Database Technology

Data Structures for Databases

Olaf Hartig

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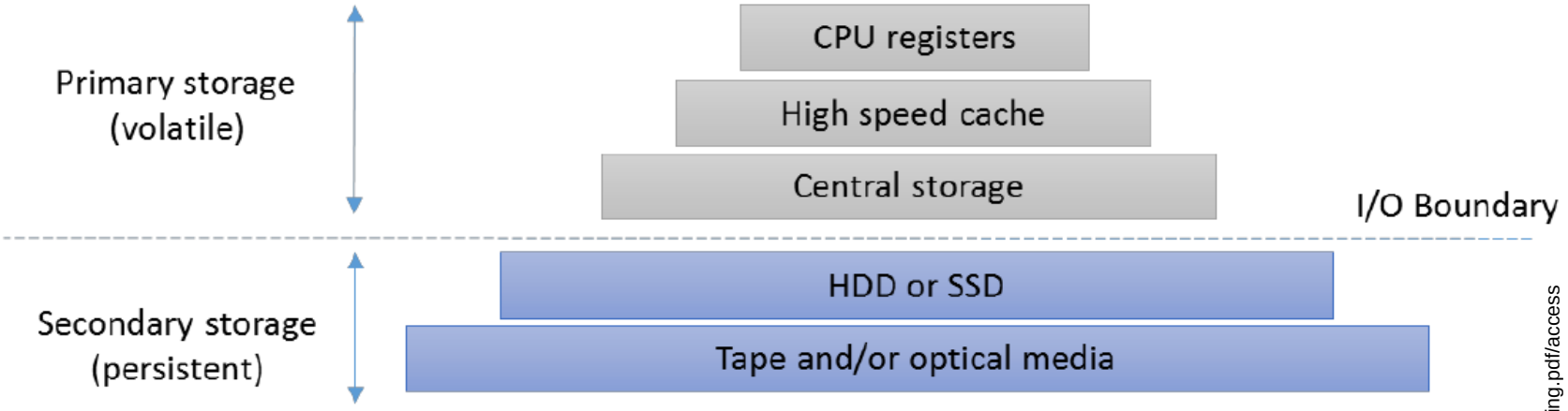
LINKÖPING UNIVERSITY

Storage Hierarchy

Quiz



Which of the following statements *is correct*?

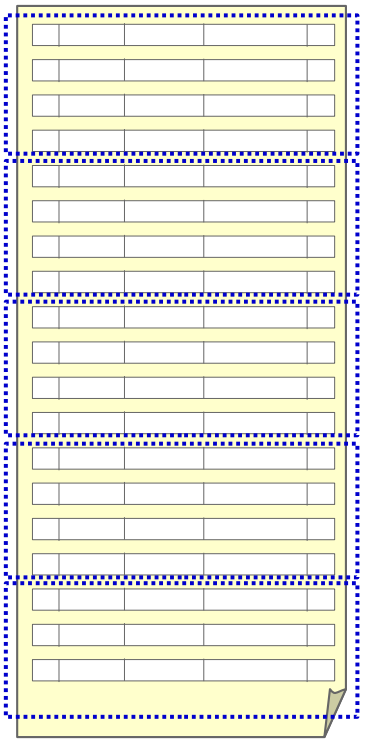
1) Secondary storage devices are usually faster than primary storage devices.
2) Data in a primary storage device may be lost when switching off the power.
3) The CPU may operate directly on data that is in a secondary storage device.
4) A piece of data (e.g., a record) may not be held both in a primary storage device and in a secondary storage device at the same time.

Image source: https://www.pdbmbook.com/vault/Chapter%20Physical%20File%20Organization%20and%20Indexing.pdf/access

Record Allocation

(Allocating Records to File Blocks)

Quiz

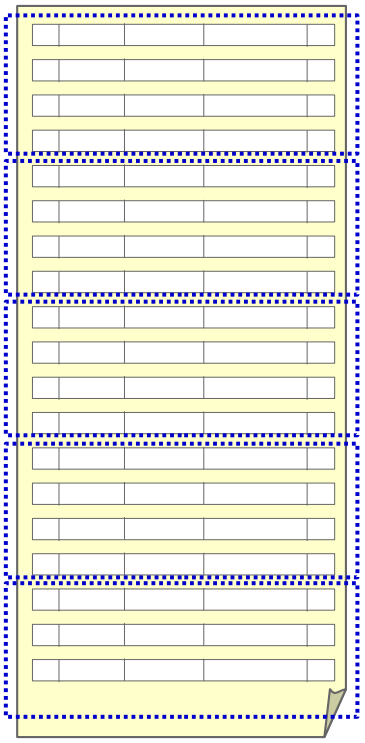


- Assume a file with
  - r = 200,000 records,
  - R = 400 bytes per record, and
  - B = 8,000 bytes per block

- How many blocks are needed to store the file?

