

Symbolic Execution

Ahmed Rezine

IDA, Linköpings Universitet

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Outline

Overview

Model checking

Symbolic execution

Theories and SMTLIB

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Overview

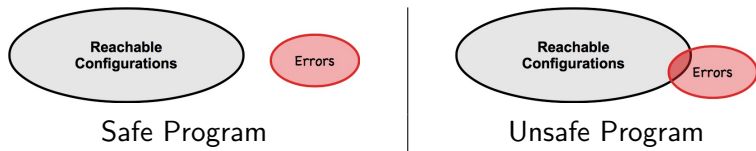
Model checking

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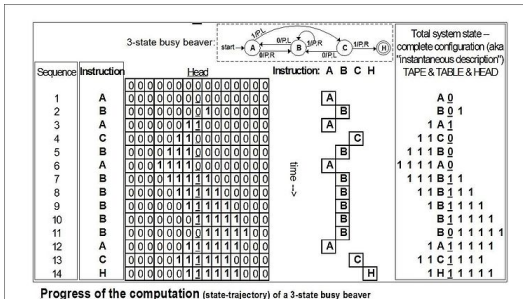
Program verification and Approximations

We often want to answer whether a program is **safe** or not (i.e., has some erroneous reachable configurations or not):



The general verification problem is “very difficult”

- ▶ Deciding whether all possible executions of the program are error-free is so hard that if we could write a program that could always do it for arbitrary computer-programs-to-be-analyzed then we would always be able to answer whether a Turing machine halts.
- ▶ This problem is proven to be undecidable, i.e., there is no algorithm that is guaranteed to terminate and to give an exact answer to the problem.



Problem is “very difficult”: what to do?

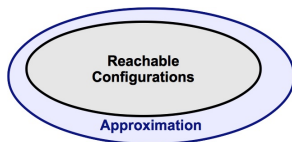
- ▶ Identify sub-problems on which one can decide: e.g. finite state machines, push-down automata, timed automata, Petri nets, well-structured transition systems.
- ▶ Proceed with approximations that will hopefully give some guarantees.

Verification problem and approximations

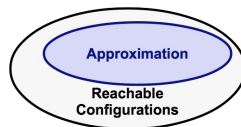
- ▶ An analysis procedure takes as input a program to be checked against a property. The procedure is an analysis algorithm if it is guaranteed to terminate.
- ▶ An analysis algorithm is **sound** in the case where each time it reports the program is safe wrt. some errors, then the original program is indeed safe wrt. those errors (pessimistic analysis)
- ▶ An algorithm is **complete** in the case where each time it is given a program that is safe wrt. some errors, then it does report it to be safe wrt. those errors (optimistic analysis)
- ▶ In general, you have to give up on one of the three: termination, soundness or completeness.

Verification problem and approximations

- ▶ The idea is then to come up with efficient approximations to give correct answers in as many cases as possible.



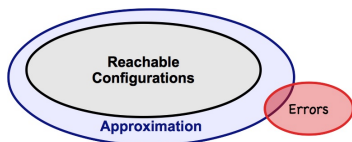
Over-approximation



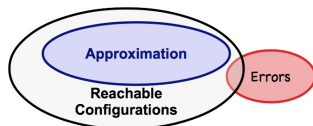
Under-approximation

Program verification and the price of approximations

- ▶ A sound analysis cannot give **false negatives**
- ▶ A complete analysis cannot give **false positives**



False Positive



False Negative

In this lecture

We will briefly introduce an under-approximation based verification method:

- ▶ Symbolic execution: partial, aims for completeness

More verification techniques (e.g., model checking, axiomatic reasoning, abstract interpretation): Software Verification (TDDE34)

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The UPPAAL model checker

UPPAAL model checker interface showing the simulation of a train gate system.

File Edit View Tools Options Help

Editor Simulator Verifier

Drag out

Enabled Transitions

- appr[0]: Train(0) → Gate
- appr[1]: Train(1) → Gate
- appr[4]: Train(4) → Gate
- leave[2]: Train(2) → Gate

Simulation Trace

(Safe, Safe, Safe, Safe, Safe, Free)
appr[2]: Train(2) → Gate
(Safe, Safe, Appr, Safe, Appr, Occ)
appr[3]: Train(3) → Gate
(Safe, Safe, Appr, Appr, Safe, -)
stop(tail)): Gate → Train(3)
(Safe, Safe, Appr, Stop, Safe, Occ)
Train(2)
(Safe, Safe, Cross, Stop, Safe, Occ)

Trace File:

Prev Next Repl...
Open Save Auto

Slow Fast

Train(0)

Safe → Appr (x=20) → Stop (x=10, stop[0]?)

Train(1)

Safe → Appr (x=20) → Stop (x=10, stop[1]?)

