



Linköping University



# Automated Planning

## Heuristics for Forward State Space Search: Overview and Examples

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# Heuristics in Forward State Space Search: Introduction

# Heuristic Forward State Space Search



## ■ General Forward State Space Search Algorithm

- forward-search( $A, s_0, g$ ) {  
  open  $\leftarrow \{ \langle s_0, \epsilon \rangle \}$   
  **while** (open  $\neq$  emptyset) {  
    use a strategy to select and remove one  $n = \langle s, \text{path} \rangle$  from open  
    **if** goal  $g$  satisfied in state  $s$  **then**  
      **foreach**  $a \in A$  such that  $\gamma(s, a)$   
         $\{s'\} \leftarrow \gamma(s, a)$   
        path'  $\leftarrow$  **append**(path,  $a$ )  
        **add**  $n' = \langle s', \text{path}' \rangle$  to open  
      }  
    }  
  }

- A heuristic strategy bases its decisions on:
  - **Heuristic value  $h(n)$**
  - Often other factors, such as  **$g(n) = \text{cost of reaching } n$**

Requires a heuristic function

How do we calculate  $h(n)$ ?

$h_1(n), h_2(n), h_{add}(n),$   
landmarks,  
pattern databases, ...

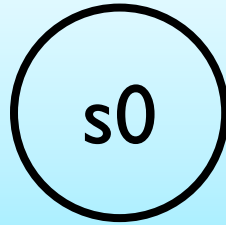
Requires a heuristic strategy

How do we use  $h(n)$ ?

$A^*, IDA^*, D^*$ , simulated annealing,  
hill-climbing, (various forms of)  
best first search, ...

# Example (1)

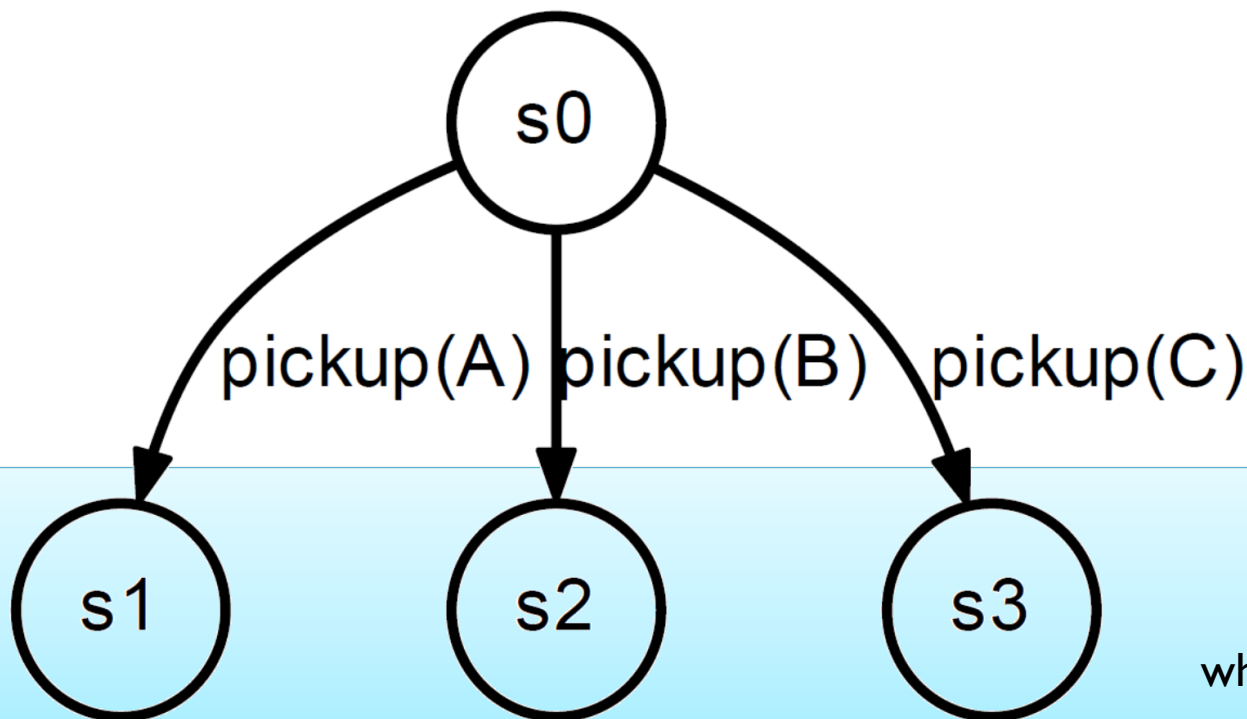
Example: 3 blocks, all on the table in s0



We now have  
1 *open node*,  
which is *unexpanded*

# Example (2)

We visit  $s_0$  and expand it



We now have  
3 open nodes,  
which are *unexpanded*

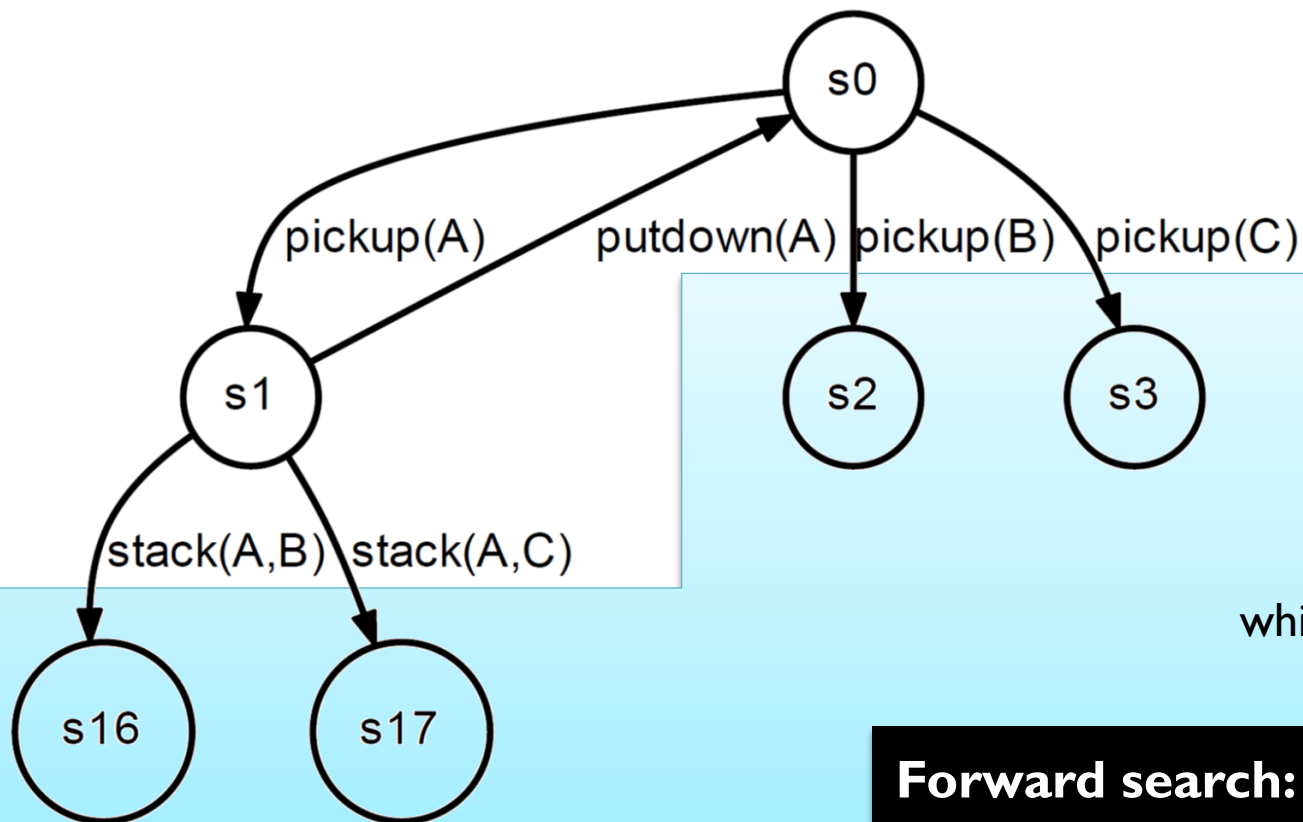
A **heuristic function** estimates the distance from each open node to the goal:

We calculate  $h(s_1)$ ,  $h(s_2)$ ,  $h(s_3)$

A **heuristic strategy** uses this value (**and** other info) to *prioritize*

# Example (3)

Suppose the strategy chooses to visit  $s_1$ :



We now have  
4 *open nodes*,  
which are *unexpanded*

**Forward search: node  $\approx$  state,  
so we may write  $h(n)$  or  $h(s)$**

2 new heuristic values are calculated:  $h(s_{16}), h(s_{17})$   
The *search strategy* now has 4 nodes to prioritize

# Heuristic Functions: What to Measure?

# What to Measure?



Question 1A: What should a heuristic function measure?

- A heuristic strategy bases its decisions on:
  - **Heuristic value  $h(s)$**
  - Often other factors, such as  $g(s)$  = **cost of reaching  $s$**

Very general definition

→ could measure anything that some strategy might find useful!

Often:  $h(s)$  *tries to* measure the cost of achieving the goal from  $s$

Useful for finding cheap plans –  
and often, as a side effect, for finding plans cheaply

→ Question 1B: What is "cost"?

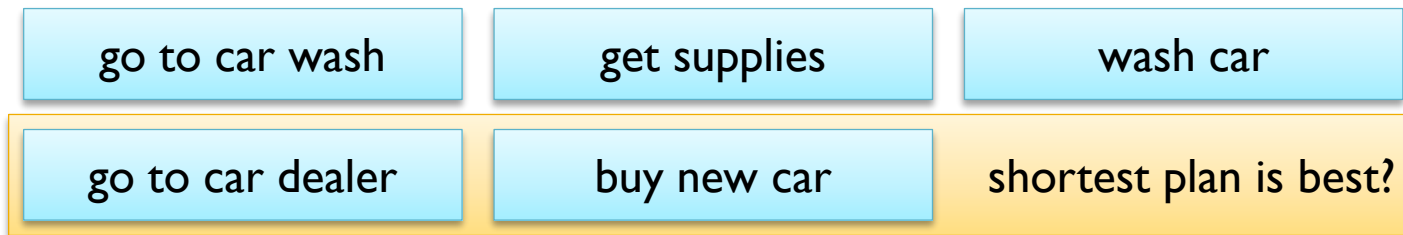


# Plan Quality and Action Costs



- Could say: **Long** plan = **expensive** plan

- $c(\pi) = |\pi|$  (number of actions)
  - Reasonable in Towers of Hanoi
  - But: How to make sure your car is clean?



- Would prefer to model different **action costs**

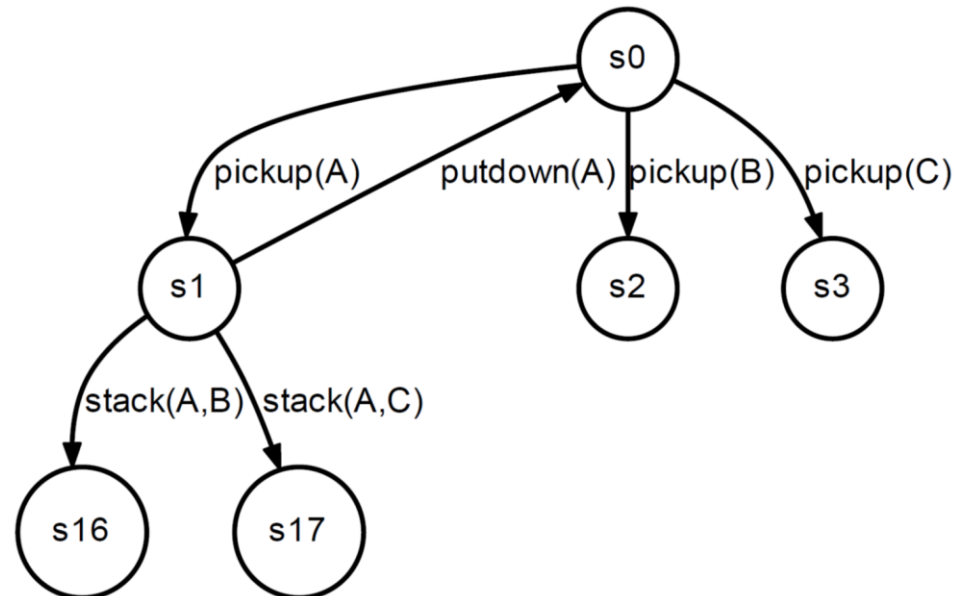
- Supported by most current planners
  - Each action  $a \in A$  associated with a cost  $c(a)$

- **Total cost:**  $c(\pi) = \sum_{a \in \pi} c(a)$

- PDDL: Specify requirements
    - (:requirements :action-costs)
  - Numeric state variable for the total cost, called (**total-cost**)
    - And possibly numeric state variables to *calculate* action costs
    - (:functions (total-cost)  
(travel-slow-cost ?f1 - count ?f2 - count)  
(travel-fast-cost ?f1 - count ?f2 - count)
- |          |               |
|----------|---------------|
| - number | Built-in type |
| - number | supported by  |
| - number | cost-based    |
|          | planners      |
- **Initial state**
    - (:init (= (total-cost) 0)  
(= (travel-slow-cost n0 n1) 6) (= (travel-slow-cost n0 n2) 7)  
(= (travel-slow-cost n0 n3) 8) (= (travel-slow-cost n0 n4) 9)  
...)
  - Special **increase effects** to increase total cost
    - (:action move-up-slow  
:parameters (?lift - slow-elevator ?f1 - count ?f2 - count )  
:precondition (and (lift-at ?lift ?f1) (above ?f1 ?f2 ) (reachable-floor ?lift ?f2))  
:effect (and (lift-at ?lift ?f2) (not (lift-at ?lift ?f1))  
**(increase (total-cost) (travel-slow-cost ?f1 ?f2))))**

# Remaining Costs

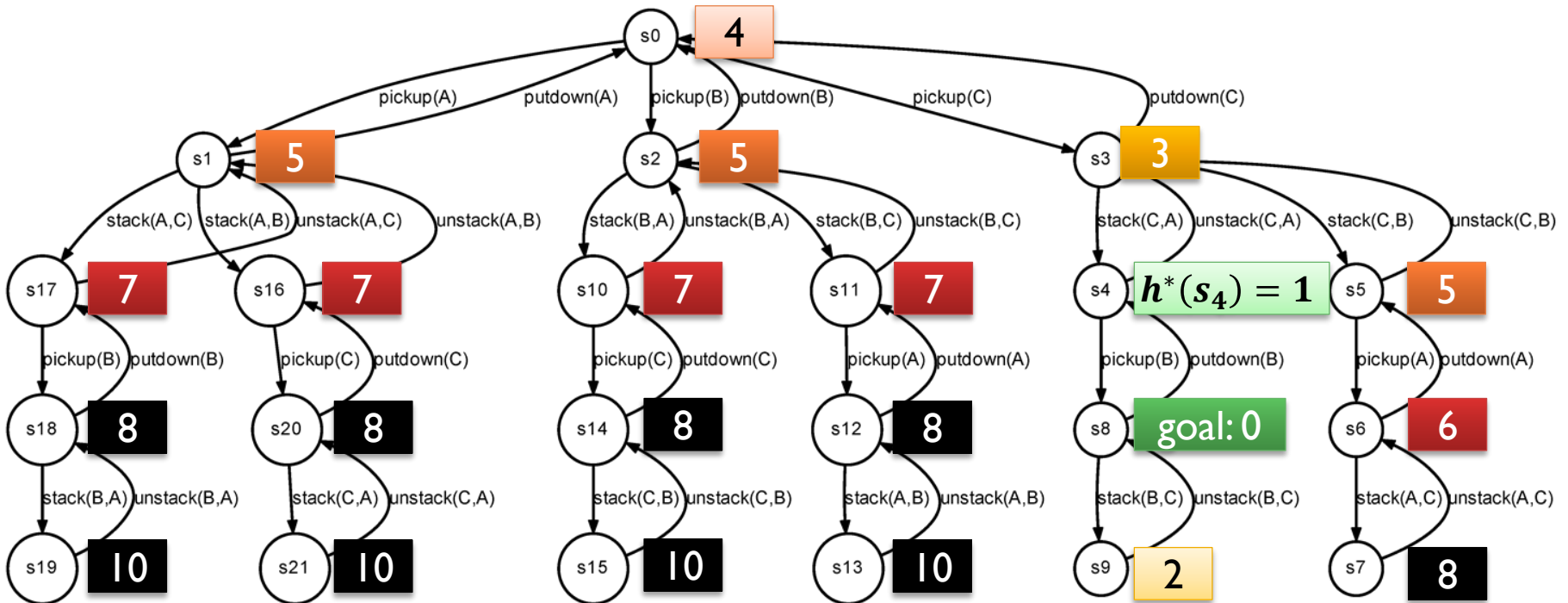
- The **remaining cost** in a search state  $s$ :
  - The cost of a **cheapest (optimal) solution** starting in  $s$
  - Denoted by  $h^*(s)$
- The cost of an **optimal solution** to  $(\Sigma, s_0, S_g)$ :
  - $h^*(s_0)$



# True Remaining Costs (1)

## True Cost of Reaching a Goal: $h^*(n)$

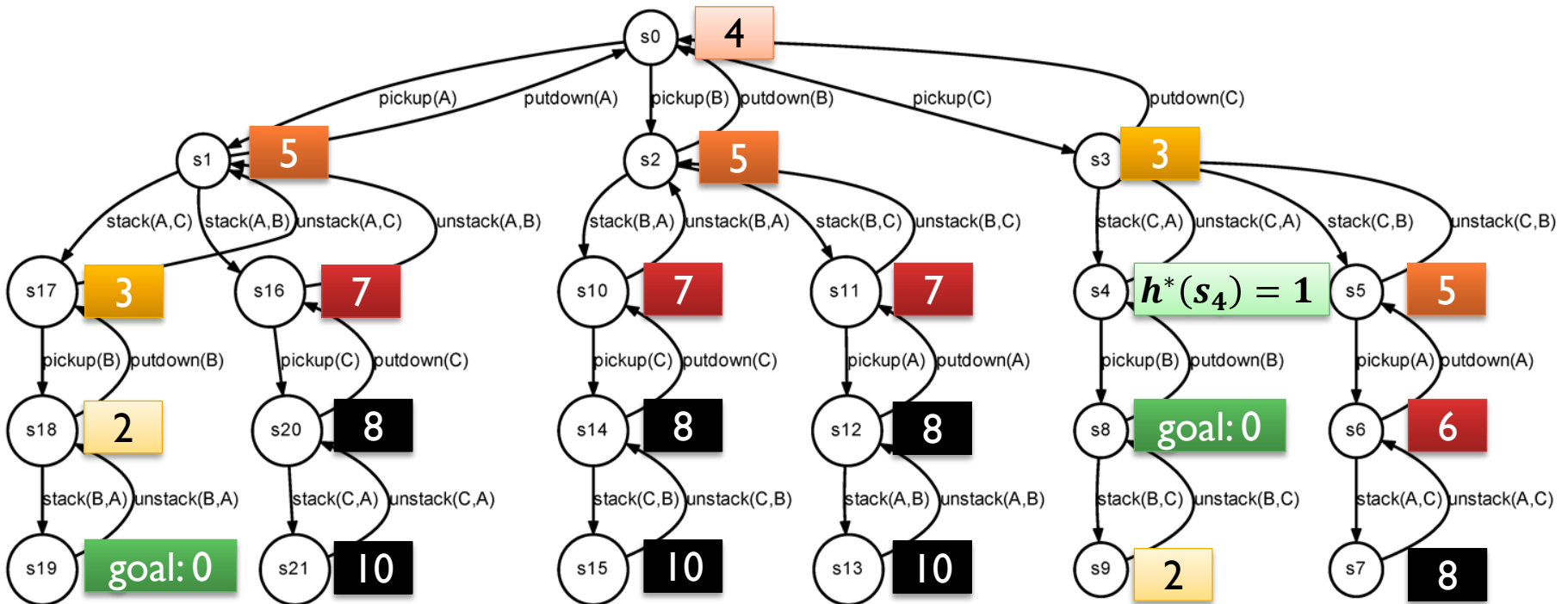
Initially: A,B,C on the table  
pickup, putdown cost 1  
stack, unstack cost 2 (must be more careful)



