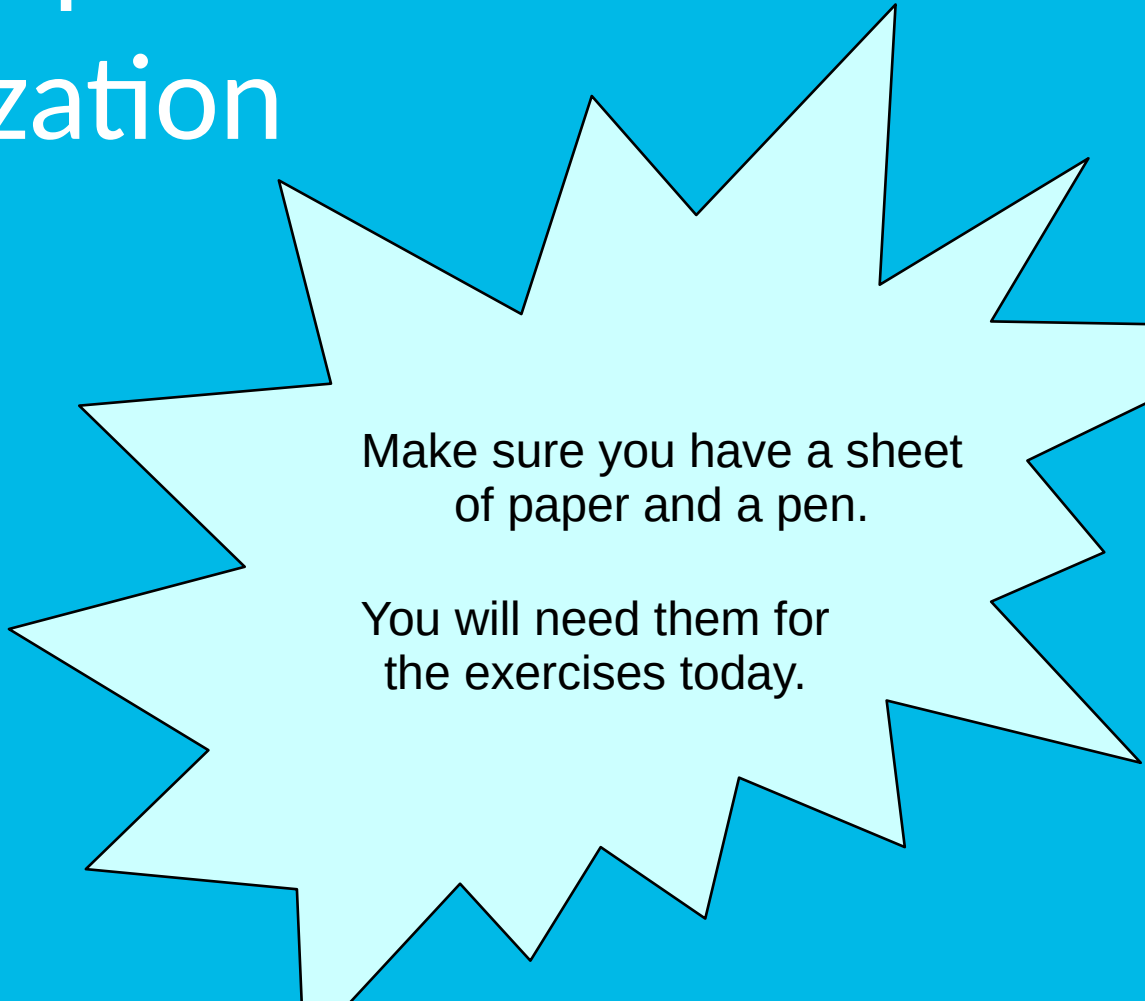


# Database Technology

## Functional Dependencies and Normalization

Olaf Hartig

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Make sure you have a sheet  
of paper and a pen.

You will need them for  
the exercises today.

# Quiz

- Constraint between two sets of attributes from a relation

Let  $R$  be a relational schema with the attributes  $A_1, A_2, \dots, A_n$  and let  $X$  and  $Y$  be subsets of  $\{A_1, A_2, \dots, A_n\}$ .

Then, the functional dependency  $X \rightarrow Y$  specifies the following constraint on *any* valid relation state  $r$  of  $R$ .

For *any* two tuples  $t_1$  and  $t_2$  in state  $r$  we have that:

if  $t_1[X] = t_2[X]$ , then  $t_1[Y] = t_2[Y]$  .

- Consider the relation **R(A,B,C,D,E,F)** with the following FDs:

FD1: **A** → **BC**      FD2: **C** → **AD**      FD3: **DE** → **F**

- Is a state of R that contains the two tuples (3, 8, 1, 2, 3, 4) and (3, 8, 1, 7, 3, 9) valid?

A) Yes      B) No

A	B	C	D	E	F
3	8	1	2	3	4
3	8	1	7	3	9

# Reasoning About FDs

- Logical implications can be derived by using inference rules called **Armstrong's rules**:
  - *Reflexivity*: If  $Y$  is a subset of  $X$ , then  $X \rightarrow Y$
  - *Augmentation*: If  $X \rightarrow Y$ , then  $XZ \rightarrow YZ$   
(we use  $XY$  as a short form for  $X \cup Y$ )
  - *Transitivity*: If  $X \rightarrow Y$  and  $Y \rightarrow Z$ , then  $X \rightarrow Z$
- Additional rules can be derived:
  - *Decomposition*: If  $X \rightarrow YZ$ , then  $X \rightarrow Y$
  - *Union*: If  $X \rightarrow Y$  and  $X \rightarrow Z$ , then  $X \rightarrow YZ$
  - *Pseudo-transitivity*: If  $X \rightarrow Y$  and  $WY \rightarrow Z$ , then  $WX \rightarrow Z$

# Exercises: Armstrong Rules

- Consider the relation **R(A,B,C,D,E,F)** with the following FDs:

FD1: **A** → **BC**

FD2: **C** → **AD**

FD3: **DE** → **F**

- Use the Armstrong rules to derive the following FD: **AC** → **D**

*Reflexivity:* If  $Y$  is a subset of  $X$ , then  $X \rightarrow Y$

*Augmentation:* If  $X \rightarrow Y$ , then  $XZ \rightarrow YZ$

*Transitivity:* If  $X \rightarrow Y$  and  $Y \rightarrow Z$ , then  $X \rightarrow Z$

*Decomposition:* If  $X \rightarrow YZ$ , then  $X \rightarrow Y$

*Union:* If  $X \rightarrow Y$  and  $X \rightarrow Z$ , then  $X \rightarrow YZ$

*Pseudo-transitivity:* If  $X \rightarrow Y$  and  $WY \rightarrow Z$ ,  
then  $WX \rightarrow Z$

# Exercises: Armstrong Rules

- Consider the relation **R(A,B,C,D,E,F)** with the following FDs:

