# Cross-Organizational Collaboration Supported by Augmented Reality

Susanna Nilsson, Björn J.E. Johansson, and Arne Jönsson

**Abstract**—This paper presents a study where Augmented Reality (AR) technology has been used as a tool for supporting collaboration between the rescue services, the police and military personnel in a crisis management scenario. There are few studies on how AR systems should be designed to improve cooperation between actors from different organizations while at the same time supporting individual needs. In the present study, an AR system was utilized for supporting joint planning tasks by providing organization specific views of a shared map. The study involved a simulated emergency event conducted in close to real settings with representatives from the organizations for which the system is developed. As a baseline, a series of trials without the AR system was carried out. Results show that the users were positive toward the AR system and would like to use it in real work. They also experience some performance benefits of using the AR system compared to their traditional tools. Finally, the problem of designing for collaborative work as well as the benefits of using an iterative design processes is discussed.

Index Terms—Collaborative augmented reality, augmented reality, user evaluation.

# **1** INTRODUCTION

In complex collaborative situations, such as crisis management, actors from different domains and organizations must work together [1]. However, collaborative work across organizational borders is not simple and confusion emerging from differences in terminology, symbols, or organizational structure is not rare. The information presented to the actors has to be simple enough to support cooperation between actors from different organizations but at the same time be rich enough for an actor from a specific organization to facilitate her decision making.

The hypothesis in this paper is that Augmented Reality (AR) is especially suitable to support collaboration between actors from different organizations. AR allows for independence and individuality [2] meaning that each actor can independently have data tailored to her needs in various situations. AR also supports cooperation [2] as the actors can see each other and cooperate in a natural way.

This paper presents an evaluation of a multiuser AR application where AR is used to aid cross-cultural collaboration. The system is intended to support collaborative work between representatives from police, rescue service, and military personnel, working jointly with the goal of co-ordinating work in a crisis situation.

The purpose of this paper is threefold, it discusses the use of AR for collaborative command and control in crisis

• B.J.E. Johansson is with the Swedish Defense Research Institute, 58330 Linköping, Sweden. E-mail: Bjorn.j.e.johansson@foi.se.

and O. Bimber.

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management operations (see Section 2), it presents a design methodology for development of an AR system for this purpose (see Sections 3 and 4), and it presents the results of a comprehensive user study conducted after two design iterations (see Section 5). The paper ends with a discussion of the possible implications for design of AR systems for collaboration.

## 2 RELATED WORK

Collaborative work has been studied extensively in many different research domains from sociological and psychological perspectives as well as organizational perspectives. The use of technology as a tool to support collaboration has been studied, for example, Computer Supported Collaborative Work (CSCW, see, for example, [3]), Distributed Cognition (see, for example, [4] or [5]), as well as Activity Theory (see, for example, [6] or [7]). Technological tools, which aid collaboration have also been developed within the broad range of research on CSCW, such as decisionsupport systems combined with teleconferencing systems. Virtual environments have been used as tools for training and simulating collaborative work (for instance the CAVE system and the Virtual Workbench [8]), but few, if any, systems have actually been aimed for use in crisis management situations.

#### 2.1 Collaborative Command and Control

Many crisis situations demand collaboration between different organizations. Will a police commander interpret a situation in the same way as a military commander or a fire fighter in a crisis situation?

In system designs for collaborative work, it is often assumed that merely providing a shared representation would be enough to facilitate a shared understanding of a situation when a team of decision makers work together. However, linguists and psychologists have observed that in

S. Nilsson is with the Department of Computer and Information Science, Linköping University, 58381 Linköping, Sweden. E-mail: susni@ida.liu.se.

<sup>•</sup> A. Jönsson is with the Santa Anna IT Research Institute, AB 58183 Linköping, Sweden. E-mail: arnjo@ida.liu.se.

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reality, meaning is often negotiated or constructed jointly [9]. Although, providing the same view of a situation to two or more people is a good starting point for a shared understanding, things like professional and cultural background, as well as expectations formed by beliefs about the current situation, clearly shape the individual interpretation of a situation. Clark [9] denotes the knowledge two or more individuals have when entering a joint activity "common ground." Common ground is the least shared understanding of the activity that the participants need to have in order to engage in a joint action with a higher goal than creating common ground. The essential components of common ground are the following three [9, p. 43]: 1) Initial Common Ground. The background knowledge, the assumptions, and beliefs that the participants presupposed when they entered the joint activity. 2) Current State of the Joint Activity. This is what the participants presuppose to be the state of the activity at the moment, and 3) Public Events so Far. These are the events that the participants presuppose have occurred in public leading up to the current state.

The maintaining of common ground is thus an ongoing process, which demands both attention and coordination between the participants.

Exercising command and control is an attempt to establish common intent to achieve co-ordinated action [10]. Successful communication is obviously necessary to achieve this.

In addition to this, there are situation specific problems that emerge in collaborative command and control tasks. Such tasks often circle around a shared representation of the current activities, as in the case of a situational map. Most organizations involved in command and control tasks like the military or rescue services have developed a library of symbols that can be utilized to represent units and events. A problem arises when representatives from different organizations work together, since they are used to working with their own specific-specific symbols and conventions. This means that time has to be spent on explaining and negotiating meaning when jointly creating and manipulating a shared representation. This can be a tedious task to undertake when there is little time, as for example in the case of forest fire fighting in, or close to, urban areas. Thus, providing means to facilitate establishing a common ground is important for efficient collaboration. Furthermore, for each organization there is information that is only interesting for the representatives from that organization. From this perspective, commanders from different organizations need personalized views of the same situational map. AR has the potential to provide both of these aspects and in doing so it may improve initial common ground.

Another aspect is to consider the awareness of *team cognition* [11]. Gutwin and Greenberg [11] argue that teamwork, and thus, collaborative work depends heavily on real-world interaction. In their paper, they argue that it is the situated nature of teamwork that enables people to successfully solve collaborative tasks, and that technological systems, therefore, also must provide workspace awareness. They define workspace awareness as "the up-to-the-moment understanding of another person's interaction with the shared workspace" [11, p. 5]. They divide the

possible knowledge of a shared workspace into three dimensions: 1) conversation, gesture, and intentional communication, 2) bodies and consequential communication, and (3) artifacts and feedthrough.