1) b = 1,000 2) b = 2,000 3) b = 8,000 4) b = 10,000

Quiz



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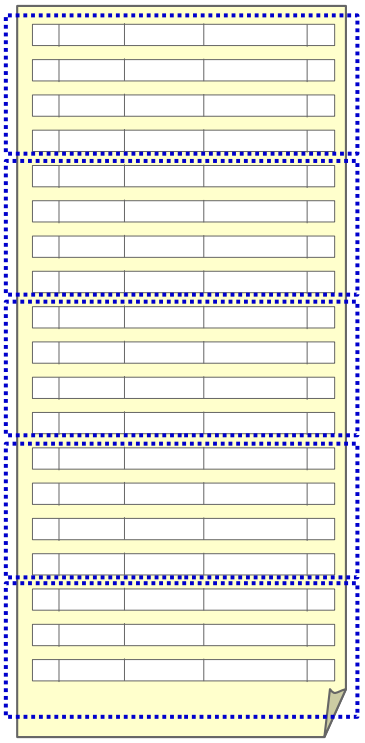
$$bfr = \left\lfloor \frac{B}{R} \right\rfloor = \left\lfloor \frac{8{,}000}{400} \right\rfloor = 20 \qquad b = \left\lceil \frac{r}{bfr} \right\rceil = \left\lceil \frac{200{,}000}{20} \right\rceil = 10{,}000$$

blocking factor

Quiz



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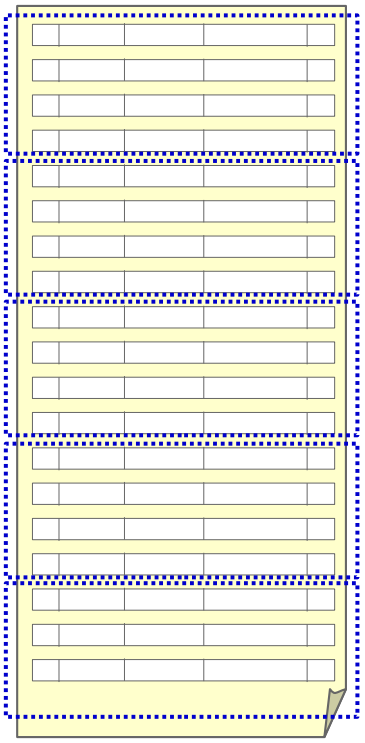
- How much space is wasted per block?

1) 0 bytes 2) 10 bytes 3) 20 bytes 4) 100 bytes

Quiz



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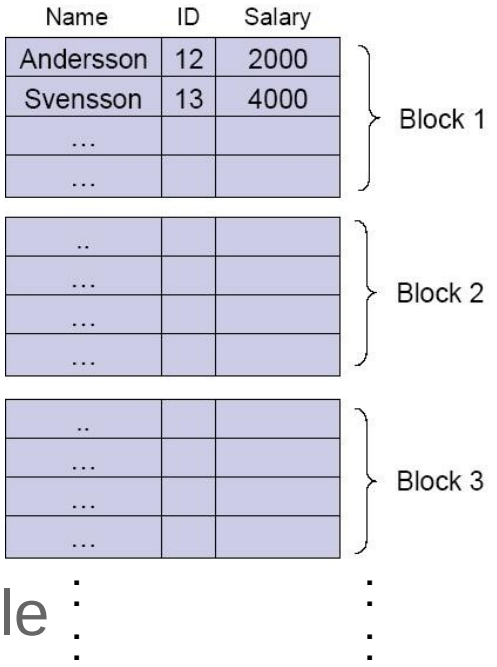
- How much space is wasted per block? $B - bfr * R$

1) 0 bytes 2) ~~10 bytes~~ 3) ~~20 bytes~~ 4) ~~100 bytes~~

File Organization

(Organizing Records in Files)

Exercise: Heap File



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  - r = 200,000 records,
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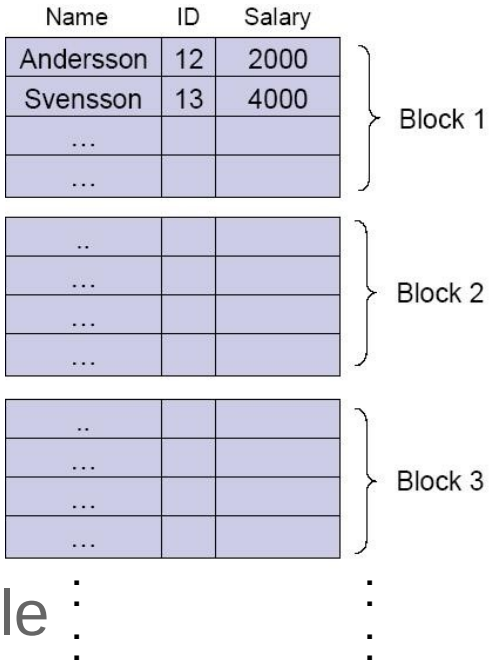
- Hence, b = 10,000 blocks needed to store the file
- Assume we organize the file as a heap file
  - i.e., new records are always appended to the end of the file
- How many blocks do we need to read?

	search field = ID value = 43 (unique)	search field = Name value = Smith (non-unique)
worst case	?	
best case	?	
average case	?	