# True Remaining Costs (2)

## True Cost of Reaching a Goal: $h^*(n)$

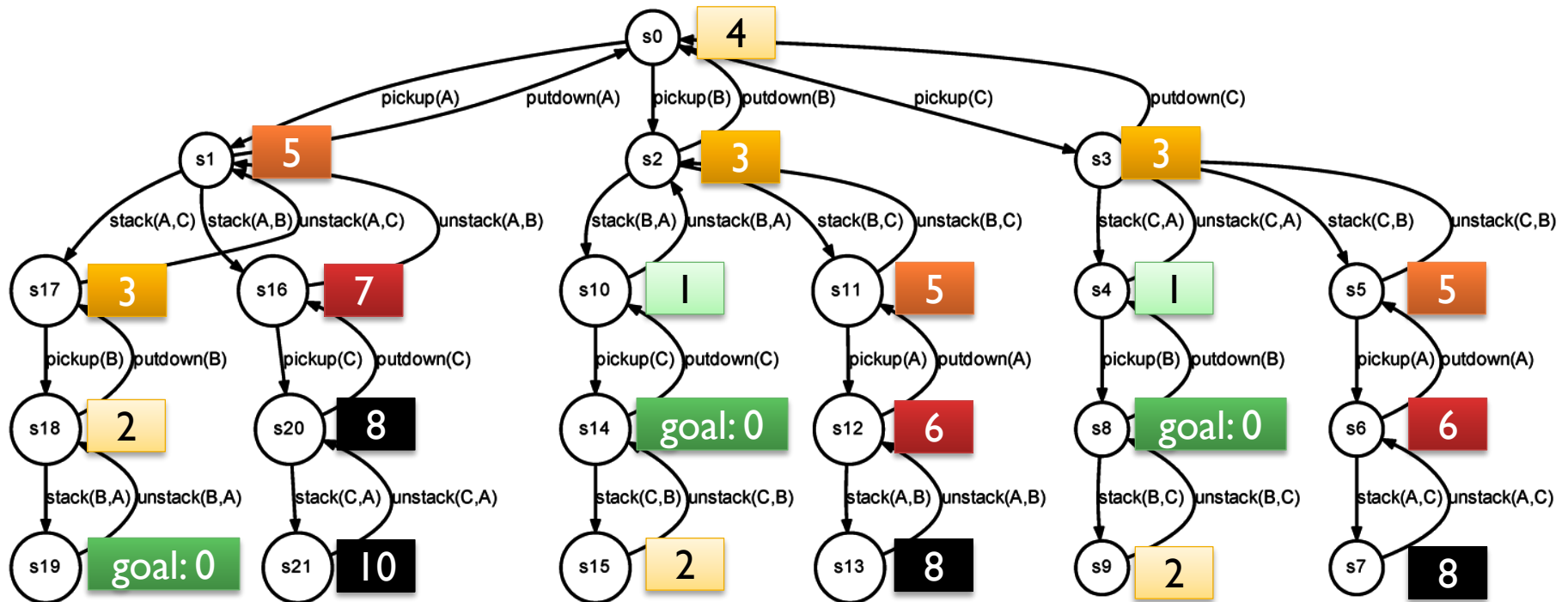
Two reachable goal states



# True Remaining Costs (3)

## True Cost of Reaching a Goal: $h^*(n)$

Three reachable goal states  
(there can be many)



# True Remaining Costs (4)

If we *knew* the true remaining cost  $h^*(n)$  for every node:

**Algorithm simplePlan:**

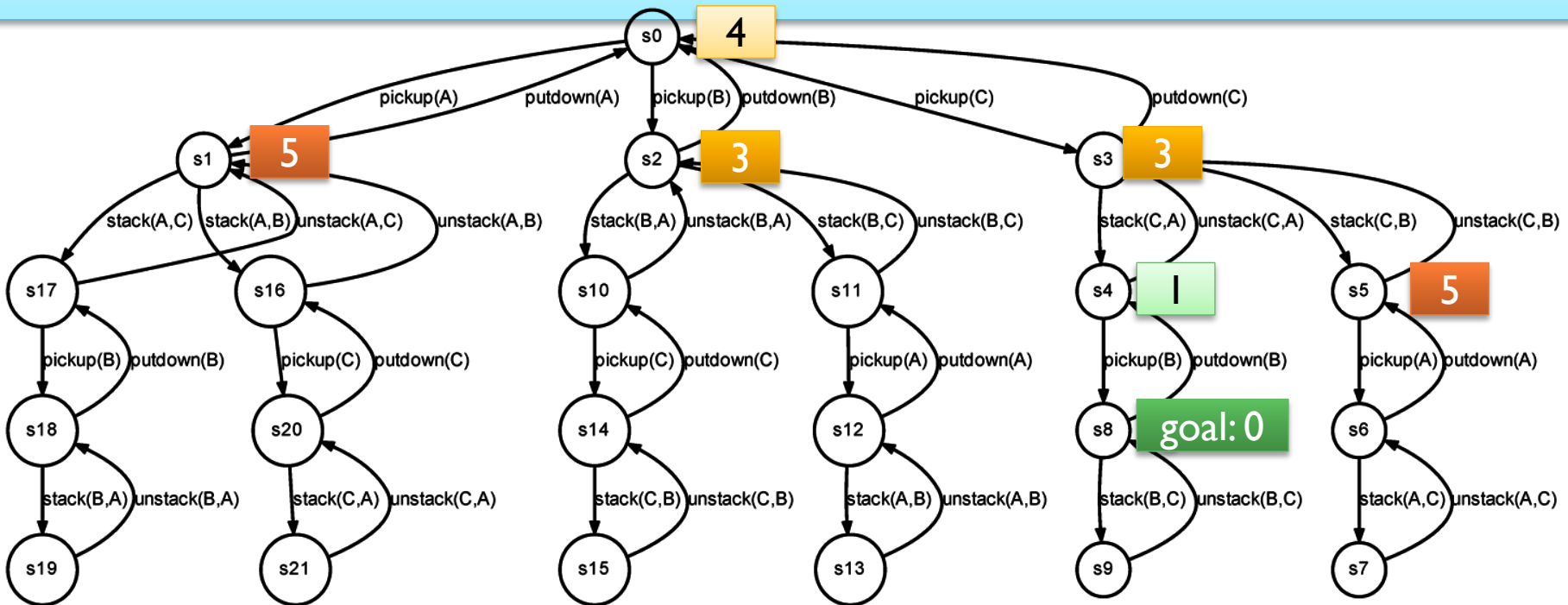
$node \leftarrow \text{initstate}$

**while** (not reached goal) {

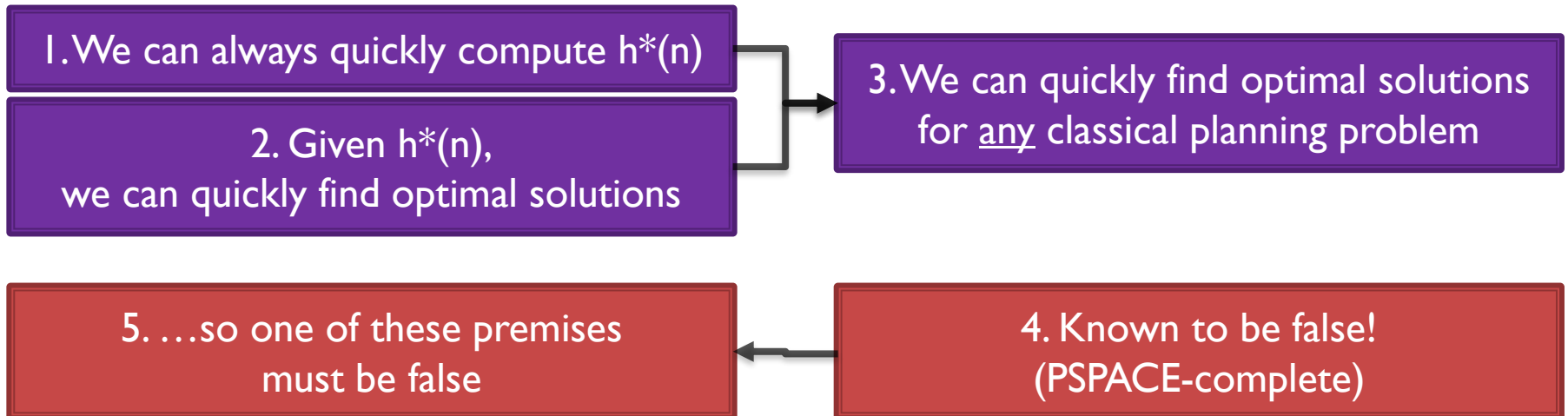
$node \leftarrow$  a successor of  $node$  with minimal  $h^*(n)$

}

Trivial straight-line path  
minimizing  $h^*$  values  
gives an *optimal* solution!



- What does this mean?
  - Calculating  $h^*(n)$  is a good idea, because then we can easily find optimal plans?
- No – because we can prove that finding optimal plans is hard!
  - So calculating  $h^*(n)$  *must* be hard as well...



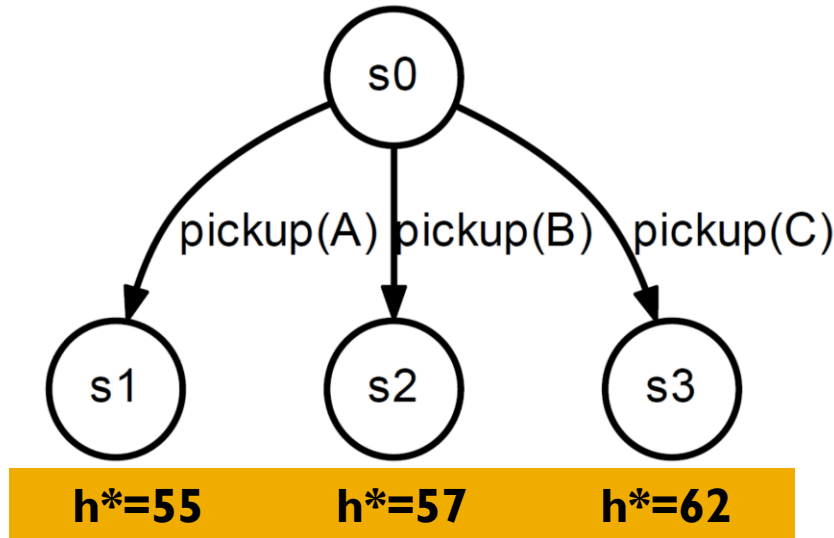
Must settle for an estimate that helps us search less than otherwise



**Heuristic Functions:  
What properties should an estimate have?**

# Minimization: Intro

**Strategy:** Depth first search; select a child with minimal  $h(s)$

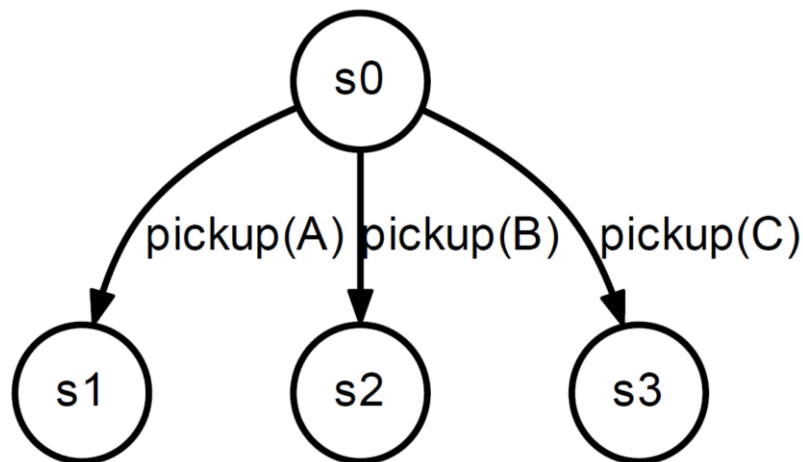


If I start with pickup(A),  
then make optimal choices:  
Plan cost = 55

If I start with pickup(C),  
then make optimal choices:  
Plan cost = 62

# Minimization, case 1

**Strategy:** Depth first search; select a child with minimal  $h(s)$



$h^*=55$	$h^*=57$	$h^*=62$
$hA=50$	$hA=53$	$hA=55$
$hB=4$	$hB=20$	$hB=21$

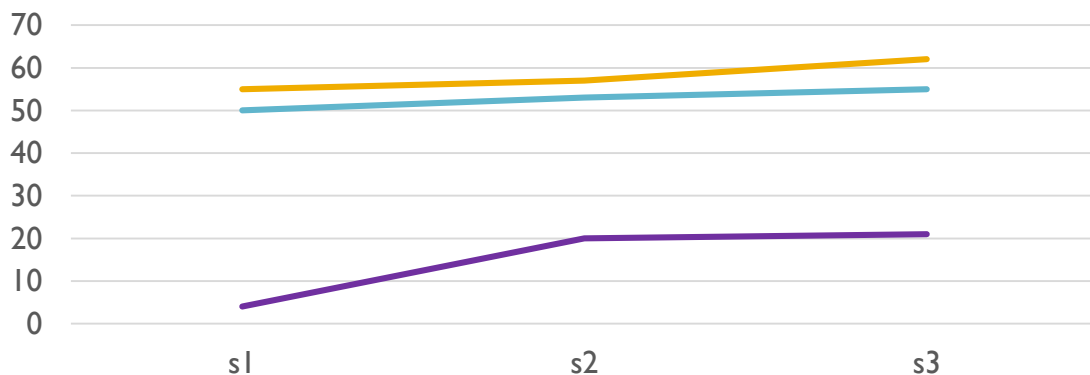
**Which is best?**

The strategy only cares about relative values

$h^*$ ,  $hA$ ,  $hB$  result in identical choices:  $s_1$  first!

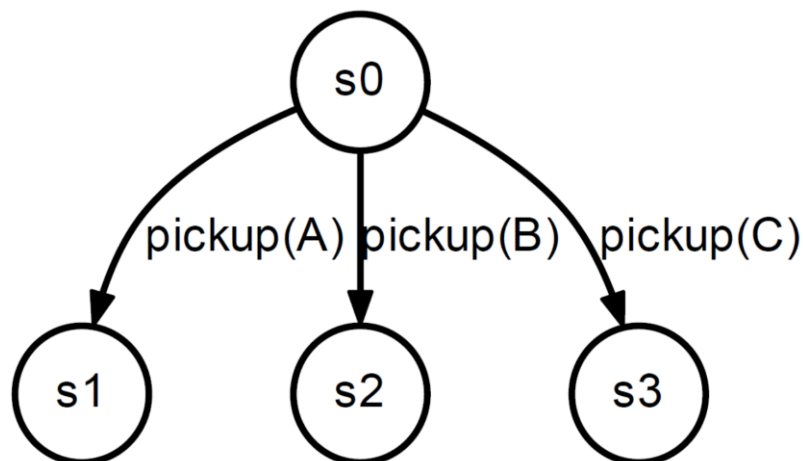
Close!

Far from the truth...



# Minimization, case 2

**Strategy:** Depth first search; select a child with minimal  $h(s)$



$h^*=55$	$h^*=57$	$h^*=62$
$h_A=50$	$h_A=53$	$h_A=55$
$h_B=107$	$h_B=258$	$h_B=522$

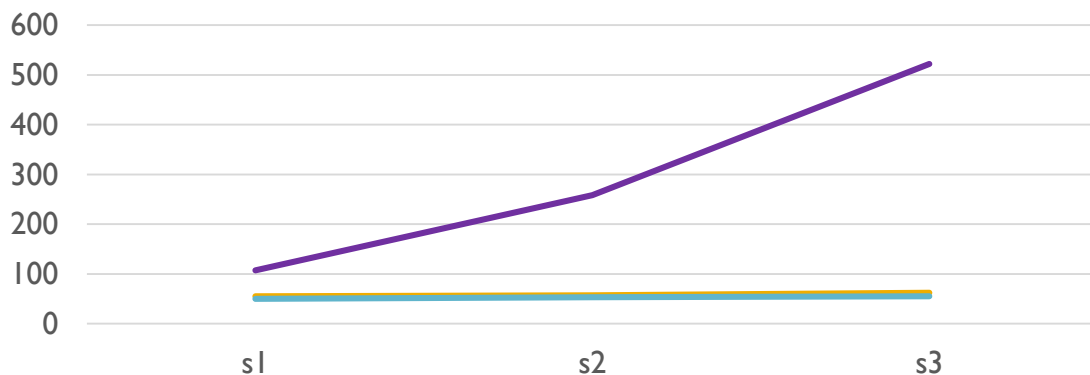
**Which is best?**

The strategy only cares about relative values

$h^*$ ,  $h_A$ ,  $h_B$  result in identical choices:  $s_1$  first!

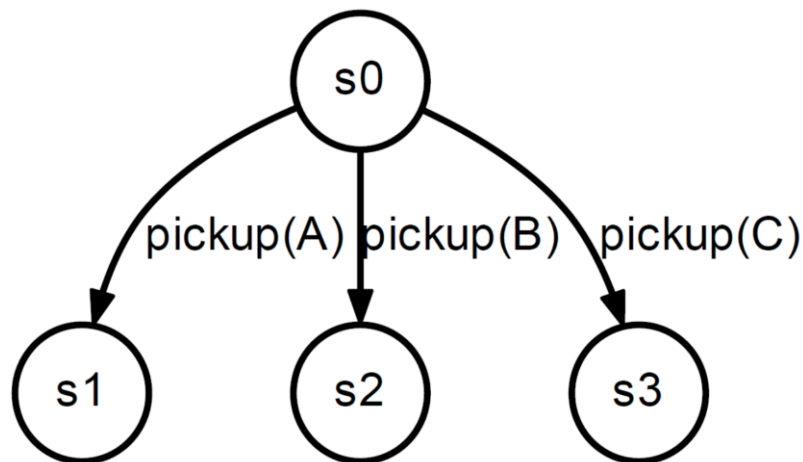
Close!

Large overestimate!