FD1: **A** → **BC**

FD2: **C** → **AD**

FD3: **DE** → **F**

- Use the Armstrong rules to derive the following FD: **AC** → **D**
  - **FD4: AC** → **AD** (Augmentation of FD2 with A)
  - **FD5: AC** → **D** (Decomposition of FD4)

*Reflexivity:* If  $Y$  is a subset of  $X$ , then  $X \rightarrow Y$

*Augmentation:* If  $X \rightarrow Y$ , then  $XZ \rightarrow YZ$

*Transitivity:* If  $X \rightarrow Y$  and  $Y \rightarrow Z$ , then  $X \rightarrow Z$

*Decomposition:* If  $X \rightarrow YZ$ , then  $X \rightarrow Y$

*Union:* If  $X \rightarrow Y$  and  $X \rightarrow Z$ , then  $X \rightarrow YZ$

*Pseudo-transitivity:* If  $X \rightarrow Y$  and  $WY \rightarrow Z$ , then  $WX \rightarrow Z$

# Exercises: Armstrong Rules

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FD1: **A** → **BC**

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- Use the Armstrong rules to derive the following FD: **AC** → **D**
  - **FD4: AC** → **AD** (Augmentation of FD2 with A)
  - **FD5: AC** → **D** (Decomposition of FD4)
- Use the Armstrong rules to derive the following FD: **A** → **D**

*Reflexivity:* If  $Y$  is a subset of  $X$ , then  $X \rightarrow Y$

*Augmentation:* If  $X \rightarrow Y$ , then  $XZ \rightarrow YZ$

*Transitivity:* If  $X \rightarrow Y$  and  $Y \rightarrow Z$ , then  $X \rightarrow Z$

*Decomposition:* If  $X \rightarrow YZ$ , then  $X \rightarrow Y$

*Union:* If  $X \rightarrow Y$  and  $X \rightarrow Z$ , then  $X \rightarrow YZ$

*Pseudo-transitivity:* If  $X \rightarrow Y$  and  $WY \rightarrow Z$ , then  $WX \rightarrow Z$

# Exercises: Armstrong Rules

- Consider the relation **R(A,B,C,D,E,F)** with the following FDs:

FD1: **A** → **BC**

FD2: **C** → **AD**

FD3: **DE** → **F**

- Use the Armstrong rules to derive the following FD: **AC** → **D**
  - FD4: **AC** → **AD** (Augmentation of FD2 with A)
  - FD5: **AC** → **D** (Decomposition of FD4)
- Use the Armstrong rules to derive the following FD: **A** → **D**
  - FD6: **A** → **C** (Decomposition of FD1)
  - FD7: **A** → **AD** (Transitivity of FD6 and FD2)
  - FD8: **A** → **D** (Decomposition of FD7)

*Reflexivity:* If  $Y$  is a subset of  $X$ , then  $X \rightarrow Y$

*Augmentation:* If  $X \rightarrow Y$ , then  $XZ \rightarrow YZ$

*Transitivity:* If  $X \rightarrow Y$  and  $Y \rightarrow Z$ , then  $X \rightarrow Z$

*Decomposition:* If  $X \rightarrow YZ$ , then  $X \rightarrow Y$

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# Exercises: Armstrong Rules

- Consider the relation **R(A,B,C,D,E,F)** with the following FDs:

FD1: **A** → **BC**

FD2: **C** → **AD**

FD3: **DE** → **F**

- Use the Armstrong rules to derive the following FD: **AE** → **ABCDEF**

*Reflexivity:* If  $Y$  is a subset of  $X$ , then  $X \rightarrow Y$

*Augmentation:* If  $X \rightarrow Y$ , then  $XZ \rightarrow YZ$

*Transitivity:* If  $X \rightarrow Y$  and  $Y \rightarrow Z$ , then  $X \rightarrow Z$

*Decomposition:* If  $X \rightarrow YZ$ , then  $X \rightarrow Y$

*Union:* If  $X \rightarrow Y$  and  $X \rightarrow Z$ , then  $X \rightarrow YZ$

*Pseudo-transitivity:* If  $X \rightarrow Y$  and  $WY \rightarrow Z$ ,  
then  $WX \rightarrow Z$



# Exercises: Armstrong Rules

- Consider the relation **R(A,B,C,D,E,F)** with the following FDs:

FD1: **A** → **BC**

FD2: **C** → **AD**

FD3: **DE** → **F**

- Use the Armstrong rules to derive the following FD: **AE** → **ABCDEF**
  - FD9: **AE** → **BCE** (Augmentation of FD1 with E)
  - FD10: **AE** → **C** (Decomposition of FD9)
  - FD11: **AE** → **AD** (Transitivity of FD10 and FD2)
  - FD12: **AE** → **ADE** (Augmentation of FD11 with E)
  - FD13: **AE** → **DE** (Decomposition of FD12)
  - FD14: **AE** → **F** (Transitivity of FD13 and FD3)
  - FD15: **AE** → **ABCDEF** (Union of FD9, FD11, and FD14)

*Reflexivity:* If  $Y$  is a subset of  $X$ , then  $X \rightarrow Y$

*Augmentation:* If  $X \rightarrow Y$ , then  $XZ \rightarrow YZ$

*Transitivity:* If  $X \rightarrow Y$  and  $Y \rightarrow Z$ , then  $X \rightarrow Z$

*Decomposition:* If  $X \rightarrow YZ$ , then  $X \rightarrow Y$

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*Pseudo-transitivity:* If  $X \rightarrow Y$  and  $WY \rightarrow Z$ , then  $WX \rightarrow Z$

# Exercise

- Consider the relation  $R(A,B,C,D,E,F)$  with the following FDs:

FD1:  $A \rightarrow BC$

FD2:  $C \rightarrow AD$

FD3:  $DE \rightarrow F$

- Use the Armstrong rules to derive the following FD:  $AE \rightarrow ABCDEF$

- Consider the following state of relation R:

A	B	C	D	E	F
3	8	1	2	3	4

- Add another tuple to this state such that the resulting extended state is valid with respect to the new FD (i.e., does not violate the FD)

# Exercise

- Consider the relation  $R(A,B,C,D,E,F)$  with the following FDs:

FD1:  $A \rightarrow BC$


FD2:  $C \rightarrow AD$

FD3:  $DE \rightarrow F$

- Use the Armstrong rules to derive the following FD:  $AE \rightarrow ABCDEF$

- Consider the following state of relation R:

A	B	C	D	E	F
3	8	1	2	3	4
4	..	..	..	3	..
3	..	..	..	3	..



