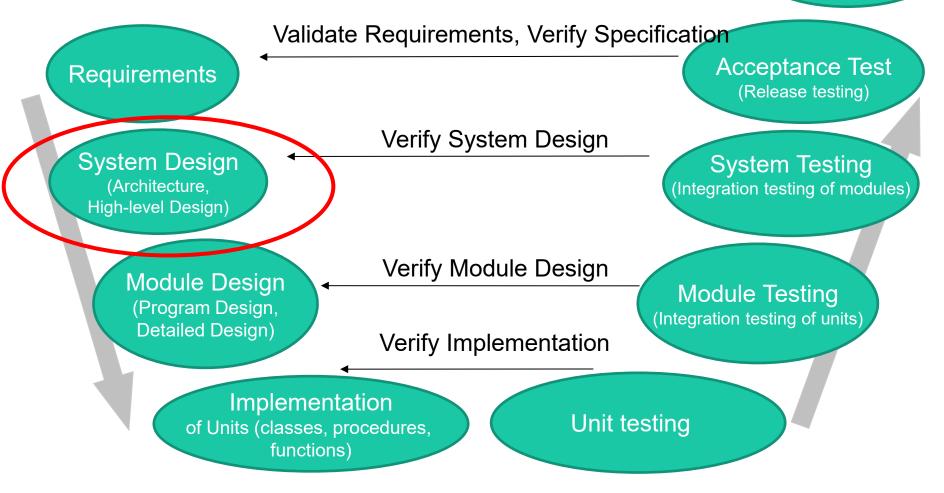
# Software Architecture

Dániel Varró / Kristian Sandahl







Project Management, Software Quality Assurance (SQA), Supporting Tools, Education



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#### **Motivation for Architecture**

# Why should we design a system?



Why not go directly?



Requirements



# Constructing a building...

I need a tower, with a big clock...



The king's requirements





Construction



# Constructing a building...



The king's requirements



Architecture





Construction



# Constructing software...

#### Software is different

- No physical natural order of construction (e.g. start with the foundation of the house)
- Software is not tangible
- Sometimes a large semantic gap
- You need a map to coordinate efforts



**Architecture** 

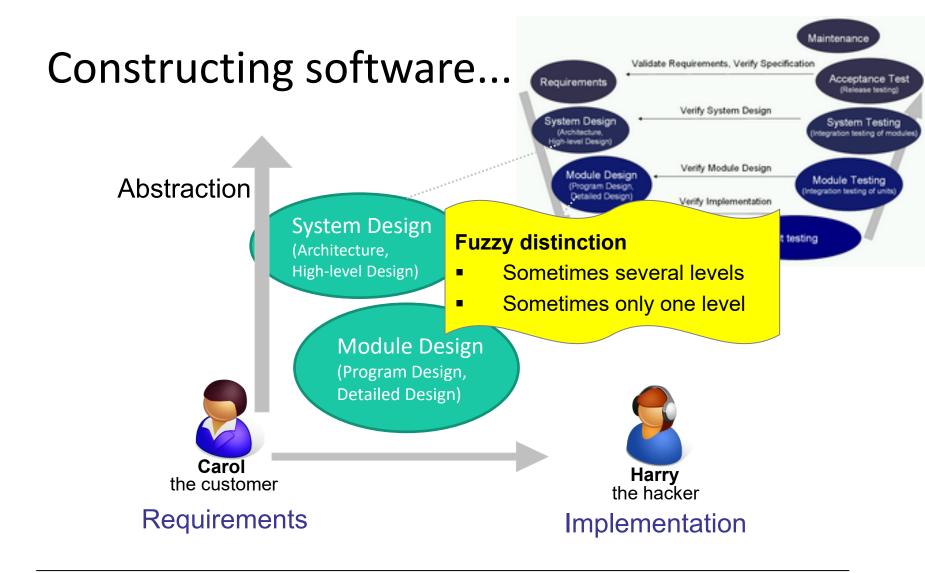
That's not to say that customers and implementers should not meet!



Requirements









#### Why design and document software architectures?



#### Communication between stakeholders

A high-level presentation of the system.

Use for understanding, negotiation and communication.



#### Early design decisions

Profound effect on the systems quality attributes, e.g. performance, availability, maintainability etc.



#### Large-scale reuse

If similar system have common requirements, modules can be identified and reused. (Bass et.al., 2003)



### System vs. Software Architecture: General Concepts and Views

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Analyze and Synthesize a system (decompose and compose) Imagine a "virtual" System a "concrete" System Acceptance Test Requirements (Release testing) **System Testing** Divide into "virtual" System Design "concrete" modules (Integration testing (Architecture, modules of modules) High-level Design) **Module Design Module Testing** Design each module (Program Design, (Integration testing **Detailed Design)** of units) **Implementation** Unit testing of Units (classes, procedures, functions)

# Analyze and Synthesize a system (decompose and compose)

Requirements

Acceptance Test (Release testing)

System Design (Architecture, High-level Design)

Module Design (Program Design, Detailed Design)

#### Design is an iterative process!

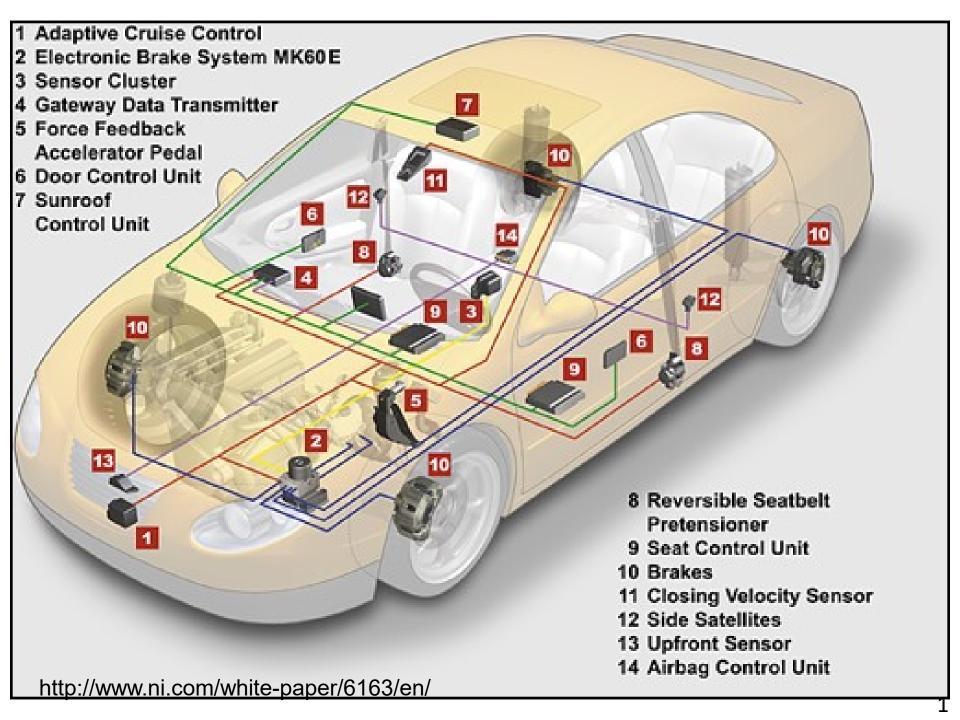
- Throw away Prototyping
- Evolutionary Prototyping
- The world is nearly decomposable\*

em Testing gration testing bdules)