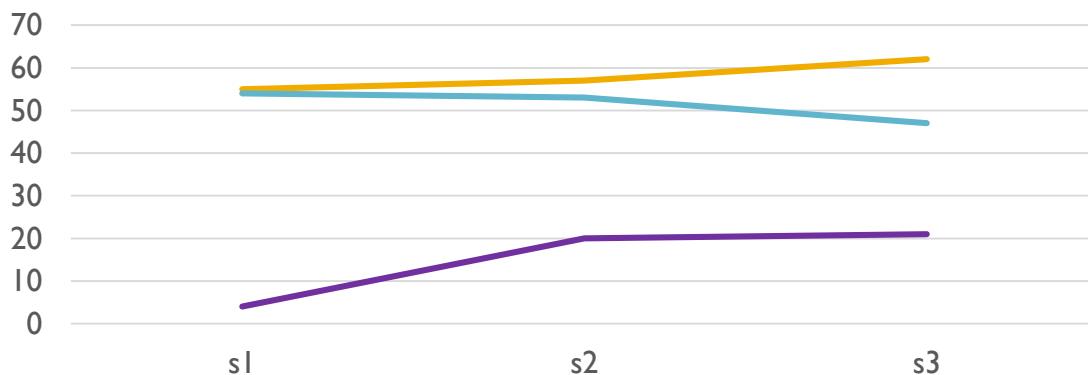


# Minimization, case 3

**Strategy:** Depth first search; select a child with minimal  $h(s)$



$h^*=55$	$h^*=57$	$h^*=62$
$hA=54$	$hA=53$	$hA=47$
$hB=4$	$hB=20$	$hB=21$



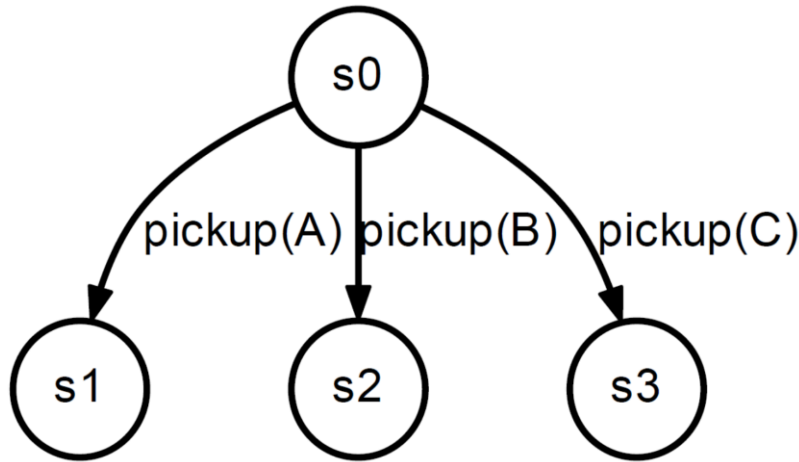
**Which is best?**

$h^*$  and  $hB$  result in identical choices

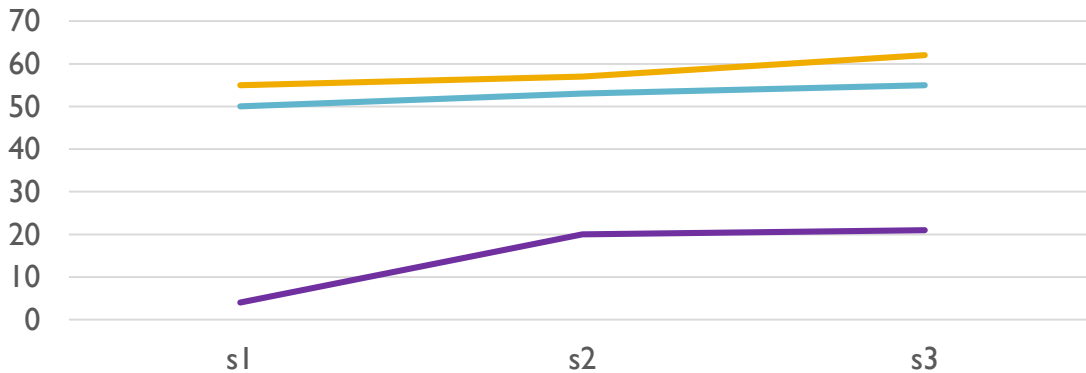
$hA$  is worse, despite being closer to  $h^*$ :  
Results in  $s_3$  first

Even if we continue optimally,  
cost  $\geq 62!$

Back to case 1 – but suppose the strategy is A\*



<b><math>h^*=55</math></b>	<b><math>h^*=57</math></b>	<b><math>h^*=62</math></b>
<b><math>hA=50</math></b>	<b><math>hA=53</math></b>	<b><math>hA=55</math></b>
<b><math>hB=4</math></b>	<b><math>hB=20</math></b>	<b><math>hB=21</math></b>

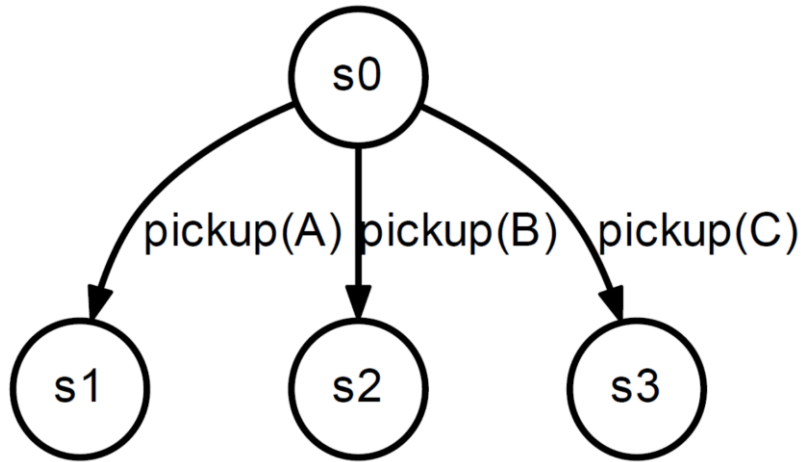


**Which is best?**

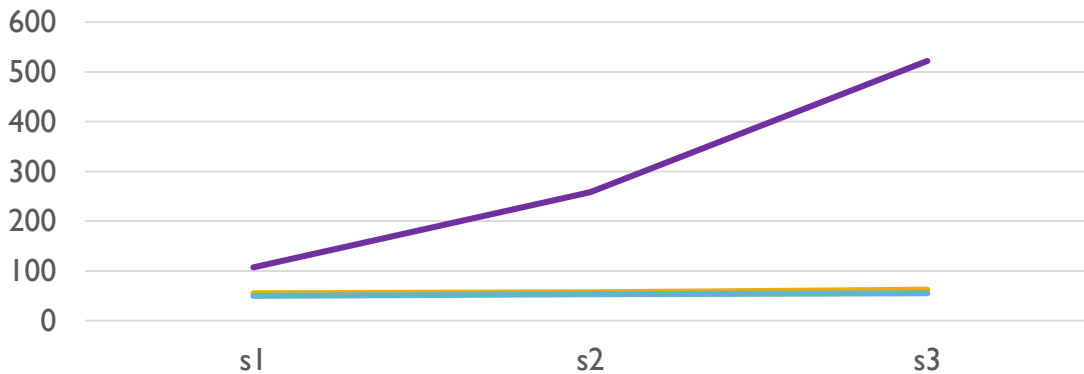
**A\* expands all nodes where  $g(s) + h(s) \leq \text{optcost}$**

**As long as  $h$  is admissible [ $\forall s: h(s) \leq h^*(s)$ ], increasing it is always better**

## Case 2: Suppose the strategy is A\*



<b><math>h^*=55</math></b>	<b><math>h^*=57</math></b>	<b><math>h^*=62</math></b>
<b><math>hA=50</math></b>	<b><math>hA=53</math></b>	<b><math>hA=55</math></b>
<b><math>hB=107</math></b>	<b><math>hB=258</math></b>	<b><math>hB=522</math></b>

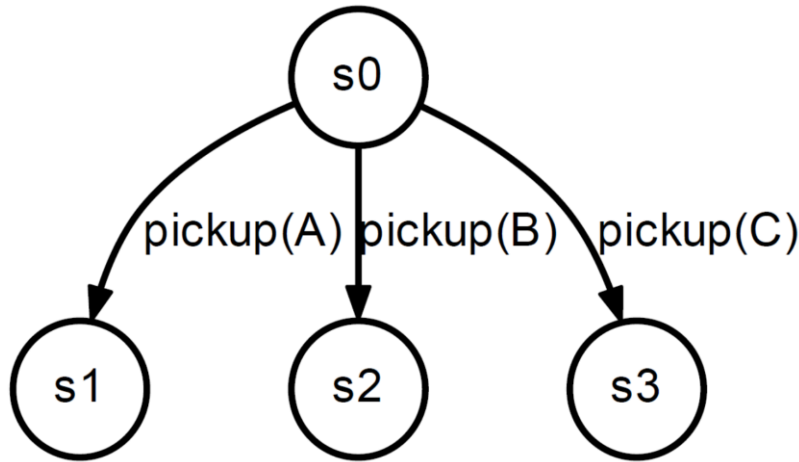


### Which is best?

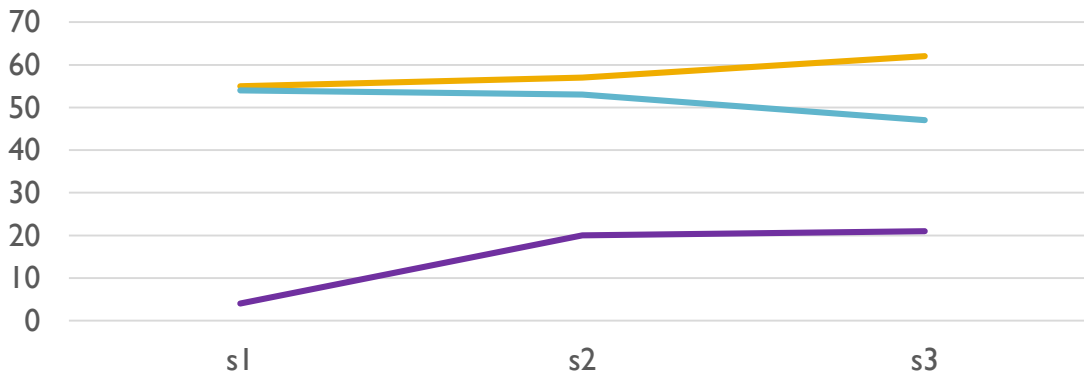
**A\* expands all nodes where  $g(s) + h(s) \leq \text{optcost}$**

**Because  $hB$  is not admissible, optimal solutions may be missed!**

## Case 3: Suppose the strategy is A\*



<b><math>h^*=55</math></b>	<b><math>h^*=57</math></b>	<b><math>h^*=62</math></b>
<b><math>h_A=54</math></b>	<b><math>h_A=53</math></b>	<b><math>h_A=47</math></b>
<b><math>h_B=4</math></b>	<b><math>h_B=20</math></b>	<b><math>h_B=21</math></b>



### Which is best?

**A\*** expands all nodes where  $g(s) + h(s) \leq \text{optcost}$

As long as  $h(s)$  is admissible [ $h(s) \leq h^*(s)$ ], increasing it is always better  
 $h_A$  better than  $h_B$



# Two Requirements for Heuristic Guidance



- Heuristic planners must consider **two** requirements

Define a **search strategy**  
able to take guidance into account

**Examples:**

A\* uses a heuristic function  
Hill-climbing uses a heuristic... differently!

Find a **heuristic function**  
suitable for **the selected strategy**

**Example:**

Find a heuristic function  
suitable specifically for A\* or hill-climbing

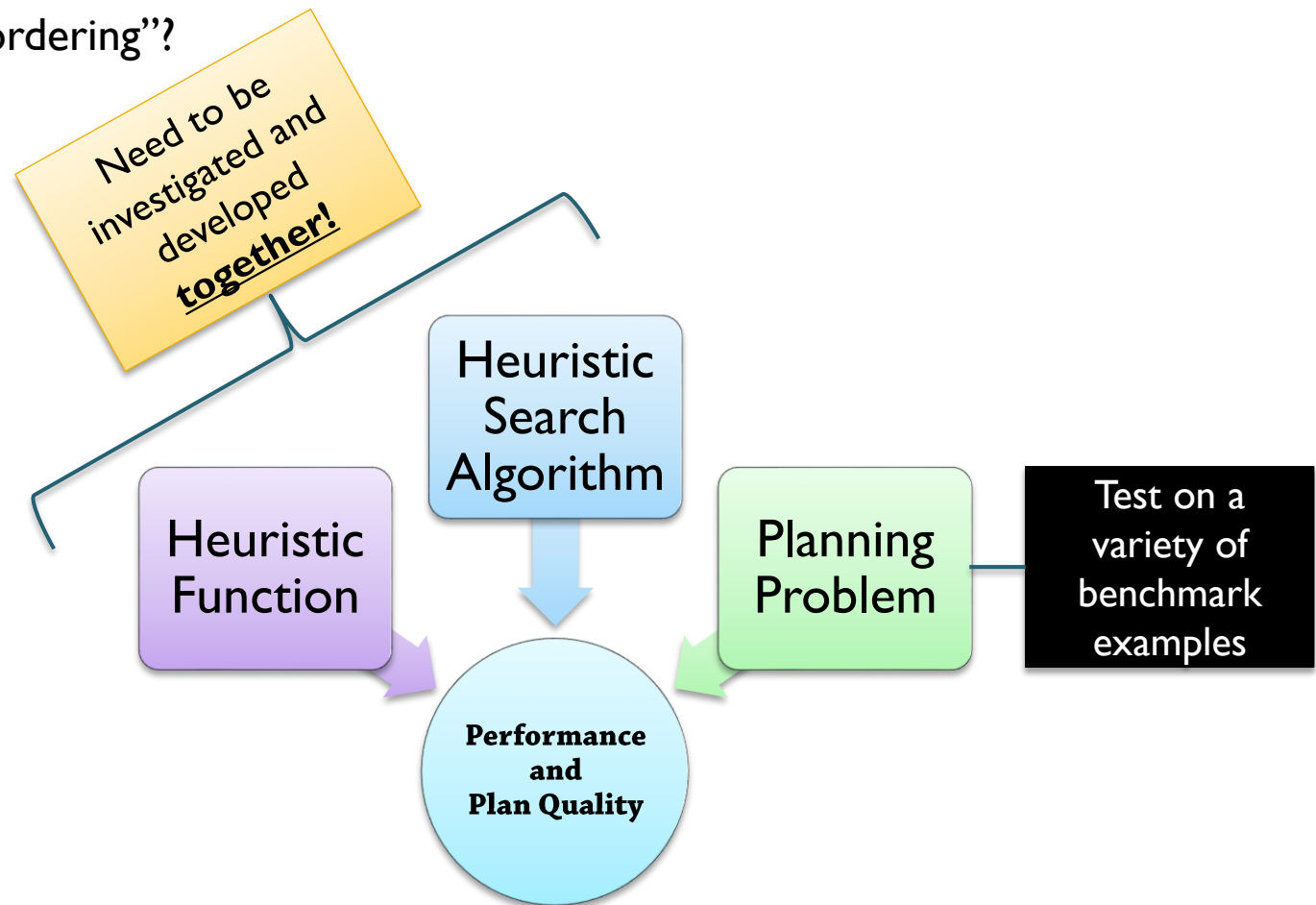
Can be **domain-specific**,  
given as input in the planning problem

Can be **domain-independent**,  
generated automatically by the planner  
given the problem domain

**We will consider both – heuristics more than strategies**

# Some Desired Properties (1)

- What properties do **good heuristic functions** have?
  - **Informative**: Provide good guidance to the specific search strategy we use
    - Close to  $h^*(n)$ ?
    - Correct "ordering"?
    - ...



# Some Desired Properties (2)

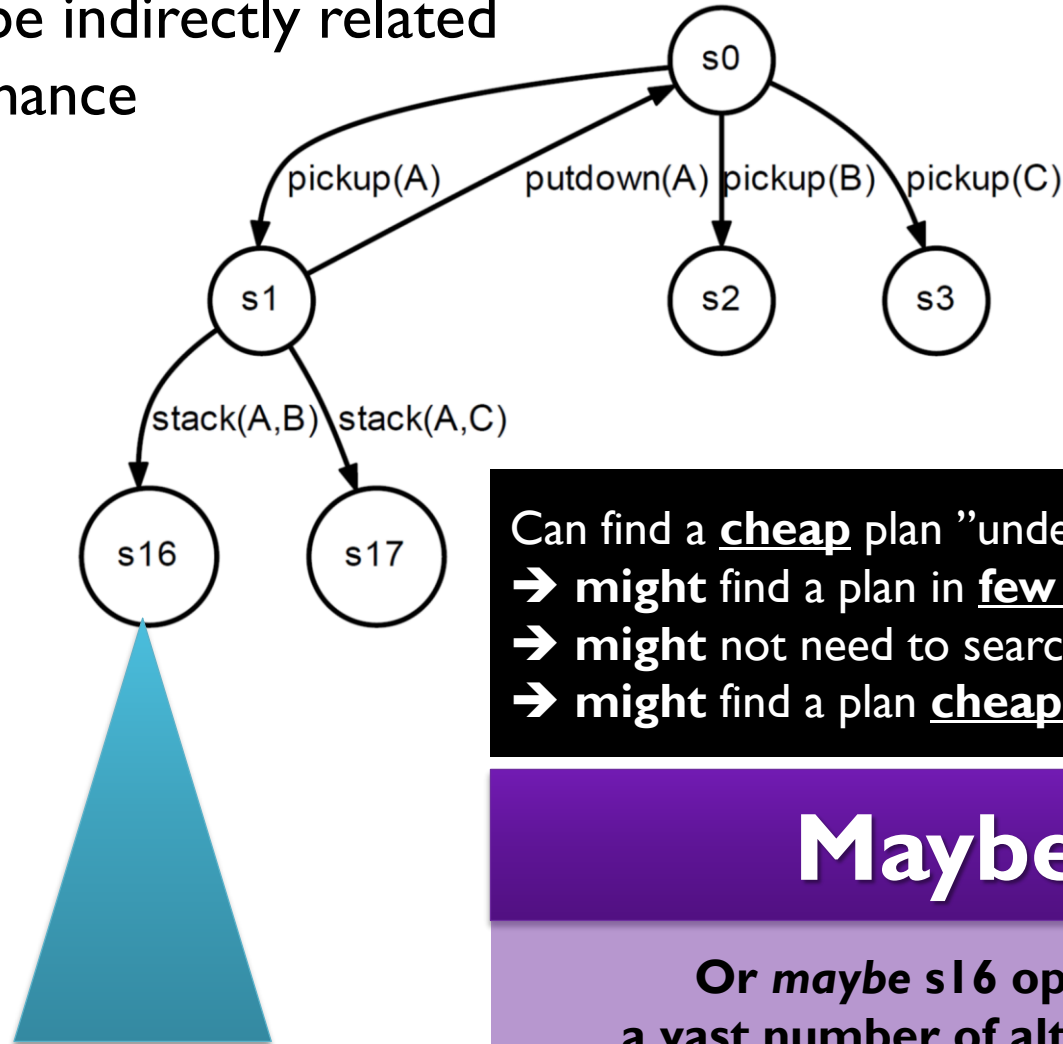
- What properties do **good heuristic functions** have?
  - **Efficiently computable!**
    - Spend as little time as possible deciding which nodes to expand
  - **Balanced...**
    - Many planners spend almost all their time calculating heuristics
    - But: Don't spend more time computing  $h$  than you gain by expanding fewer nodes!
    - Illustrative (made-up) example:

Heuristic quality	Nodes expanded	Expanding one node	Calculating $h$ for one node	Total time
Worst	100000	100 $\mu$ s	1 $\mu$ s	10100 ms
Better	20000	100 $\mu$ s	10 $\mu$ s	2200 ms
...	5000	100 $\mu$ s	100 $\mu$ s	1000 ms
...	2000	100 $\mu$ s	1000 $\mu$ s	2200 ms
...	500	100 $\mu$ s	10000 $\mu$ s	5050 ms
Best	200	100 $\mu$ s	100000 $\mu$ s	20020 ms

# Speed vs. Cost

# Cheap Plans, Found Cheaply?

- Cost can be indirectly related to performance



Can find a **cheap** plan "under"  $s_{16}$   
→ might find a plan in **few steps**  
→ might not need to search so many nodes  
→ might find a plan **cheaply**

**Maybe!**

Or *maybe* s16 opens up a vast number of alternatives, so finding a solution takes more time...

# Prioritizing Speed or Plan Cost



Can design strategies to prioritize speed or plan cost

Find a solution quickly

Expand nodes where you think you can easily find a way to a goal node

Should prefer

Accumulated plan cost 50,  
estimated "cost distance" to goal 10

Find a good solution

Expand nodes where you think you can find a way to a good (high quality) solution, even if finding it will be difficult

Should prefer

Accumulated plan cost 5  
estimated "cost distance" to goal 30

Often one strategy+heuristic can achieve *both* reasonably well, but for optimum performance, the distinction can be important!

**A Simple  
Domain-Independent Heuristic  
and Search Strategy**

# Heuristics given Structured States

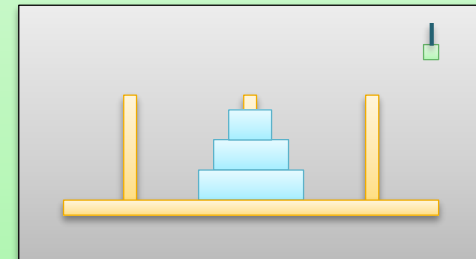
- In planning, we often want domain-independent heuristics
  - Should work for any planning domain – how?
- Take advantage of structured high-level representation!