- Add another tuple to this state such that the resulting extended state is valid with respect to the new FD (i.e., does not violate the FD)
  - any tuple in which the value for A or E (or both) is different from the corresponding values of the given tuple

# Computing (Super)Keys

```
function ComputeAttrClosure(  $X$ ,  $F$  )  
begin  
   $X^+ := X$ ;  
  while  $F$  contains an FD  $Y \rightarrow Z$  such that  
    (i)  $Y$  is a subset of  $X^+$ , and  
    (ii)  $Z$  is not a subset of  $X^+$  do  
     $X^+ := X^+ \cup Z$ ;  
  end while  
  return  $X^+$ ;  
end
```

# Warmup (cont'd)

- Consider the relation **R(A,B,C,D,E,F)** with the following FDs:

FD1: **A** → **BC**

FD2: **C** → **AD**

FD3: **DE** → **F**

- Compute the **attribute closure** of  $X = \{ \mathbf{A,E} \}$  w.r.t.  $F = \{ \text{FD1, FD2, FD3} \}$  to show that we have: **AE** → **ABCDEF**
  - Initially:  $X^+ = \{ \mathbf{A,E} \}$
  - By using FD1:  $X^+ = \{ \mathbf{A,E,B,C} \}$
  - By using FD2:  $X^+ = \{ \mathbf{A,E,B,C,D} \}$
  - By using FD3:  $X^+ = \{ \mathbf{A,E,B,C,D,F} \}$

```
function ComputeAttrClosure( X, F )
begin
  X+ := X;
  while F contains an FD Y → Z such that
    (i) Y is a subset of X+, and
    (ii) Z is not a subset of X+ do
    X+ := X+ U Z;
  end while
  return X+;
end
```

# Your Turn

- Consider the relation **R(A,B,C,D)** with the following set *F* of FDs:

FD1: **AB** → **C**

FD2: **BC** → **D**

FD3: **D** → **B**

- Compute the following attribute closures w.r.t.  $F = \{\text{FD1, FD2, FD3}\}$ 
  - $\{B,C,D\}^+ = ?$
  - $\{A,C,D\}^+ = ?$
  - $\{A,B,D\}^+ = ?$
  - $\{A,B,C\}^+ = ?$

```
function ComputeAttrClosure( X, F )
begin
  X+ := X;
  while F contains an FD Y → Z such that
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    X+ := X+ U Z;
  end while
return X+;
end
```

# Your Turn

- Consider the relation **R(A,B,C,D)** with the following set *F* of FDs:

FD1: **AB** → **C**

FD2: **BC** → **D**

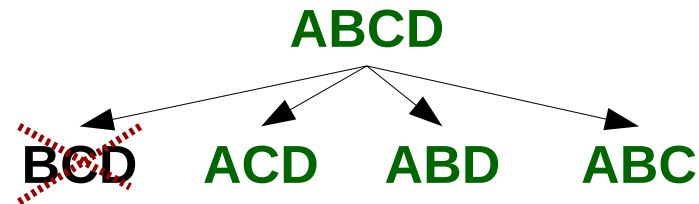
FD3: **D** → **B**

- Compute the following attribute closures w.r.t.  $F = \{\text{FD1, FD2, FD3}\}$ 
  - $\{B,C,D\}^+ = \{B,C,D\}$
  - $\{A,C,D\}^+ = \{A,C,D,B\}$
  - $\{A,B,D\}^+ = \{A,B,D,C\}$
  - $\{A,B,C\}^+ = \{A,B,C,D\}$

```
function ComputeAttrClosure( X, F )
begin
  X+ := X;
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    X+ := X+ U Z;
  end while
  return X+;
end
```

# Superkeys and Candidate Keys

- Consider the relation  $R(A,B,C,D)$  with the following set  $F$  of FDs:
  - FD1:  $AB \rightarrow C$
  - FD2:  $BC \rightarrow D$
  - FD3:  $D \rightarrow B$
- A set  $X$  of attributes of  $R$  is a **superkey** if  $X^+$  contains all the attributes of  $R$ 
  - $\{B,C,D\}^+ = \{B,C,D\}$
  - $\{A,C,D\}^+ = \{A,C,D,B\}$
  - $\{A,B,D\}^+ = \{A,B,D,C\}$
  - $\{A,B,C\}^+ = \{A,B,C,D\}$





# Superkeys and Candidate Keys

- Consider the relation  $R(A,B,C,D)$  with the following set  $F$  of FDs:

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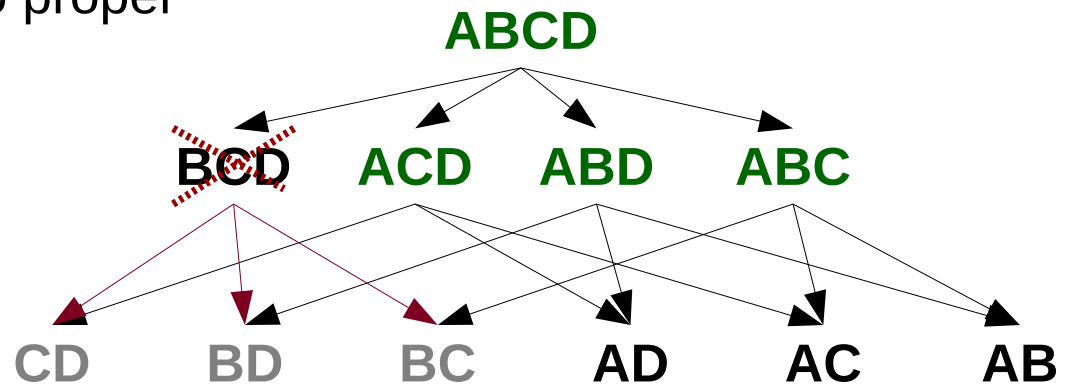
FD3:  $D \rightarrow B$

- A set  $X$  of attributes of  $R$  is a **superkey** if  $X^+$  contains all the attributes of  $R$
- $X$  is a **candidate key** (CK) if no proper subset  $X'$  of  $X$  is a **superkey**

-  $\{A,D\}^+ = ?$

-  $\{A,C\}^+ = ?$

-  $\{A,B\}^+ = ?$



# Superkeys and Candidate Keys

- Consider the relation  $R(A,B,C,D)$  with the following set  $F$  of FDs:

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FD2:  $BC \rightarrow D$

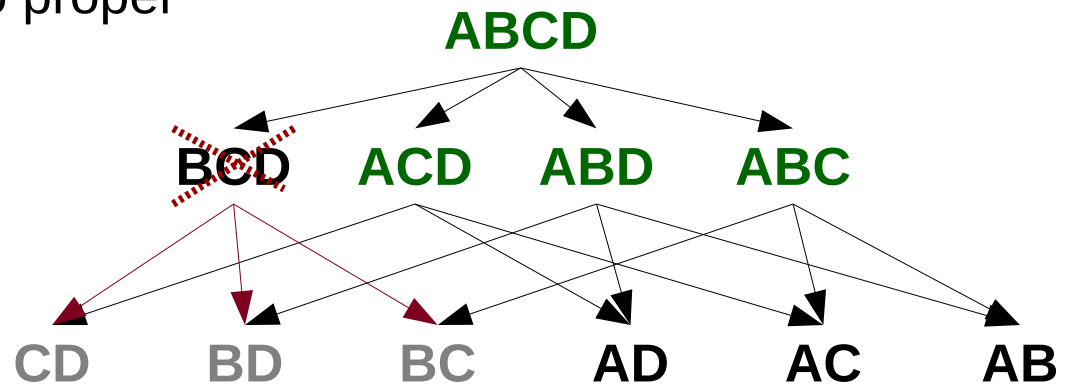
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-  $\{A,D\}^+ = \{A,D,B,C\}$