Exercise: Heap File



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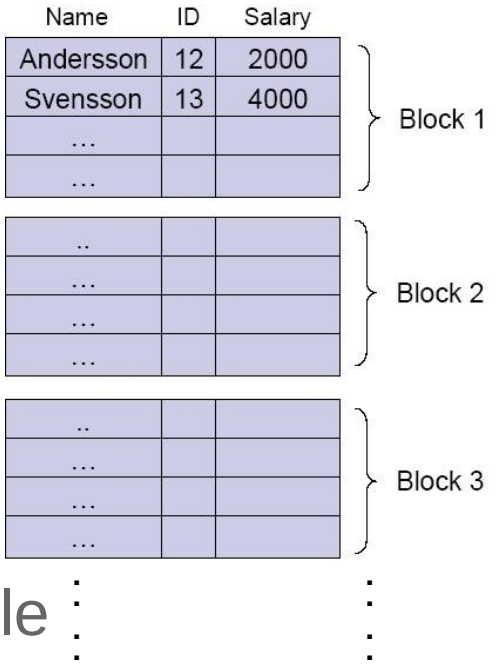
- How many blocks do we need to read?

	search field = ID value = 43 (unique)	search field = Name value = Smith (non-unique)
worst case	10,000	
best case	1	
average case	5,000	

$\left\lceil \frac{b}{2} \right\rceil$

Exercise: Heap File



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worst case	10,000	?
best case	1	?
average case	5,000	?

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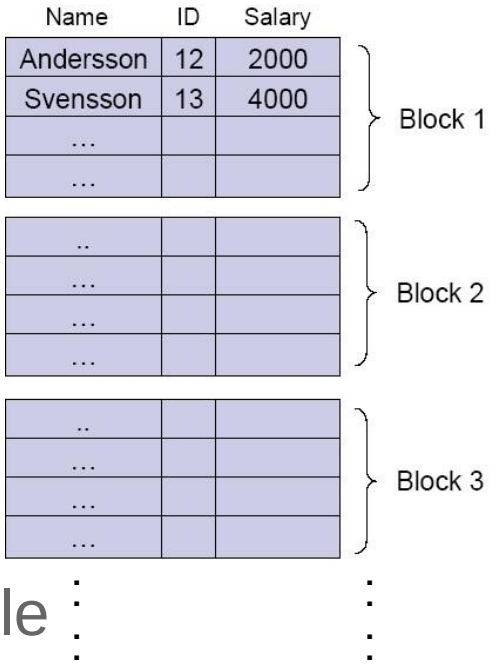
Exercise: Heap File



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- How many blocks do we need to read?

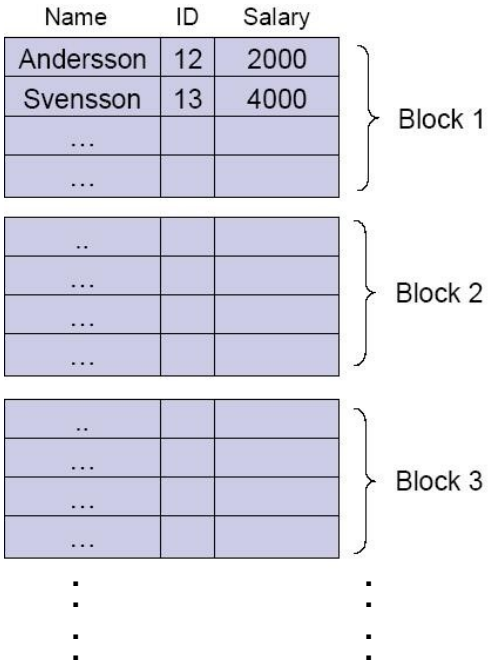
	search field = ID value = 43 (unique)	search field = Name value = Smith (non-unique)
worst case	10,000	10,000
best case	1	10,000
average case	5,000	10,000

linear search until last block

$\left\lceil \frac{b}{2} \right\rceil$

Exercise: Sorted File (a.k.a. Sequential File)

- Assume a file with
  - r = 200,000 records,
  - R = 400 bytes per record, and
  - B = 8,000 bytes per block
- Hence, b = 10,000 blocks needed for the file
- Assume we organize the file as a sorted file by using the ID field as the *sorting field*
  - i.e., records inserted based on their ID value



	search field = ID value = 43 (unique)	search field = Name value = Smith (non-unique)
worst case	?	?
best case	?	?
average case	?	?