## ■ Plain state transition system

- We are in state 572,342,104,485,172,012
- The goal is to be in one of the  $10^{47}$  states in  $S_g = \{ s[482,293], s[482,294], \dots \}$
- Should we try action A297,295,283,291 leading to state 572,342,104,485,172,016?
- Or maybe action A297,295,283,292 leading to state 572,342,104,485,175,201?

## ■ Classical representation

- We are in a state where disk 1 is on top of disk 2
- The goal is for all disks to be on peg C
- Should we try take(B), leading to a state where we are holding disk 1?
- ...





# An Intuitive Heuristic

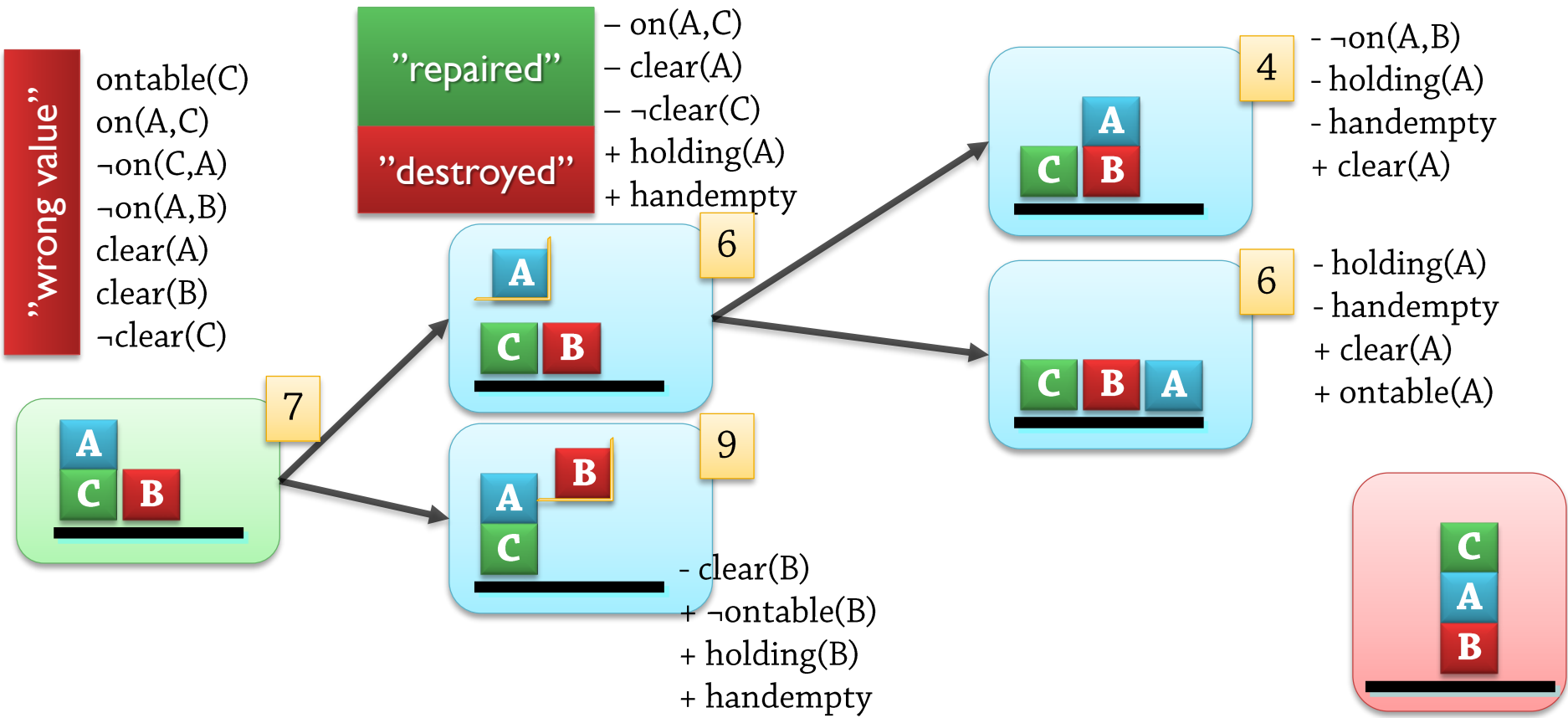


- An intuitive heuristic:
  - Number of steps required to reach the goal from  $s$  should be ***approximately proportional to*** how many goal requirements are not yet achieved in  $s$
- An associated search strategy:
  - Suppose we want to *minimize planning time*
  - Choose an open node with a *minimal* number of remaining goal facts to achieve

# Counting Remaining Goals

- **Count** the number of facts that are “wrong”
  - Requires that *states and goals are sets of facts*
  - No

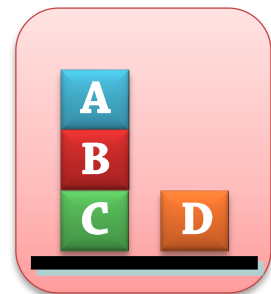
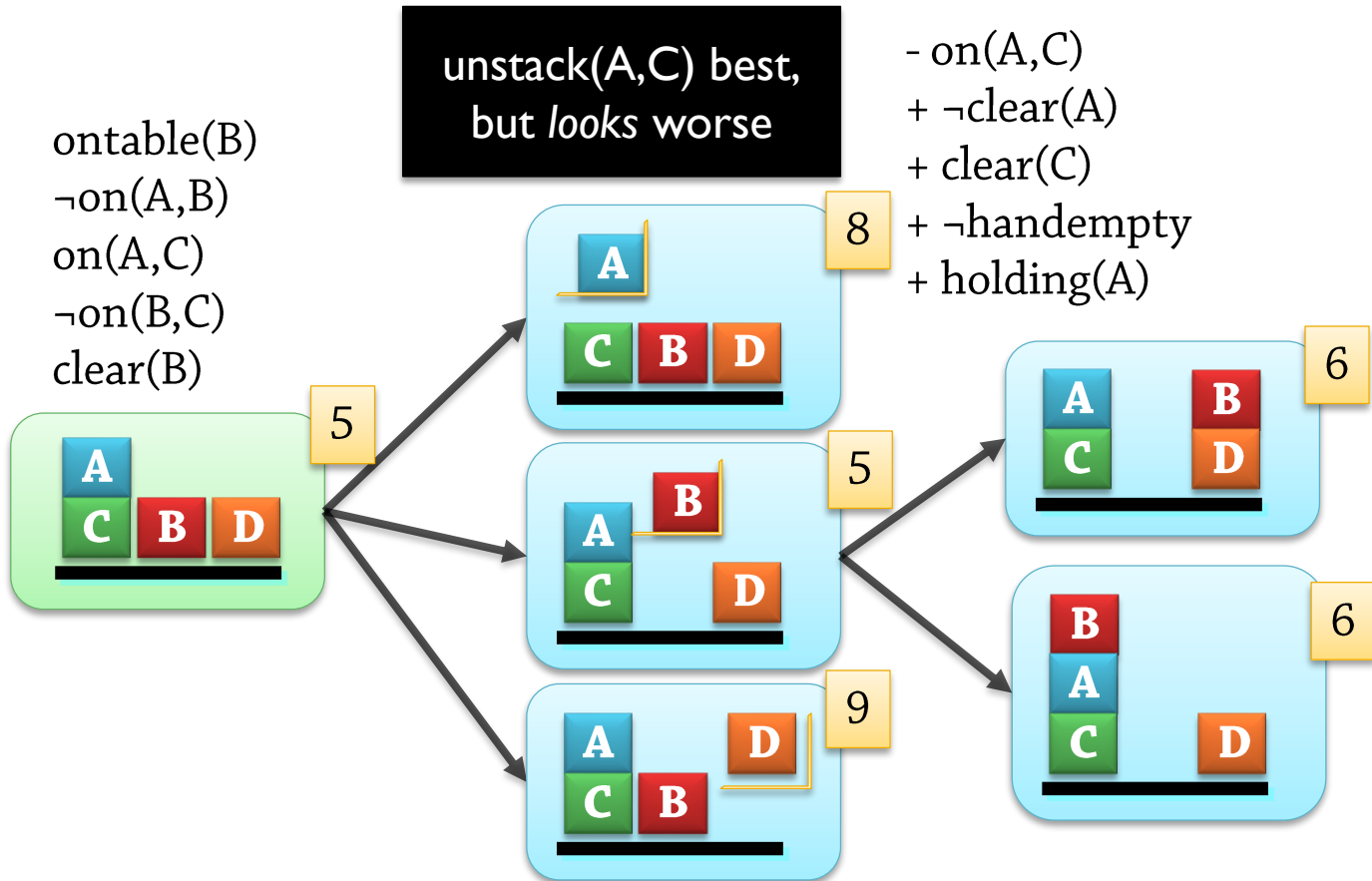
**Optimal:**  
 unstack(A,C)  
 stack(A,B)  
 pickup(C)  
 stack(C,A)



# Counting Remaining Goals (2)

- A perfect solution? No!
  - We must often "unachieve" individual goal facts to get closer to a goal state!

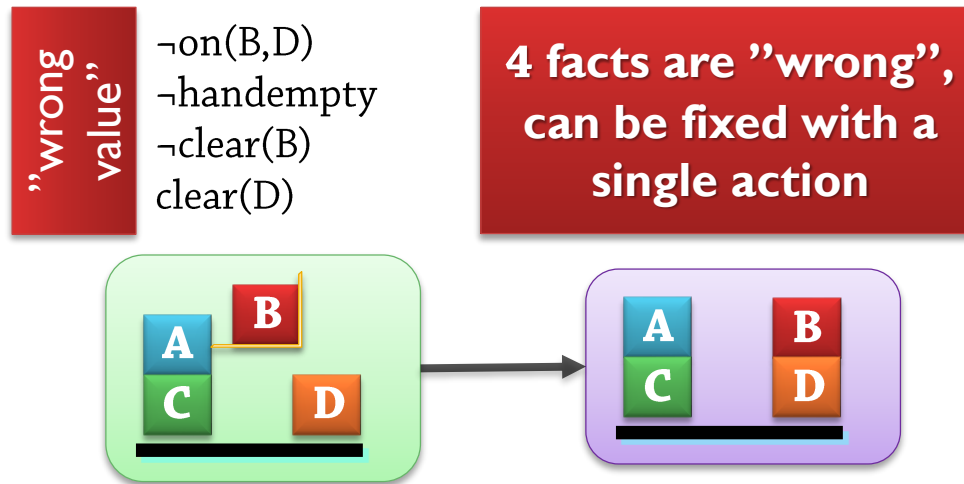
**Optimal:**  
`unstack(A,C)`  
`putdown(A)`  
`pickup(B)`  
`stack(B,C)`  
`pickup(A)`  
`stack(A,B)`



# Counting Remaining Goals (3)

- Admissible?

- No!
- (Doesn't matter in our chosen search strategy)



- Can we make it admissible?

- Yes: Divide by the maximum number of facts modified by any action

# Counting Remaining Goals (4)

## ■ Informative?

- Facts to add: **on(I,J)**
- Facts to remove: **ontable(I), clear(J)**
- Heuristic value of **3** – but is it close to the goal?



**Don't worry:**  
At least we know that heuristics *can* be *domain-independent!*

# Counting Remaining Goals (5): Analysis



- What we see from this example...
  - Not very much: **All heuristics have weaknesses!**

Even the **best planners** will make “strange” choices, visit **tens, hundreds** or even **thousands** of “unproductive” nodes for every action in the final plan

The heuristic should make sure we don't need to visit **millions, billions** or even **trillions** of “unproductive” nodes for every action in the final plan!

- But a thorough empirical analysis *would* tell us:
  - **This** heuristic is **far** from sufficient!

- Planning Competition 2011: Elevators domain, problem 1
  - A\* with goal count heuristics
    - States: 108922864 generated, gave up
  - LAMA 2011 planner, good heuristics, other strategy:
    - Solution: 79 steps, 369 cost
    - States: 13236 generated, 425 evaluated/expanded
- Elevators, problem 5
  - LAMA 2011 planner:
    - Solution: 112 steps, 523 cost
    - States: 41811 generated, 1317 evaluated/expanded
- Elevators, problem 20
  - LAMA 2011 planner:
    - Solution: 354 steps, 2182 cost
    - States: 1364657 generated, 14985 evaluated/expanded

## Important insight:

Even a state-of-the-art planner can't go *directly* to a goal state!

Generates *many* more states than those actually on the path to the goal...

# Search Strategies and Heuristics for Optimal Forward State Space Planning



# A Well Known Heuristic Search Algorithm: A\*

Used in many optimal planners

## ■ Dijkstra vs. A\*: The essential difference

### Dijkstra

- Selects from *open* a node  $n$  with minimal  $g(n)$ 
  - Cost of reaching  $n$  from initial node

**Uninformed (blind)**

### A\*

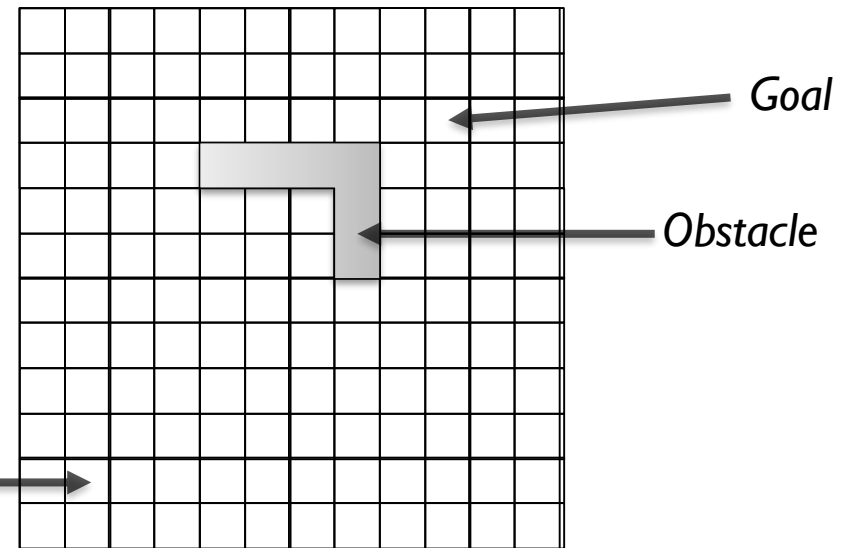
- Selects from *open* a node  $n$  with minimal  $g(n) + h(n)$ 
  - + underestimated cost of reaching a goal from  $n$

**Informed**

## ■ Example:

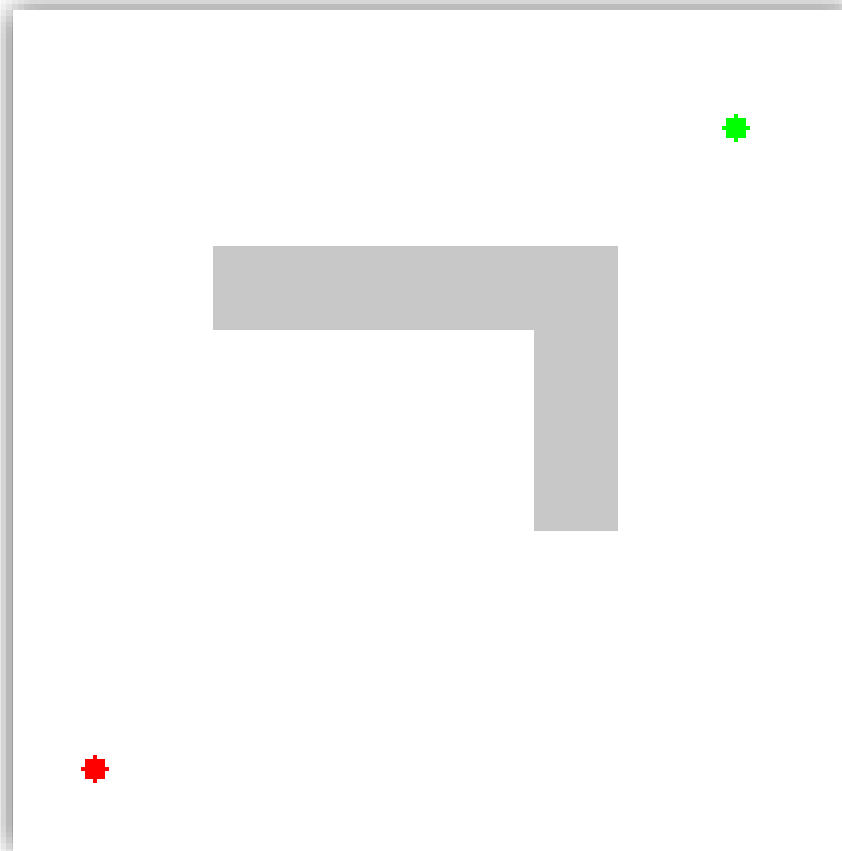
- **Hand-coded** heuristic function
- Can move diagonally →  
 $h(n) = \text{Chebyshev distance}$   
from  $n$  to goal =  
 $\max(\text{abs}(n.x - \text{goal}.x), \text{abs}(n.y - \text{goal}.y))$
- Related to **Manhattan Distance** =  
 $\text{sum}(\text{abs}(n.x - \text{goal}.x), \text{abs}(n.y - \text{goal}.y))$

Start →



# A\* (2)

- A\* Search:

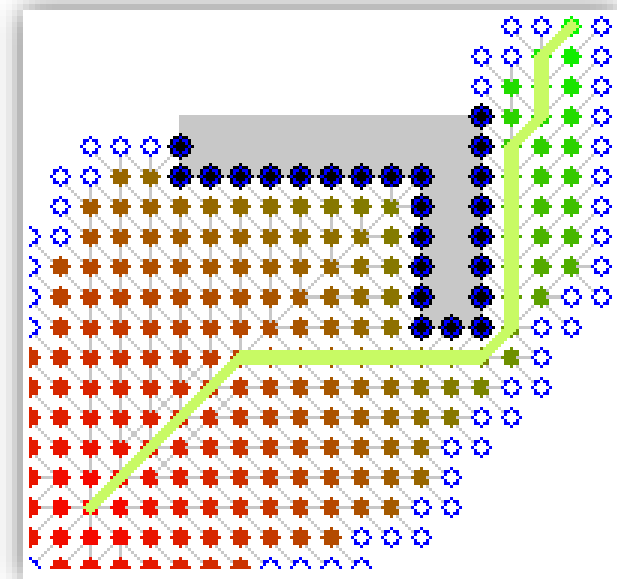


**Here:**  
A single  
physical obstacle

**In general:**  
Many states where  
all available actions  
will increase  $g+h$   
(cost + heuristic)

Investigate *all* states  
where  $g+h=15$ ,  
then all states  
where  $g+h=16, \dots$

- Given an admissible heuristic  $h$ , A\* is optimal in two ways
  - Guarantees an optimal plan
  - Expands the minimum number of nodes required to *guarantee optimality* with the given heuristic
- Still expands many "unproductive" nodes in the example
  - Because the heuristic is not perfectly informative
    - Even though it is hand-coded
    - Does not take obstacles into account
  - If we knew  $h^*(n)$ :
    - Expand optimal path to the goal



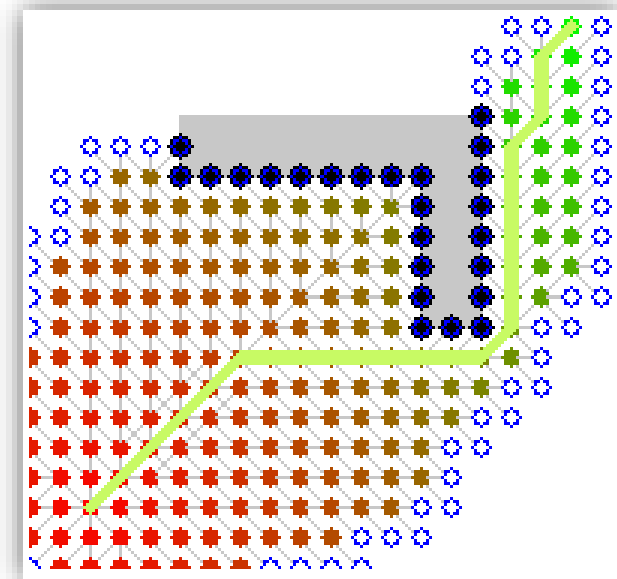
- What is an **informative** heuristic for A\*?
  - Basic requirement: **Must be admissible**  $\rightarrow \forall n. h(n) \leq h^*(n)$
  - As always,  $h(n) = h^*(n)$  would be perfect – but not attainable...
  - As indicated before: The *closer*  $h(n)$  is to  $h^*(n)$ , the *better*
    - Suppose **hA** and **hB** are both **admissible**
    - Suppose  **$\forall n. hA(n) \geq hB(n)$** : hA is at least close to true costs as hB
    - Then A\* with hA *cannot* expand more nodes than A\* with hB

