

# Convolutional neural networks

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# Limitations of the bag-of-words representation

- The bag-of-words representation is unable to account for meaning that emerges from interactions between words.  
*not pleasant, hardly a generous offer*
- One approach to overcoming this limitation is to introduce explicit features for word pairs, triplets, and longer  $n$ -grams.
- However, this would result in very large embedding matrices, and suffer from data sparsity.

*The  $n$ -grams quite good and very good would be completely independent.*

# Convolutional neural networks

- **Convolutional neural networks (CNNs)** learn to extract  $n$ -gram features from a text.
- In a first step, a set of *filter functions* transform a sequence of embeddings into a matrix.  
*filter = parameterized convolution + non-linear transfer function*
- In a second step, a *pooling operation* reduces this matrix to a single vector, which we can view as a summary of the text.

# The convolution operation

$$X * K = \sum_i \sum_j (X_{ij} \cdot K_{ij})$$

0.08	0.95	0.85
0.98	0.78	0.02
0.32	0.13	0.82

input X

0.50	0.10	0.10
1.00	0.20	0.20
0.50	0.10	0.10

kernel K

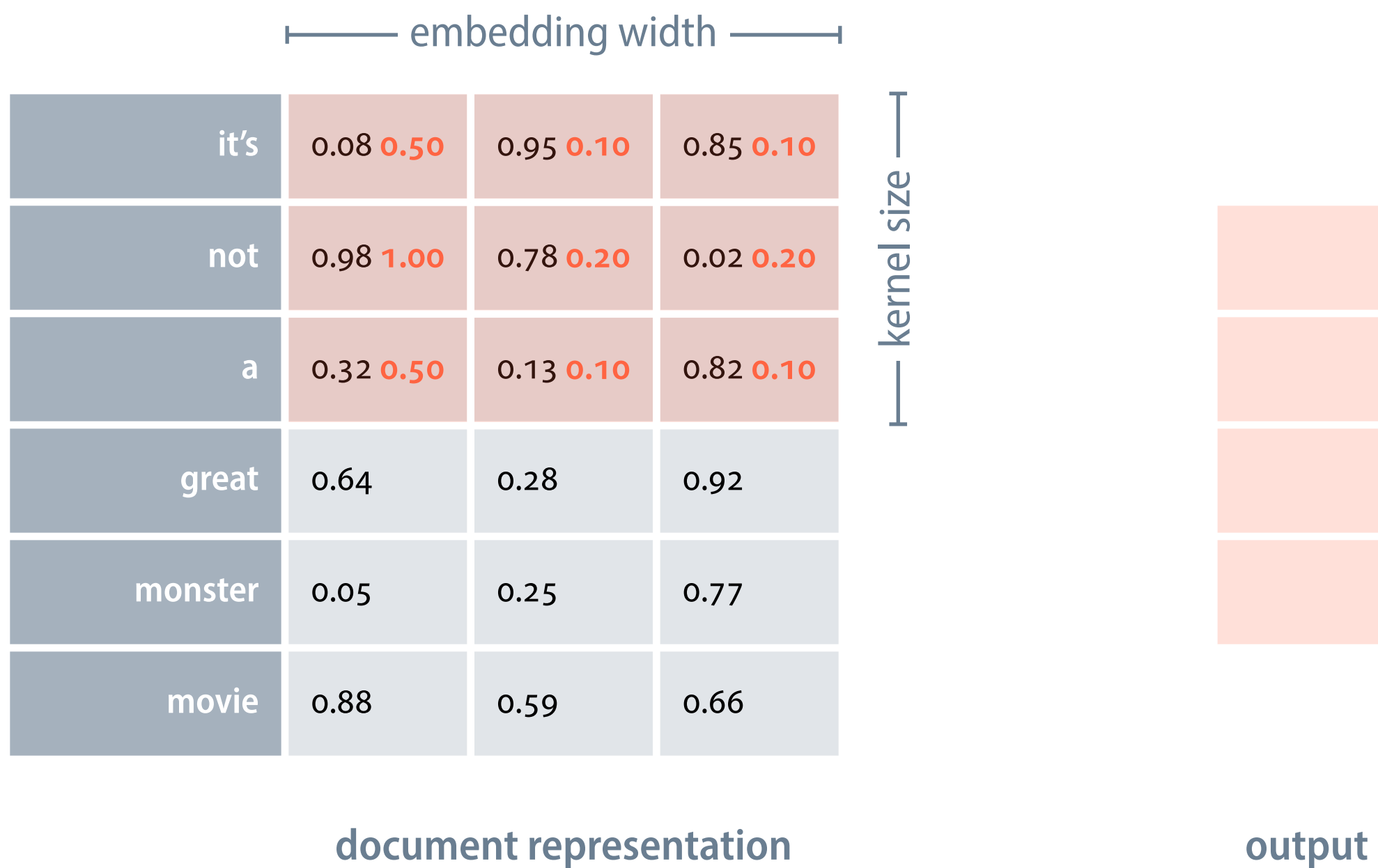
# The convolution operation

$$X * K = \sum_i \sum_j (X_{ij} \cdot K_{ij})$$

0.08 <b>0.50</b>	0.95 <b>0.10</b>	0.85 <b>0.10</b>
0.98 <b>1.00</b>	0.78 <b>0.20</b>	0.02 <b>0.20</b>
0.32 <b>0.50</b>	0.13 <b>0.10</b>	0.82 <b>0.10</b>

convolution  
↓  
1.615

# One-dimensional convolutions over text



# One-dimensional convolutions over text



# One-dimensional convolutions over text





# One-dimensional convolutions over text

it's	0.08	0.95	0.85	
not	0.98	0.78	0.02	1.615
a	0.32 <b>0.50</b>	0.13 <b>0.10</b>	0.82 <b>0.10</b>	1.520
great	0.64 <b>1.00</b>	0.28 <b>0.20</b>	0.92 <b>0.20</b>	1.262
monster	0.05 <b>0.50</b>	0.25 <b>0.10</b>	0.77 <b>0.10</b>	
movie	0.88	0.59	0.66	

document representation

output

# One-dimensional convolutions over text

it's	0.08	0.95	0.85	
not	0.98	0.78	0.02	1.615