$\log_2(2)=1$ $\log_2(256)=8$

$\log_2(4)=2$ $\log_2(512)=9$

$\log_2(8)=3$ $\log_2(1024)=10$

$\log_2(16)=4$ $\log_2(2048)=11$

$\log_2(32)=5$ $\log_2(4096)=12$

$\log_2(64)=6$ $\log_2(8192)=13$

$\log_2(128)=7$ $\log_2(16384)=14$

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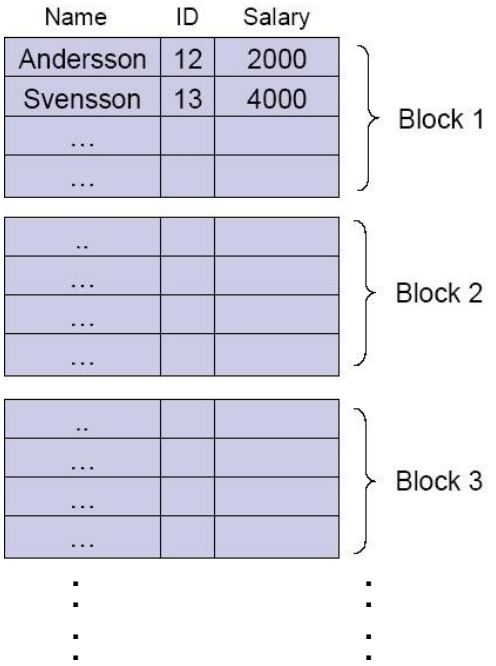

	search field = ID value = 43 (unique)	search field = Name value = Smith (non-unique)
worst case	14	10,000
best case	1	10,000
average case	ca. 14	10,000

$\lceil \log_2 b \rceil$

$\log_2(2)=1$ $\log_2(256)=8$
$\log_2(4)=2$ $\log_2(512)=9$
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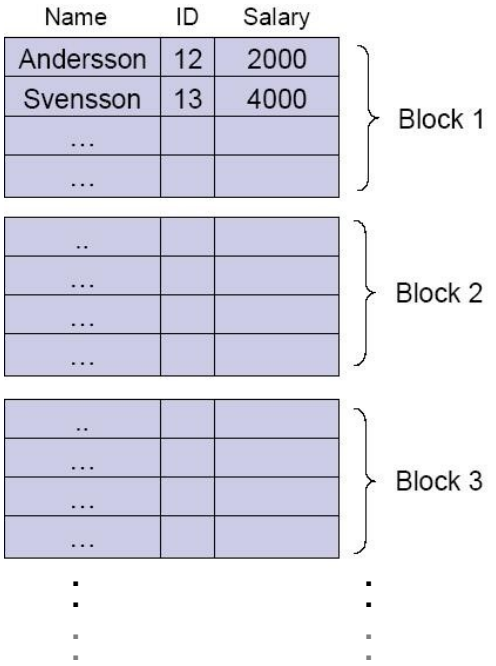
Exercise: Hash File (a.k.a. Random File Orga.)

- Assume a file with
  - r = 200,000 records,
  - R = 400 bytes per record, and
  - B = 8,000 bytes per block
- Hence, b = 10,000 blocks needed for the file
- Assume we organize the file as a hash file by using the ID field as the *hash field*
  - i.e., find relevant bucket by applying hash function to the ID value; assume 5,000 buckets with 4 blocks per bucket



	search field = ID value = 43 (unique)	search field = Name value = Smith (non-unique)
worst case	?	?
best case	?	?
average case	?	?

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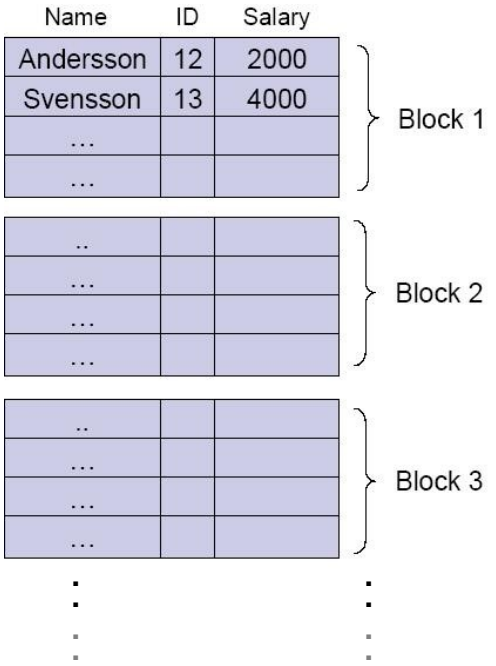
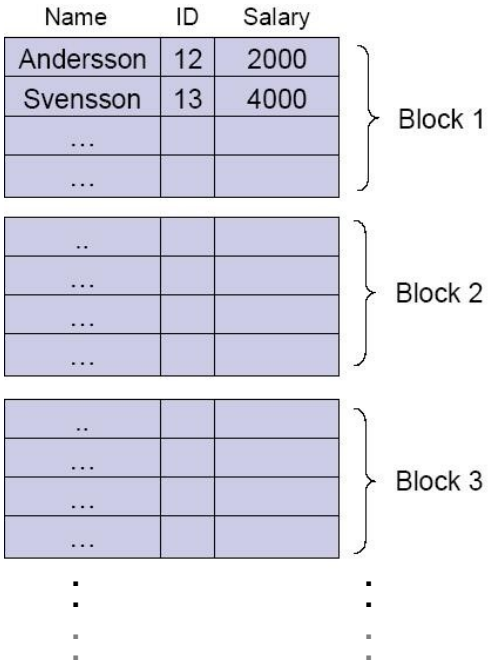