## Problem

Given an **arbitrary** planning problem

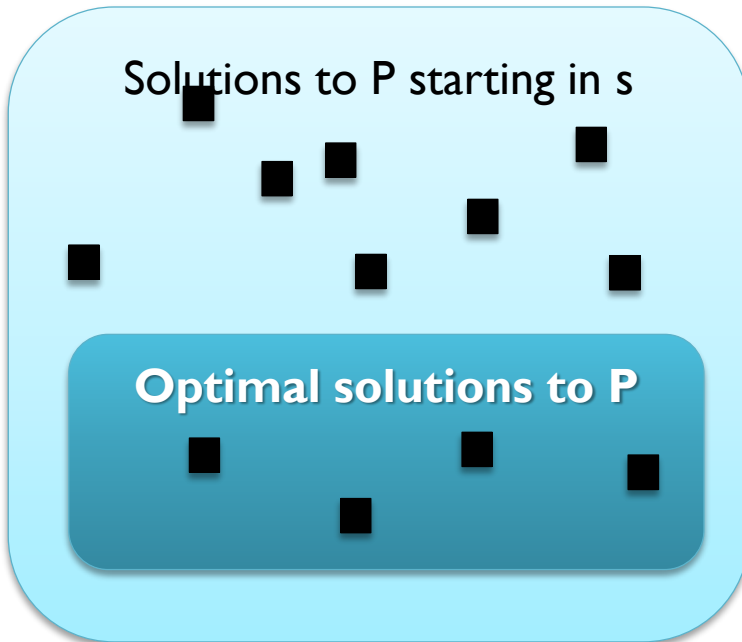
$$P = (\Sigma, s_0, g),$$

**find** an admissible heuristic function  $h(s)$



**Creating Admissible Heuristic Functions:  
The General Relaxation Principle**

- For an arbitrary problem  $P$  and a state  $s$ , compute an admissible heuristic value  $h(s)$



$h(s) \leq h^*(s) = \text{cost of}$   
**optimal solution starting in state  $s$**

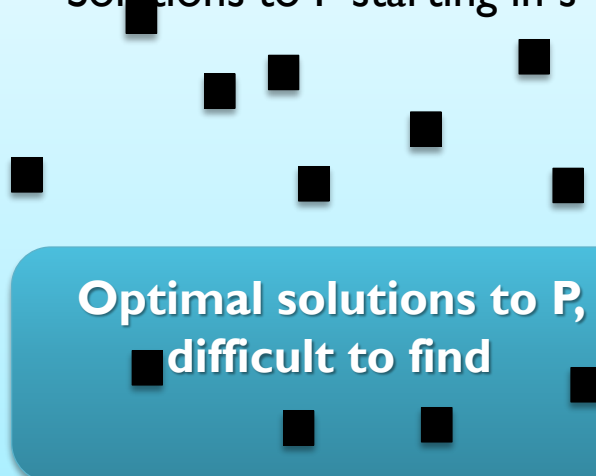
**Find optimal solution  $\pi$**   
**Return  $h(s) = h^*(s) = \text{cost}(\pi)$**   
**→ Correct but not practical**

# Fundamental ideas (2)

- For an **arbitrary** problem  $P$  and a state  $s$ , **compute** an admissible heuristic value  $h(s)$

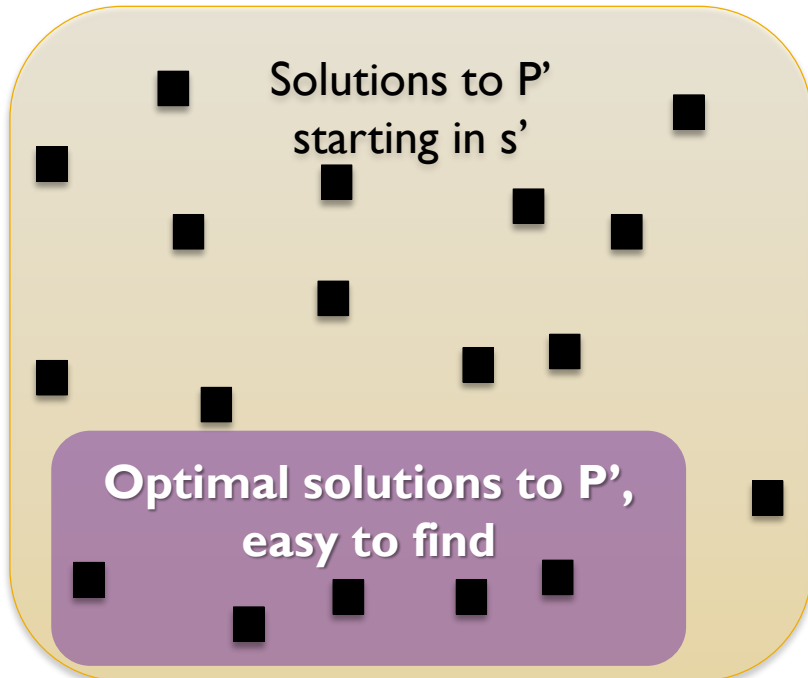
Transform  $\langle P, s \rangle$  into some problem/state  $\langle P', s' \rangle$  that we can **easily** solve optimally

Solutions to  $P$  starting in  $s$



Optimal solutions to  $P$ ,  
difficult to find

Solutions to  $P'$   
starting in  $s'$



Optimal solutions to  $P'$ ,  
easy to find

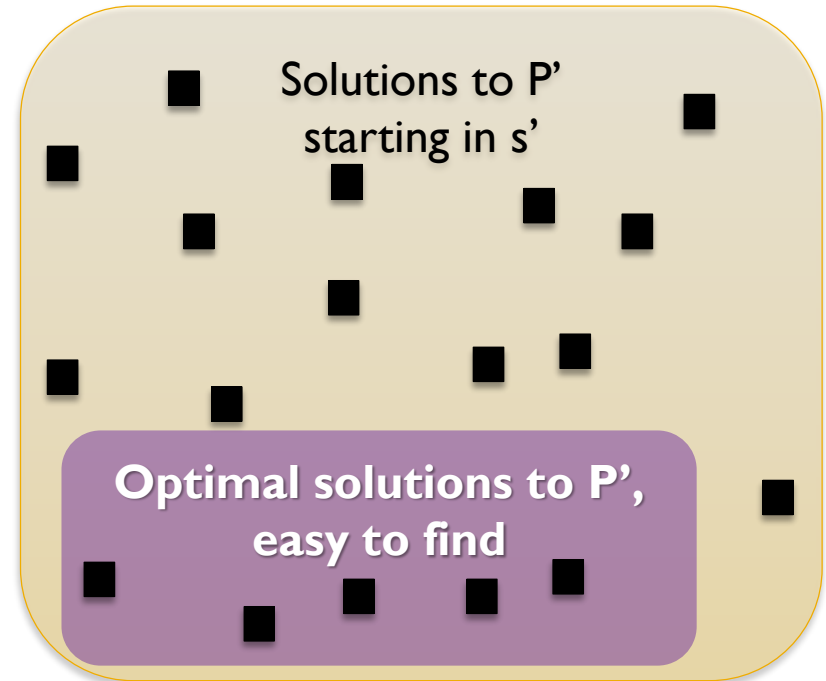
...ensuring  $\text{cost}(\text{optimal-solution}(P', s')) \leq \text{cost}(\text{optimal-solution}(P, s))$



# Fundamental ideas (3)

- For an arbitrary problem  $P$  and a state  $s$ , compute an admissible heuristic value  $h(s)$

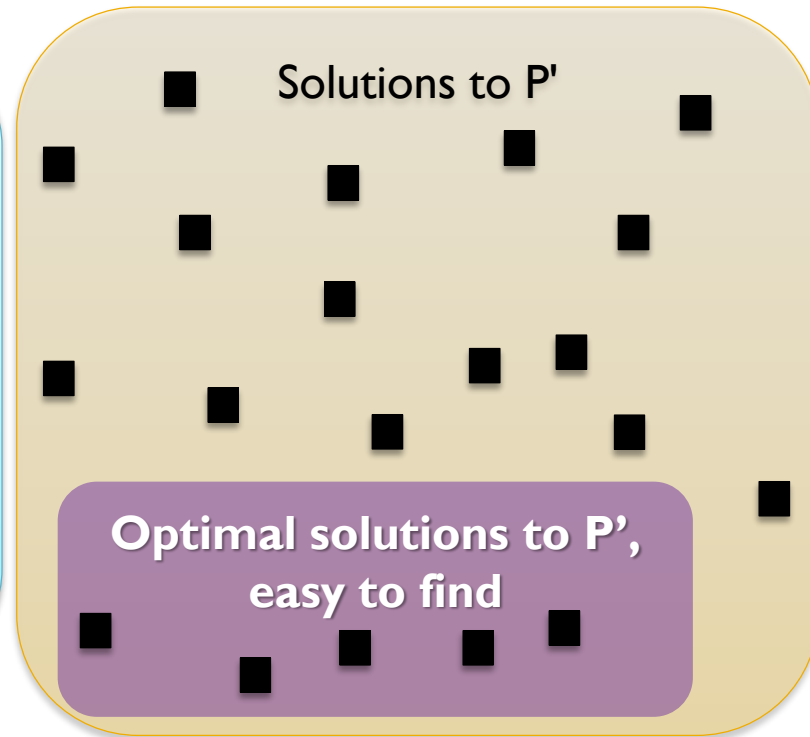
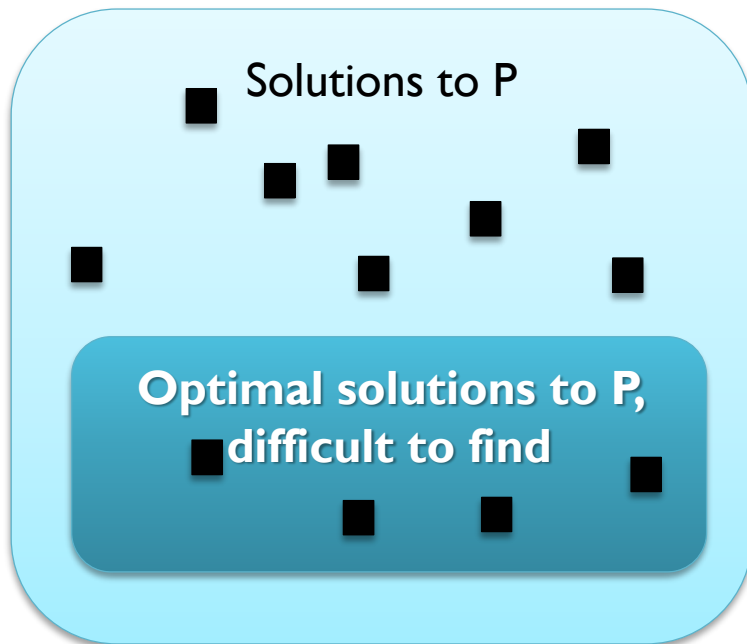
- Solve problem  $\langle P', s' \rangle$  optimally resulting in solution  $\pi$
- Let  $h(s) = \text{cost}(\pi)$



- We note:
  - $h(s) = \text{cost}(\pi) = \text{cost}(\text{optimal-solution}(P')) \leq \text{cost}(\text{optimal-solution}(P)) = h^*(s)$
  - $h(s)$  is admissible

# Fundamental ideas (4)

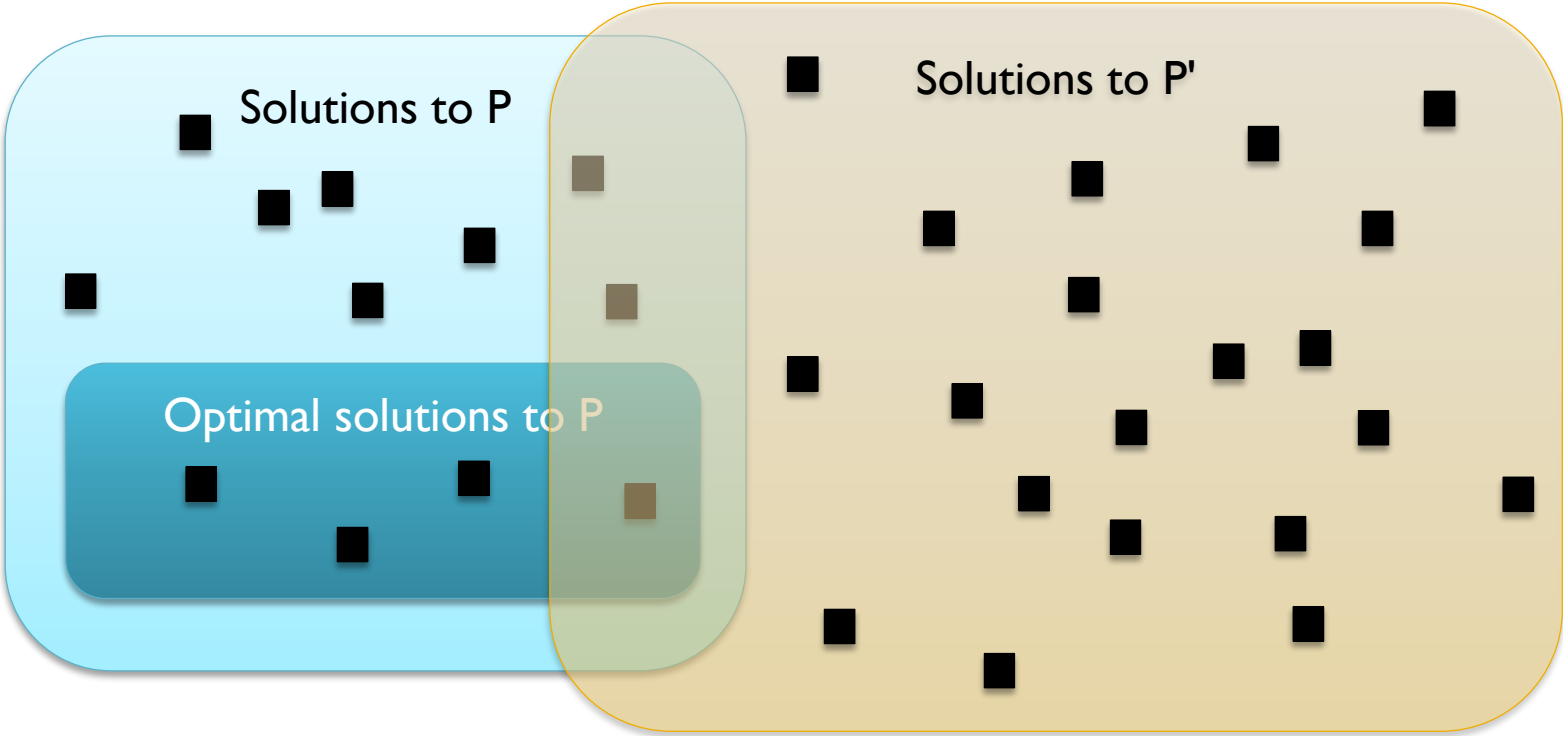
- Important:
  - What we **need**:  $\text{cost}(\text{optimal-solution}(P')) \leq \text{cost}(\text{optimal-solution}(P))$
  - **Could** be achieved using *completely disjoint* solution sets  
+ a proof that solutions to  $P'$  are cheaper



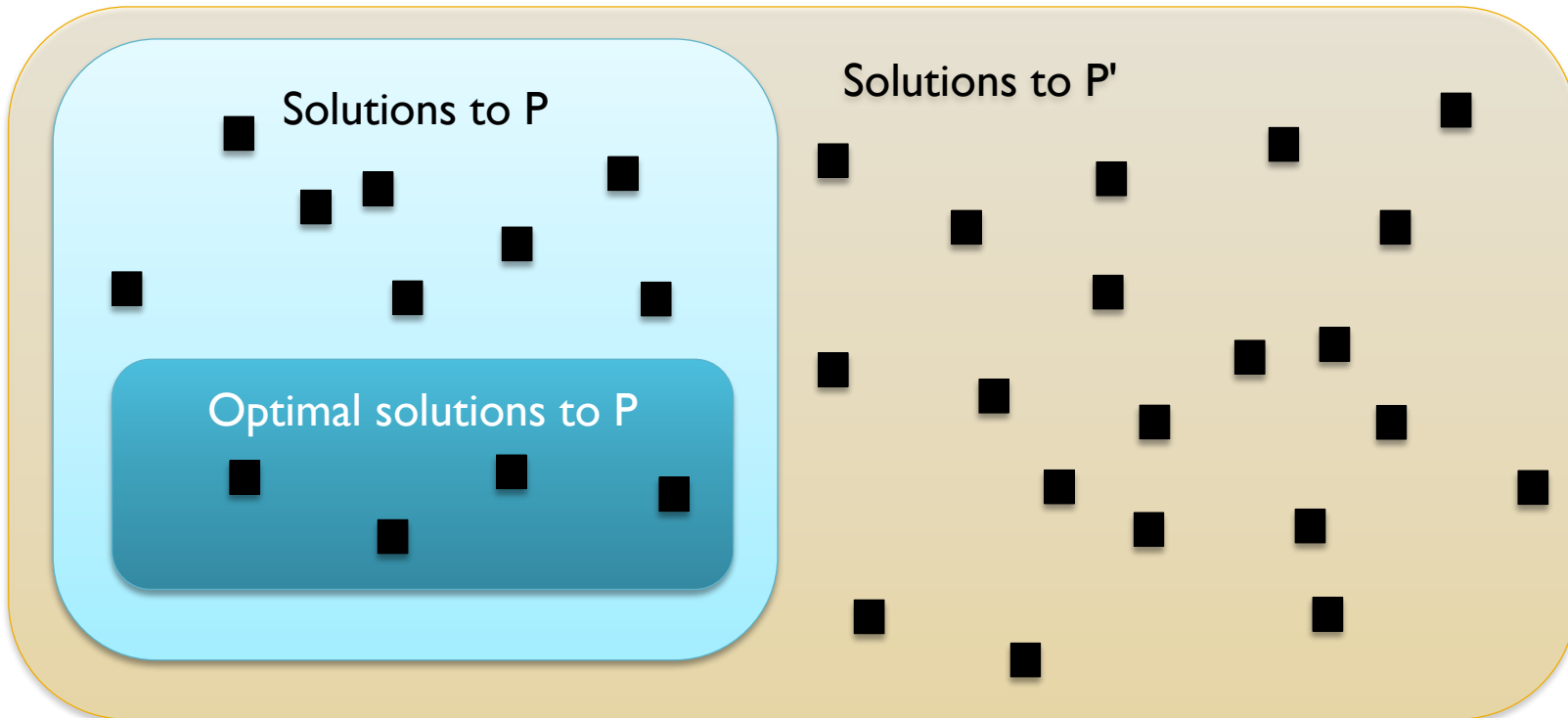
# Fundamental ideas (5)

- How to prove  $\text{cost}(\text{optimal-solution}(P')) \leq \text{cost}(\text{optimal-solution}(P))$ ?
  - Sufficient criterion: **One optimal solution** to P **remains** a solution for P'
    - $\text{cost}(\text{optimal-solution}(P')) = \min \{ \text{cost}(\pi) \mid \pi \text{ is any solution to } P' \} \leq \text{cost}(\text{optimal-solution}(P))$