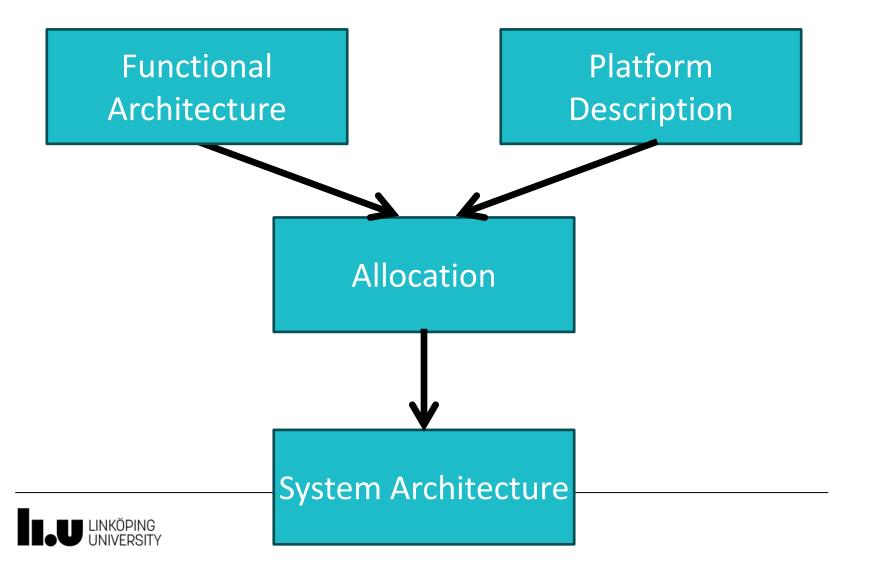
IVIOUUIE Testing (Integration testing of units)

Implementation of Units (classes, procedures, functions)

Unit testing



# Overview of System Architecture

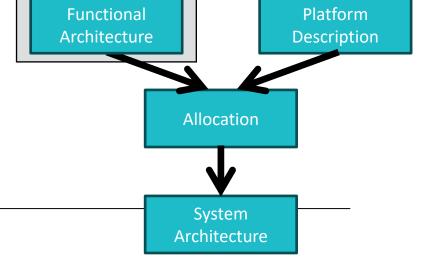


# Functional / Logical Architecture

# Functional (logical) decomposition of system into subsystems / components

 Component: Deployable & executable unit with precise interfaces at well-defined points of service

Interfaces: Functionality, interaction





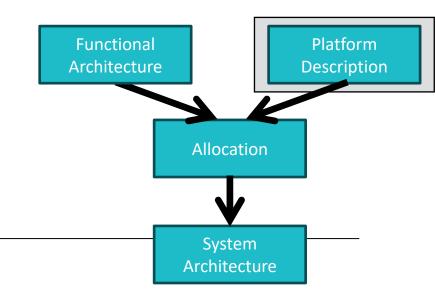
# Platform Description

## **Specification of HW/SW platform:**

- Nodes: Execution units (processors, ECUs)
- Their physical interconnection (e.g., buses, wires)

### **Examples:**

- AUTOSAR (automotive)
- ARINC 653 (avionics)
- Cloud providers, IT infra.

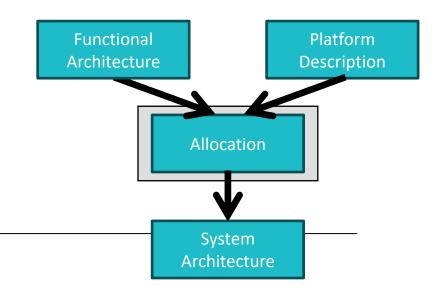




## Allocation

# Mapping of functional components to hardware/software platform by respecting:

- Schedule, timeliness constraints
- Redundancy, fault-tolerance requirements
- Reliability, availability agreements
- Performance constraints

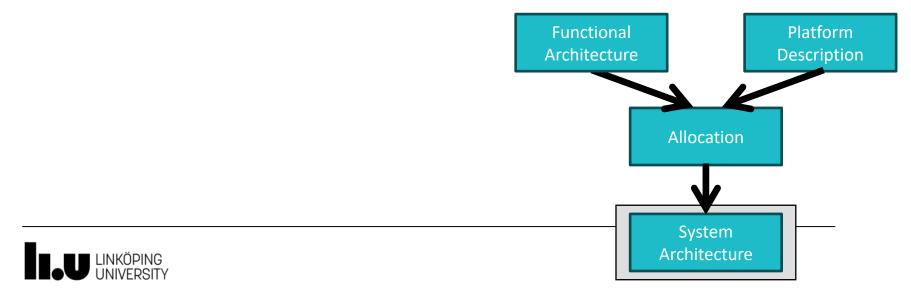




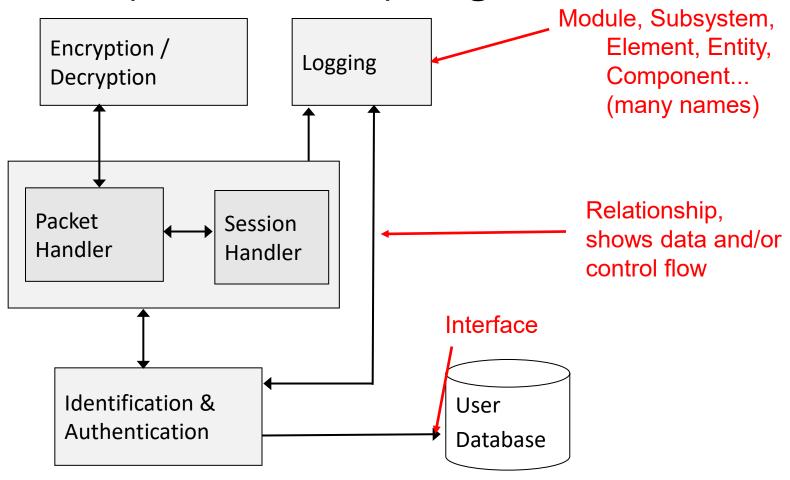
# System Architecture

# Result of the allocation step that:

- Is ready for deployment
- Specifies or derives configuration files

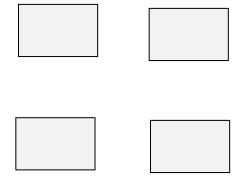


## Block (Box-and-line) diagrams...

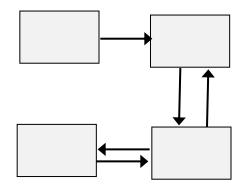




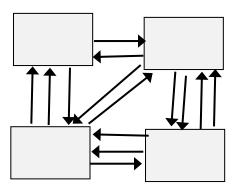
## Coupling - dependency between modules



**Uncoupled -** no dependencies



**Loosely coupled -** few dependencies



Highly coupled - many dependencies

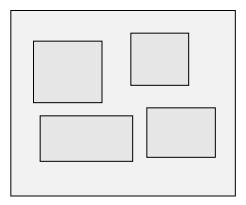
#### What do we want?

