarrow 00A1$
  - We do not yet bother with what A should be



# Deriving CFG for 6.1 A

- $00(1|0)^* 1$ 
  - We know that 1|0 means a 1 or zero
  - From this we know that  $(1|0)^*$  gives the set:
    - {ε ,0,1,00,01,10,11,001,...}
    - $2^N$  Different combinations where N is positive infinity
- Zero (ε) times gives us:
  - − 001 ⇔ 00ε1 So we can introduce the rule A  $\rightarrow$  ε



# Deriving Rules for 6.1 A

- $S \rightarrow 00A1 \& A \rightarrow \varepsilon$ 
  - Now we look at  $00(1|0)^*$  1 again
  - $-(1|0)^* //{\epsilon, 10, 110, 1110, ...}$
- For the entire expression we would have 00101 for 10
  - Notice that we need flexibility here. We can't simply state that A is 10. The reason is that A might be 110 or 1110
  - If we say  $A \rightarrow 1A$  or  $A \rightarrow 0A$  we get this flexibility
- Set of production rules for our CFG are:  $\{S \rightarrow 00A1, A \rightarrow \epsilon, A \rightarrow 1A, A \rightarrow 0A\}$



John Tinnerholm Jonas Wallgren

www.liu.se



#### References

Hopcroft, J. E. (2008). *Introduction to automata theory, languages, and computation*. Pearson Education India.

Aho, A. V., Sethi, R., & Ullman, J. D. (1986). Compilers, principles, techniques. *Addison wesley*, *7*(8), 9.