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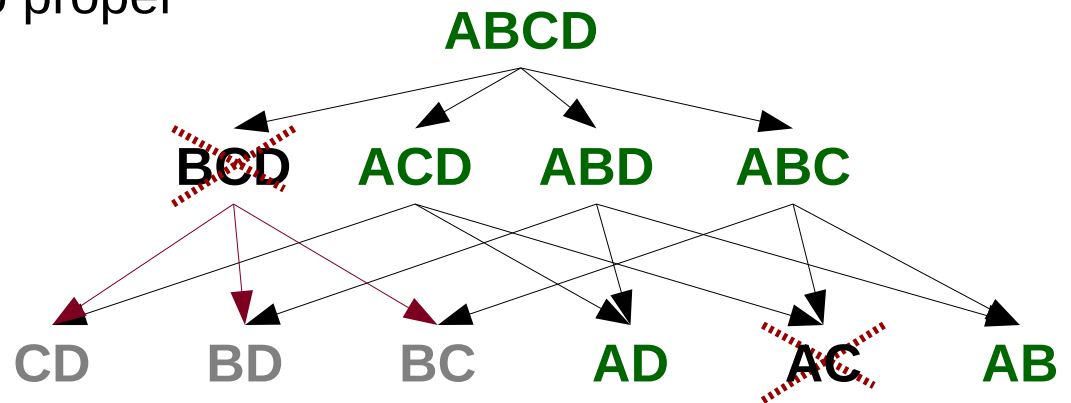
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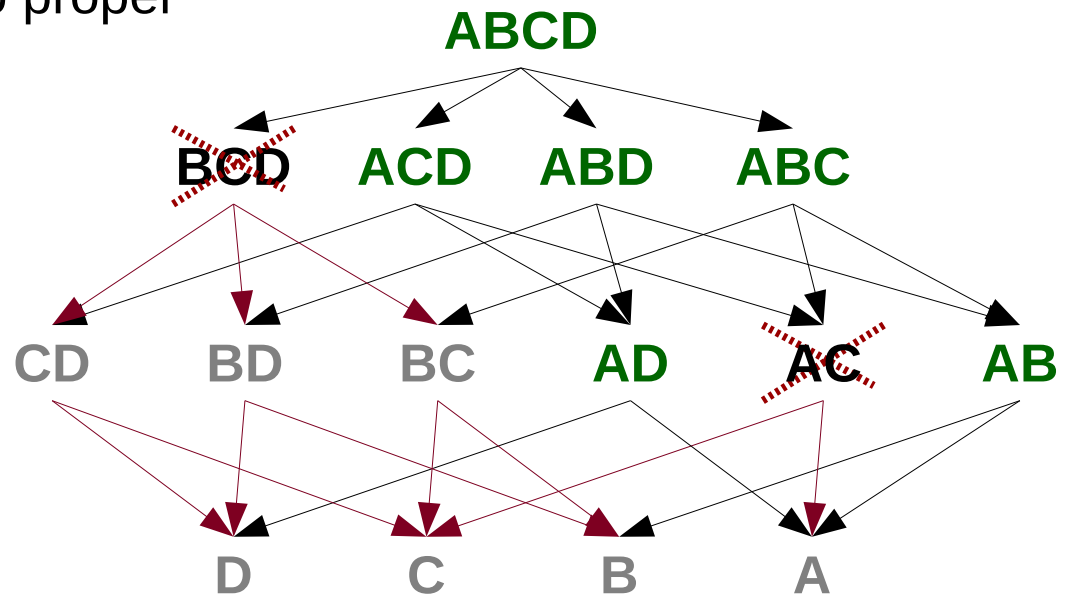
-  $\{A,C\}^+ = \{A,C\}$

-  $\{A,B\}^+ = \{A,B,C,D\}$



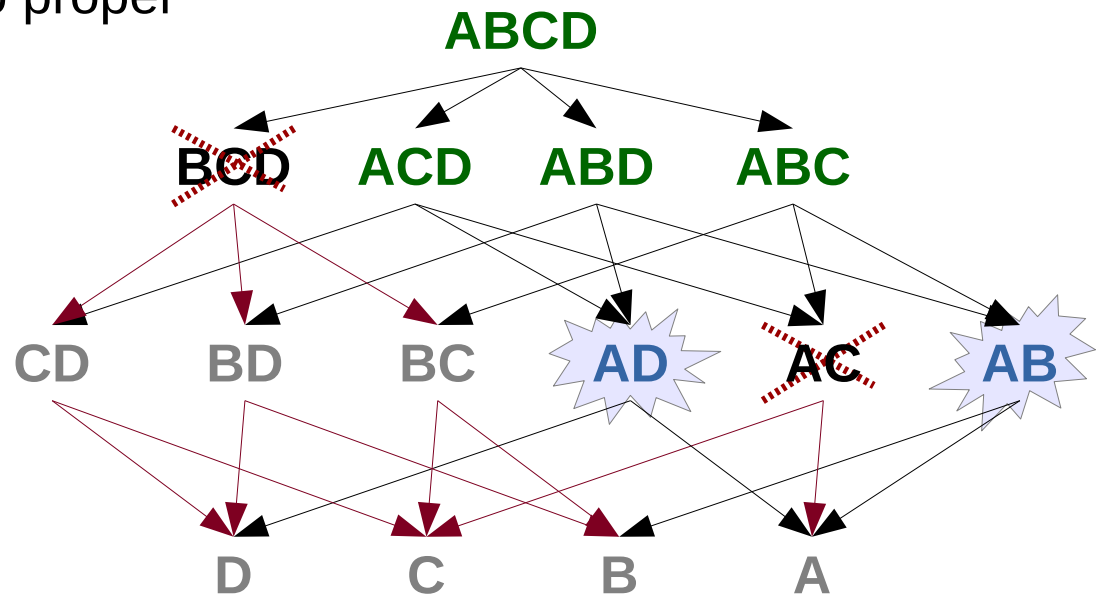
# Superkeys and Candidate Keys

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# Superkeys and Candidate Keys

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# Superkeys and Candidate Keys