The first dimension is intentional on behalf of the sender, the second depends on the observer's ability to interpret the subtle signals sent out by the observed, the third ones are largely a consequence of the design of the artifacts in use. Gutwin and Greenberg [11] present a number of different techniques that can be used to provide feedthrough and transparency in distributed collaborative systems. Feedthrough is defined by Dix as "the mechanism of determining a person's interactions through the sights and sounds of artifacts" [11, p. 9], i.e., it is imperative that the participants can observe their own as well as the other participants gestures while using the technical artifact, and also manipulate the same objects. Gutwin and Greenberg [11] do not address AR systems, but an AR system like the one presented in this study may provide an excellent example of feedthrough.

#### 2.2 Collaborative AR

AR research has illustrated many areas of use for single user applications, such as applications that provide the user with instructions, for assembling complex technical tools, or different game applications (for an overview see Azuma [12] or Haller et al. [13]). The AR system described in this paper was largely developed through experiences from user studies of a single user system in context [14], [15]. The results of these studies showed that AR has great potential as a way to give instructions on how to perform more or less complicated tasks in the health care domain. Other researchers have illustrated the use of AR in process industry and object assembly [16], training and education [17], mobile phones [18], mobile applications [19], etc.

The development of AR applications and solutions for several users is also an extensive field of research. Some of the earliest attempts of developing collaborative, multiuser AR applications were presented in the Studierstube projects [20]. Fuhrman et al. [8] presented an AR system for collaborative scientific visualization with 3D interaction and customized views for several users. Billinghurst and Kato [2] presented a vision of shared space using AR technology, Henrysson et al. [21] developed a collaborative mobile phone application and since then several research groups have published papers that illustrate different ideas of merging AR with collaborative computing approaches.

Morrison et al. [22] is one of few examples where a collaborative AR tool has been thoroughly evaluated. A main finding from their work is that augmenting a common paper map seems to increase common ground in a joint task. However, few of these attempts have studied collaborative AR in joint real-time operations for instance in emergency command and control work. Even less research exists regarding the use of AR as a support for improving the preconditions for communication between personnel from different organizations.

Improving shared understanding between commanders has the potential to speed up coordination work, something that may prove to be an important enabler of success in many real-world situations.

#### 2.3 Design and Evaluation Issues of AR Systems

Even though AR systems are designed differently with different applications and tasks in focus, the usability methods used to evaluate them are similar and mainly based on usability methods used for more traditional graphicaluser interfaces, sometimes in combination with usability for VR applications [17], [23], [24]. Designing systems based on various heuristics, developed for computer-based applications may be common practice in the AR field, but there are few examples of studies on how users actually perceive the system in actual use situations [14], [15]. In contrast to traditional methods, which analyze the user and system as separate parts, the cognitive systems engineering approach [25], [26] emphasizes a systemic view in which the system, including the user, is studied as a whole rather than as one technical device that the user interacts with.

In this way, the analysis focuses on function rather than structure, which is more useful for analyses of novel systems such as the AR system presented in this paper [14], [15].

Usability methods, such as cognitive task design [27] where the design approach is based on observations of how a user completes a task in which the system or artifact is involved, also have to deal with the so called "envisioned world problem" [28], [29]. The *envisioned world problem* states that even if a good understanding of a task exists, the new design or tool will change the task, rendering the first analysis invalid. Acknowledging the envisioned world problem, we have adapted an iterative design approach where realistic exercises are combined with focus groups in an effort to catch both user behavior and opinions.

As early as 1967, Drabek and Haas [30] argued for the importance of using what they referred to as "real groups" in experiments. "The first requisite for a realistic simulation is that a real group be utilized. Second, the type of task, activity, or demand placed on groups must be appraised. Third, the ecological setting in which a unit is located may significantly affect resulting interaction patterns" [30, pp. 342-343]. Similar arguments have been put forward by Samuracy and Rogalski [31] in their study of fire-fighting simulations (as in the case of the scenario used in this study) where Samuracy and Rogalski found important differences when comparing expert participants (real-fire fighters) behavior with laymen in their study. Johansson et al. [32] has argued for the concept of evaluating novel technologies by combining a representative task, such as a microworld (like the C<sup>3</sup>Fire simulation used in this study as described below) with "professional users," i.e., users with domain expertise and experience. Such evaluations are not as powerful as tests performed in a realwork setting, but many times, it is the only option, especially when studying crisis management systems.

## 3 THE AR SYSTEM USED IN THE STUDY

The AR system used for our study consists of three identical high-fidelity AR prototypes,<sup>1</sup> one for each experiment participant. Each of the three AR system's headsets consisted of a Z800 3DVisor from eMagin (http://www.3dvisor.com/) integrated with a firewire camera.

1. We will sometimes use "AR system" to refer to the set of three AR prototype systems.

The system runs on a Dell XPS M1330, with a 2.10 GHz processor, 3 GB RAM and with a 128 MB NVIDIA GeForce 8400M GS graphics card. The AR tool-kit marker tracking technology was used for tracking and registration [33]. Each AR system was independent in relation to the others, i.e., the systems were not dependent on each other in order to function properly.

The AR system provides the capability to work in a shared space, in this case a map, which is the basis for the task. In order for the users to share the same view the AR systems must be interlinked and responsive to what each system user does. In the three-system setup, the individual AR systems communicate through an internal Ethernet network. Each system listens for changes in the internal representation in the other AR systems and updates its own internal representation to reflect the changes made to the representation in the other two AR systems.

# 3.1 Scenario

The starting point for any cross-organizational operation involving the police, the fire and rescue services, and the military helicopter platoons is a relatively serious crisis situation. For instance a wide spread forest fire, which is not under control and forces the fire department to request back up from the police and the military in order to limit the damages of the fire. The police assist with evacuations, traffic control, and finding missing people, etc., while the military assist the fire department both on the ground and in the air with water bombing. Usually, a forest fire that requires this involvement has been going on for a couple of days, and the weather conditions are not favorable for the fire fighters. This means a scenario where the events have forced the on-scene commander from the fire department to request backup from military at which stage the field commanders from the three organizations will meet to evaluate and assess the current situation, the events that has lead up to the situation and finally to agree on a course of future action. It is in this stage that there is a need for a common situational picture and overview of all available resources and tools for planning the operation. This is the stage for the study presented below.