	search field = ID value = 43 (unique)	search field = Name value = Smith (non-unique)
worst case	4	≥ 10,000
best case	1	≥ 10,000
average case	depends	≥ 10,000

scan all non-empty blocks of all buckets

Exercise: Hash File (a.k.a. Random File Orga.)



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- What if we want to retrieve all records with an ID value smaller than 10? (assuming IDs cannot be smaller than 1)

worst case	?
best case	?

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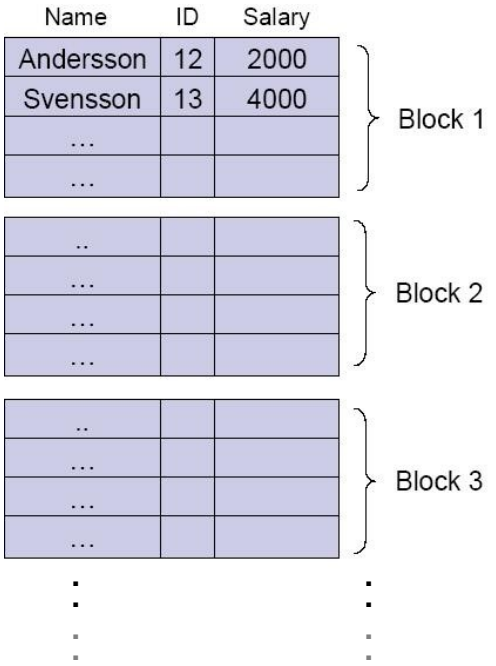
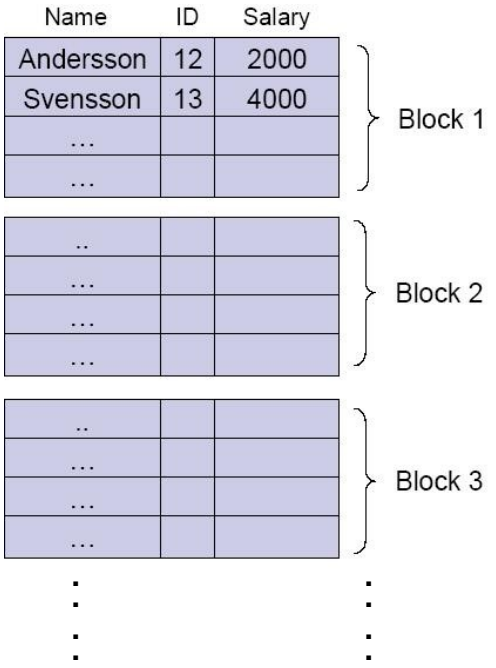


worst case	$9 \cdot 4 = 36$
best case	1 ← unlikely

Single-Level Ordered Indexes

Quiz: Types of Single-Level Ordered Indexes

- Back to the case of a sorted file, sorted on ID
- If we try to speed up finding records with a particular ID value by adding a single-level ordered index, which type would we need?
  A) Primary index
  B) Clustering index
  C) Secondary index on a key field
  D) Secondary index on a non-key field



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best case	1	10,000
average case	ca. 14	10,000

Summary of Single-Level Ordered Indexes

	Index field used for sorting the data records	**Index field *not* used for sorting the data records**
Index field is a key	Primary index	Secondary index (key)
Index field is not a key	Clustering index	Secondary index (non-key)

Quiz

	Index field used for sorting the data records	**Index field *not* used for sorting the data records**
Index field is a key	Primary index	Secondary index (key)
Index field is not a key	Clustering index	Secondary index (non-key)

Which of these four types of indexes has the *smallest number of index records*?

A) Primary index

B) Clustering index

C) Secondary index on a key field

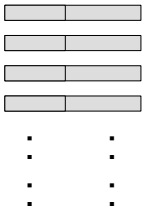
D) Secondary index on a non-key field

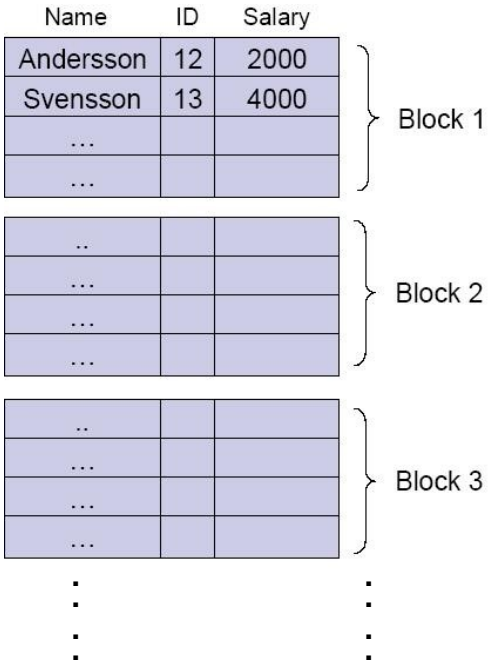
Summary of Single-Level Indexes (cont'd)