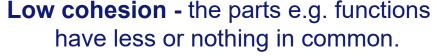
Low coupling. Why?

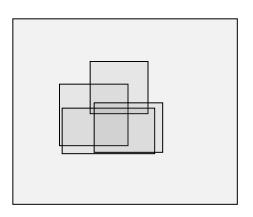
- Replaceable
- Enable changes
- Testable isolate faults
- Understandable

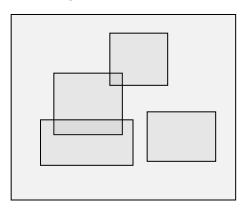


#### Cohesion - relation between internal parts of the module









**Medium cohesion -** some logically related function, e.g. I/O related functions

#### What do we want?

High cohesion. Why?

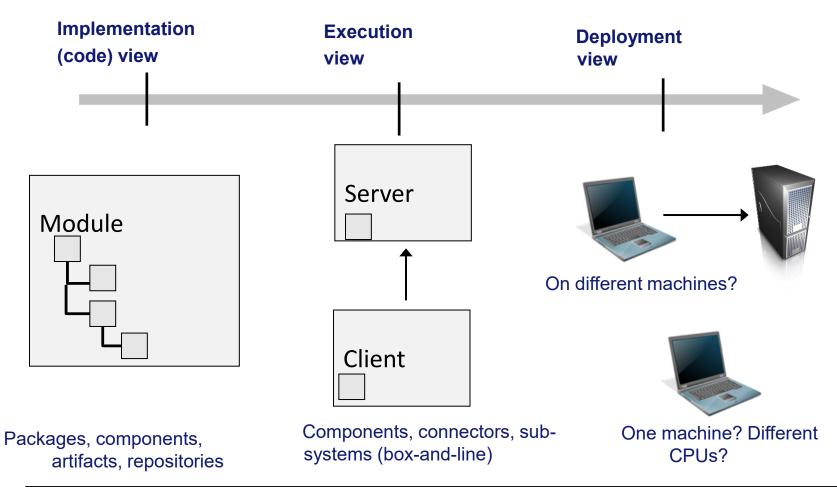
- More understandable
- Easier to maintain

**High cohesion -** does only what it is designed for



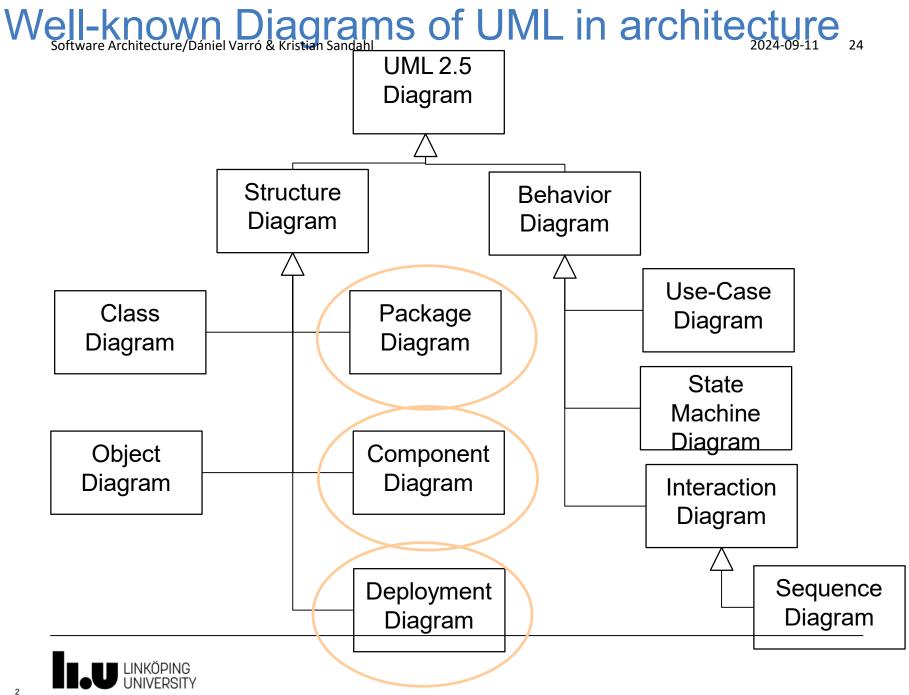
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## **Architectural views**

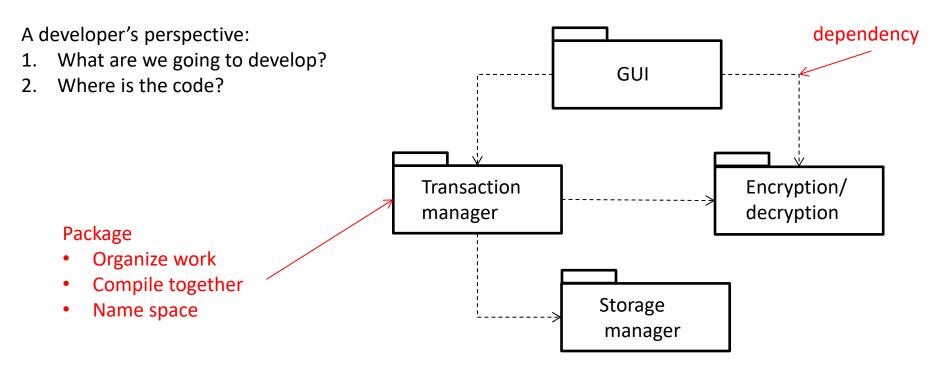




## Architecture Modeling in UML



# Implementation view with packages

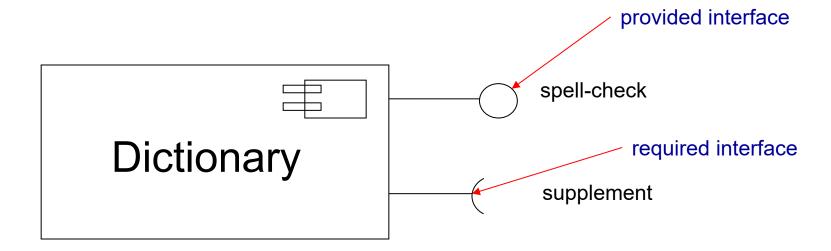


Packages can be used to give an overall structure to other things than code, e.g., Use Cases and Classes