a	0.32	0.13	0.82	1.520
great	0.64 <b>0.50</b>	0.28 <b>0.10</b>	0.92 <b>0.10</b>	1.262
monster	0.05 <b>1.00</b>	0.25 <b>0.20</b>	0.77 <b>0.20</b>	1.259
movie	0.88 <b>0.50</b>	0.59 <b>0.10</b>	0.66 <b>0.10</b>	

document representation

output

# Narrow convolutions versus wide convolutions

- When using kernels of size two or more, the output of a convolution will be smaller than the input.

narrow convolution

- To produce output of the same size as the input, we may pad the input; this is typically done symmetrically on both ends.

wide convolution

# Wide convolutions

<pad>	0.00 <b>0.50</b>	0.00 <b>0.10</b>	0.00 <b>0.10</b>	
it's	0.08 <b>1.00</b>	0.95 <b>0.20</b>	0.85 <b>0.20</b>	1.010
not	0.98 <b>0.50</b>	0.78 <b>0.10</b>	0.02 <b>0.10</b>	1.615
a	0.32	0.13	0.82	1.520
great	0.64	0.28	0.92	1.262
monster	0.05	0.25	0.77	1.259
movie	0.88	0.59	0.66	1.257
<pad>	0.00	0.00	0.00	

# Multiple channels

- We can view the dimensions of the word embeddings as separate **input channels**, similar to the colour channels in images.
- By applying several different filters to the same input, we can also get multiple **output channels**.

several feature maps for the same input

- In deep learning libraries such as PyTorch, we can match input channels to output channels in flexible ways.

[documentation for nn.Conv1d](#)

# Multiple channels

<pad>	0.00 <b>0.50</b>	0.00 <b>0.10</b>	0.00 <b>0.10</b>
it's	0.08 <b>1.00</b>	0.95 <b>0.20</b>	0.85 <b>0.20</b>
not	0.98 <b>0.50</b>	0.78 <b>0.10</b>	0.02 <b>0.10</b>
a	0.32	0.13	0.82
great	0.64	0.28	0.92
monster	0.05	0.25	0.77
movie	0.88	0.59	0.66
<pad>	0.00	0.00	0.00

1.010		
1.615		
1.520		
1.262		
1.259		
1.257		

# Multiple channels

<pad>	0.00 <b>0.10</b>	0.00 <b>0.50</b>	0.00 <b>0.10</b>			
it's	0.08 <b>0.20</b>	0.95 <b>1.00</b>	0.85 <b>0.20</b>	1.010	1.626	
not	0.98 <b>0.10</b>	0.78 <b>0.50</b>	0.02 <b>0.10</b>	1.615	1.727	
a	0.32	0.13	0.82	1.520	1.144	
great	0.64	0.28	0.92	1.262	0.978	
monster	0.05	0.25	0.77	1.259	1.159	
movie	0.88	0.59	0.66	1.257	1.050	
<pad>	0.00	0.00	0.00			

