



Formal Languages Part 1 Including Regular Expressions

Basic Concepts for Symbols, Strings, and Languages



- **Alphabet**
A finite set of symbols.
- **Example:**
 $\Sigma_b = \{ 0, 1 \}$ binary alphabet
 $\Sigma_s = \{ A, B, C, \dots, Z, \text{Å}, \text{Ä}, \text{Ö} \}$ Swedish characters
 $\Sigma_r = \{ \text{WHILE}, \text{IF}, \text{BEGIN}, \dots \}$ reserved words
- **String**
A finite sequence of symbols from an alphabet.
- **Example:**
10011 from Σ_b
KALLE from Σ_s
WHILE DO BEGIN from Σ_r

Properties of Strings in Formal Languages String Length, Empty String



- **Length of a string**
 - Number of symbols in the string.
- **Example:**
 - x arbitrary string, $|x|$ length of the string x
 - $|10011| = 5$ according to Σ_b
 - $|\text{WHILE}| = 5$ according to Σ_s
 - $|\text{WHILE}| = 1$ according to Σ_r
- **Empty string**
 - The empty string is denoted ϵ , $|\epsilon| = 0$

Properties of Strings in Formal Languages Concatenation, Exponentiation



- **Concatenation**
 - Two strings x and y are joined together $x \cdot y = xy$
- **Example:**
 - x = AB, y = CDE produce $x \cdot y = ABCDE$
 - $|xy| = |x| + |y|$
 - $xy \neq yx$ (not commutative)
 - $\epsilon x = x \epsilon = x$
- **String exponentiation**
 - $x^0 = \epsilon$
 - $x^1 = x$
 - $x^2 = xx$
 - $x^n = x \cdot x^{n-1}$, $n \geq 1$

Substrings: Prefix, Suffix



- **Example:**
 - x = abc
- **Prefix:** Substring at the beginning.
 - Prefix of x: abc (improper as the prefix equals x), ab, a, ϵ
- **Suffix:** Substring at the end.
 - Suffix of x: abc (improper as the suffix equals x), bc, c, ϵ

Languages



- A Language = A finite or infinite set of strings which can be constructed from a special alphabet.
- Alternatively: a subset of all the strings which can be constructed from an alphabet.
 - \emptyset = the empty language. NB! $\{\epsilon\} \neq \emptyset$.
- **Example:** S = {0,1}
 - L1 = {00,01,10,11} all strings of length 2
 - L2 = {1,01,11,001,...,111, ...} all strings which finish on 1
 - L3 = \emptyset all strings of length 1 which finish on 01

Closure



- Σ^* denotes the set of all strings which can be constructed from the alphabet
- Closure types:
 - * = closure, Kleene closure
 - + = positive closure
- Example: $S = \{0,1\}$
 - $\Sigma^* = \{\epsilon, 0, 1, 00, 01, \dots, 111, 101, \dots\}$
 - $\Sigma^+ = \Sigma^* - \{\epsilon\} = \{0, 1, 00, 01, \dots\}$

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Operations on Languages Concatenation



- L, M are languages.
- Concatenation operation \bullet (or nothing) between languages
 - $L \bullet M = LM = \{xy \mid x \in L \text{ and } y \in M\}$
 - $L\{\epsilon\} = \{\epsilon\}L = L$
 - $L\emptyset = \emptyset L = \emptyset$
- Example:
 - $L = \{ab, cd\}$ $M = \{uv, yz\}$
 - gives us: $LM = \{abuv, abyz, cduv, cdyz\}$

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Exponents and Union of Languages



- Exponents of languages
 - $L^0 = \{\epsilon\}$
 - $L^1 = L$
 - $L^2 = L \bullet L$
 - $L^n = L \bullet L^{n-1}$, $n \geq 1$
- Union of languages
 - L, M are languages.
 - $L \cup M = \{x \mid x \in L \text{ or } x \in M\}$
 - Example: $L = \{ab, cd\}$, $M = \{uv, yz\}$
 - gives us: $L \cup M = \{ab, cd, uv, yz\}$

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Closure of Languages



- Closure
 - $L^* = L^0 \cup L^1 \cup \dots \cup L^\infty$
- Positive closure
 - $L^+ = L^1 \cup L^2 \cup \dots \cup L^\infty$ $LL^* = L^* - \{\epsilon\}$, if ϵ not in L
 - $L^* = \{\epsilon\} \cup L^+$
- Example: $A = \{a, b\}$
 - $A^* = \{\epsilon, a, b, aa, ab, ba, bb, \dots\}$
 - = All possible sequences of a and b.
- A language over A is always a subset of A^* .

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Small Language Exercise



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Regular expressions



- Regular expressions are used to describe simple languages, e.g. basic symbols, tokens.
 - Example: identifier = letter \bullet (letter | digit)*
- Regular expressions over an alphabet S denote a language (regular set).

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Rules for constructing regular expressions

- S is an alphabet,
 - the regular expression r describes the language L_r ,
 - the regular expression s corresponds to the language L_s , etc.