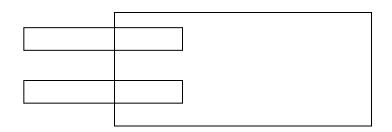


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#### Component diagram with interfaces



#### Older notation:



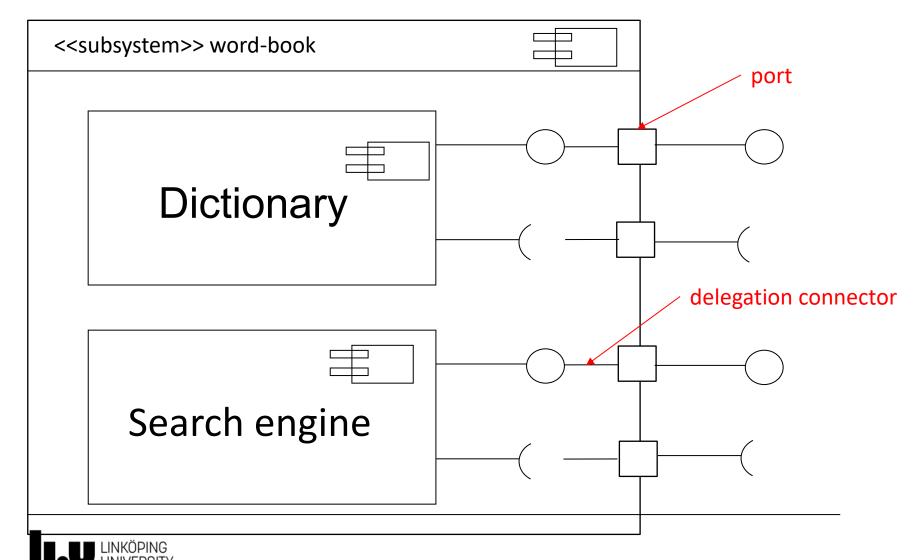
#### Alternative notation:

<<component>>



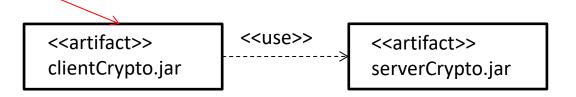
27

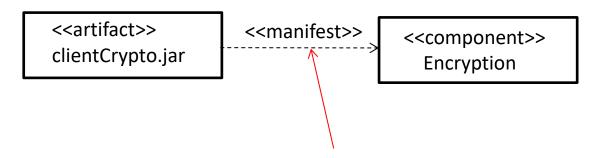
#### Subsystem with components



### **Artifacts**

Physical code, file, or library

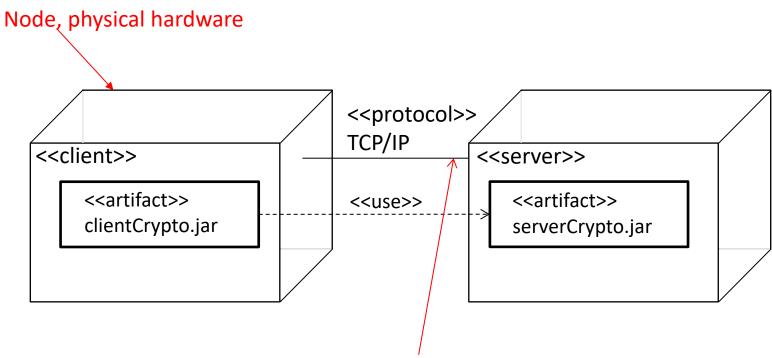




The artifact implements the component



# Deployment view in UML



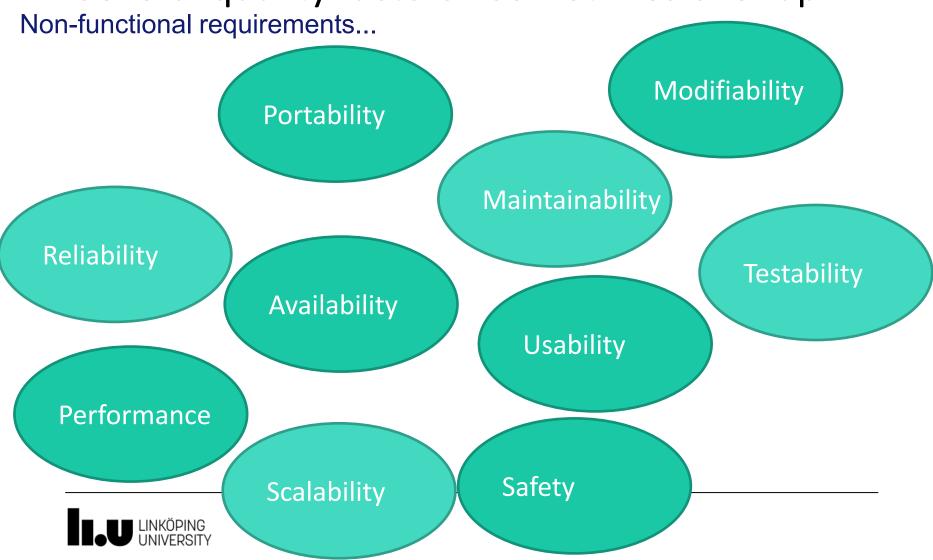
Communication path



## **Architecture and Quality Factors**

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Several quality factors - sometimes overlap



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## How to design a system for better performance?

What do we mean by "better performance"?

- Throughput?
- Response time in an interactive system?



## Performance



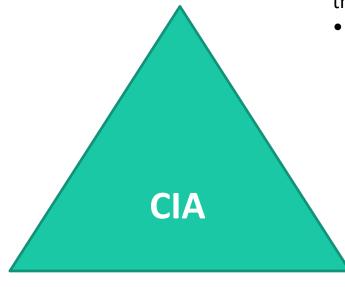
Scale up...





