

Formal Languages Part 1

Including Regular Expressions

Basic Concepts for Symbols, Strings, and Languages

□ Alphabet

A finite set of symbols.

□ Example:

$\Sigma_b = \{ 0, 1 \}$

binary alphabet

$\Sigma_s = \{ A, B, C, \dots, Z, \text{Å}, \text{Ä}, \text{Ö} \}$

Swedish characters

$\Sigma_r = \{ \text{WHILE}, \text{IF}, \text{BEGIN}, \dots \}$

reserved words

□ String

A finite sequence of symbols from an alphabet.

□ Example:

10011

from Σ_b

KALLE

from Σ_s

WHILE DO BEGIN

from Σ_r

Properties of Strings in Formal Languages

String Length, Empty String

□ Length of a string

- Number of symbols in the string.

□ Example:

- x arbitrary string, $|x|$ length of the string x
- $|10011| = 5$ according to Σ_b
- $|\text{WHILE}| = 5$ according to Σ_s
- $|\text{WHILE}| = 1$ according to Σ_r

□ Empty string

- The empty string is denoted ϵ , $|\epsilon| = 0$

Properties of Strings in Formal Languages

Concatenation, Exponentiation

Concatenation

- Two strings x and y are joined together $x \bullet y = xy$

Example:

- $x = AB$, $y = CDE$ produce $x \bullet y = ABCDE$
- $|xy| = |x| + |y|$
- $xy \neq yx$ (not commutative)
- $\epsilon \bullet x = x \bullet \epsilon = x$

String exponentiation

- $x^0 = \epsilon$
- $x^1 = x$
- $x^2 = xx$
- $x^n = x \bullet x^{n-1}$, $n \geq 1$

Substrings: Prefix, Suffix

□ Example:

- $x = abc$

□ Prefix: Substring at the beginning.

- Prefix of x : abc (improper as the prefix equals x), ab , a , ϵ

□ Suffix: Substring at the end.

- Suffix of x : abc (improper as the suffix equals x), bc , c , ϵ

- ❑ A Language = A finite or infinite set of strings which can be constructed from a special alphabet.
- ❑ Alternatively: a subset of all the strings which can be constructed from an alphabet.
 - \emptyset = the empty language. NB! $\{\epsilon\} \neq \emptyset$.
- ❑ Example: $S = \{0,1\}$
 - $L1 = \{00,01,10,11\}$ all strings of length 2
 - $L2 = \{1,01,11,001,\dots,111, \dots\}$ all strings which finish on 1
 - $L3 = \emptyset$ all strings of length 1 which finish on 01

- ❑ Σ^* denotes the set of all strings which can be constructed from the alphabet

- ❑ Closure types:
 - $*$ = closure, Kleene closure
 - $+$ = positive closure

- ❑ Example: $S = \{0,1\}$
 - $\Sigma^* = \{\epsilon, 0, 1, 00, 01, \dots, 111, 101, \dots\}$
 - $\Sigma^+ = \Sigma^* - \{\epsilon\} = \{0, 1, 00, 01, \dots\}$

Operations on Languages

Concatenation

- L, M are languages.

- Concatenation operation \cdot (or nothing) between languages
 - $L \cdot M = LM = \{xy \mid x \in L \text{ and } y \in M\}$
 - $L\{\epsilon\} = \{\epsilon\}L = L$
 - $L\emptyset = \emptyset L = \emptyset$

- Example:
 - $L = \{ab, cd\}$ $M = \{uv, yz\}$
 - gives us: $LM = \{abuv, abyz, cduv, cdyz\}$

Exponents and Union of Languages

□ Exponents of languages

- $L^0 = \{\epsilon\}$
- $L^1 = L$
- $L^2 = L \cdot L$
- $L^n = L \cdot L^{n-1}, n \geq 1$

□ Union of languages

- L, M are languages.
- $L \cup M = \{x \mid x \in L \text{ or } x \in M\}$
- Example: $L = \{ab, cd\}, M = \{uv, yz\}$
- gives us: $L \cup M = \{ab, cd, uv, yz\}$

Closure of Languages

□ Closure

- $L^* = L^0 \cup L^1 \cup \dots \cup L^\infty$

□ Positive closure

- $L^+ = L^1 \cup L^2 \cup \dots \cup L^\infty$ $LL^* = L^* - \{\epsilon\}$, if ϵ not in L

- $L^* = \{\epsilon\} \cup L^+$

□ Example: $A = \{a,b\}$

- $A^* = \{\epsilon, a, b, aa, ab, ba, bb, \dots\}$
 = All possible sequences of a and b .