Regular expression r	Language L_r
ϵ	$\{\epsilon\}$
a $a \in S$	$\{a\}$
union: (s) (t)	$L_s \cup L_t$
concatenation: (s).(t)	$L_s L_t$
repetition: (s)*	L_s^*
repetition: (s)+	L_s^+

- Each symbol in the alphabet S is a regular expression which denotes {a}.

- * = repetition, zero or more times.
- + = repetition, one or more times.
- . concatenation can be left out

Priorities

Highest	* +
	.
Lowest	

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Regular Expression Language Examples

- Examples: $S = \{a,b\}$

1. $r=a$ $L_r=\{a\}$
2. $r=a^*$ $L_r=\{\epsilon,a,aa,aaa,\dots\}=\{a\}^*$
3. $r=a|b$ $L_r=\{a,b\}=\{a\} \cup \{b\}$
4. $r=(a|b)^*$ $L_r=\{a,b\}^*=\{\epsilon,a,b,aa,ab,ba,bb,aaa,aab,\dots\}$
5. $r=(a^*b^*)^*$ $L_r=\{a,b\}^*=\{\epsilon,a,b,aa,ab,ba,bb,aaa,aab,\dots\}$
6. $r=a|ba^*$ $L_r=\{a,b,ba,baa,baaa,\dots\}=\{a \text{ or } ba^i \mid i \geq 0\}$

- NB! $\{a^n b^n \mid n \geq 0\}$ cannot be described with regular expressions.

- $r=a^*b^*$ gives us $L_r=\{a^i b^j \mid i,j \geq 0\}$ does not work.
- $r=(ab)^*$ gives us $L_r=\{(ab)^i \mid i \geq 0\}=\{\epsilon,ab,abab,\dots\}$ does not work.

- Regular expressions cannot "count" (have no memory).

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Finite state Automata and Diagrams

- (Finite automaton)

- Assume:

- regular expression $RU = ba^*b^+ = baa \dots abb \dots b$
- $L(RU) = \{ba^n b^m \mid n, m \geq 1\}$

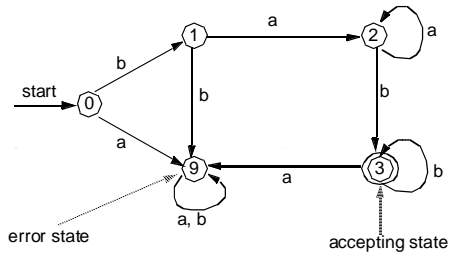
- Recognizer

- A program which takes a string x and answers yes/no depending on whether x is included in the language.
- The first step in constructing a recognizer for the language $L(RU)$ is to draw a state diagram (transition diagram).

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State Transition Diagram

- state diagram (DFA) for $ba^n b^m$



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Interpret a State Transition Diagram

- Start in the starting node 0.
- Repeat until there is no more input:
 - Read input.
 - Follow a suitable edge.
- When there is no more input:
 - Check whether we are in a final state. In this case accept the string.
- There is an error in the input if there is no suitable edge to follow.
 - Add one or several error nodes.

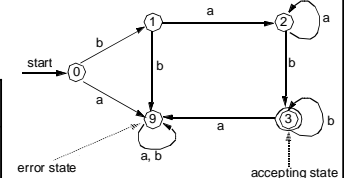
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Input and State Transitions

- Example of input: **baab**

- Then *accept* when there is no more input and state 3 is an accepting state.

Step	Current State	Input
1	0	baab
2	1	aab
3	2	ab
4	2	b
5	3	ϵ



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Representation of State Diagrams by Transition Tables



- The previous graph is a DFA (*Deterministic Finite Automaton*).
- It is deterministic because at each step there is exactly one state to go to and there is no transition marked "ε".
- A regular expression denotes a regular set and corresponds to an NFA (*Nondeterministic Finite Automaton*).

State	Accept	Found	Next state	
			a	b
0	no	ε	9	1
1	no	b	2	9
2	no	ba*	2	3
3	yes	ba*b*	9	3
9	no			9

Transition Table
(Suitable for computer representation).

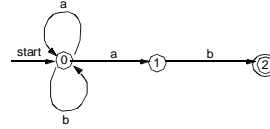
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NFA and Transition Tables



Example: NFA for $(b|a)^*ab$



state diagram for $(b|a)^*ab$

state	a	b	Accept
0	{0,1}	{0}	no
1		{2}	no
2			yes

Transition table for $(b|a)^*ab$

It requires more calculations to simulate an NFA with a computer program, e.g. for input **ab**, compared to a DFA.

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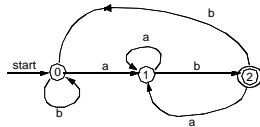
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Transforming NFA to DFA



- **Theorem**
 - Any NFA can be transformed to a corresponding DFA.
- When generating a recognizer automatically, the following is done:
 - regular expression → NFA.
 - NFA → DFA.
 - DFA → minimal DFA.
 - DFA → corresponding program code or table.

DFA for $(b|a)^*ab$



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Small Regular Expression and Transition Diagram/Table Exercise



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