## 3.2 The First Iteration of the AR System

The AR system was iteratively designed in three steps of which the third evaluation is the user study presented in Section 4. In the predesign phase field, experts took part of a brainstorming session to establish the parameters of the AR system. One representative from the police, one from the fire department, and one from the helicopter platoon had an open brainstorming session for around three hours together with two persons from the AR-system development company and two of the authors of this paper. The professionals were given a one-hour introduction and demonstration on AR. They had no specific task. They were asked to discuss the use of AR as a technique for collaboration. This brainstorming session was used to define the components of the software interface, such as what type of symbols to use and what type of information is important and relevant in the task for creating common ground between the three participating organizations.

After the brainstorming session , first AR system was implemented. It was designed to support cooperation as

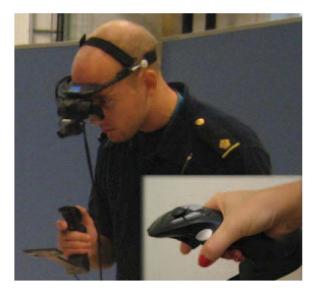


Fig. 1. The redesigned interaction device, which allows the user to choose a virtual object and place it on the digital map.

advocated by Billinghurst and Kato [2] and Gutwin and Greenberg [11], and thus, emphasized the need for actors to see each other. Therefore, it was equipped with hand-held displays that are easier to remove from the eyes than head mounted displays. We used a digital map where participants had personal, individual views, allowing them to see an organization specific map and the symbols they normally use. In this way, each actor has her own information mapping to the AR markers on the map to facilitate independence and individuality. A feature allowed each participant to send their view of the situation (i.e., their individual map) to the other participants when necessary. Hand pointing on the map was not possible as the hand was occluded by the digital image of the map in the display.

The design was evaluated in a study conducted with the purpose of evaluating the system design as a tool for collaboration between organizations. To promote realistic results, the participants were one representative from each of the three organizations in focus; the fire department, the police, and the military helicopter platoon. The setting was at a military helicopter base and the session lasted four hours.

The evaluation was based on a scenario in which participants, one from each of the three organizations, had to interact and work together to complete tasks in a dynamic scenario. The exercise was observed and the participants also answered questionnaires pertaining to the AR system design, and finally a focused group discussion was held.

The evaluation revealed a number of issues regarding the design of the system as well as the scenario being used. In general, the participants were positive to the AR system. What they appreciated most was the easy overview of what was going on. Being able to see all resources placed on the map facilitates the joint task.

Several suggestions were given for redesign including a map with more details, more events in the scenario played and changing the physical interaction devices. Especially, the design of the AR displays as a handheld device did not receive a positive response and the observations clearly



Fig. 2. The users display showing the digital map with symbols and pointing used in the collaborative AR application.

illustrated this problem, as using handheld displays interfered with their work with the interaction device.

The participants also commented on more positive aspects of the system, such as the possibility of spatially distributed collaboration. Other findings in the first evaluation questionnaires were that despite the relatively clumsy design of the prototype, all participants thought it was easy to use and that it was quick to learn. Despite flaws in the system, all participants could also see themselves using the AR system in their professional life as well as in other situations.

## 3.3 The Second Iteration of the AR System

As a result of the design evaluation, the system was redesigned. The handheld display was replaced with a head mounted display allowing freedom of movement. The interaction device was also considerably redesigned and in the new AR system the user can easily manipulate objects using only one hand as opposed to using both in the previous prototype (see Fig. 1).

Another improvement made was a simplified interaction in which the user can use their hand to point at things in the digital map. In the previous design, this pointing manoeuvre could not be seen as the digital map was superimposed over the pointing hand giving the impression that the user was pointing "under" the map rather than on the map. The first prototype, therefore, had a pointing function in the interaction device. The new improved technical design has eliminated the need for this pointing device as the system now allows the users hand to be superimposed over the digital map image using blue-screen technique (see Fig. 2). This allows the users to use deictic gestures like pointing, since their hands are visible above the digital representation. The system thus presents several properties of a normal paper map with the added functionality of adding, moving, and removing digital objects that carry information and can be manipulated by any user working with the system.

The redesigned AR system was evaluated in a focus group discussion with the same three participants as in the

first evaluation. The participants were first asked to reflect on their experience in the previous study. Then, the redesigned system was presented and the participants were observed using it to complete simple tasks from the scenario in the prestudy. After this, the focus group discussion continued with reflections on the new design. The session lasted two hours.

The results from the discussions were positive. The problems that they reported on previously had been addressed. The head mounted display was a big improvement and allowed them to move around and interact more freely. The new joystick interaction device was also appreciated and the participants found it very easy to use. The added possibility to see hand gestures such as pointing, on the digital map has simplified the interaction considerably and also resulted in a more natural interaction and better communication between the participants. In the redesigned application, the participants had exactly the same view allowing them to alter their personal image but still seeing the same map, and not as previously the organization specific map. A positive aspect of the AR system noted by one of the participants during the group discussion was

"A common picture, everything is better than me telling someone what it looks like...you need to see the picture and not to hear my words." (participant from the second evaluation)

## 3.4 Functionality of the AR System

The functionality of the AR system was also refined during the iterations. In the third iteration, the users have access to a personal, organization-specific symbol library, which they can use to create a situational picture. Examples of symbols are police vehicles, fire trucks, helicopters, and personnel. Other types of symbols execute functions, for instance the (i) symbol which allows the user to see additional information about the already placed symbols, such as information about how many hours personnel has been on duty, or how much water is left in the tank of a tank truck. Other functions include zooming in or out and saving or retrieving an image (i.e., a screen shot of the current layout). The symbols are simplified to some degree in order to be understandable by users from other organizations. There is organization-specific information connected to the symbols that can be displayed on demand. It is also possible to personalize the system by filtering out symbols belonging to one or more organization, showing for instance only symbols from the own organization on the map.

If necessary, the users can manipulate each others' symbols, e.g., a fire fighter can place, delete, and move a police vehicle. There are also a set of symbols that are common to all users of the AR system, such as fires and smoke (this is particularly important in this case as the participants in the study are confronted with a forest-fire fighting task). The users thus have access to a digital "playground" where they can add symbols, move them or remove them freely. The symbols were placed in relation to a marker attached on a joystick, meaning that there was no fixed menu in the user's field of view or related to the map. Instead, the menu of symbols was related to the joystick interaction device. In order to place a symbol, the user first

moves the joystick-attached marker to the chosen position on the map, and then, selects and places the symbol in the menu by using the buttons on the joystick. The same procedure is used to remove a symbol, see additional information about a symbol or zoom in the map.

