

**TDDC47**  
**Real-time and Concurrent  
Programming**  
**Lecture 3: Mutual exclusion (cont'd)  
& monitors**  
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### This lecture

- We will continue with presentation on Semaphores
- We move on to the next level of abstraction: Monitors
- We will return to the analysis of the methods based on busy waiting: Peterson's algorithm



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### Solving ME with semaphore

```
var mutex: semaphore;  
(* initially 1 *)  
process Pi;  
  loop  
    wait(mutex);  
    critical_section;  
    signal(mutex);  
    non_critical_section;  
  end  
end Pi;
```



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### Recall: Properties

- Semaphore variable is always initialised as non-negative
- Wait and Signal are implemented as atomic operations
- Which process to wake up among all suspended ones is not specified



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### Spin locks

- When busy waiting is used to implement semaphore operations
- This was the original definition of wait & signal introduced by Dijkstra :  

```
wait(s): while s ≤ 0 do nothing;  
        s = s-1  
signal(s): s = s+1
```



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### Properties

- Wait and Signal are implemented as atomic operations
- Semaphore is always initialised as non-negative
- Which process to wake up among all suspended ones is not specified



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## How to implement?

process P1;	process P2;	process P3;
...	...	...
<code>wait(s)</code>	<code>wait(s)</code>	<code>wait(s)</code>
...	...	...
<code>signal(s)</code>	<code>signal(s)</code>	<code>signal(s)</code>
...	...	...
<code>end;</code>	<code>end;</code>	<code>end;</code>

Queue of suspended processes:



## Semaphores vs. Busy waiting

- For long critical sections, semaphores more efficient in using CPU
- Better code organisation, less errors?
- What about reasoning about correctness, issues with deadlock and starvation?
- We will come back to these...

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## What is a monitor?

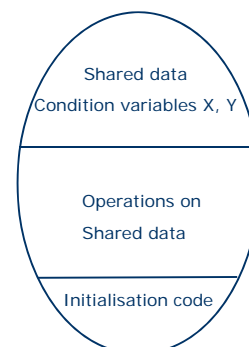
- A programming abstraction consisting of:
  - Data structure on which programmer can define operations – to be run one at a time
  - Condition variables for synchronisation
- Encapsulates shared data that several processes can operate upon
- In addition: automatic mutual exclusion
- Pre object-orientation!

[Hoare 74]

## Condition variables

- Declared as special synchronisation variables: **Condition X;**
  - With two designated operations:
- Wait(X):** suspend the calling process
- Signal(X):** if there are suspended processes on this variable, wake one up

## Overview



## Properties

- **wait** and **signal** can be called within any of the operations

Note:

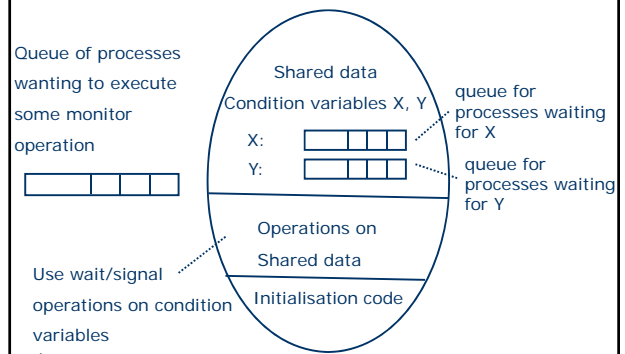
- The condition variable has no values assigned to it
- The queue associated with it is the main synchronisation mechanism
- Different semantics from semaphore operations for **wait** and **signal**



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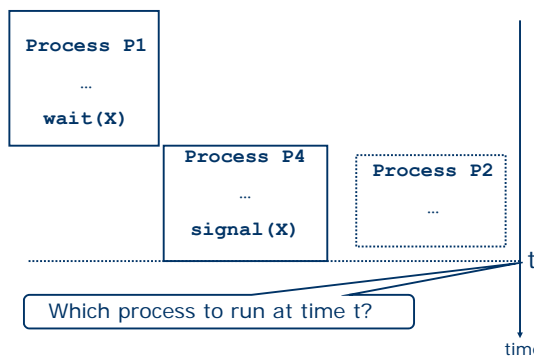
## Process queues



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## How does it work?



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## Options

- Original Hoare monitor: let the woken up process (P1) continue

What if there are several processes waiting on X?

- Pragmatic solution (Java): let the signalling process continue, and wake up P1 once P4 is suspended/exits

P1 has to check for condition X when woken up!



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## Example: Bounded buffer

```
(* in some language that supports monitors *)

monitor BoundedBuffer;
Buf: array [0..SizeOfBuffer] of integer;
Base, Top: integer;
Count: integer;
NotFull, NotEmpty: condition;
operation Append(E: integer);
...
end Append;
operation Take(var E: integer);
...
end Take;
begin
<initialize> (* set Base,Top,Count to 0 *)
end BoundedBuffer;
```



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## Operation Append

```
operation Append (E: integer);
begin
if Count == SizeOfBuffer + 1 then
wait(NotFull);
Buff[Top] = E;
Top = (Top + 1) mod SizeOfBuffer;
Count = Count + 1;
signal(NotEmpty)
end Append;
```



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## Operation Take

```
operation Take (var E: integer);
begin
  if Count == 0 then
    wait(NotEmpty);
    E = Buff[Base];
    Base = (Base + 1) mod SizeOfBuffer;
    Count = Count - 1;
    signal(NotFull);
  end Take;
```



## Producer-Consumer problem

```
process Producer;      process Consumer;
var Current:           var Current:
  integer;              integer;
begin                  begin
  loop                  loop
    Produce(Current);   Take(Current);
    Append(Current)     Consume(Current);
  end                    end
end Producer;          end Consumer;
```



## Summary

- Monitors have the same power as semaphores but are at a higher level of abstraction
  - Exercise: Try implementing producer-consumer solution with semaphores!
- Monitor has different mechanisms for handling synchronisation and for data communication
- Mutually exclusive access to data automatic, but matching waits and signals still a problem!



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## Peterson's algorithm

```
process P1
  loop
    flag1 = up
    turn = 2
    while flag2 == up and turn == 2 do
      nothing
    end
    critical-section
    flag1 = down
    non-critical-section
  end
```



## Recall: last lecture

- How does one argue about correctness of Peterson's algorithm?
- Will show that
  - Processes respect mutual exclusion
  - A process will not be waiting to enter its critical section indefinitely



Questions?



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