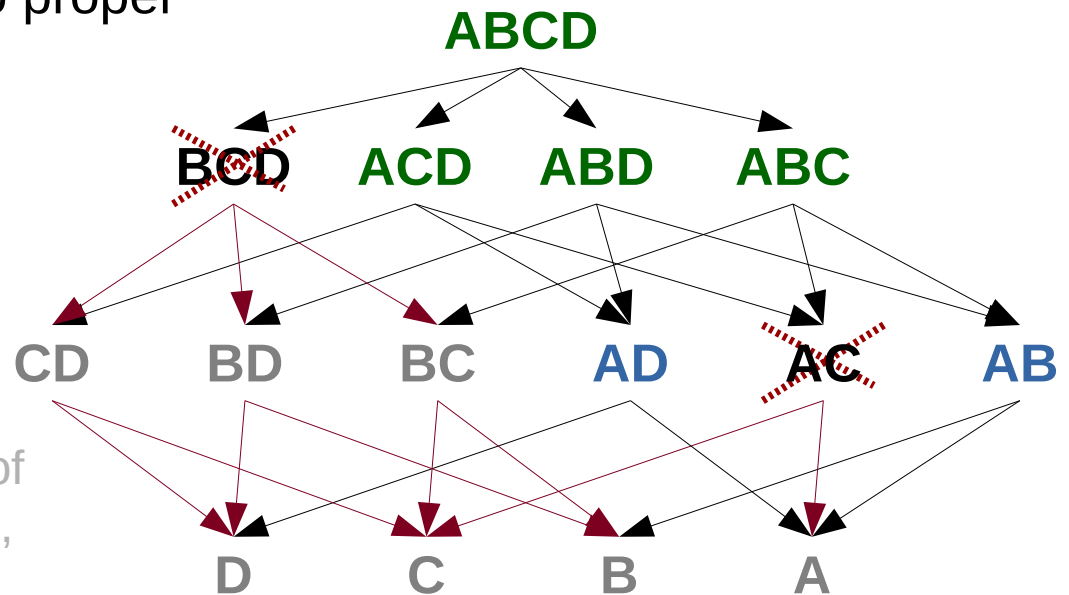
- Consider the relation  $R(A,B,C,D)$  with the following set  $F$  of FDs:

FD1:  $AB \rightarrow C$

FD2:  $BC \rightarrow D$

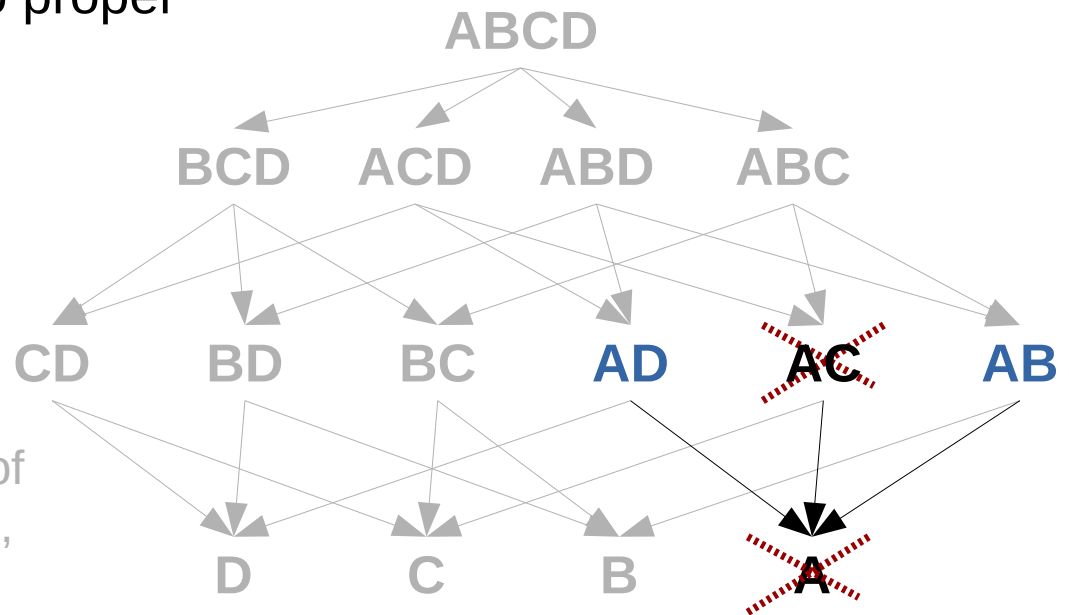
FD3:  $D \rightarrow B$

- A set  $X$  of attributes of  $R$  is a **superkey** if  $X^+$  contains all the attributes of  $R$
- $X$  is a **candidate key** (CK) if no proper subset  $X'$  of  $X$  is a **superkey**
- Some steps may be skipped
  - If an attribute is nowhere in the RHS, it must be part of every candidate key (such as  $A$  in the example)
  - If an attribute is in the RHS of FDs but nowhere in the LHS, it cannot be part of any CK



# Superkeys and Candidate Keys

- Consider the relation  $R(A,B,C,D)$  with the following set  $F$  of FDs:
  - FD1:  $AB \rightarrow C$
  - FD2:  $BC \rightarrow D$
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# Superkeys and Candidate Keys

- Consider the relation  $R(A,B,C,D)$  with the following set  $F$  of FDs:
  - FD1:  $AB \rightarrow C$
  - FD2:  $BC \rightarrow D$
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- A set  $X$  of attributes of  $R$  is a **superkey** if  $X^+$  contains all the attributes of  $R$
- $X$  is a **candidate key** (CK) if no proper subset  $X'$  of  $X$  is a **superkey**
- Some steps may be skipped
  - If an attribute is nowhere in the RHS, it must be part of *every* candidate key (such as  $A$  in the example)
  - If an attribute is in the RHS of FDs but nowhere in the LHS, it cannot be part of any CK
- For instance, if we had only FD1 and FD2 (i.e., not FD3), we could immediately say that:
  - $D$  cannot be in any CK
  - $A$  and  $B$  must be in every CK
  - and, since  $\{A,B\}^+ = \{A,B,C,D\}$ , we see that  $\{A,B\}$  is a superkey and, thus, the only CK



# Your Turn

- Consider the relation **R(A,B,C,D,E,F)** with the following FDs:
  - FD1: **A → BC**
  - FD2: **C → AD**
  - FD3: **DE → F**
- What are the candidate keys?