□ A language over A is always a subset of A^* .

Small Language Exercise

See 00-LectureExercises

- ❑ **Regular expressions** are used to describe simple languages, e.g. basic symbols, tokens.
 - Example: identifier = letter • (letter | digit)*

- ❑ Regular expressions over an alphabet S denote a language (regular set).

Rules for constructing regular expressions

- ❑ S is an alphabet,
 - the regular expression r describes the language L_r ,
 - the regular expression s corresponds to the language L_s , etc.

Regular expression r	Language L_r
ϵ	$\{\epsilon\}$
a $a \in S$	$\{a\}$
union: $(s) \mid (t)$	$L_s \cup L_t$
concatenation: $(s).(t)$	$L_s.L_t$
repetition: $(s)^*$	L_s^*
repetition: $(s)^+$	L_s^+

- ❑ Each symbol in the alphabet S is a regular expression which denotes $\{a\}$.

- $*$ = repetition, zero or more times.
- $+$ = repetition, one or more times.
- $.$ concatenation can be left out

Priorities

Highest	$*$ $+$
	$.$
Lowest	\mid

Regular Expression Language Examples

□ Examples: $S = \{a,b\}$

- 1. $r=a$ $L_r=\{a\}$
- 2. $r=a^*$ $L_r=\{\epsilon, a, aa, aaa, \dots\} = \{a\}^*$
- 3. $r=a|b$ $L_r=\{a,b\}=\{a\} \cup \{b\}$
- 4. $r=(a|b)^*$ $L_r=\{a,b\}^*=\{\epsilon, a, b, aa, ab, ba, bb, aaa, aab, \dots\}$
- 5. $r=(a^*b^*)^*$ $L_r=\{a,b\}^*=\{\epsilon, a, b, aa, ab, ba, bb, aaa, aab, \dots\}$
- 6. $r=a|ba^*$ $L_r=\{a, b, ba, baa, baaa, \dots\}=\{a \text{ or } ba^i \mid i \geq 0\}$

□ NB! $\{a^n b^n \mid n \geq 0\}$ cannot be described with regular expressions.

- $r=a^*b^*$ gives us $L_r=\{a^i b^j \mid i,j \geq 0\}$ does not work.
- $r=(ab)^*$ gives us $L_r=\{(ab)^i \mid i \geq 0\}=\{\epsilon, ab, abab, \dots\}$ does not work.

□ Regular expressions cannot "count" (have no memory).

Finite state Automata and Diagrams

□ (*Finite automaton*)

□ Assume:

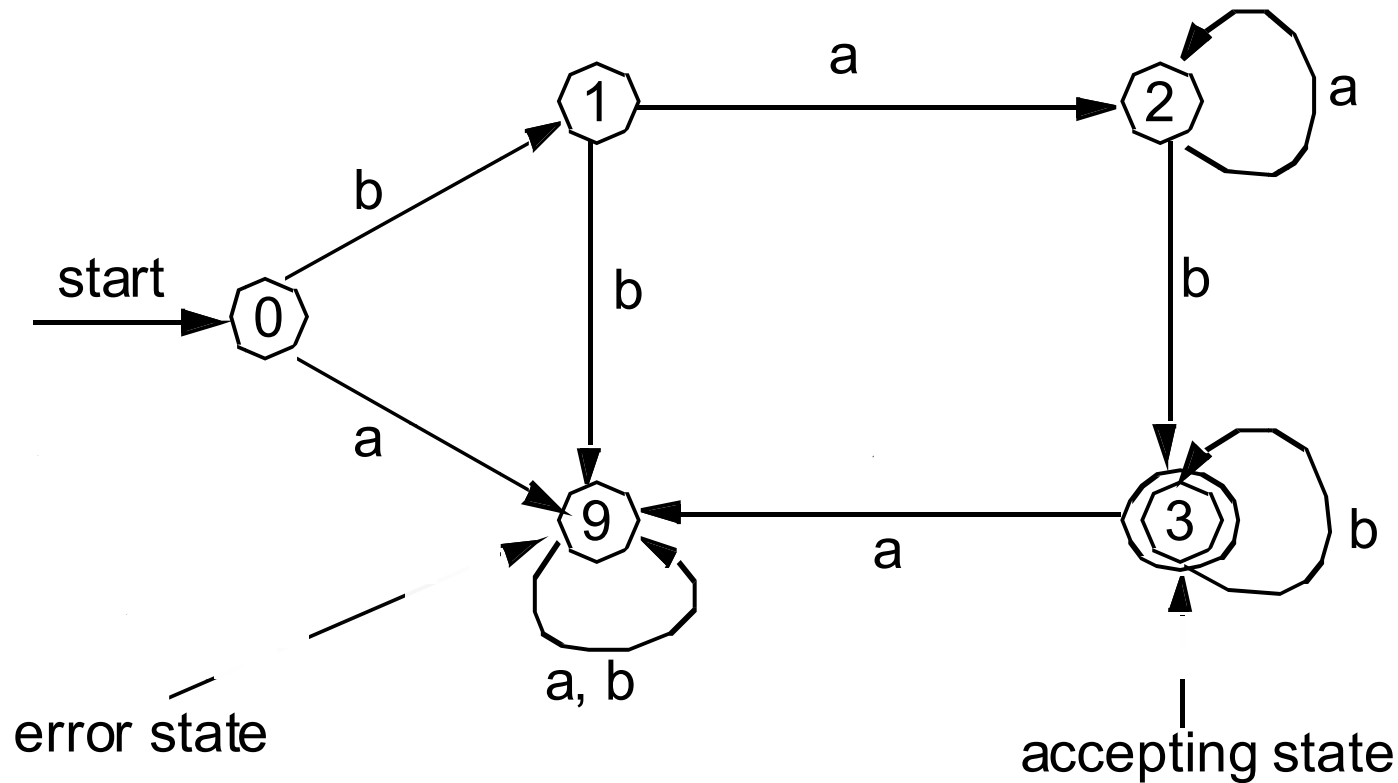
- regular expression $RU = \mathbf{ba^+b^+} = \mathbf{baa \dots abb \dots b}$
- $\mathbf{L(RU) = \{ ba^n b^m \mid n, m \geq 1 \}}$

□ Recognizer

- A program which takes a string x and answers yes/no depending on whether x is included in the language.
- The first step in constructing a recognizer for the language $L(RU)$ is to draw a state diagram (transition diagram).

State Transition Diagram

□ state diagram (DFA) for $ba^n b^m$



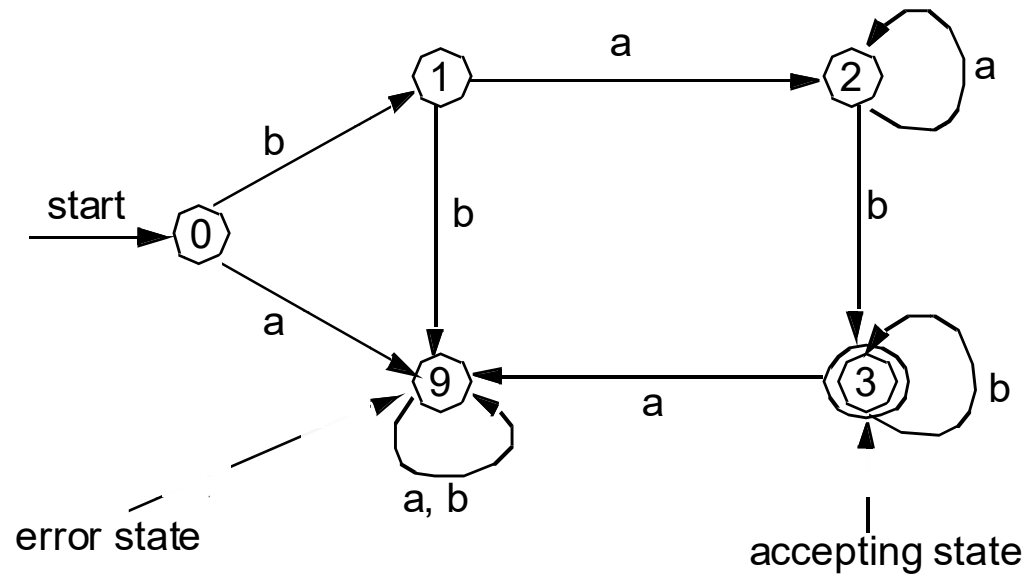
Interpret a State Transition Diagram

- ❑ Start in the starting node 0.
- ❑ Repeat until there is no more input:
 - Read input.
 - Follow a suitable edge.
- ❑ When there is no more input:
 - Check whether we are in a final state. In this case accept the string.
- ❑ There is an error in the input if there is no suitable edge to follow.
 - Add one or several error nodes.