## Three Aspects of Security

Confidentiality



- Only authorized users can read the information
- E.g. Military

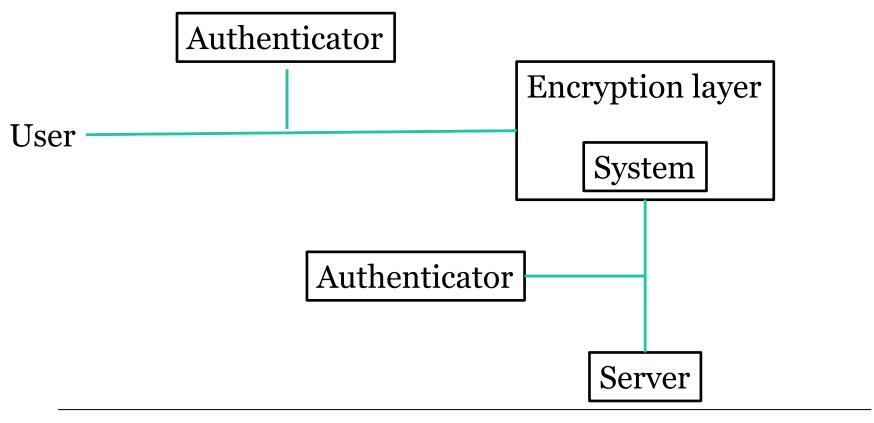
**Integrity** 

- **Availability**
- Right information is available at the right time
- Important for everyone

- Only authorized users can modify, edit or delete data.
- E.g. bank systems

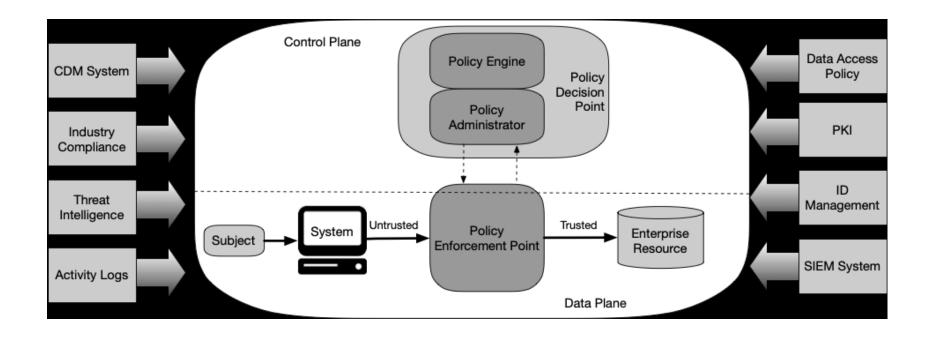


## How to design a secure system?





## Less naïve NIST Zero Trust logical components



Rose, S., Borchert, O., Mitchell, S. and Connelly, S. (2020), Zero Trust Architecture, Special Publication (NIST SP), National Institute of Standards and Technology, Gaithersburg, MD, [online], https://doi.org/10.6028/NIST.SP.800-207,

https://tsapps.nist.gov/publication/get\_pdf.cfm?pub\_id=930420 (Accessed September 2, 2022)



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# Safety - absence of critical faults

Critical failures can create great damage to property, environment and lives.



E.g. cars, civil aircrafts military products



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## Isolate the most critical parts

The whole system Critical

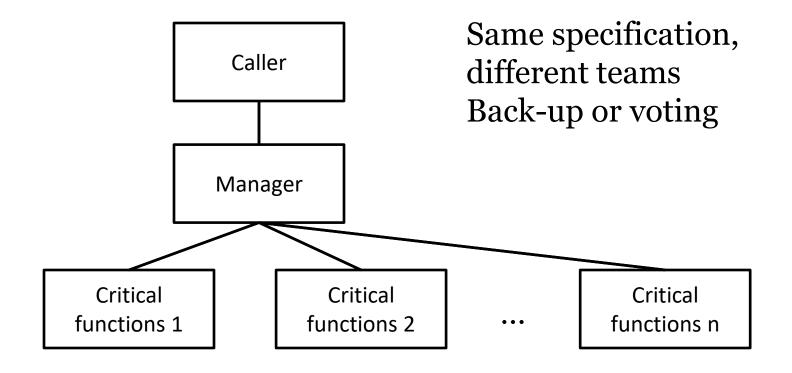
Design so that all safety critical operations are located in one or few modules / subsystems.

#### How can we validate that a safety critical system is correct?

- Formal validation?
- Testing?
- Software reviews?
- Experience?



# Redundancy + Diversity





# Maximizing non-functional system characteristics with architectural design

#### Performance:

- Scale-up: Creating a small number of large subsystems,
- Scale-out: Parallel computations (see cloud)

#### Security:

- Maximized by layering systems with critical assets protected in the innermost layer
- No information up-read / down-flow

#### Safety:

 Maximized by placing critical safety functions in a small number of subsystems



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# Maximizing non-functional system characteristics with architectural design

#### Availability:

Maximized through redundant subsystems to allow hot-swapping for updates

#### Maintainability:

Maximized by creating a large number of small, independent subsystems



### Balancing tradeoffs in architectural design

#### Performance:

 Maximized by creating a small number of large subsystems

#### Maintainability:

 Maximized by creating a large number of small, independent subsystems



# How to a portable system?

Historically, a major factor in technology decisions.



### Containers and virtual machines

Container Container Container VMVMVMApp A App A App B App C App B App B Bins/Libs Bins/Libs Bins/Libs Bins/Libs Bins/Libs Bins/Libs Guest OS Guest OS Guest OS **Container Engine** Hypervisor Host operating system Infrastructure Infrastructure

e.g. Virtual box, WMware

e.g. Docker



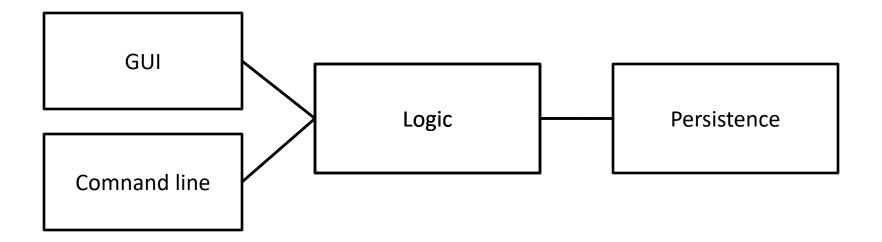
# Usability - How easy is it and what support exists to perform a task

Relevance Efficiency Attitude Learnability





# Separate interface and logic





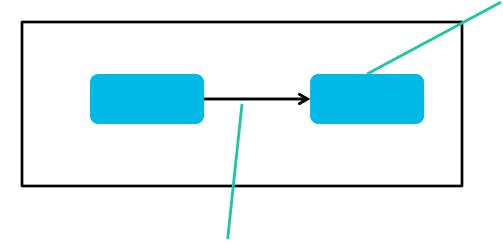
# How to create a testable system?

At least 40% of the cost of well-engineered system is due to testing (Bass et. al., 2003)



## Control, observation, isolation





Is the code cohesive?

Can I make this transition happen?



### **Architectural Styles**

# **Architectural patterns/styles**

- abstract descriptions of tried-and-tested solutions to common application problems
- describe when it is a good idea to use and when it should be avoided!