	Index field used for sorting the data records	**Index field *not* used for sorting the data records**
Index field is a key	Primary index	Secondary index (key)
Index field is not a key	Clustering index	Secondary index (non-key)

Type of index	**Number of index entries**
Primary	Number of blocks in data file
Clustering	Number of distinct index field values
Secondary (key)	Number of records in data file
Secondary (non-key)	Number of records or number of distinct index field values

Quiz: Primary Index





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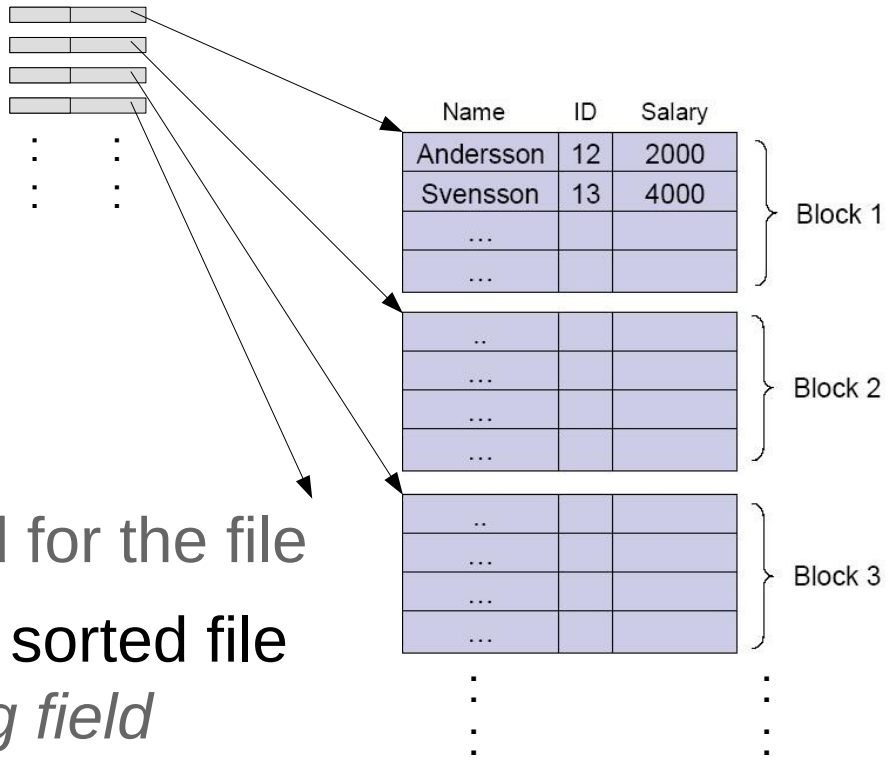
- Assume we organize the file as a sorted file by using the ID field as the *sorting field*
- Assume we create a primary index on the ID field
  - same block size for the index file: $B_{idx} = B$ = 8,000 bytes
  - but smaller records: R_{idx} = 200 bytes per index record
- How many index records does this index contain?

  A) 8,000 B) 10,000 C) 20,000 D) 200,000

Quiz: Primary Index



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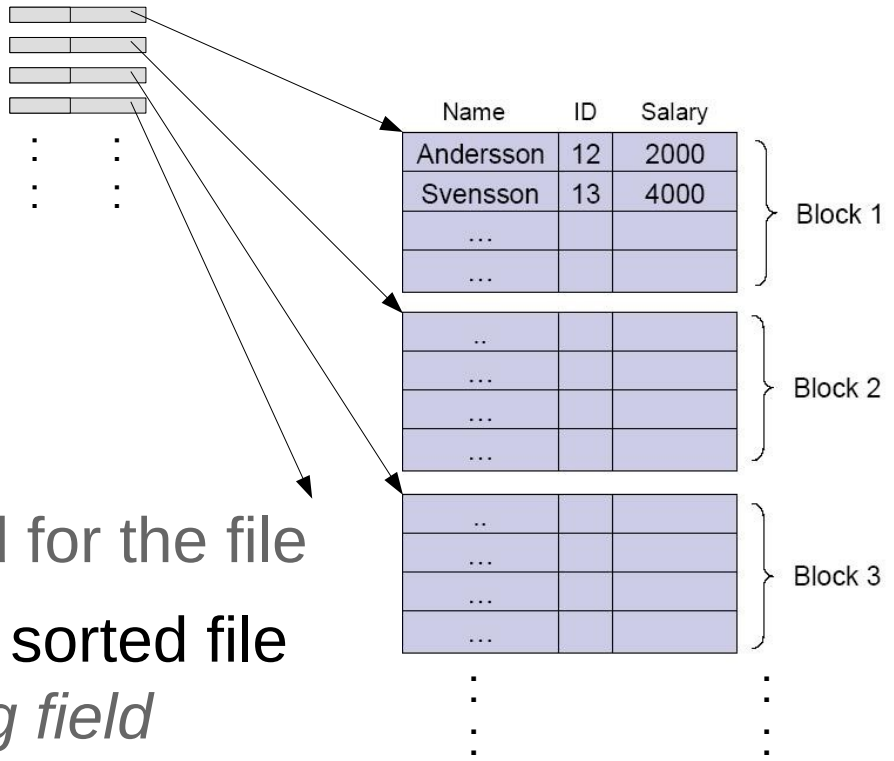
A) ~~8,000~~ B) 10,000 C) ~~20,000~~ D) ~~200,000~~