Input and State Transitions

- Example of input: **baab**
- Then *accept* when there is no more input and state 3 is an accepting state.

Step	Current State	Input
1	0	baab
2	1	aab
3	2	ab
4	2	b
5	3	ε



Representation of State Diagrams by Transition Tables

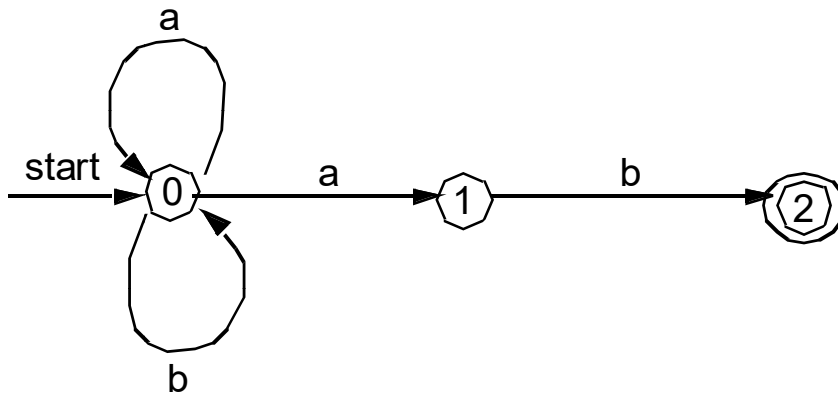
- ❑ The previous graph is a DFA (*Deterministic Finite Automaton*).
- ❑ It is deterministic because at each step there is exactly one state to go to and there is no transition marked “ ϵ ”.
- ❑ A regular expression denotes a regular set and corresponds to an NFA (*Nondeterministic Finite Automaton*).

State	Accept	Found	Next state	Next state
			a	b
0	no	ϵ	9	1
1	no	b	2	9
2	no	ba^+	2	3
3	yes	ba^+b^+	9	3
9	no			9

Transition Table
(Suitable for computer representation).

NFA and Transition Tables

Example: NFA for $(b|a)^* ab$



state diagram for $(b|a)^*ab$

state	a	b	Accept
0	{0,1}	{0}	no
1		{2}	no
2			yes

Transition table for $(b|a)^*ab$

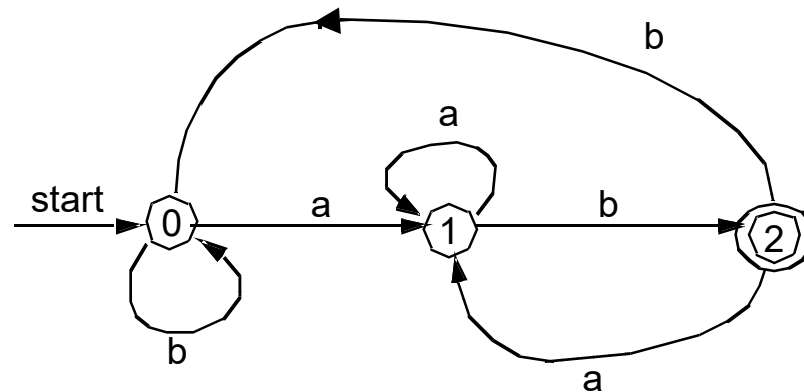
It requires more calculations to simulate an NFA with a computer program, e.g. for input **ab**, compared to a DFA.

Transforming NFA to DFA

□ Theorem

- Any NFA can be transformed to a corresponding DFA.
- When generating a recognizer automatically, the following is done:
 - regular expression \rightarrow NFA.
 - NFA \rightarrow DFA.
 - DFA \rightarrow minimal DFA.
 - DFA \rightarrow corresponding program code or table.

DFA for $(b|a)^*ab$



Small Regular Expression and Transition Diagram/Table Exercise

See 00-LectureExercises