# Architecture Styles / Patterns

#### **Example of styles and patterns**

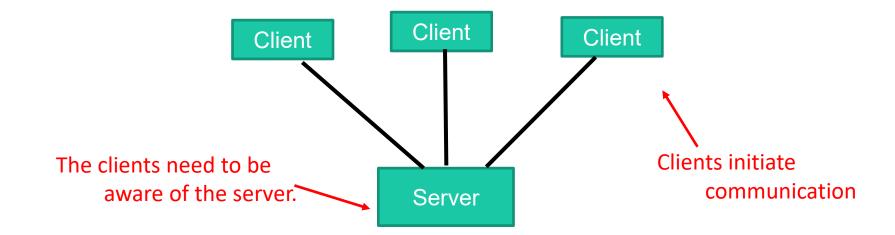
- Client-Server
- Layering
- Pipes-and-filters
- Service-oriented
- Model-View-Control (MVC)
- Repository
- Peer-to-Peer





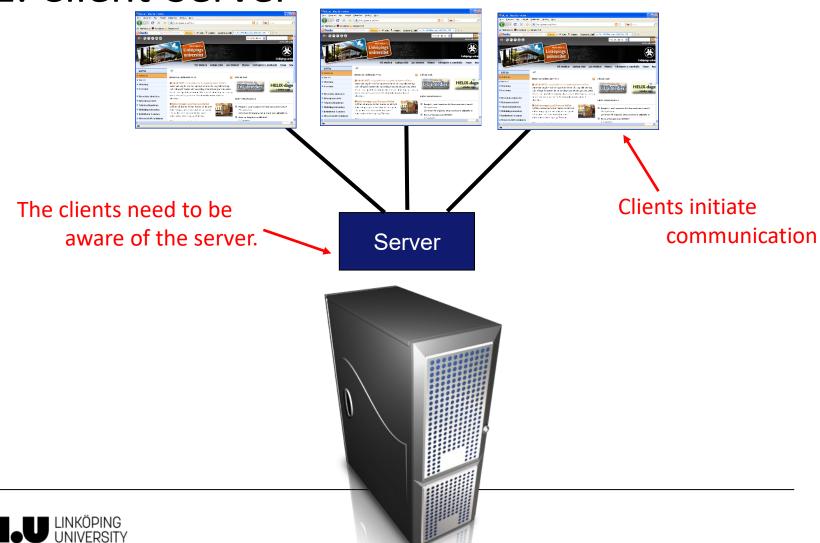


### 1. Client-Server





### 1. Client-Server



#### 1. Client-Server

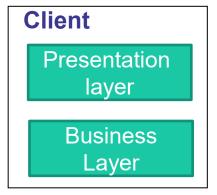
#### Two-Tier, Thin-client

# Client Presentation layer

# Server Business Layer Data management

- Heavy load on server
- Significant network traffic

#### Two-Tier, Fat-client





- + Distribute workload on clients
- System management problem, update software on clients

#### Three-Tier

# Client Presentation layer

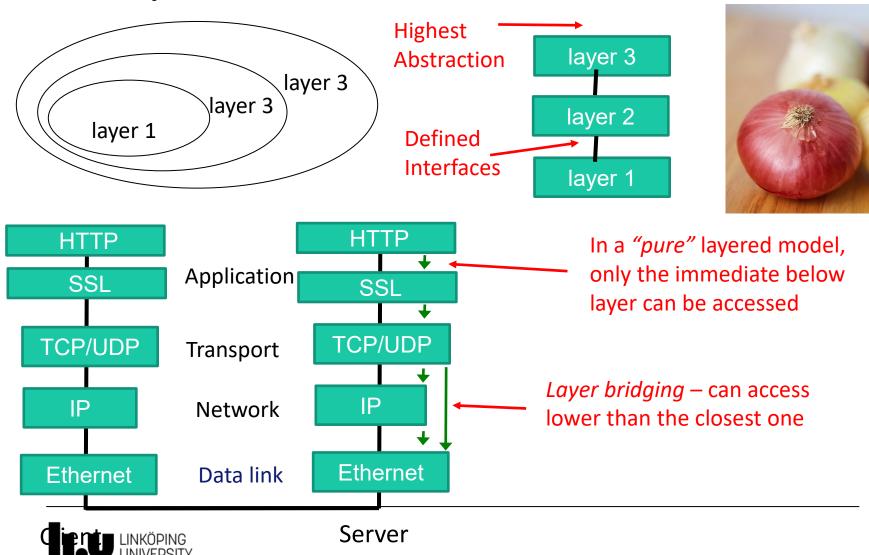




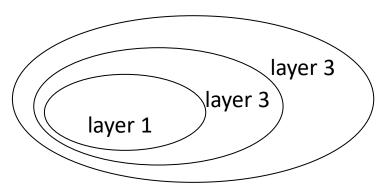
- + Map each layer on separate hardware
- + Possibility for load-balancing



### 2. Layers



### 2. Layers





#### **Pros**

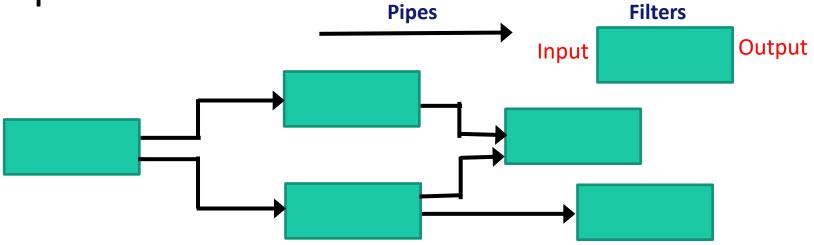
- Easy reuse of layers
- Support for standardization
- Dependencies are kept local modification local to a layer
- Supports incremental development and testing

#### **Cons**

- Could give performance penalties
- Layer bridging loses modularity



### 3. Pipes and Filters

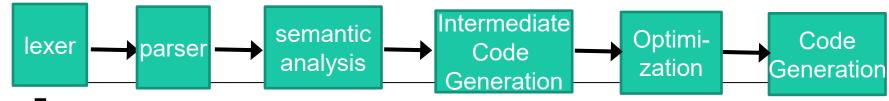


#### Example: UNIX Shell

ls -R |grep "html\$" |sort



#### **Example: A Compiler**





# Pipes and Filters

#### Pros:

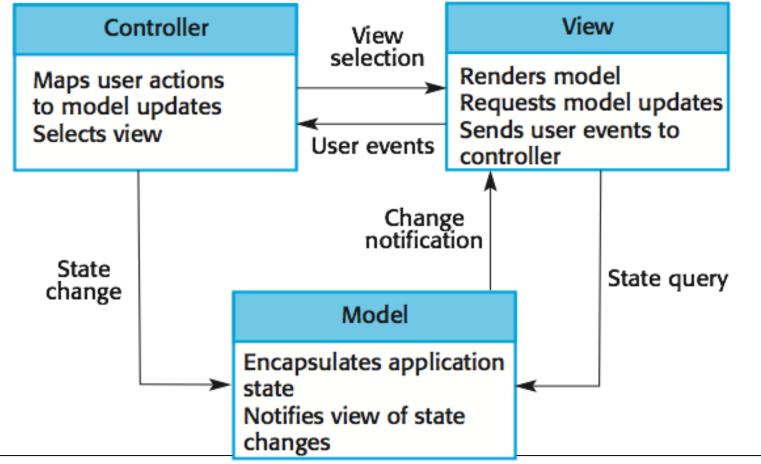
- Good understandability
- Supports reuse of filters
- Evolution eased
- Analyses of e.g. throughput are possible to early