Train(2)

Safe → Appr (x=20) → Stop (x=10, stop[2]?)

Train(3)

Safe → Appr (x=20) → Stop (x=10, stop[3]?)

Train(4)

Safe → Appr (x=20) → Stop (x=10, stop[4]?)

Gate

Free → Occ → Stop(tail))! → e: id, t, appr[e]? enqueue(e) → e: id, t, e == front() dequeue(e)

Train(0) Train(1) Train(2) Train(3) Train(4) Gate

Safe Safe Safe Safe Safe Free

Appr Appr Appr Appr Appr Occ

Stop Stop Stop Stop Stop

Cross

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Testing

- ▶ Most common form of software validation
- ▶ Explores only one possible execution at a time
- ▶ For each new value, run a new test.
- ▶ On a 32 bit machine, `if(i==2022) bug()` would require 2^{32} different values to make sure there is no bug.
- ▶ The idea in symbolic testing is to associate **symbolic values** to the variables

Symbolic Testing

- ▶ Main idea by JC. King in “Symbolic Execution and Program Testing” in the 70s
- ▶ Use symbolic values instead of concrete ones
- ▶ Along the path, maintain a *Path Constraint* (PC) and a symbolic state (Σ)
- ▶ PC collects constraints on variables' values along a path,
- ▶ Σ associates variables to symbolic expressions,
- ▶ We get concrete values if PC is satisfiable
- ▶ The program can be run on these values
- ▶ Negate a condition in the path constraint to get another path

Introduction

Originates from automating proof-search for first order logic.

- ▶ Variables: x, y, z, \dots
- ▶ Constants: a, b, c, \dots
- ▶ N-ary functions: f, g, h, \dots
- ▶ N-ary predicates: p, q, r, \dots
- ▶ Atoms: $\perp, \top, p(t_1, \dots, t_n)$
- ▶ Literals: atoms or their negation
- ▶ A FOL formula is a literal, boolean combinations of formulas, or quantified (\exists, \forall) formulas.

Evaluation of formula φ , with respect to interpretation I over non-empty (possibly infinite) domains for variables and constants gives true or false (resp. $I \models \varphi$ or $I \not\models \varphi$)

Satisfiability and Validity

A formula φ is:

- ▶ satisfiable if $I \models \varphi$ for **some** interpretation I
- ▶ valid if $I \models \varphi$ for **all** interpretations I

Satisfiability of FOL is undecidable. Instead, target decidable or domain-specific fragments.

Introduction

Given a quantifier free FOL formula and a combination of theories, is there an interpretation to the free variables that makes the formula true?

$$\varphi \triangleq g(a) = c \wedge (f(g(a)) \neq f(c) \vee g(a) = d) \wedge c \neq d$$

- ▶ EUF: Equality over Uninterpreted functions
- ▶ Satisfiable?

Introduction

Given a quantifier free FOL formula and a combination of theories, is there an interpretation to the free variables that makes the formula true?

$$\varphi \triangleq (x_1 \geq 0) \wedge (x_1 < 1) \\ \wedge ((f(x_1) = f(0)) \Rightarrow (rd(wr(P, x_2, x_3), x_2 + x_1) = x_3 + 1))$$

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► Linear Integer Arithmetic (LIA)

Introduction

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- ▶ Linear Integer Arithmetic (LIA)
- ▶ Equality over Uninterpreted functions (EUF)
- ▶ Arrays (A)

Introduction

Given a quantifier free FOL formula and a combination of theories, is there an interpretation to the free variables that makes the formula true?

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- ▶ LIA: $x_1 = 0$
- ▶ EUF: $f(x_1) = f(0)$
- ▶ A: $rd(wr(P, x_2, x_3), x_2) = x_3$
- ▶ Bool: $rd(wr(P, x_2, x_3), x_2) = x_3 + 1$
- ▶ LIA: \perp

Introduction

- ▶ Sometimes more natural to express in logics other than propositional logic
- ▶ SMT decide satisfiability of ground FO formulas wrt. background theory
- ▶ Many applications: Model checking, predicate abstraction, symbolic execution, scheduling, test generation, ...