Includes the optimal solutions to P,  
so  $\min \{ \dots \}$  cannot be greater



- A stronger criterion: **All solutions** to  $P$  **remain** solutions for  $P'$ 
  - **This** is called **relaxation**:  $P'$  is a relaxed version of  $P$
  - **Relaxes** the constraint on what is accepted as a solution:  
The **is-solution(plan)?** test is "expanded, relaxed" to cover additional plans



# Relaxation for Planning Problems

- A classical planning problem  $\mathcal{P} = (\Sigma, s_0, S_g)$  has a set of solutions
  - $Solutions(\mathcal{P}) = \{ \pi : \pi \text{ is an executable action sequence leading from } s_0 \text{ to a state in } S_g \}$
- Suppose that:
  - $\mathcal{P} = (\Sigma, s_0, S_g)$  is a classical planning problem
  - $\mathcal{P}' = (\Sigma', s_0', S_g')$  is another classical planning problem
  - $Solutions(\mathcal{P}) \subseteq Solutions(\mathcal{P}')$
- Then (and only then):  $\mathcal{P}'$  is a relaxation of  $\mathcal{P}$

## Solutions for P:

Sol1, cost 10  
Sol2, cost 12  
Sol3, cost 27

**Optimal in P**

## Solutions for P':

Sol1, cost 10  
Sol2, cost 12  
Sol3, cost 27  
Sol4, cost 8  
Sol5, cost 42

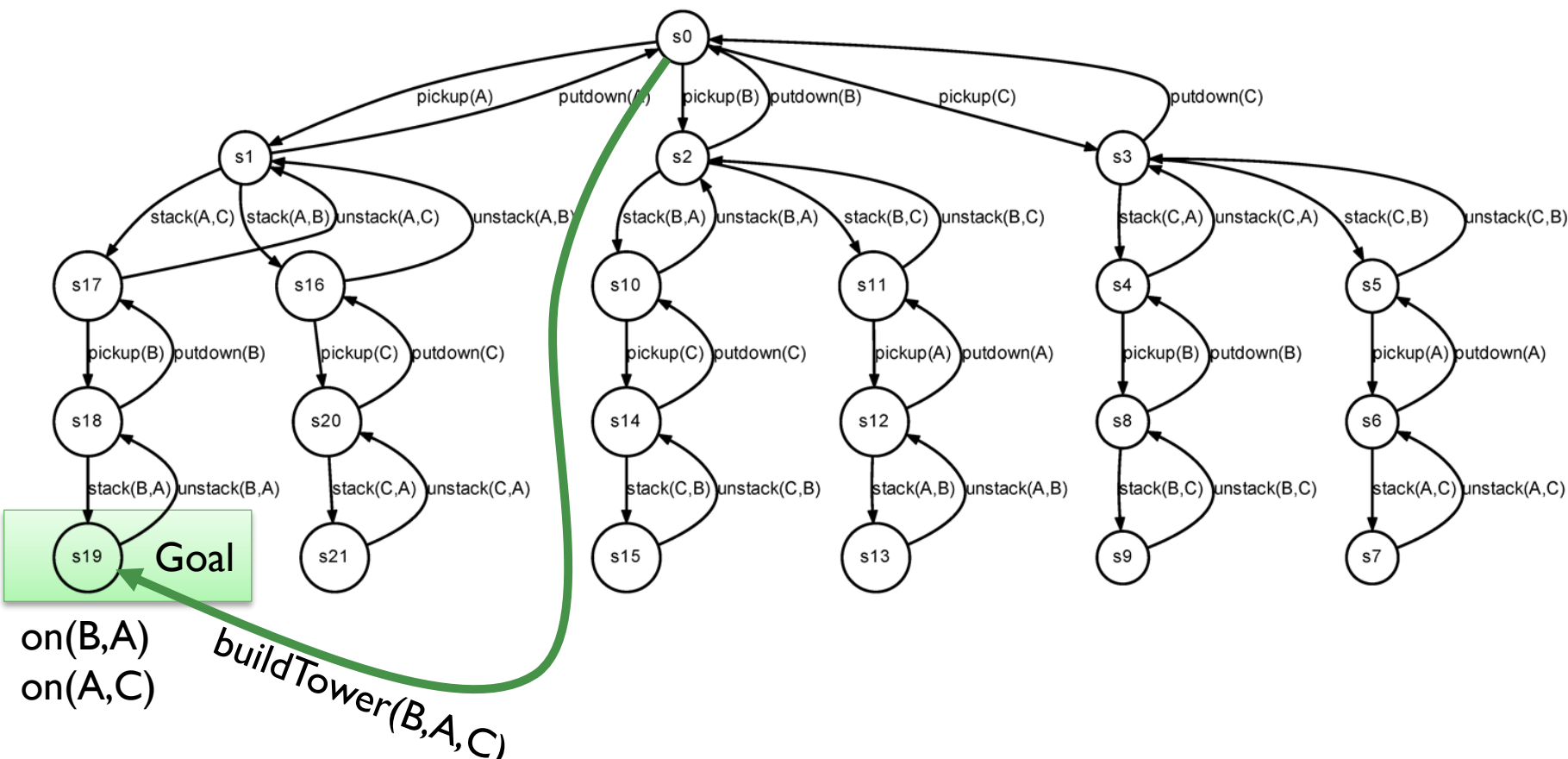
**All old solutions  
remain solutions!**

**Now sol4 is optimal**

# Relaxation Example 1

## ■ Example 1: Adding new actions

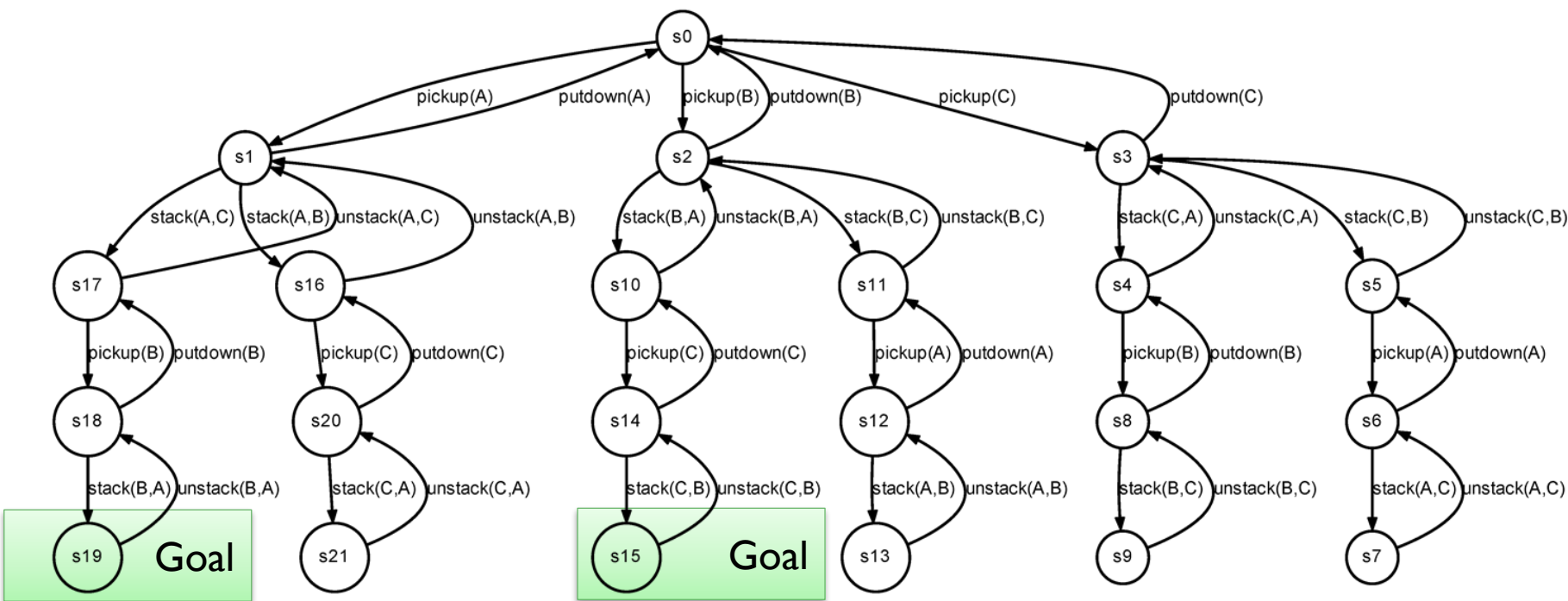
- All old solutions still valid, but new solutions may exist
- Modifies the STS by adding new edges / transitions
- This particular example: *shorter* solution exists



# Relaxation Example 2

## ■ Example 2: Adding goal states

- All old solutions still valid, but new solutions may exist
- Retains the same STS
- This particular example: Optimal solution from  $s_0$  retains the same length



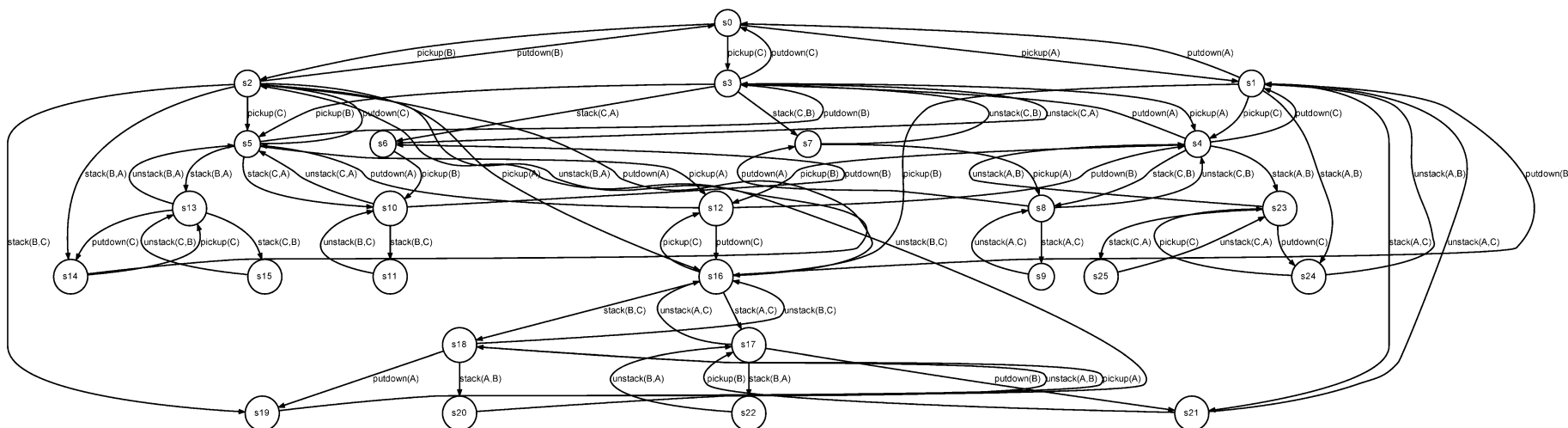
on(B,A)  
on(A,C) or on(C,B)

on(B,A)  
on(A,C) or on(C,B)

# Relaxation Example 3

## ■ Example 3: Ignoring state variables

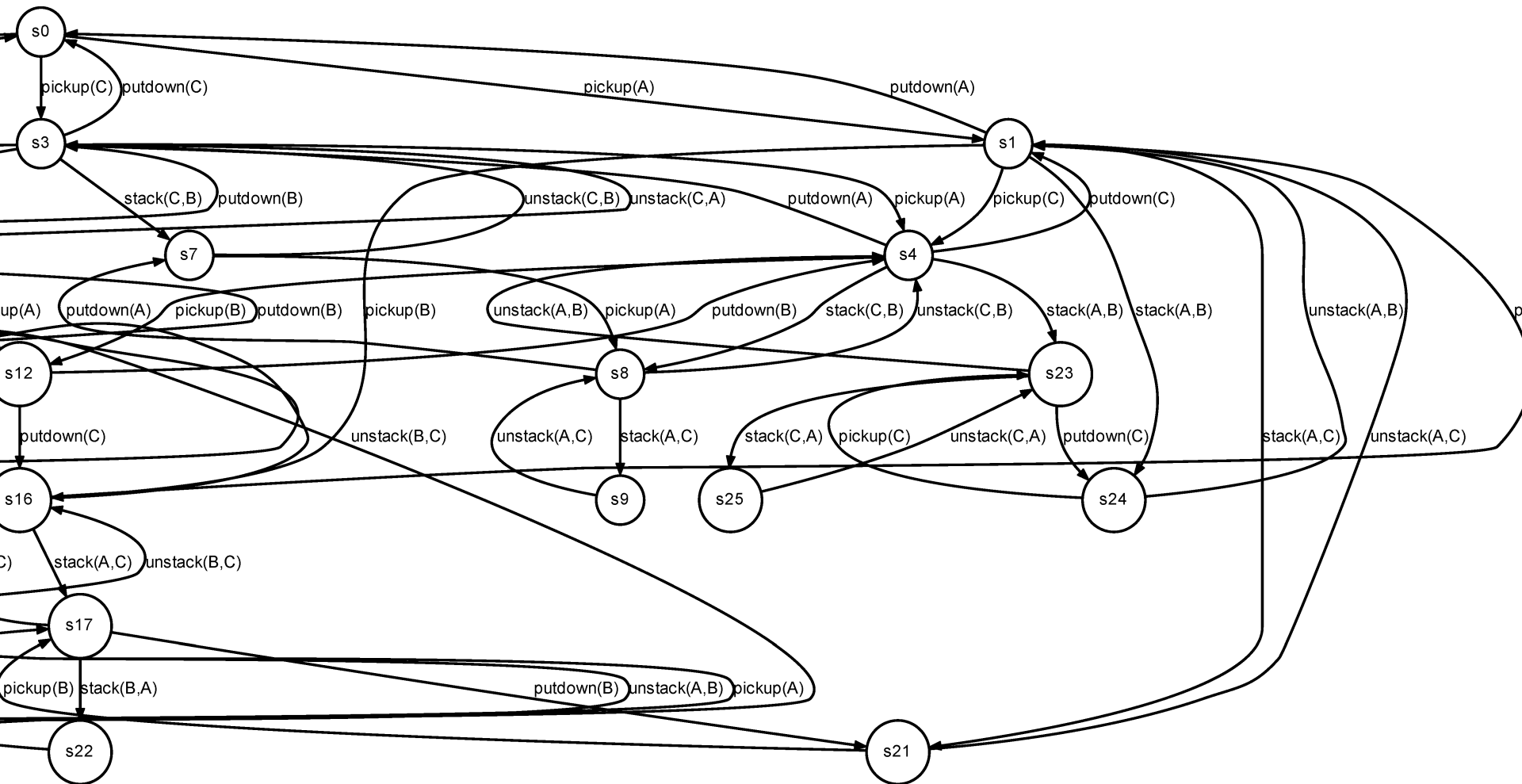
- Ignore the *handempty* predicate in preconditions and effects
- **Different** state space, no simple addition or removal, **but** all the old solutions (paths) still lead to goal states!
  - 22 reachable states → 26
  - 42 transitions → 72





# Relaxation Example 3b

- Example 3, enlarged



# Relaxation Example 4

- Example 4: Weakening preconditions of existing actions

Initial		
8		6
5	4	7
2	3	1



Goal		
	1	2
3	4	5
6	7	8

Possible first moves:  
Move 8 right  
Move 4 up  
Move 6 left

- Precondition relaxation: Tiles can be moved across each other
  - Now we have 21 possible first moves: New transitions added to the STS
- All old solutions are still valid, but new ones are added
  - To move “8” into place:
  - Two steps to the right, two steps down, ends up in the same place as “1”

Can still be solved through search  
The optimal solution for the *relaxed 8-puzzle*  
can never be more expensive than the optimal solution for *original 8-puzzle*

- **Relaxation: One general principle**  
for designing **admissible** heuristics for **optimal** planning
  - Find a way of transforming planning problems, so that given a problem instance  $P$ :
    - **Computing its transformation**  $P'$  is easy (polynomial)
    - **Finding an optimal solution** to  $P'$  is easier than for  $P$
    - **All solutions to  $P$  are solutions to  $P'$** ,  
but the new problem can have additional solutions as well
  - Then the cost of an optimal solution to  $P'$   
is an admissible heuristic for the original problem  $P$

**This is only *one* principle!  
There are others, *not* based on relaxation**

**Relaxation:  
Search or Direct Computation?**

# Search or Direct Computation (1)



- As stated:
  - Compute an actual solution  $\pi$  for the relaxed problem  $P'$
  - Compute  $\text{cost}(\pi)$
- Example: The **8-puzzle**...
  - Ignore **blank(x,y)** in preconditions and effects
  - Run the problem through an optimal planner
  - Compute the cost of the resulting plan  $\pi$

```
(:action move-up
:parameters (?t ?px ?py ?by)
:precondition (and
  (tile ?t) (position ?px) (position ?py) (position ?by)
  (dec ?by ?py) (blank ?px ?by) (at ?t ?px ?py))
:effect (and (not (blank ?px ?by)) (not (at ?t ?px ?py))
  (blank ?px ?py) (at ?t ?px ?by)))
```

# Search or Direct Computation (2)

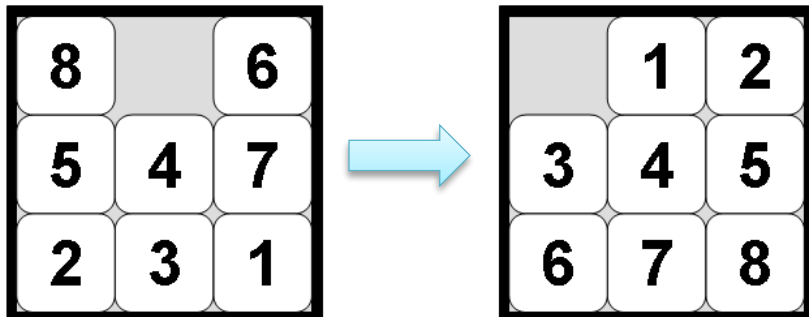
- But we never use  $\pi$ !

- Let's analyze the problem...

- Each piece has to be moved to the intended row
- Each piece has to be moved to the intended column
- These are exactly the required actions given the relaxation!

- → optimal cost for relaxed problem = sum of Manhattan distances
- → admissible heuristic for *original* problem = sum of Manhattan distances
- → Cost of optimal solution  $\pi$  can be computed efficiently:

$$\sum_{p \in \text{pieces}} x\text{distance}(p) + y\text{distance}(p)$$

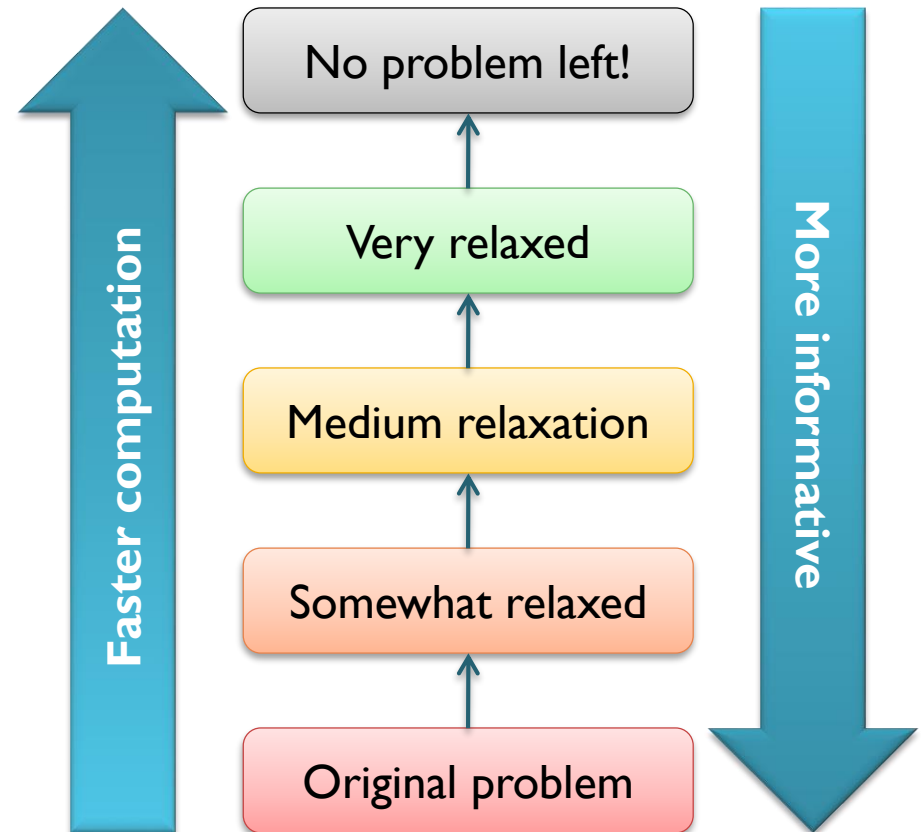


But now we had to analyze the problem:  
(1) Decide to ignore "blank"  
(2) Find "sum of manhattan distances"  
Soon: How do we *automatically* find good relaxations + computation methods?