# 4 THE FINAL USER STUDY

The aim of the study was not to measure performance in terms of metrics such as task completion time [34], as these types of measures require a repeatable setting and identical trials for all participants in order to give meaningful comparable results. In a natural setting, unforeseen consequences are inevitable and also desirable, which means that no trials will be identical. The measures of interest in this study are instead the users experience of the AR system and how well the system achieves the intended goals.

As noted, the cognitive systems engineering approach to studying human computer interaction advocates a natural setting and a realistic task. Unfortunately, current AR systems are not developed enough for use in critical real life situations, especially not if used in situations where enormous values are at stake, such as large forest fires. Consequently, we use simulations in this study (see [29]).

# 4.1 Participants

The AR application was evaluated in a study where ten groups with three participants in each group used the system in a simulated scenario of a forest fire. The theoretical starting point was that in order to find realworld applicable results, we need real-world end users. To meet this demand, participants from three different organizations involved in crisis management were recruited. In total, 30 participants took part in the study during ten sessions distributed over ten days with three people in each session. The participants were all at the level in their organization where they in real life are assigned to team co-ordinating situations. This means that they all either have experience from working in teams with partners from at least one of the other organizations, or have a position in their organization, which require that they have a minimal education and training in these types of command and control assignments. The groups formed here had never worked together before and they did not know each other prior to this study.

Have the ten trials, two were spoiled due to unforeseeable events (in one case, one participant was called to active duty due to an emergency and in the other case, external technical problems forced the trial to end prematurely). This resulted in a total of eight complete trials with 24 participants, of whom 23 were male, one female, and the ages ranged from 25 to 57 (median: 36, average: 39,1). There is a clear gender imbalance which is mainly due to the composition of the user groups, the vast majority of the firemen in this area are male, all helicopter pilots are male, and a majority of the police are male, thus the selection of participants is representative for the user group populations.

## 4.2 Procedure

The setting was at a military helicopter base in which the environment was designed to simulate a rough in-the-field command and control environment (meaning that the users



Fig. 3. The simulated natural setting (a helicopter base).

only had a table and basic equipment such as pens and paper available, see Fig. 3).

The application was designed around a scenario in which the participants, one from each organization, had to interact and work together to complete tasks in a dynamic scenario. Three different scenarios were used, each describing a forest fire that has been going on for a couple of days. The description was rather detailed and included information on when the fire has started where people had been seen, weather conditions, etc. Each organization had a number of units that they had to place on the map as they would have done in a real situation.<sup>2</sup> The participants all have the same digital map in their view. They can independently place symbols using the handheld interaction device and they can also discuss with the others how to place their own symbols and also common symbols, such as the fire symbol and break points.

In order to create a dynamic scenario and realistic responses and reactions to the participants' decisions in the three sessions, we used a gaming simulator C<sup>3</sup>Fire [35]. C<sup>3</sup>Fire generates a task environment where a simulated forest fire evolves over time. The simulation includes houses, different kinds of vegetation, computer simulated agents, vehicles, etc., that can be controlled by an experiment assistant. The simulator was run in the background by the research team (see Figs. 4 and 5) where one member, the experiment assistant, inserted information into the gaming simulator for instance that several police cars have been reallocated to attend to a traffic incident. The experiment leader acted as a feedback channel to the participants in order for them to carry out their work. In other words, the experiment leader took the role of a communication system between the commanders and the field personnel. For instance, when the reallocated police cars had reached their new destination the experiment leader returned with information to the participants. Other examples of information from the gaming simulator are weather reports, status of personnel and vehicles, the spread of the fire, etc.

After a 30 minute training session, each group of three participants performed three simulations, each lasting 20 minutes. The first simulation session was conducted

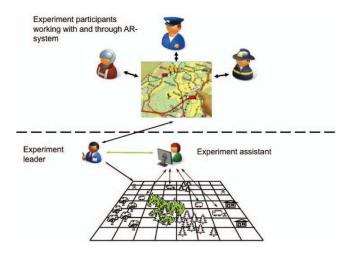


Fig. 4. A schematic view of the  $\rm C^3$  Fire gaming simulator used to create a dynamic and interactive scenario in the user study.

using the AR system, the second was conducted using a traditional paper map, and the third session was again conducted using the AR system. The paper map session was included to be able to compare the use of an AR system to a "system" that they normally use, i.e., a paper map, marker pens and transparencies. We used three different simulation scenarios permuted between sessions. All three scenarios are identical in number and type of events, but the events are distributed differently to avoid learning effects on the specific tasks.

After each 20 minute session the participants filled in a questionnaire on cooperation using the AR system or the paper map and after the final session they also filled in a questionnaire on the AR system. The questionnaires used six-point Likert items and also had open-ended questions, such as *Did you experience anything as troublesome, and if so, what?*, *How did you experience the system? Can you compare it to anything else?*, see Section 5 for more examples of open-ended questions. The questionnaires filled out between sessions included 15 closed response items and 6 open-ended questions. The final AR system questionnaire



Fig. 5. The gaming simulator that was controlling the input and responses to the participants was run by an assistant. The exercise leader worked as an information channel between the  $C^3$  fire assistant and the participants.

<sup>2.</sup> All participants are used to various similar training exercises from their own organizations, so this never posed a problem.