# Your Turn

- Consider the relation  $R(A,B,C,D,E,F)$  with the following FDs:

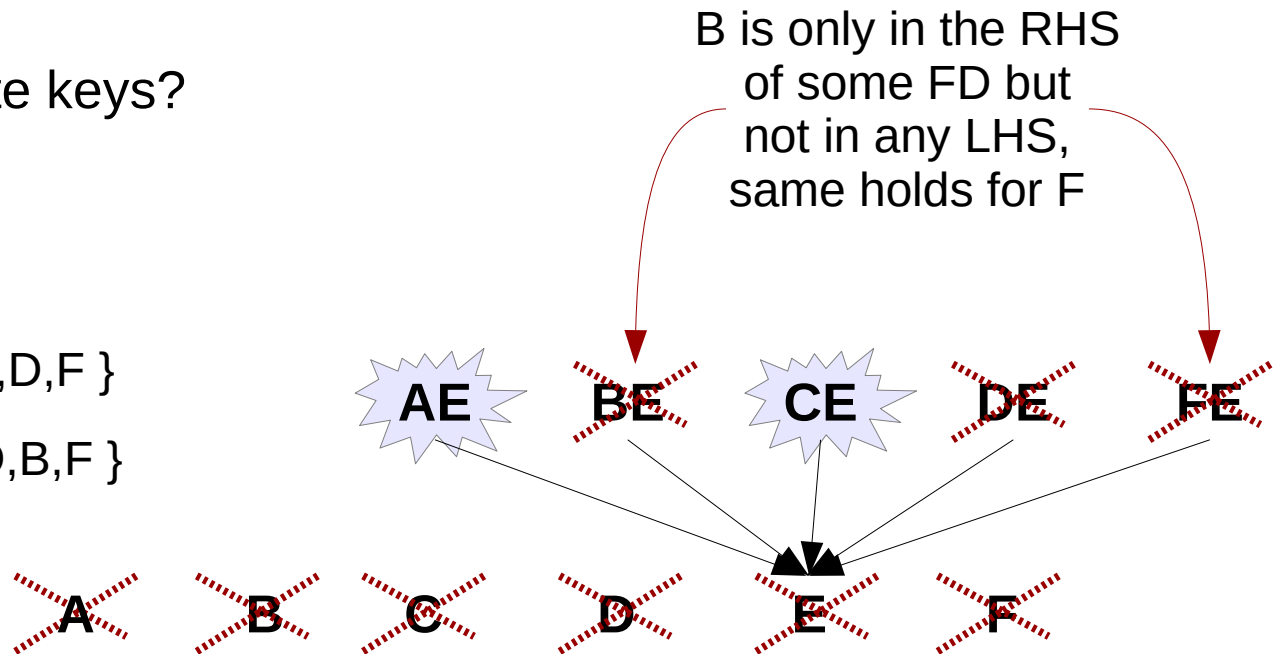
FD1:  $A \rightarrow BC$

FD2:  $C \rightarrow AD$

FD3:  $DE \rightarrow F$

- What are the candidate keys?

- $\{A,E\}$  and  $\{C,E\}$
- $\{E\}^+ = \{E\}$
- $\{A,E\}^+ = \{A,E,B,C,D,F\}$
- $\{C,E\}^+ = \{C,E,A,D,B,F\}$
- $\{D,E\}^+ = \{D,E,F\}$



# Boyce-Codd Normal Form (BCNF)

- Relation schema  $R$  with a set  $F$  of functional dependencies is in BCNF if for **every** non-trivial **FD**  $X \rightarrow Y$  in  $F^+$  we have that  **$X$  is a superkey**

- Consider the relation  $R(A,B,C,D,E,F)$  with the following FDs:

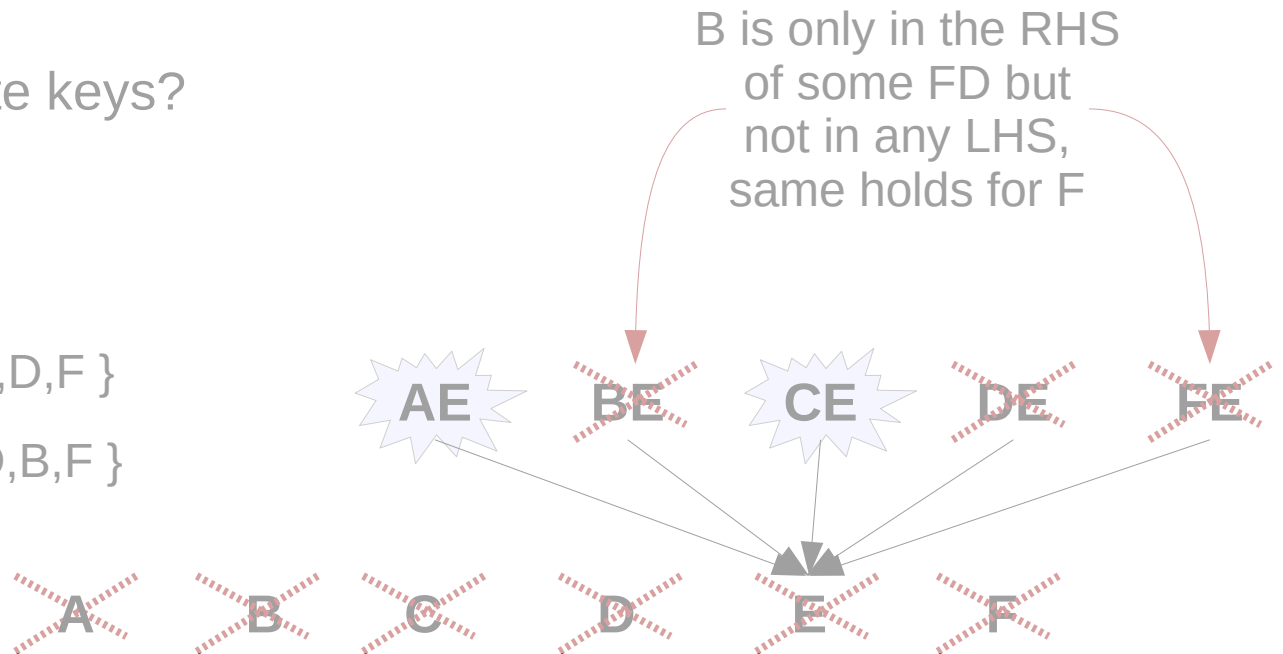
FD1:  $A \rightarrow BC$

FD2:  $C \rightarrow AD$

FD3:  $DE \rightarrow F$

- What are the candidate keys?

- $\{A,E\}$  and  $\{C,E\}$
- $\{E\}^+ = \{E\}$
- $\{A,E\}^+ = \{A,E,B,C,D,F\}$
- $\{C,E\}^+ = \{C,E,A,D,B,F\}$
- $\{D,E\}^+ = \{D,E,F\}$



# BCNF Example

- Relation schema  $R$  with a set  $F$  of functional dependencies is in BCNF if for **every** non-trivial **FD**  $X \rightarrow Y$  in  $F^+$  we have that  **$X$  is a superkey**
- Consider the relation  **$R(A,B,C,D,E,F)$**  with the following FDs:
  - FD1:  **$A \rightarrow BC$**
  - FD2:  **$C \rightarrow AD$**
  - FD3:  **$DE \rightarrow F$**
- What are the candidate keys?
  - $\{A,E\}$  and  $\{C,E\}$
- Is the given relation in BCNF?
  - If not, identify the FDs that violate the BCNF condition.