Quiz: Primary Index



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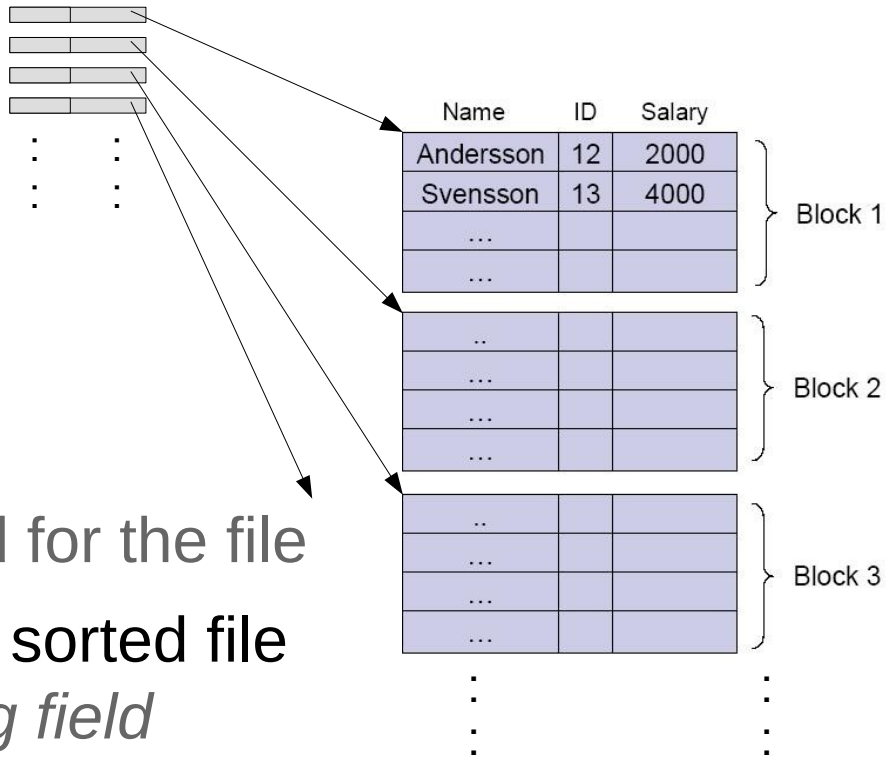
  - same block size for the index file: $B_{idx} = B$ = 8,000 bytes
  - but smaller records: R_{idx} = 200 bytes per index record
- How many blocks does the index file consist of?

  A) 100 B) 250 C) 1,000 D) 8,000

Quiz: Primary Index



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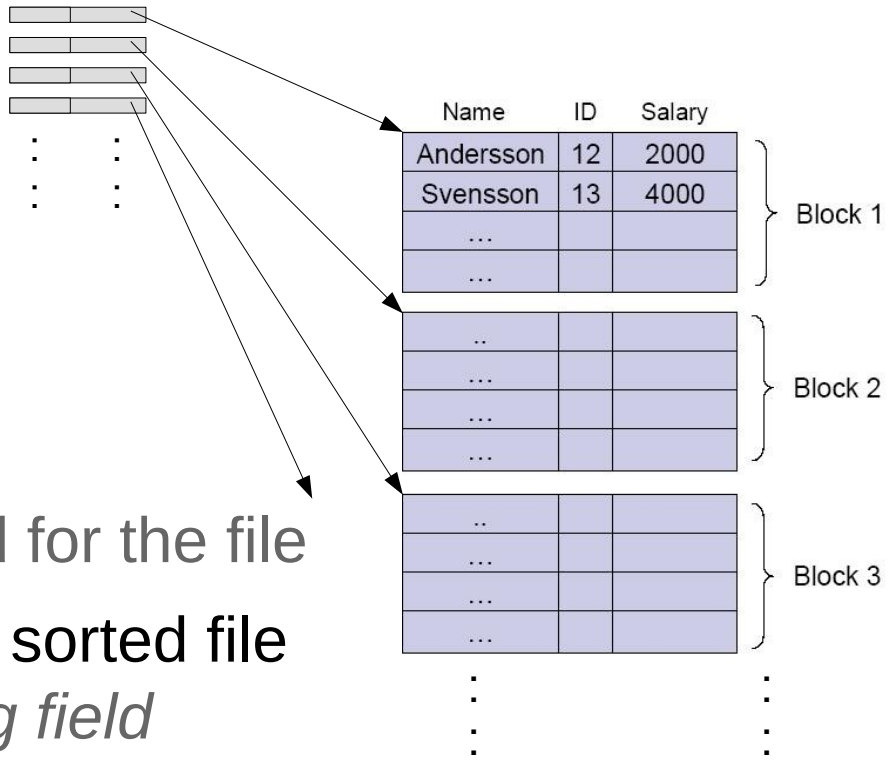
A) ~~100~~ B) 250 C) ~~1,000~~ D) ~~8,000~~