 TABLE 1

 Co-Operation Questionnaire, Average Score, and Standard Deviation

	A	R1	Paper		AR2	
Request item	$\mu$	σ	$\mu$	σ	$\mu$	σ
1. It took a long time to start to co-operate	4.083	1.380	5.333	1.090	5.333	0,963
2. It was easy to co-operate	4.739	0.964	5.087	1.474	4.696	1.550
3. I think that AR-systems are good tools to use for co-operation	4.333	1.050	4.250	1.391	4.417	1.136
4. The co-operation was fun	5.250	0.794	5.208	0.833	5.292	0.690
5. I felt that the group controlled the situation	4.167	1.090	5.000	0.885	4.792	1.141
6. It was easy to mediate information between the organisations	4.042	0.859	5.0	0.834	4.833	0.917
7. The map made it easy to achieve a common situational picture	5.125	0.076	4.167	1.404	5.041	0.999
8. The symbols made it easy to achieve a common situational picture	5.000	1.063	3.417	1.472	4.833	1.050
9. The map became cluttered/messy	3.708	1.488	2.375	1.469	4.000	1.474
10. I would have liked to have had more information than what was available	2.750	1.422	3.167	1.659	3.292	1.459
11. I felt that I was certain that I could interpret what was on the map	3.708	1.488	3.750	1.452	4.542	1.132
12. The map helped me trust the situational picture	4.042	1.233	3.667	1.373	4.667	1.090
13. The symbols helped me trust the situational picture	3.958	1.268	3.500	1.474	4.582	1.060
14. I though I had a good situational picture	4.083	1.140	4.250	1.032	4.542	1.103
15. I thought the others had a good situational picture	4.417	0.881	4.458	0.977	4.500	1.142

As the statements in the questionnaire were both positively and negatively loaded (see for instance the first two items), the scores on the negatively loaded items were transformed in order to make the result easier to interpret. This means that in the table a high score is positive for the AR system/ paper map and a low score is negative for the AR system/ paper map. Light gray indicate items with significant difference.

included 18 items and 10 open-ended questions. Finally, the participants could more freely express their views in a semicontrolled group discussion on different topics related to the AR system design, the scenario, aspects of collaboration and communication.

To summarise the experiment:

Activity	Duration
Introduction to the experiment	30 minutes
AR practise	$\approx 30$ minutes
Paper map exercise	$\approx 15 \text{ minutes}$
AR session 1	20 minutes
Co-operation questionnaire	$\approx 10$ minutes
Paper map session	20 minutes
Co-operation questionnaire	$\approx 10$ minutes
AR session 2	20 minutes
Co-operation questionnaire	$\approx 10$ minutes
AR questionnaire	$\approx 15 \text{ minutes}$
Focus group discussion	$\approx 20$ minutes

In total, each session lasted around four hours, including coffee break. No participant expressed any doubts or fatigue during the sessions and they all thought that the exercises were realistic.

## 5 RESULTS AND DISCUSSION

In this section, we present results from using the AR system for collaboration. We also present results specifically addressing the use of the AR system.

#### 5.1 Collaboration and Common Round

The AR-system collaboration questionnaire included 15 closed items and 6 open-ended questions. The queries and data from the sessions are presented in Table  $1.^3$ 

One important observation from Table 1 is that comparing the AR system and the paper based map shows that the AR system is as good as or better than the paper map in many respects. For more details on this see [36]. In general, the results on the questionnaire were positive for the AR system. The average scores were all above 3 out of 6, which is relatively good for a new system. Using one way ANOVA with Bonferroni post hoc tests, we found significant differences between the three session on items 1, 5, 6, 7, 8, 9, 12, and 13.

There is a significant difference between the first AR session (AR1) and the second AR session (AR2) on **Item 1**, *It took a long time to start to cooperate*. The participants felt that it took longer time to cooperate in the first AR session, see Fig. 6, left. In AR2, they felt that they began to collaborate as fast, or faster, as when they used the paper map (F(2, 42) = 12, 8, p < 0.05).

As one user commented:

"Since we were a bit used to it, we could use the technology in a better and more effective way," (RS0924, Question 3:4).<sup>4</sup>

When asked if it was easy to collaborate, **Item 2**, *It was* easy to cooperate, the results were positive in all three sessions—the mean score was 4.7, 4.7 and 5.0 on a 6 grade scale. Although, there were no significant effects between the first and second AR session, there is a social effect of getting to know one another better, and therefore, being able to understand and collaborate better.

"It worked smoothly with suggestions and orders. Mainly, because of the shared picture and also since we are beginning to find our feet," (HP0926, Q3:4).

When asked about the AR system as a tool for collaboration, **Item 3**, *I think that AR systems are good tools to use for cooperation*, again the scores were high. There was no significant difference between the sessions.

Concerning whether or not the participants enjoyed the collaboration, **Item 4**, *The cooperation was fun*, the scores are very high, between 5.2 and 5.3. There was no significant

<sup>4.</sup> In the following text quotes of the participants are coded as follows: the first letter/s indicate organization (P-Police, RS-Rescue Services, HP-Helicopter Pilot), the following four numbers are the team number, and the final number combination indicates which of the open-ended questions the quote is related to.

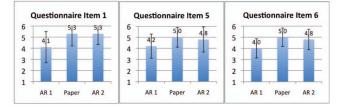


Fig. 6. Results from items 1 (it took a long time to start to cooperate), 5 (I felt that the group controlled the situation), and 6 (it was easy to mediate information between the organizations). For further explanation, see text.

difference between the sessions (see Fig. 1) all seemed to enjoy it and the means were 4.3 at the lowest.

"I felt that we could cooperate in a good way with this technology, since we could see each others units. It became one operation together instead of like it is today when we work in different places although it is the same event," (P0930, question 2:4).

On the item of feeling that the group had control over the situation, **Item 5**, *I felt that the group controlled the situation*, we note the importance of training. We have a significantly lower value for the first AR session (see Fig. 6, middle) indicating that users have the same sense of control using the AR system as they have using a normal paper based map, after some training. In AR1, the average score was 4.2 while the average in the second AR session was 4.8 (F(2, 42) = 7.98, p < 0.05). In the paper session, the average was 5.0 and this was also significantly higher than in AR1 (F2(42) = 7.98, p < 0.05). There was no significant difference between the paper map session and AR2.

Another aspect of collaboration is sharing information, **Item 6**, *It was easy to mediate information between the organizations*. This activity was experienced as more difficult during the first AR session. The overall average score on the item regarding information sharing, Item 6, was high; 4.0 out of 6 in AR1 and 4.8 in AR2 and 5,0 in the paper session(see Fig. 6, right). The difference was significant between AR1 and AR2 (F(2, 42 = 12.0, p < 0.05)) and between AR1 and the paper map (F(2, 42) = 12.0, p < 0.05). However, there was no significant difference between the second AR session and the paper map session, which may indicate that sharing information was experienced as easy to do while working on the paper map as with the AR system after some training.