# Relaxation: Essential Facts

# Relaxation Heuristics: Balance

- The reason for relaxation is rapid calculation
  - Shorter solutions are an *unfortunate side effect*:  
Leads to less informative heuristics
  - Relax too much → not informative
    - Example: Any piece can teleport into the desired position  
→  $h(n) = \text{number of pieces left to move}$



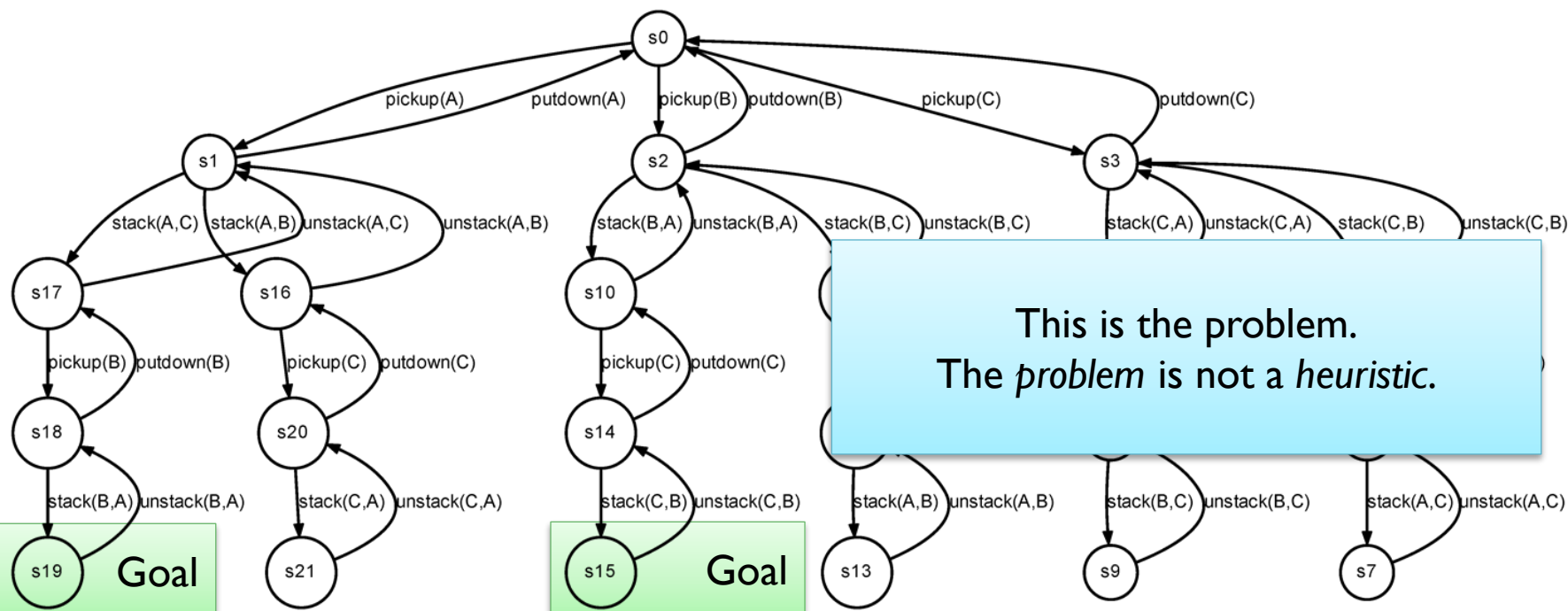


# Relaxation Heuristics: Important Issues!

You **cannot** "use a relaxed problem as a heuristic".

What would that mean?

You use the **cost** of an **optimal solution** to the relaxed problem as a heuristic.



This is the problem.  
The *problem* is not a heuristic.

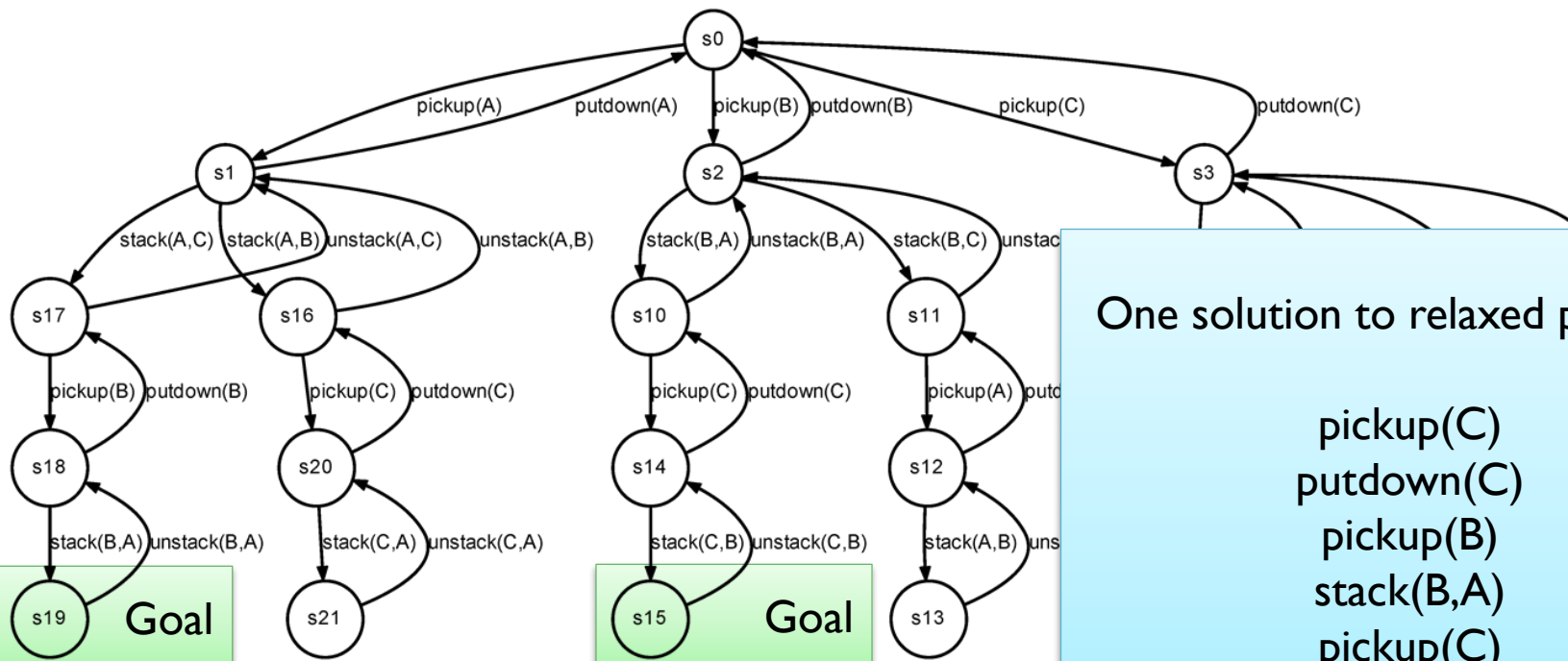
on(B,A)  
on(A,C) or on(C,B)

on(B,A)  
on(A,C) or on(C,B)

# Relaxation Heuristics: Important Issues!

**Solving** the relaxed problem  
**can** result in a more expensive solution  
→ inadmissible!

**You have to solve it optimally to get the admissibility guarantee.**



One solution to relaxed problem:

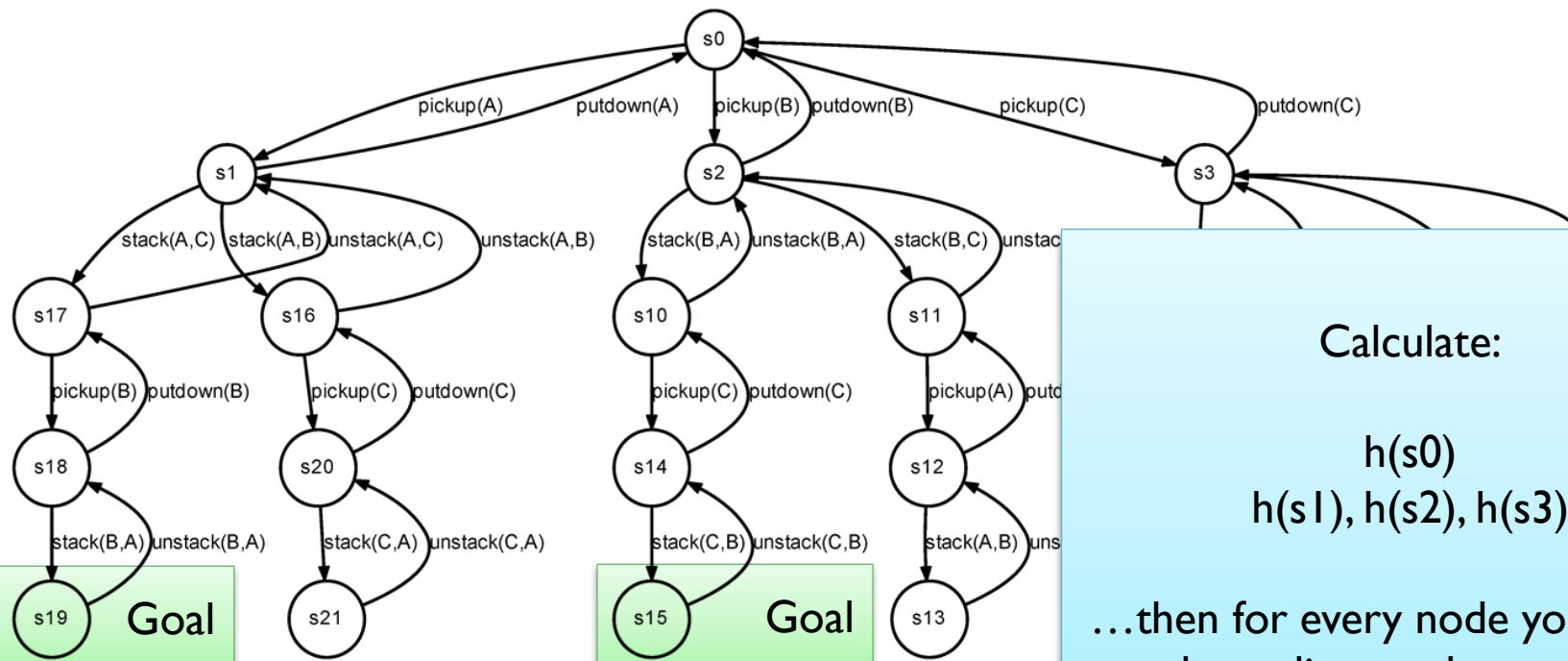
pickup(C)  
putdown(C)  
pickup(B)  
stack(B,A)  
pickup(C)  
stack(C,B)

on(B,A)  
on(A,C) or on(C,B)

on(B,A)  
on(A,C) or on(C,B)

# Relaxation Heuristics: Important Issues!

You don't just solve the relaxed problem once.  
**Every time you reach a new state and want to calculate a heuristic,**  
you have to solve the relaxed problem  
of getting from **that** state to the goal.



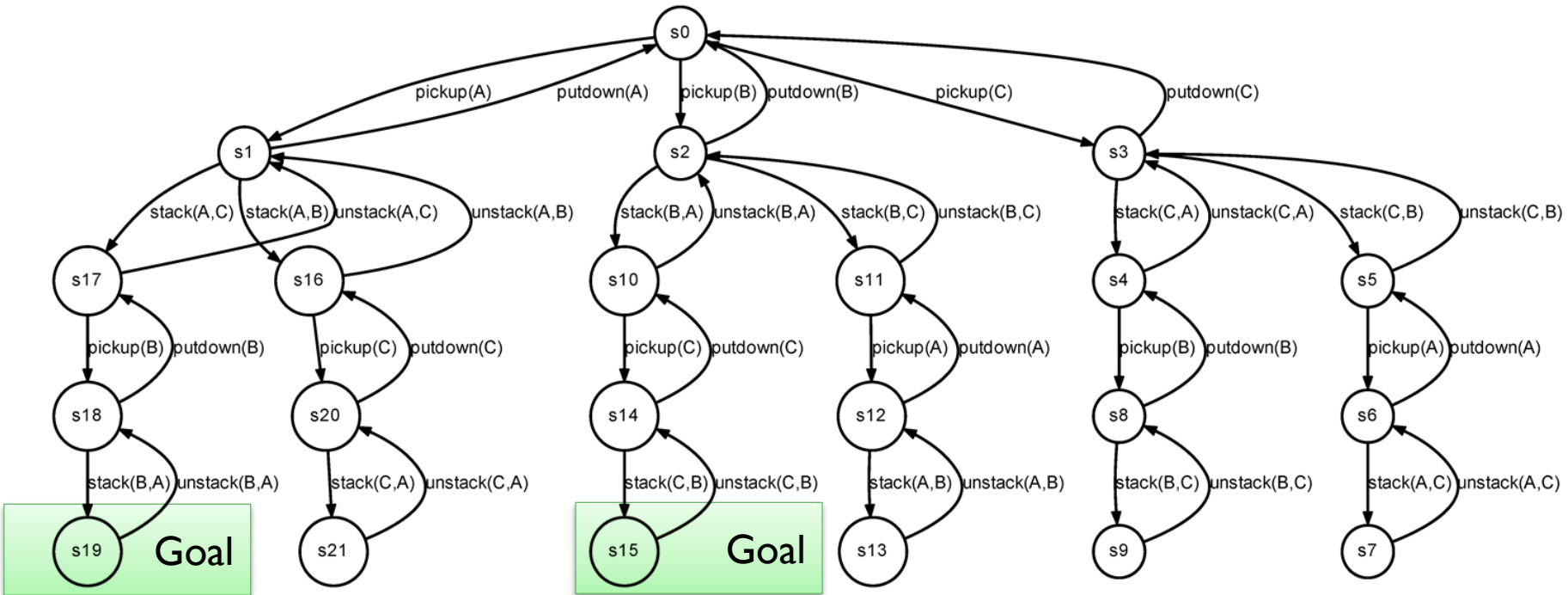
on(B,A)  
on(A,C) or on(C,B)

on(B,A)  
on(A,C) or on(C,B)

Calculate:  
 $h(s_0)$   
 $h(s_1), h(s_2), h(s_3)$   
...then for every node you create,  
depending on the strategy

# Relaxation Heuristics: Important Issues!

Relaxation does **not** always mean "**removing constraints**" in the sense of *weakening preconditions* (moving across tiles, removing walls, ...) Sometimes we get new *goals*. Sometimes the entire *state space* is transformed. Sometimes action *effects* are modified, or some other change is made. What defines relaxation: **All old solutions are valid, new solutions may exist.**



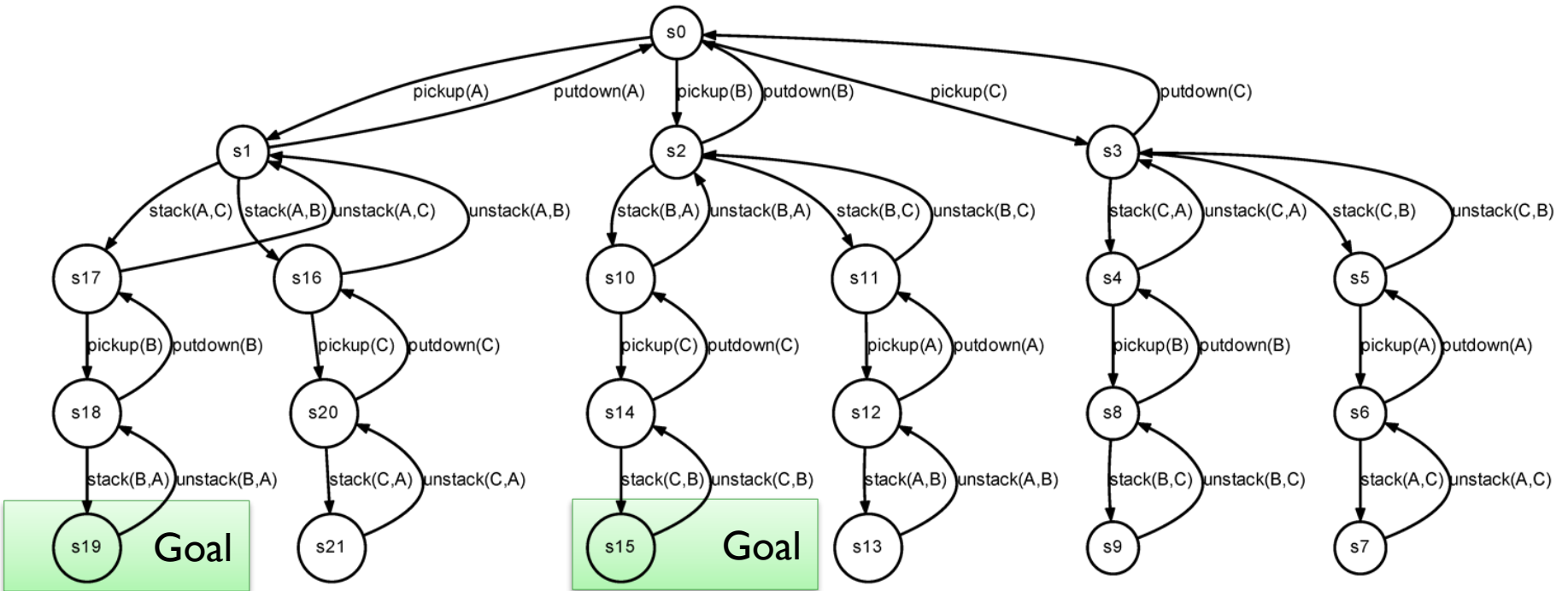
on(B,A)  
on(A,C) or on(C,B)

on(B,A)  
on(A,C) or on(C,B)

# Admissibility: Important Issues!

Relaxation is useful for finding **admissible heuristics**.

A heuristic cannot be **admissible for some states**.  
Admissible == does not overestimate costs for *any* state!



on(B,A)  
on(A,C) or on(C,B)

on(B,A)  
on(A,C) or on(C,B)

# Admissibility: Important Issues!



If you are asked "why is a relaxation heuristic admissible?", don't answer "because it cannot overestimate costs". This is the *definition* of admissibility!

"Why is it admissible?" == "Why can't it overestimate costs?"