# Multiple channels

<pad>	0.00 <b>0.10</b>	0.00 <b>0.10</b>	0.00 <b>0.50</b>			
it's	0.08 <b>0.20</b>	0.95 <b>0.20</b>	0.85 <b>1.00</b>	1.010	1.626	1.242
not	0.98 <b>0.10</b>	0.78 <b>0.10</b>	0.02 <b>0.50</b>	1.615	1.727	1.355
a	0.32	0.13	0.82	1.520	1.144	1.648
great	0.64	0.28	0.92	1.262	0.978	1.974
monster	0.05	0.25	0.77	1.259	1.159	1.859
movie	0.88	0.59	0.66	1.257	1.050	1.369
<pad>	0.00	0.00	0.00			



# Pooling

- **Pooling** reduces a feature map to a single scalar by an operation such as taking the maximum or the average.

max pooling, average pooling

- When performed on all channels, this gives us a single vector representing the entire input document.
- The hope is that this vector summarizes the most important information for the classification task at hand.

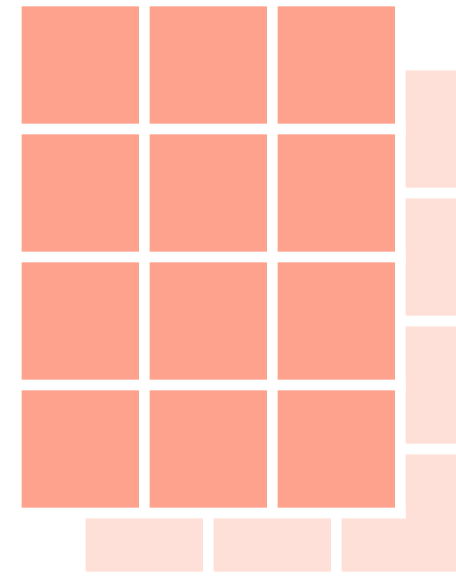
# Max pooling

<pad>	0.00	0.00	0.00
it's	0.08	0.95	0.85
not	0.98	0.78	0.02
a	0.32	0.13	0.82
great	0.64	0.28	0.92
monster	0.05	0.25	0.77
movie	0.88	0.59	0.66
<pad>	0.00	0.00	0.00

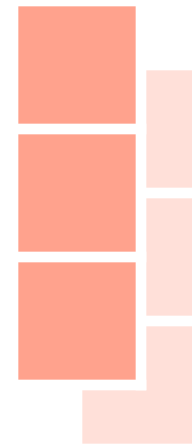
1.010	1.626	1.242
1.615	1.727	1.355
1.520	1.144	1.648
1.262	0.978	1.974
1.259	1.159	1.859
1.257	1.050	1.369
<i>max</i>	<i>max</i>	<i>max</i>
↓	↓	↓
1.615	1.727	1.974

# CNN architecture for sentence classification

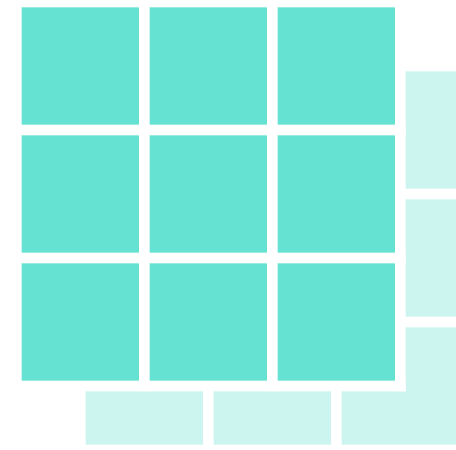
it's			
not			
a			
great			
monster			
movie			



convolution + ReLU



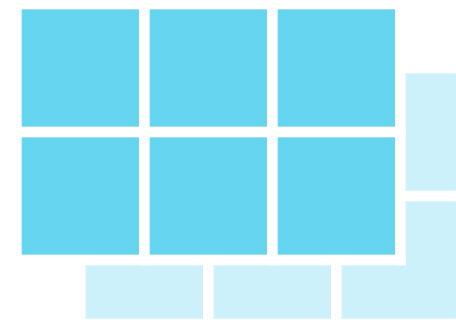
max pooling



convolution + ReLU



max pooling



convolution + ReLU



max pooling



concatenation



softmax + dropout



Kim (2014);  
Zhang and Wallace (2017)