"It was easy and clear to see the others units. Good that you can point on the map with your hand and in that way show where you mean, good that you see the others point in order to help each other out. That you are in the same room, with the same map simplifies tremendously," (HP0930, question 1:4).

A group of items, specifically addressed the map and the symbols on the map; **Item 7**, *The map made it easy to achieve a common situational picture*, **Item 8**, *The symbols made it easy to achieve a common situational picture*, and **Item 9**, *The map became cluttered/messy*. Here, the scores for the AR system are higher than for the paper map (see Fig. 7) suggesting that the use of the AR system made it easier to achieve a common situational picture. Regarding the map, Item 7, there is only a tendency to difference between AR2 and the paper map (F(2, 42) = 6.1,

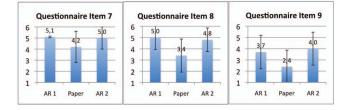


Fig. 7. Results from items 7 (the map made it easy to achieve a common situational picture), 8 (the symbols made it easy to achieve a common situational picture), and 9 (the map became cluttered/messy). See text for further explanation.

 $p \approx 0.052$ ), but regarding the symbols, Item 8, there is a significant difference. The symbols in AR2 made it easier to achieve a common situational picture compared to the paper map (F(2, 42) = 15.3, p < 0.05). The map is also regarded as less messy when using the AR system, Item 9, with significant differences both the first and second time the AR system was used, AR1 versus paper map (F(2, 42) = 12.7, p < 0.05), and AR2 versus paper map (F(2, 42) = 12.7, p < 0.05).

We also note that the users wanted even more symbols than we had on the map, Item 10, I would have liked to have had more information than what was available, scoring rather low on this item in all three situations. The participants had, however, no problems to interpret the symbols, Item 11, I felt that I was certain that I could interpret what was on the map. When asked if the map and symbols helped the participants trust the situational picture, Item 12, The map helped me trust the situational picture, and Item 13, The symbols helped me trust the situational picture, there are differences (see Fig. 8). Concerning whether the map helped the users trust the situational picture, Item 12, we found a tendency to difference between the paper map and the second usage of the AR system, AR2, on the map, Item 12  $(F(2, 42) = 4.6, p \approx 0.051)$ . The symbols, Item 13, helped the users more using the AR system, AR2, than the symbols on the paper map (F(2, 42) = 5.1, p < 0.05). We also found a significant difference between the first and second use of the AR system, AR1 versus AR2 for Item 12 (F(2, 42) = 4.6, p < 0.05),a n d for Item 13 (F(2, 42) = 5.1, p < 0.05).

Finally, we had two items, **Item 14**, *I though I had a good situational picture* and **Item 15**, *I thought the others had a good situational picture*, where users had to provide a more subjective view of the situational picture. Our participants scored high on these items in all three situations, all above 4, but there were no significant differences between the sessions or organizations.

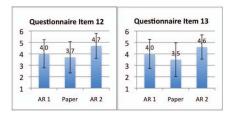


Fig. 8. Results from items 12 (the map helped me trust the situational picture) and 13 (the symbols helped me trust the situational picture). See text for further explanation.

TABLE 2 AR-System Questionnaire, Average Score and Lower/Upper Confidence Bound on the 6 Point Likert Scale

Request item	μ	95% conf. interv.			
quest tent		Lower bound	Upper bound		
1. It was easy to use the MR-system	4.21	3.796	4.621		
2. The map was hard to read due to the colours	4.63	4.085	5.165		
3. The map was hard to read due to picture resolution	3.08	2.528	3.639		
4. The map was hard to read due to the symbols	3.38	2.835	3.915		
5. It took a long time to learn to use the system	4.96	4.423	5.493		
6. There were too many symbols in the MR-system	4.58	4.028	5.139		
7. There were too few symbols in the MR-system	3.86	3.328	4.422		
8. I thought that the interaction tool was easy to use	3.86	3.274	4.476		
9. I would like to use the MR-system in my work	4.46	3.930	4.986		
10. I would like to use the MR-system in other situations than my work	3.79	3.086	4.496		
11. I felt confident that the MR-system gives me correct information	3.86	3.261	4.489		
12. The MR-system was clumsy to use	3.92	3.361	4.472		
13. The MR-system had no major flaws	3.04	2.521	3.562		
14. I felt sick during the experiment	5.75	5.314	6.186		
15. I felt dizziness during the experiment	5.50	5.002	5.998		
16. I experienced other discomfort during the experiment	5.04	4.343	5.740		
17. The MR-system was funny to use	5.71	5.512	5.904		
18. It took a long time to learn how to co-operate using the MR-system	4.67	4.143	5.190		

As in Table 1, the scores on the negatively loaded items were transformed so that a high score is positive for the AR system and a low score is negative for the AR system.

#### 5.2 Evaluating the AR System

The questionnaire used to evaluate the AR system contained items specifically addressing the use of the AR system and did not include other aspects such as collaboration (see Table 2). The queries were used in a previous study investigating AR systems for single users [14], [24], and here modified to reflect the task carried out in this study.

The participants found the system easy to use and learn, as seen in **Item 1**, *It was easy to use the AR system* and **Item 5**, *It took a long time to learn to use the system*, with the mean scores of 4.21 and 4.96,<sup>5</sup> respectively. They had only used the AR system that day but had no difficulty using it. Learning to cooperate using the AR system was not a problem either, **Item 18**, *It took a long time to learn how to cooperate using the AR system*, scored 4.67.

The participants liked to use the system. They gave high scores, 4.46 on **Item 9**, *I would like to use the AR system in my work*. They were slightly less interested to use the system in other situations, Item 10, scored 3.79.

On the general open-ended question on what they thought of using AR technology in these types of situations in their everyday professional life, *What was the best about the paper MR system for collaboration (one or several things)?* several users pointed out that they do think that this technology can be a reliable help

"Good! It gives a credible situational picture and when you are secure in using the system my hope is that you can focus more on your task and less on verbal communication," (RS0924, question 3:6).

The quote also highlights the key issue of training and practise in order to fully take advantage and feel secure with the technology they use.

"Fun, but takes practise so people are comfortable using it," (HP0925, question 3:6).

The participants trusted the system as a source of information, **Item 10**, *I felt confident that the AR system gave me correct information*, scored 3.86.