$$b_{idx} = \left\lceil \frac{r_{idx}}{bfr_{idx}} \right\rceil = \left\lceil \frac{10{,}000}{40} \right\rceil = 250 \qquad bfr_{idx} = \left\lfloor \frac{B_{idx}}{R_{idx}} \right\rfloor = \left\lfloor \frac{8{,}000}{200} \right\rfloor = 40$$

Quiz: Primary Index



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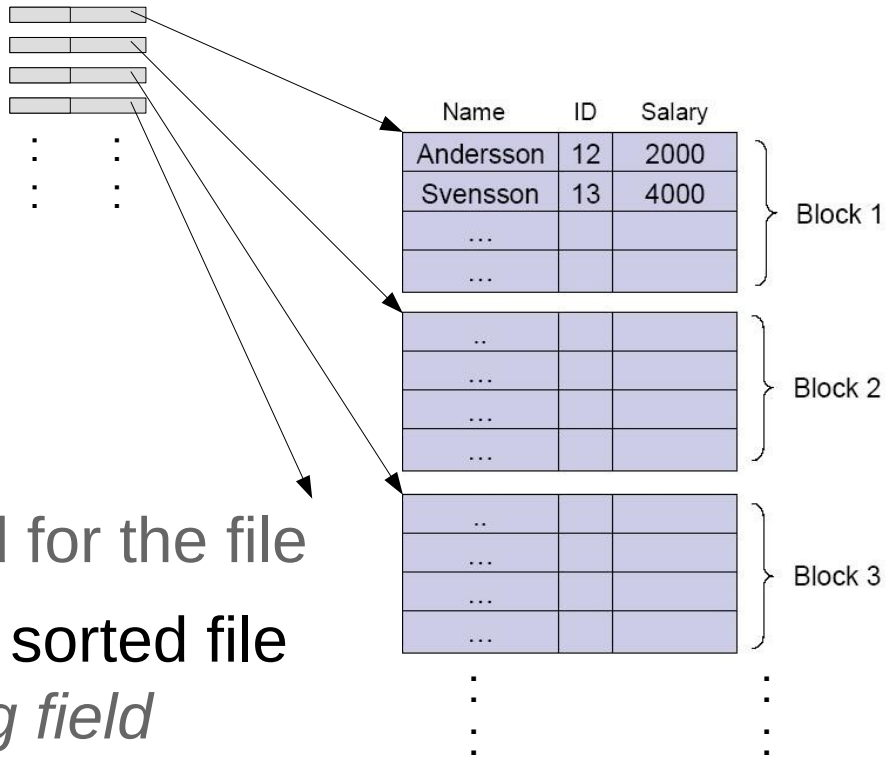
- Hence, b = 10,000 blocks needed for the file
- Assume we organize the file as a sorted file by using the ID field as the *sorting field*
- Assume we create a primary index on the ID field
  - consisting of 250 blocks
- How many blocks do we need to read if we want to retrieve the record with ID = 43?

  A) 7 B) 8 C) 9 D) 10

$\log_2(2)=1$ $\log_2(128)=7$
$\log_2(4)=2$ $\log_2(256)=8$
$\log_2(8)=3$ $\log_2(512)=9$
$\log_2(16)=4$ $\log_2(1024)=10$
$\log_2(32)=5$ $\log_2(2048)=11$
$\log_2(64)=6$ $\log_2(4096)=12$

Quiz: Primary Index



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- Assume we create a primary index on the ID field
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- How many blocks do we need to read if we want to retrieve the record with ID = 43?

A) ~~7~~ B) ~~8~~ C) 9 D) ~~10~~

$$\lceil \log_2 b_{idx} \rceil + 1$$

binary search in the index

read data file block that contains the record

$\log_2(2)=1$ $\log_2(128)=7$

$\log_2(4)=2$ $\log_2(256)=8$

$\log_2(8)=3$ $\log_2(512)=9$

$\log_2(16)=4$ $\log_2(1024)=10$

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Binary Search