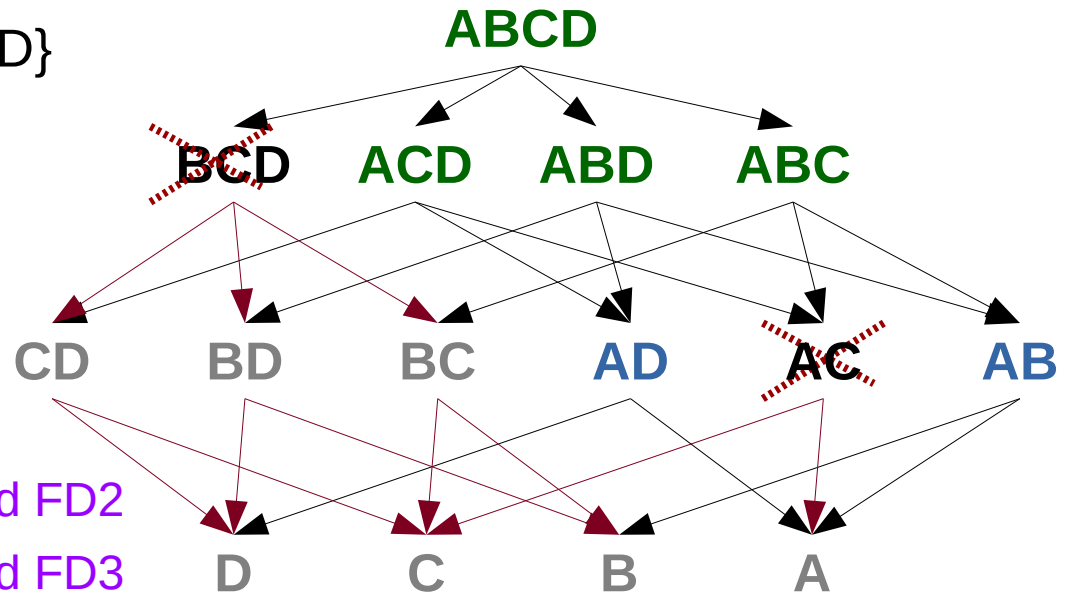
# Your Turn

- Relation schema  $R$  with a set  $F$  of functional dependencies is in BCNF if for **every** non-trivial **FD**  $X \rightarrow Y$  in  $F^+$  we have that  $X$  is a **superkey**
- Consider the relation  $R(A,B,C,D)$  with the following set  $F$  of FDs:
  - FD1: **AB**  $\rightarrow$  **C**
  - FD2: **BC**  $\rightarrow$  **D**
  - FD3: **D**  $\rightarrow$  **B**

- Candidate keys:  $\{A,B\}$  and  $\{A,D\}$

- Is the given relation in BCNF?  
If not, identify the FDs that violate the BCNF condition.

- 1) Yes, BCNF
- 2) Not BCNF, because of FD1
- 3) Not BCNF, because of FD1 and FD2
- 4) Not BCNF, because of FD2 and FD3



# BCNF Decomposition Step

- By using an FD  $X \rightarrow Y$  that violates BCNF, decompose  $R$  into
  - $R1$  with all the attributes in  $X$  and in  $Y$ , and
  - $R2$  with all attributes from  $R$  except those that are in  $Y$  and not in  $X$
- Consider the relation **R(A,B,C,D)** with the following set  $F$  of FDs:
  - FD1: **AB**  $\rightarrow$  **C**
  - FD2: **BC**  $\rightarrow$  **D**
  - FD3: **D**  $\rightarrow$  **B**
- Let's decompose based on FD2

# BCNF Decomposition Step

- By using an FD  $X \rightarrow Y$  that violates BCNF, decompose  $R$  into
  - $R1$  with all the attributes in  $X$  and in  $Y$ , and
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- Let's decompose based on FD2 – and don't forget to determine the FDs of the resulting relation schemas
  - $R1(B,C,D)$  with FDs: FD2 and FD3
  - $R2(A,B,C)$  with FDs: FD1

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- Let's decompose based on FD2 – and don't forget to determine the FDs of the resulting relation schemas, and the CKs
  - $R1(B,C,D)$  with FDs: FD2 and FD3, CKs:  $\{B,C\}$ ,  $\{C,D\}$
  - $R2(A,B,C)$  with FDs: FD1, CK:  $\{A,B\}$

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  - $R1(B,C,D)$  with FDs: FD2 and FD3, CKs:  $\{B,C\}$ ,  $\{C,D\}$
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  - Are they in BCNF?

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  - $R1(B,C,D)$  with FDs: FD2 and FD3, CKs:  $\{B,C\}$ ,  $\{C,D\}$
  - $R2(A,B,C)$  with FDs: FD1, CK:  $\{A,B\}$
  - Are they in BCNF? No,  $R1$  is not because of FD3 (but  $R2$  is)

# BCNF Decomposition Step

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- Let's decompose based on FD2 – and don't forget to determine the FDs of the resulting relation schemas, and the CKs
  - $R1(B,C,D)$  with FDs: FD2 and FD3, CKs:  $\{B,C\}$ ,  $\{C,D\}$
  - $R2(A,B,C)$  with FDs: FD1, CK:  $\{A,B\}$
  - Your turn: decompose  $R1$  based on FD3 (and don't forget ...)

# BCNF Decomposition Step

- By using an FD  $X \rightarrow Y$  that violates BCNF, decompose  $R$  into
  - $R1$  with all the attributes in  $X$  and in  $Y$ , and
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  - FD3:  $D \rightarrow B$
- Let's decompose based on FD2 – and don't forget to determine the FDs of the resulting relation schemas, and the CKs
  - ~~$R1(B,C,D)$  with FDs: FD2 and FD3, CKs:  $\{B,C\}, \{C,D\}$~~
  - $R2(A,B,C)$  with FDs: FD1, CK:  $\{A,B\}$
  - $R3(D,B)$  with FD3, CK  $\{D\}$  –  $R4(C,D)$  only trivial FDs, CK:  $\{C,D\}$

# Your Turn

- By using an FD  $X \rightarrow Y$  that violates BCNF, decompose  $R$  into
  - $R1$  with all the attributes in  $X$  and in  $Y$ , and
  - $R2$  with all attributes from  $R$  except those that are in  $Y$  and not in  $X$
- Consider the relation  **$R(A,B,C,D)$**  with the following set  $F$  of FDs:
  - FD1:  **$AB \rightarrow C$**
  - FD2:  **$BC \rightarrow D$**
  - FD3:  **$D \rightarrow B$**
- Let's decompose based on **FD3** – and don't forget to determine the FDs of the resulting relation schemas, and the CKs