The questionnaire also addressed the AR system display, in Item 2, Item 3, and Item 4. The users had no problems reading the map due to the colors, **Item 2**, *The map was hard to read due to the colors* (mean score of 4.6), but on **Item 3**, *The map was hard to read due to picture resolution*, we see that the users are less positive (mean score of 3.1). We believe that this is due to the instability of the image, i.e., when they focus on the outer parts of the map the system sometimes loses the marker, and hence, the image projected to the user, as explained in the open-ended question What were the worst aspects of the AR system for collaboration?

"That the map image disappeared when I looked at the edges of the map. I felt somewhat isolated from the surrounding," (P0925, question 4:2).

The last part of the quote illustrates a common issue with HMDs-using displays in front of the users eyes will close them off from the surrounding real world and that can create a kind of tunnel vision or a feeling of isolation. The users did not use the ability to switch between showing all symbols and only their own symbols as frequently as we had anticipated, and consequently, they found the map a bit cluttered, **Item 4**, *The map was hard to read due to the symbols* scored a mean of 3.38. However, the AR system seems to be experienced as less cluttered than the paper based system, see Item 9, The map became cluttered, in the questionnaire on collaboration (see Fig. 7). We believe the main reason for not using the functionality allowing them to declutter the map by choosing to see only selected symbols, is that it was a bit too cumbersome to use the interaction device. Users had to manually select each organization that they did not want to see in a menu.

When asked about specific features in the AR system in the open-ended section of the questionnaire, 14 of the 27 participants said that they did use the feature allowing them to see additional information about the objects on the

<sup>5.</sup> Note that we have transformed the scores for consistency in the table meaning that a high score on this item is positive for the AR system, i.e., positive, indicating it did not take a long time.

map, *How often did you use the possibility to see additional information?* Of the remaining participants several would have used it if they had had more time and/or training.

"My ambition initially was to to work with ①. However, I chose not to focus on this in order to save time. It's a necessary feature for the future in my opinion," (RS0924, question 4:6).

The interaction device was evaluated in **Item 8**, *I thought that the interaction device was easy to use* (mean score of 3.9), and to a certain extent in **Item 12**, *The AR system was clumsy to use* (mean score of 3.9), and **Item 13**, *The AR system had no major flaws* (mean score of 3.0). The rather low scores on these items can to some extent be explained by the result from the responses to the open-ended question *What are your general impressions of the AR system*?

"It needs further development in order for it to be more user-friendly," (RS0929, question 4:3).

The main issues of concern for improved user friendliness are related to the symbol menu and the lack of shortcut buttons on the joystick interaction device.

"Flipping through units and icons. There is no need for RS (rescue service) vehicles in the police joystick, for example," (P0923 question 4:3).

The interaction device and the symbol management in the design is also the main concerns for the participants when asked about what they would change in the system. *What would you change in order to improve the collaboration over the paper map/MR system?* 

"Make the symbol management simpler," (HP1001, question 3:5).

"Move the symbols by pointing and dragging the finger," (P0925 question 3:5).

It is evident that the open approach derived from the design phase, where the decision was made to make all symbols visible to all participants, was misguided. This illustrates one of the difficulties in all design processes - a feature may be desirable in one cycle of development, but perhaps not in the next (the envisioned world problem). Including more iterations in the process will likely reduce this problem.

Two items addressed the number of symbols, **Item 6**, *There were too many symbols in the AR system* and **Item 7**, *There were too few symbols in the AR system* scored 4.58 and 3.86, respectively. Again, positive results and adding some symbols to improve the usability poses no technical problems.

Addressing the more ergonomic or physical aspects of the system were **Item 14**, *I felt sick during the experiment*, **Item 15**, *I felt dizziness during the experiment*, and **Item 16**, *I experienced other discomfort during the experiment*. As can be seen in Table 2 the users did not experience feeling sick, Item 14, dizziness, Item 15, nor did they feel discomfort, Item 16, due to using the AR system. The discomfort they felt appears to be mainly due to the head-mounted system. It became too heavy after a while as illustrated by this quote in response to a question about the negative aspects of using the system. *Did you experience anything as troublesome, and if so, what?*  "Standing for a long time with a front-heavy headset," (HP0925, question 4:4).

One important aspect of interacting with systems is whether or not the users enjoy working with the system. The result indicate that they did, which is evident by viewing the score on **Item 17**, *The AR system was fun to use*. The result has an average score of 5.6 on a 6 point scale. In the openended question regarding any positive aspects of the AR system, several issues were highlighted. *Did you experience anything as positive, and if so, what?* 

"Easy to get an overview, good to get information about people, hours of every unit. Also, the possibility to see other units information. Easy to move units," (HP0930, question 4:5).

"Good and clear situational picture that is shared and updated," (RS0930, question 4:5).

"You learn quickly. Good situational picture," (P1003, question 4:5).

These responses are important in relation to the purpose of the application. The aim of the study was to implement and develop a system supporting the establishment of a common situational picture among participant from different organizations. The quotes above illustrate that in many aspects the AR system succeeded to aid the users in achieving an overall situational picture. However, as the results indicate there are several important issues to address in terms of interaction design, symbol management, and the general physical design of the system.

The open ended part of the questionnaire also included a question regarding whether the participants could see any other potential use for AR technology than this application (*Is there any other situation where a system like this would be useful?*) and several users did

"Education, team work which requires a separation of tasks," (HP0929, question 4:9).

"Practise navigation on your own ship but still in dock, i.e., you fine tune the things you're supposed to do in reality. Real-time supervision of divers in the water, i.e., you have a sea chart with 3D (available in military environments)," (P1003, question 4:9).

A common theme for most suggestions of potential use of AR include training, simulation, and strategy testing before an operation.

# 6 IMPLICATIONS FOR DEVELOPMENT OF COLLABORATIVE AR SYSTEMS

Designing a single user AR application with a sequential interaction scheme is in many respects relatively easy compared to designing applications for multiple users performing dynamic interactive tasks. Simply converting a single user application into multiple users may be technically relatively easy but the complexities of the task demand careful consideration before adapting a single user system into a multiple user one (which is often the case in many computer supported collaborative applications [37]).