#### Cons:

Redundant parsing of data => performance penalties

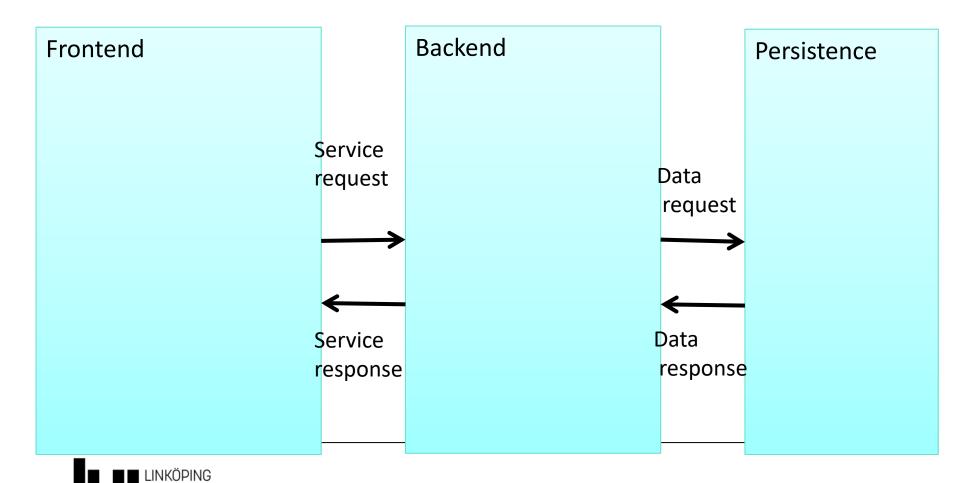


# Model-View-Controller



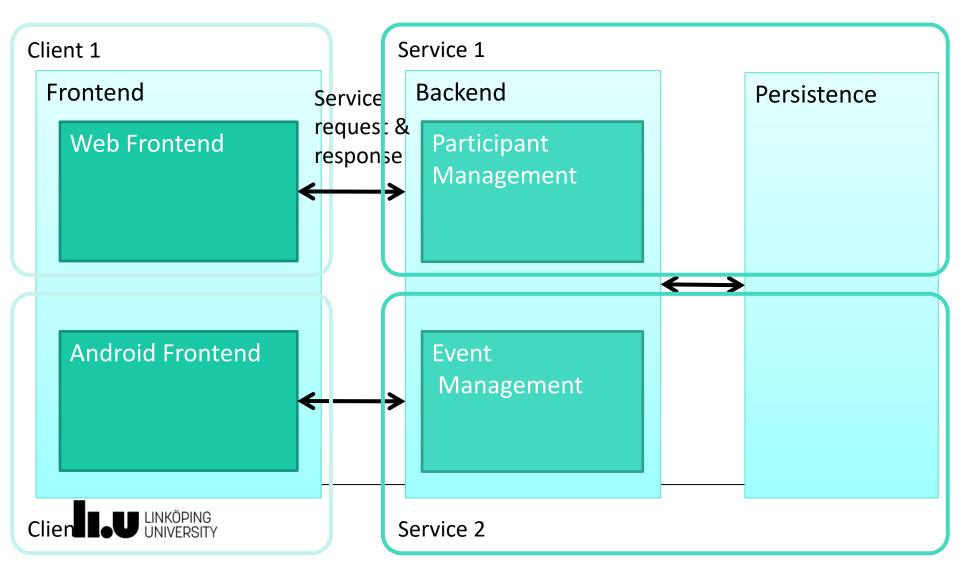


# Typical Web App as Layer Architecture

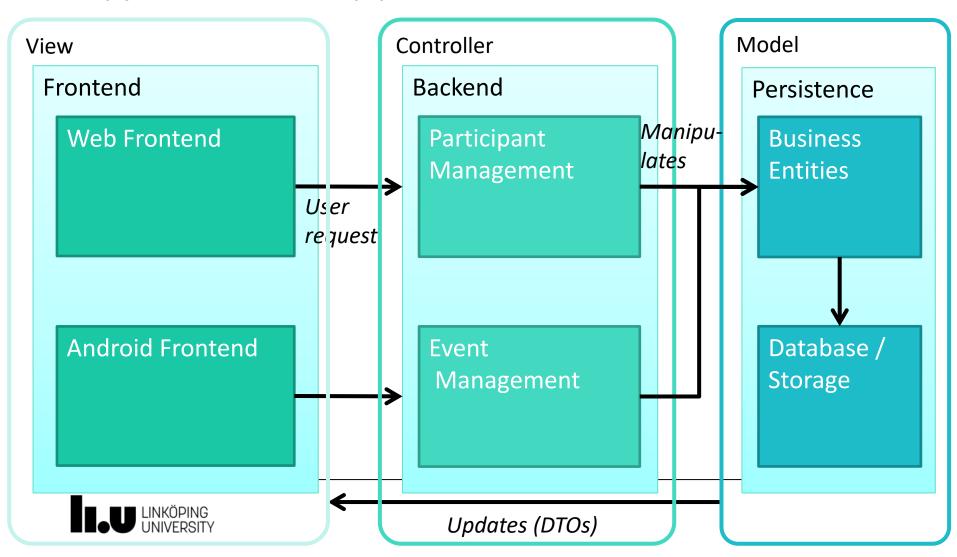


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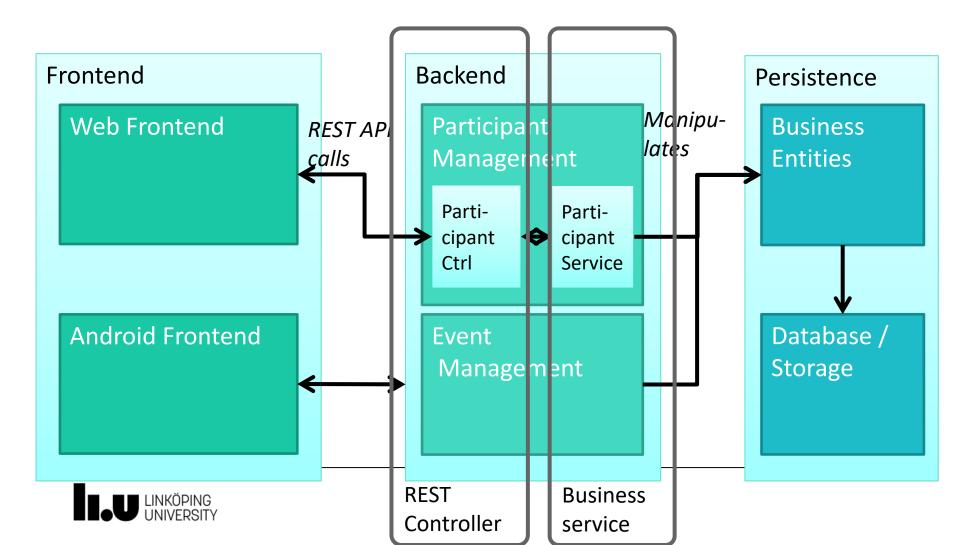
### Typical Web App as Client-Server Architecture



# Typical Web App as MVC Architecture



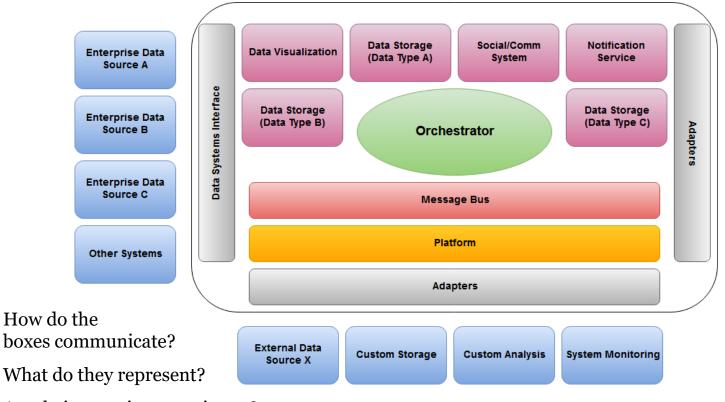
# Layered Architecture in Backend



### Documenting the Architecture

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# Adapted Example From Industry



**Execution Slave** 

**Execution Slave** 

What is going on with the vertical axis? What do the colors represent?

Are their meanings consistent?



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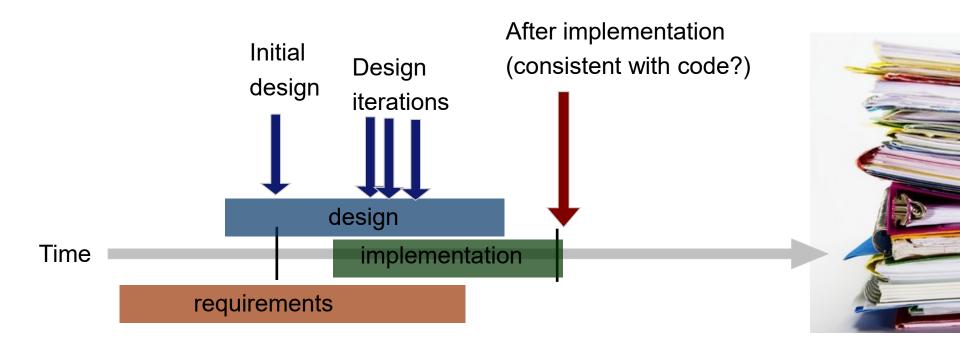
# Coming back to documents...

#### Write from the point of view of the readers...

Use of the architect document	
Negotiate and make tradeoffs among requirements	
Resolve quality issues (e.g. performance, maintainability etc.)	
A tool to structure and analyze the system	
Design modules according to interfaces	
Get better understanding of the general product	
Specify black-box behavior for system testing	
Create teams that can work in parallel with e.g. different modules. Plan and allocate resources.	
To get a quick view of what the system is doing	
Make sure that implementation corresponds to architecture.	



### When to document?





# The Architecture Notebook makes it easy to understand the architecture decisions

#### Maintains a list of:

- Issues
- Decisions
- Design patterns
- Pointer to code
- Supports iterative development of an architecture.
- Emphasizes the communication between roles
- Aligns with requirements.
- <a href="https://www.ida.liu.se/~TDDC88/openup/practice.tech.evolutionary.arch.base/workproducts/architecture.notebook\_9BB92433.html?nodeId=9351a72b">https://www.ida.liu.se/~TDDC88/openup/practice.tech.evolutionary.arch.base/workproducts/architecture.notebook\_9BB92433.html?nodeId=9351a72b</a>



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#### Introduce the architecture and the document

1. Purpose

What will be included in the document?

2. Architectural goals and philosophy

What will drive the project?

E.g. High performance, adapt software, micro services