# Your Turn

- By using an FD  $X \rightarrow Y$  that violates BCNF, decompose  $R$  into
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- Consider the relation  $R(A,B,C,D)$  with the following set  $F$  of FDs:
  - FD1:  $AB \rightarrow C$
  - FD2:  $BC \rightarrow D$
  - FD3:  $D \rightarrow B$
- Let's decompose based on **FD3** – and don't forget to determine the FDs of the resulting relation schemas, and the CKs
  - $R1(B,D)$  with FD3, CK:  $\{D\}$
  - $R2(A,C,D)$  with **FD4:  $AD \rightarrow C$** , CK:  $\{A,D\}$

$R1$  and  $R2$  are in BCNF

can be derived from FD3 and FD1 by using the augmentation rule and the transitivity rule

# Different Example

- By using an FD  $X \rightarrow Y$  that violates BCNF, decompose  $R$  into
  - $R1$  with all the attributes in  $X$  and in  $Y$ , and
  - $R2$  with all attributes from  $R$  except those that are in  $Y$  and not in  $X$
- Consider the relation schema  $R(A,B,C,D,E,F)$  with the following FDs:  
FD1: **AB**  $\rightarrow$  **CDEF**  
FD2: **E**  $\rightarrow$  **F**
- Your turn:
  - Determine candidate key(s)
  - Is  $R$  in BCNF?
  - If not, normalize into a set of BCNF relation schemas (and don't forget to determine FDs and CKs along the way)

# Different Example

- By using an FD  $X \rightarrow Y$  that violates BCNF, decompose  $R$  into
  - $R1$  with all the attributes in  $X$  and in  $Y$ , and
  - $R2$  with all attributes from  $R$  except those that are in  $Y$  and not in  $X$
- Consider the relation schema  $R(A,B,C,D,E,F)$  with the following FDs:  
FD1:  $AB \rightarrow CDEF$   
FD2:  $E \rightarrow F$
- Your turn:
  - Determine candidate key(s)  $\{A,B\}$
  - Is  $R$  in BCNF? *No, FD2 violates the BCNF condition.*
  - If not, normalize into a set of BCNF relation schemas  
*We decompose  $R$  based on FD2:*
    - $R1(E,F)$  with FD2; candidate key is  $\{E\}$
    - $R2(A,B,C,D,E)$  with a new FD:  $AB \rightarrow CDE$ ; candidate key is  $\{A,B\}$ *$R1$  and  $R2$  are in BCNF*

can be derived from FD1 by using the decomposition rule

# One More

- By using an FD  $X \rightarrow Y$  that violates BCNF, decompose  $R$  into
  - $R1$  with all the attributes in  $X$  and in  $Y$ , and
  - $R2$  with all attributes from  $R$  except those that are in  $Y$  and not in  $X$
- Consider the relation schema  $R(A,B,C,D,E,F)$  with the following FDs:
  - FD1:  $AB \rightarrow CDEF$
  - FD2:  $E \rightarrow F$
  - FD3:  $A \rightarrow D$
- Your turn:
  - Determine candidate key(s)
  - Is  $R$  in BCNF?
  - If not, normalize into a set of BCNF relation schemas (and don't forget to determine FDs and CKs along the way)

# One More

- By using an FD  $X \rightarrow Y$  that violates BCNF, decompose  $R$  into
  - $R1$  with all the attributes in  $X$  and in  $Y$ , and
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- Consider the relation schema  $R(A,B,C,D,E,F)$  with the following FDs:  
FD1:  $AB \rightarrow CDEF$   
FD2:  $E \rightarrow F$   
FD3:  $A \rightarrow D$
- Solution: *CK is  $\{A,B\}$ ;  $R$  is not in BCNF because of FD2 and FD3.*  
*We decompose  $R$  based on FD2:*
  - $R1(E,F)$  with FD2; candidate key is  $\{E\}$
  - $R2(A,B,C,D,E)$  with FD3 and a new FD:  $AB \rightarrow CDE$ ; candidate key is  $\{A,B\}$ *$R1$  is in BCNF, but  $R2$  is not because of FD3. So, we have to decompose  $R2$  using FD3:*
  - $R3(A,D)$  with FD3; candidate key is  $\{A\}$
  - $R4(A,B,C,E)$  with new FD:  $AB \rightarrow CE$ ; candidate key is  $\{AB\}$ *$R3$  and  $R4$  are in BCNF. Hence, the result of normalizing  $R$  consists of  $R1$ ,  $R3$ , and  $R4$ .*

# Back to the Earlier Running Example

- By using an FD  $X \rightarrow Y$  that violates BCNF, decompose  $R$  into
  - $R1$  with all the attributes in  $X$  and in  $Y$ , and
  - $R2$  with all attributes from  $R$  except those that are in  $Y$  and not in  $X$
- Consider the relation schema  $R(A,B,C,D,E,F)$  with the following FDs:  
FD1:  $A \rightarrow BC$       FD2:  $C \rightarrow AD$       FD3:  $DE \rightarrow F$
- Recall: CKs are  $\{A,E\}$ ,  $\{C,E\}$ ; all three FDs violate the BCNF condition
- Your turn: Normalize into a set of BCNF relation schemas  
(and don't forget to determine FDs and CKs along the way)

# Back to the Earlier Running Example

- By using an FD  $X \rightarrow Y$  that violates BCNF, decompose  $R$  into
  - $R1$  with all the attributes in  $X$  and in  $Y$ , and
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- Recall: CKs are  $\{A,E\}$ ,  $\{C,E\}$ ; all three FDs violate the BCNF condition
- A possible solution: *We decompose  $R$  based on FD1:*
  - $R1(A,B,C)$  with FD1 and a new FD:  $C \rightarrow A$ ; candidate keys are  $\{A\}$  and  $\{C\}$
  - $R2(A,D,E,F)$  with FD3 and a new FD:  $A \rightarrow D$ ; candidate key is  $\{A,E\}$

*$R1$  is in BCNF, but  $R2$  is not because of FD3 and  $A \rightarrow D$ . Let's decompose  $R2$  based on FD3:*

  - $R3(D,E,F)$  with FD3; candidate key is  $\{D,E\}$
  - $R4(A,D,E)$  with  $A \rightarrow D$ ; candidate key is  $\{A,E\}$

*$R3$  is in BCNF, but  $R4$  is not because of  $A \rightarrow D$ . Let's decompose  $R4$  based on  $A \rightarrow D$*

  - $R5(A,D)$  with  $A \rightarrow D$ ; candidate key is  $\{A\}$
  - $R6(A,E)$  with only trivial FDs; candidate key is  $\{A,E\}$

*$R5$  and  $R6$  are in BCNF. Hence, the result of the normalization consists of  $R1, R3, R5, R6$ .*

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