The results of the study clearly indicate that the AR system was experienced as a possible future system not only for the task used in the scenario but also for other tasks

within the three organizations. AR technology is not limited to creating and maintaining a common situational picture, it also has potential for training and information sharing throughout the chain of command and control. The most important lessons learned during the study of implementing this AR application for use in a complex, dynamic scenario for emergency management can be summarized as follows:

- Involve real-end users in the design of the system/ application development process.
- Involve real-end users in the design of the user study task.
- In order for real-end users to feel involved in the task, make sure the task, or scenario, is realistic and not artificial.
- Do several design iterations
- Involve real end users in the evaluation of the application

## 6.1 The Iterative Design Process

Working iteratively with redesign and evaluation, involving real users is invaluable for several reasons. First, it allows us to cope with the envisioned world problem—by moving from making major changes to the system to minor changes, the discrepancy between the envisioned usage and the actual usage slowly erodes. It is, however, important to remember that the process is two-sided; the original vision also changes as the designer begins to understand possibilities and limitations with the system.

The iterative design of this application is by no means finished with this end-user study. As noted in the results, the interaction device needs to be carefully designed to facilitate a situation where users not only access and modify their own objects and information but also can access and modify objects and information from other users. Not only is the interaction more complex than in a single user application, as there are many more symbols to manipulate, users also manipulate their own symbols more frequently than the others' symbols. Regarding specific design features, the next iteration of this application will make sure to simplify the interaction modality even further, taking note to the participants comments. The click-anddrag feature requested by one participant was considered during the development phase but was unfortunately not implemented due to time/resource constraints at that time, but has been implemented since. The menu has also been restructured to allow faster navigation.

#### 6.2 AR versus Paper Map

One interesting finding in this study was the fact that the participants in most issues gave the AR system an equal or better score than the regular paper map. The quote by one of the fire fighters above (RS0924, question 3:6) gives a clear indication that the AR application has in one important aspect reached it's purpose.

One of the most problematic issues during command and control work in the field is the messy and cluttered map over ongoing events. As several different actors give their input and their view of what is going on and what needs to be done in what order, the notes and sketches tends to pile up leaving a very difficult to read map (or white board or paper) to interpret. The AR system allows all individuals to work on the same map or in the same interaction space, both individually as well as collaboratively. But the added benefit of the AR system, compared to a paper map is that it is possible to quickly switch perspectives and follow one organization at a time, as well as see the overall view of all available resources and their status and distribution. The experience of the AR system as less messy and cluttered than the paper map (Item 9) in the first questionnaires illustrates this issue.

Even though the participants felt that they had a good situational picture in both settings, the clutteredness of the paper map compared to the AR map significantly affected their rating of the paper map versus the AR system.

Paper maps are something that these participants are very used to working with whereas this study was the first time they ever encountered AR technology. The high scores given to the AR system indicate that they actually can perform their tasks to the same level of satisfaction as they normally perform, i.e., with a paper map. The participants did not consider it more difficult to achieve a common situational picture with the AR system than when using an ordinary paper map, nor did they regard the AR system to interfere with their communication to any large extent.

#### 6.3 The Effect of Training

On many items, the participants scored the system higher in the second session with AR (AR2) when compared to the first session (AR1). This indicates the necessity of more than one trial or session with the AR system. This is probably valid in most studies examining new technologies. If the study had been designed with only one AR session (apart from the training session) the results would have been less positive for the system. This would not have been a fair comparison toward the baseline session as the participants are all familiar with paper maps but have never before encountered a system like the one in this study. Another aspect of several sessions is the social effect of collaborative work. As several participants pointed out in the questionnaire, it became easier to both use the system and communicate with each other in the second AR session. This is partly due to the training effect on the AR system, but also due to the fact that the participants got to know each other better.

## 6.4 AR for Collaboration

The participants see a great potential in the AR system to present them "with a credible situational picture" allowing them to focus more on their actual task at hand rather than spend time on verbal communication, talking about what resources are where and when.

The information sharing aspect of the system turned out to be equivalent in the AR system and the paper map, which is a very promising result. The current technical solution, camera see through, causes a lack of direct eye contact which could be a drawback as gazing is believed to be an important indicator of focus in face-to-face communication. Despite the lack of eye contact, the participants felt that they could easily share information among each other. This could be explained by the AR system's ability to present a common situational picture when everyone sees what everybody else does with their resources directly. This reduces the need to actively request and present information as part of the information sharing process.

The ability to see each other's units may also have strengthened the perception of them being a team rather than just participants of their respective organizations.

In emergency management and collaborative command, and control operations, the general overview of the situation is important for the team to achieve a common picture of the ongoing event. Having a complete overview of available resources and where they are located is invaluable for information sharing and decision making. The real-time overview given by the AR system is a major contribution to the creation of a common ground for the collaborative work.

The results of the study are also successful in relation to the demands made by Gutwin and Greenberg [11] regarding team cognition, since the users did not seem to be hampered in their joint effort of creating a shared situational picture. The system thus seems to provide enough feedthrough for joint work, possibly because it allows gesturing and joint manipulation of symbols. The more specific aspects of work provided by the participants, like the wish for an extended symbol library is probably a result of the effort of using real participants, as proposed by [30] and [31]. Although, an artificial task and nonprofessional users probably could have provided basic usability input, such as the experience of motion sickness, image quality, etc., these task-specific findings are only likely to emerge in more work-like settings with real users. The participants in this study, the fire fighters, police officers, and helicopter pilots have the specific knowledge and experience to assess the AR application's potential in their professional work in a way no novice user could.

#### 7 CONCLUSIONS

This paper has described an AR application developed in close cooperation with real end users, and illustrated how an iterative design and evaluation method can be used in this field. The results of this study has illustrated that although collaborative command and control is a rather complex field, with ever changing needs and tasks, AR as a technology can, if carefully designed, be successfully used for collaboration in dynamic tasks.

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Susanna Nilsson received the PhD degree in cognitive systems engineering from Linköping University, in 2010. She is a senior researcher at the Swedish Defense Research Institute. Her current research interests include user centered development and evaluations of AR applications.



**Björn J.E. Johansson** received the PhD degree in cognitive systems engineering from Linköping University, in 2005. He is a senior researcher at the Swedish Defense Research Institute. His research interests include resilience and safety in dynamic systems.



Arne Jönsson is a senior researcher at Santa Anna IT Research Institute and a professor at the Computer and Information Science Department at Linköping University. He is currently the head of the Human Centered Systems Division and has been conducting research in multimodal human computer interaction, especially in the area of natural language dialogue systems for more than 30 years.

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