Critical issues addressed by the architecture

E.g. usability, scalability, modularity

3. Assumptions and dependencies

E.g. time, skills, resources, H/W dependencies



# 4. Architecturally significant requirements (ASR) determine the architecture

#### ASR can be:

- Important functions, e.g. persistence, authentication
- Non-functional, e.g. response time, portability
- High benefits to stakeholders, e.g. early demo wanted
- Handling a risk, e.g. availability of components

When the ASRs are met the architecture is stable!



# 5. List decisions together with constraints and justifications

Technology choices of all kinds

- E.g "We will use a DBMS, since the user needs advanced search and filter."
- E.g. "We will use the React framework since the app will run in multiple browsers."
- E.g. "We will **not** use a service-oriented architecture since the customer don't think enough providers will register."



# 6. Architectural Mechanisms are solutions that will be standardized in development

AMs evolve in different states, e.g.

Analysis mechanism	Design mechanism	Implementation mechanism
Persistence	RDBMS	MySQL
Communication	Message broker	RabbitMQ

Make design coherent Support the buy/make decision



# Architectural Mechanisms are often described in basic attributes

#### E.g. persistence:

- Granularity
- Volume
- Duration
- Retrieval mechanism
- Update frequency
- Survivability



# 7. Key abstractions are the most important concepts the system will handle

- Typically most high-level analysis classes, e.g. customer, catalogue, shopping-basket, payment
- Patterns, e.g. façade or observer
- Without key abstractions you cannot describe the system



# 8. Layers/architectural framework describe the components of an architectural style

- Elements of a box-and-line diagram, e.g. client and server
- Description of interfaces connecting elements



# Summary

- Decompose-compose
- Coupling and cohesion
- Architectural views (implementation, execution, deployment)
- UML notations (Component, Subsystem, Artifact, Deployment)
- Quality factors vs architecture
- Architectural styles (Client-server, Layered, Pipes-andfilters, Service-oriented)
- The architectural notebook
- Much more in course: TDDE41 Software Architecture



# Software Architecture / Dániel Varró & Kristian Sandahl

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