Introduction: from SAT to SMT

- ▶ Eager approach with “bit-blasting” (UCLID):
 - ▶ Encode SMT formula in propositional logic
 - ▶ Use off-the-shelf SAT solver
 - ▶ Still dominant for bit-vector arithmetic
- ▶ Lazy-approach (CVC4, MathSat, Yices, Z3, ...)
 - ▶ Combine SAT (CDCL) and theory solvers
 - ▶ Sat-solver enumerates models for the boolean part
 - ▶ Theory solvers check satisfiability in the theory

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SMT competition and SMTLIB

- ▶ Drive development, since 2005
- ▶ 15th instance at <https://smt-comp.github.io/2020>
- ▶ Papers at SAT, CADE, CAV, FMCAD, TACAS, ...
- ▶ SMTLIB key initiative to promote common input and output for SMT solvers, benchmarks, tutorials, ...
- ▶ at <http://smtlib.cs.uiowa.edu/>

Equality with uninterpreted Functions (EUF)

- ▶ Consider $a * (f(b) + f(c)) = d \wedge b * (f(a) + f(c)) \neq d \wedge a = b$
- ▶ Formula is unsat, could be abstracted with
- ▶ $h(a, g(f(b), f(c))) = d \wedge h(b, g(f(b), f(c))) \neq d \wedge a = b$
- ▶ EUF used to abstract non-supported theories such as non-linear multiplication or ALUs in circuits.

Several restricted fragments, whether real or integer variables:

- ▶ Bounds $x \sim k$ with $\sim \in \{<, \leq, =, \geq, >\}$
- ▶ Difference logic $x - y \sim k$ with $\sim \in \{<, \leq, =, \geq, >\}$
- ▶ UTVPI $\pm x \pm y \sim k$ with $\sim \in \{<, \leq, =, \geq, >\}$
- ▶ Linear Arithmetic $x + 2y - 3z \leq 2$
- ▶ Non-linear arithmetic $xy - 4xy^2 + 2z \leq 2$

Arrays

- ▶ Special functions *read* and *write*
- ▶ Axioms:
 - ▶ $\forall a \forall i \forall v (read(write(a, i, v), i) = v)$
 - ▶ $\forall a \forall i \forall j \forall v (i \neq j \Rightarrow read(write(a, i, v), j)) = read(a, j))$
- ▶ Used for software (arrays) and hardware (memories) verification

Bit vectors

- ▶ Operations on vectors of bits
 - ▶ String like: concatenation, extraction, ...
 - ▶ Logical: bit-wise or, not, and...
 - ▶ Arithmetic: add, subtract, multiply, ...
- ▶ $a[0 : 1] \neq b[0 : 1] \wedge (a|b) = c \wedge c[0] = 0 \wedge a[1] + b[1] = 0$

Symbolic Execution: a simple example

- ▶ Can we get to the ERROR? explore using SSA forms.
- ▶ Useful to check array out of bounds, assertion violations, etc.

```
1  foo(int x,y,z){
2      x = y - z;
3      if(x==z){
4          z = z - 3;
5          if(4*z < x + y){
6              if(25 > x + y) {
7                  ...
8              }
9              else{
10                 ERROR;
11             }
12         }
13     }
14     ...
```

$PC_1 = true$		
$PC_2 = PC_1$	$x \mapsto x_0, y \mapsto y_0, z \mapsto z_0$	
$PC_3 = PC_2 \wedge x_1 = y_0 - z_0$	$x \mapsto (y_0 - z_0), y \mapsto y_0, z \mapsto z_0$	
$PC_4 = PC_3 \wedge x_1 = z_0$	$x \mapsto (y_0 - z_0), y \mapsto y_0, z \mapsto z_0$	
$PC_5 = PC_4 \wedge z_1 = z_0 - 3$	$x \mapsto (y_0 - z_0), y \mapsto y_0, z \mapsto (z_0 - 3)$	
$PC_6 = PC_5 \wedge 4 * z_1 < x_1 + y_0$	$x \mapsto (y_0 - z_0), y \mapsto y_0, z \mapsto (z_0 - 3)$	
$PC_{10} = PC_6 \wedge 25 \leq x_1 + y_0$		
	$x \mapsto (y_0 - z_0), y \mapsto y_0, z \mapsto (z_0 - 3)$	

$PC = (x_1 = y_0 - z_0 \wedge x_1 = z_0 \wedge z_1 = z_0 - 3 \wedge 4 * z_1 < x_1 + y_0 \wedge 25 \leq x_1 + y_0)$

Check satisfiability with a solver (e.g., z3, cvc, yices, boolector, stp,...)

Symbolic execution today

- ▶ Leverages on the impressive advancements of SMT solvers
- ▶ Modern symbolic execution frameworks are not purely symbolic and are often dynamic: Sage, Klee (open source), Pex:
 - ▶ They can follow a concrete execution while collecting constraints along the way, or
 - ▶ They can treat some of the variables concretely, and some other symbolically
- ▶ This allows them to scale, to handle closed code or complex queries

Symbolic execution today

- ▶ C (actually llvm) <http://klee.github.io/>
- ▶ Java (more than a symbolic executer)
<http://babelfish.arc.nasa.gov/trac/jpf>
- ▶ C# (actually .net)
<http://research.microsoft.com/en-us/projects/pex/>
- ▶ ...