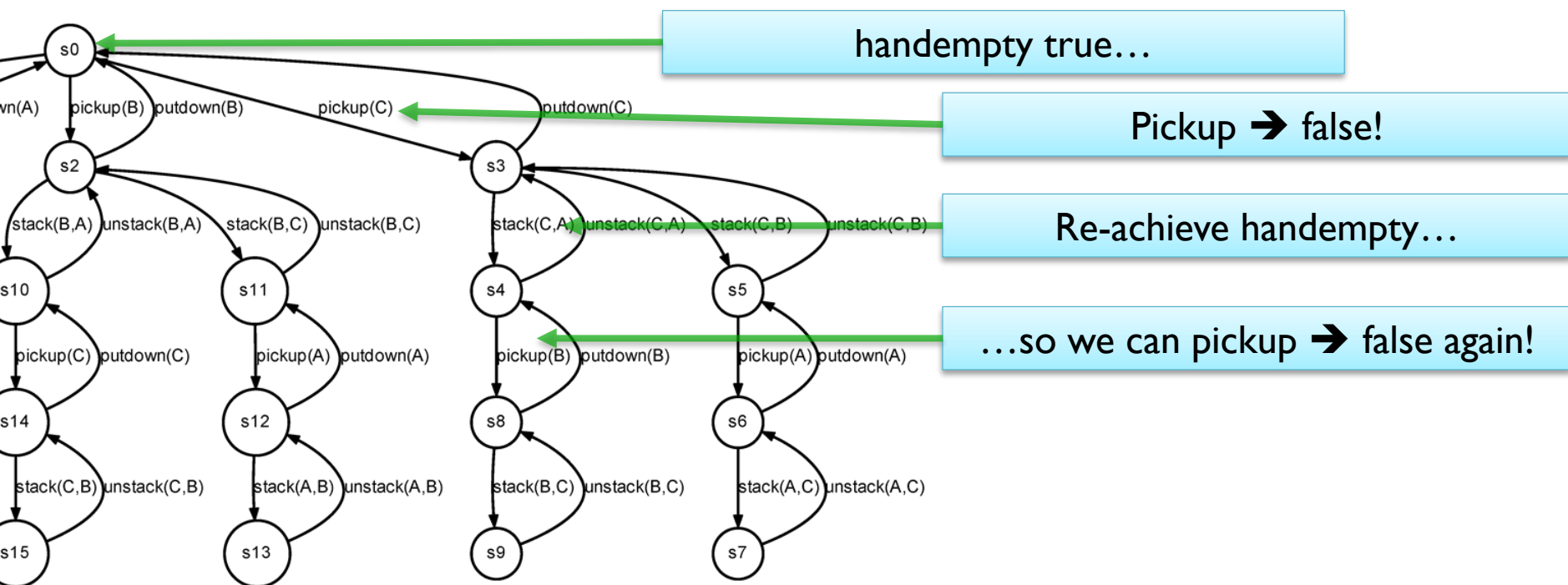
Admissible heuristics *can* "lead you astray" and you *can* "visit" suboptimal solutions.

But with the right search strategy, such as  $A^*$ ,  
the planner will eventually get around to finding an optimal solution.  
This is not the case with  $A^*$  + non-admissible heuristics.

# Delete Relaxation

# Delete Relaxation (1)

- In classical planning:
  - **Negative effects** can "un-achieve" goals or preconditions
  - A plan may have to achieve the same fact many times
- Example: If **handempty** is a goal





# Delete Relaxation (2)

- Suppose we remove all negative effects

- **Example:** (unstack ?x ?y)

- **Before transformation:**

:precondition (and (handempty) (clear ?x) (on ?x ?y))

:effect (and (not (handempty)) (holding ?x) (not (clear ?x)) (clear ?y)  
(not (on ?x ?y) )

- **After transformation:**

:precondition (and (handempty) (clear ?x) (on ?x ?y))

:effect (and (holding ?x) (clear ?y))

- A fact that is achieved stays achieved

Is this a relaxation?

# Delete Relaxation (3)

- Suppose we use the book's classical representation:
  - Precondition = set of literals that must be true
  - Goal = set of literals that must be true
  - Effects = set of literals (making atoms true or false)
- Suppose we have a solution  $\langle \mathbf{A1}, \mathbf{A2} \rangle$ :
  - Initially handempty
  - Action A1  $\rightarrow$  handempty := false
  - Action A2  $\rightarrow$  requires handempty
- Remove all negative effects:
  - Initially handempty
  - Action A1  $\rightarrow$  no effect
  - Action A2  $\rightarrow$  requires handempty, not executable
- $\langle \mathbf{A1}, \mathbf{A2} \rangle$  is no longer a solution; can't be a relaxation

# Delete Relaxation (4)

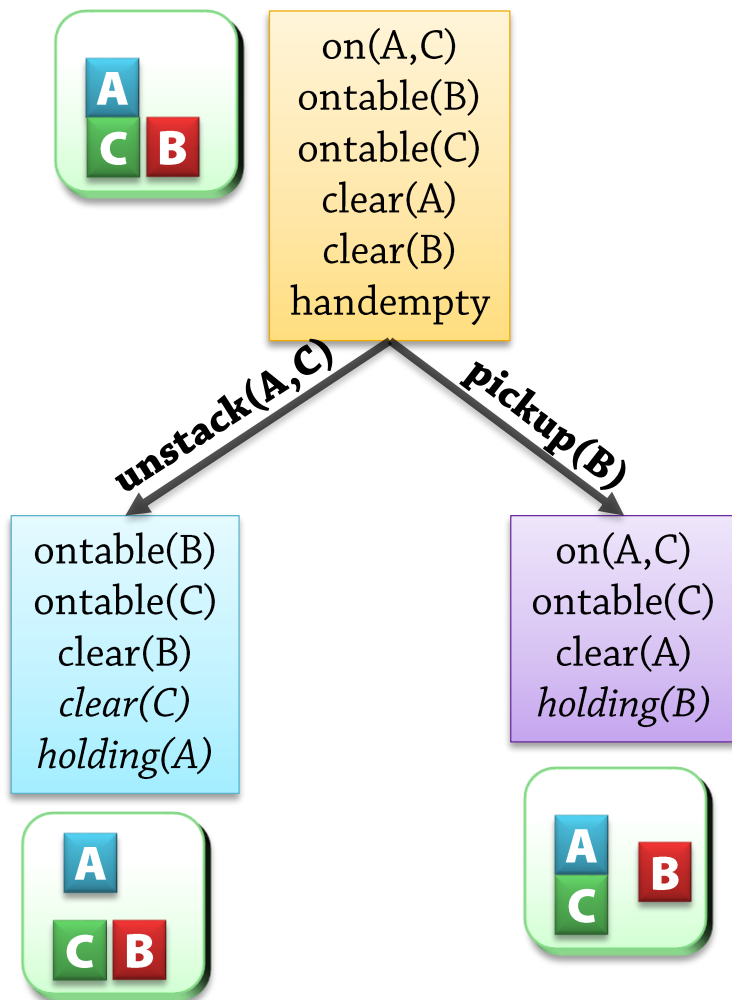


- Suppose we use PDDL's plain **:strips** level
  - **Forbids negative preconditions / goals**
    - Precondition = set of **atoms** (no negations!)
    - Goal = set of **atoms** (no negations!)
    - Effects = set of **literals** (making **atoms** true or false)
  - No solution can *depend on* a fact being false in a visited state
  - No solution can *disappear* because we stop making facts false

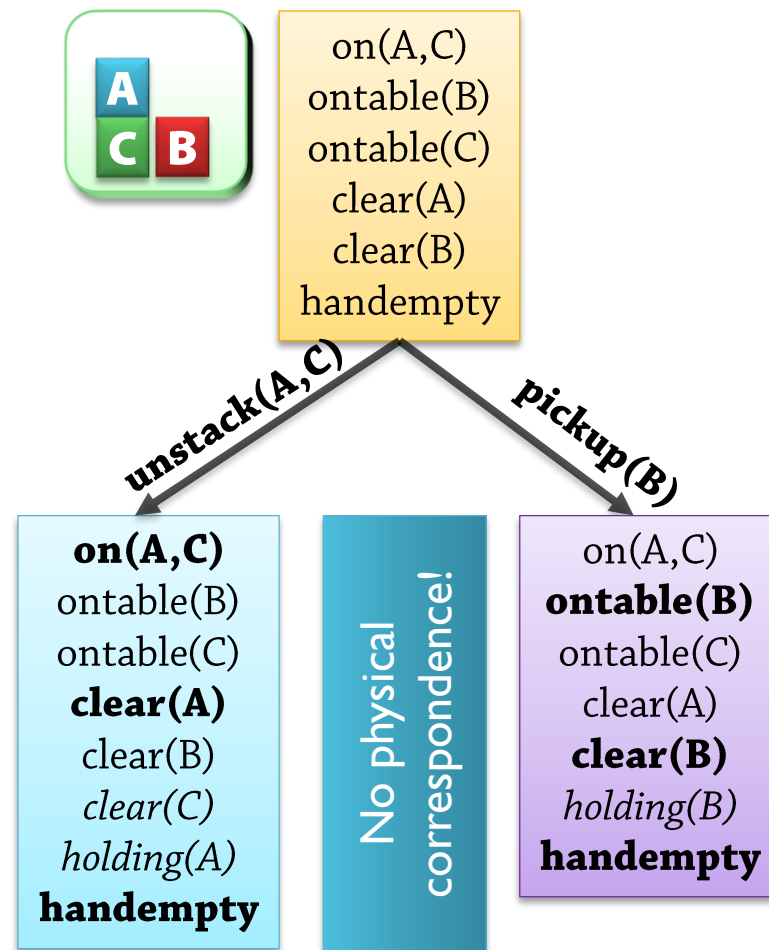
This is a relaxation if the problem lacks negative preconditions / goals!

# Delete Relaxation (5): Example

## STS for the original problem



## Delete-relaxed STRIPS problem



# STS for the original problem

# Delete-relaxed STRIPS problem



on(A,C)  
ontable(B)  
ontable(C)  
clear(A)  
clear(B)  
handempty

Initial state  
does not change



on(A,C)  
ontable(B)  
ontable(C)  
clear(A)  
clear(B)  
handempty

=

unstack(A,C)

unstack(A,C)

ontable(B)  
ontable(C)  
  
clear(B)  
*clear(C)*  
*holding(A)*

Same "origin",  
fewer facts removed

**on(A,C)**  
ontable(B)  
ontable(C)  
**clear(A)**  
clear(B)  
*clear(C)*  
*holding(A)*  
**handempty**

∪

stack(A,B)

stack(A,B)

Different "origin" but  
same *action sequence*,  
fewer facts removed

∪

...

...

# STS for the original problem



on(A,C)  
ontable(B)  
ontable(C)  
clear(A)  
clear(B)  
handempty

*unstack(A,C)*

ontable(B)  
ontable(C)  
  
clear(B)  
*clear(C)*  
*holding(A)*



Applicable  
actions:  $app_1$

# Delete-relaxed STRIPS problem



on(A,C)  
ontable(B)  
ontable(C)  
clear(A)  
clear(B)  
handempty

*unstack(A,C)*

**on(A,C)**  
ontable(B)  
ontable(C)  
**clear(A)**  
clear(B)  
*clear(C)*  
*holding(A)*  
**handempty**



Applicable  
actions:  $app_2$



No action requires  
the *absence* of a fact



# Delete Relaxation (8): Example

## STS for the original problem



on(A,C)  
ontable(B)  
ontable(C)  
clear(A)  
clear(B)  
handempty

*unstack(A,C)*

ontable(B)  
ontable(C)  
clear(B)  
*clear(C)*  
*holding(A)*

Satisfies the goal?

## Delete-relaxed STRIPS problem



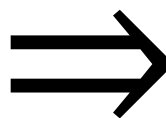
on(A,C)  
ontable(B)  
ontable(C)  
clear(A)  
clear(B)  
handempty

*unstack(A,C)*

**on(A,C)**  
ontable(B)  
ontable(C)  
**clear(A)**  
clear(B)  
*clear(C)*  
*holding(A)*  
**handempty**

Also satisfies the goal

No **goal** requires the absence of a fact



# Delete Relaxation (9)



- **Negative effects** are also called "**delete effects**"
  - They delete facts from the state
- So this is called **delete relaxation**
  - "*Relaxing* the problem by getting rid of the *delete effects*"

**Delete relaxation does not mean  
that we "delete the relaxation" (anti-relax)!**

**Delete relaxation is only a relaxation  
if preconditions and goals are positive!**



# Delete Relaxation (10)

- Since solutions are preserved when facts are added:

A state where additional facts are true can never be "worse"!  
(Given positive preconds/goals)

$$h^* \left( \begin{array}{l} \text{ontable(B)} \\ \text{ontable(C)} \\ \text{clear(B)} \\ \text{clear(C)} \\ \text{holding(A)} \\ \text{handempty} \end{array} \right) \leq h^* \left( \begin{array}{l} \text{ontable(B)} \\ \text{ontable(C)} \\ \text{clear(B)} \\ \text{clear(C)} \\ \text{holding(A)} \end{array} \right)$$

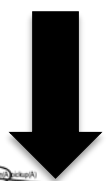
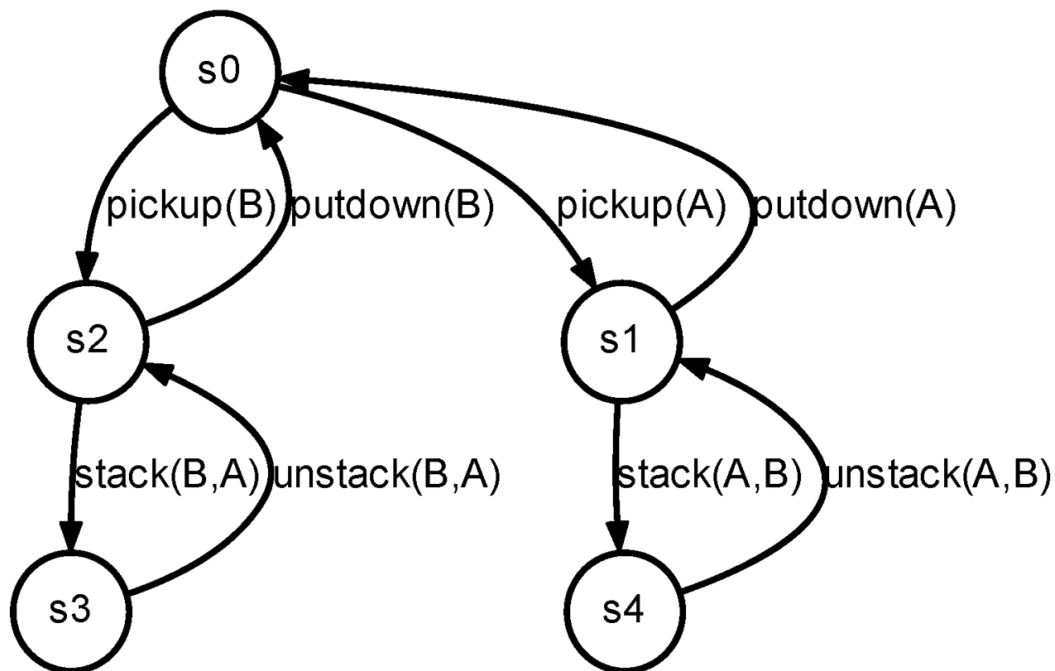
Given two states (sets of true atoms)  $s, s'$ :  
 $s \supset s' \rightarrow h^*(s) \leq h^*(s')$

# **Delete Relaxation:**

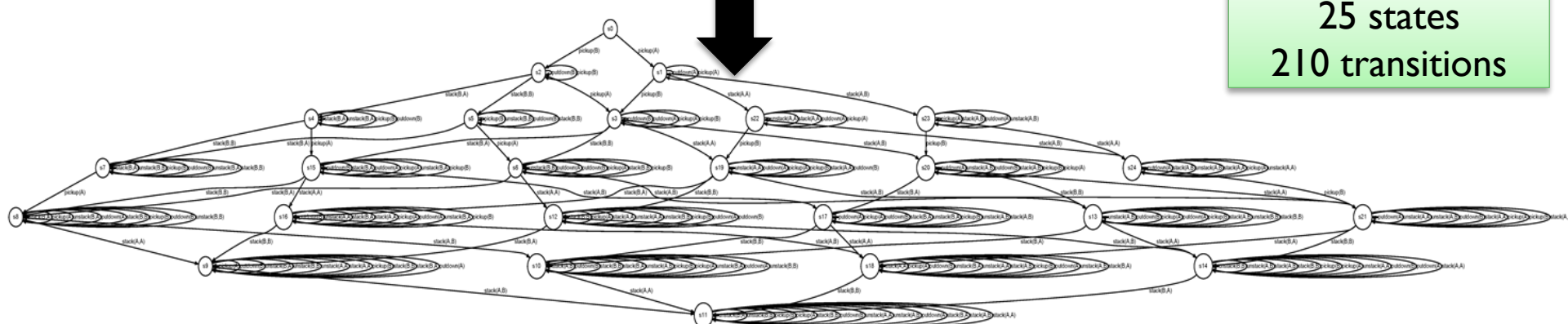
## **State Space Examples**

# Reachable State Space: BW size 2

5 states  
8 transitions

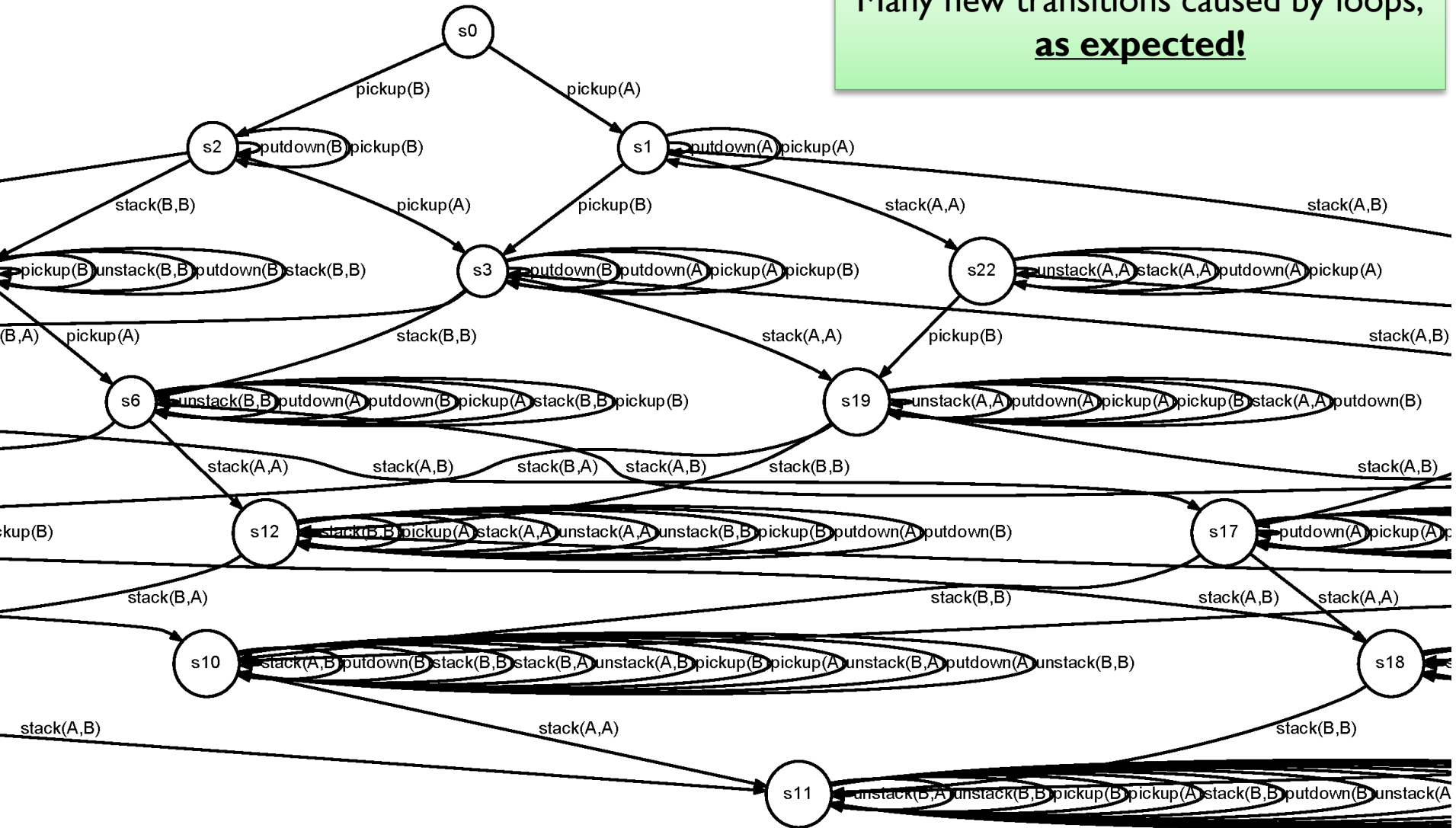


25 states  
210 transitions



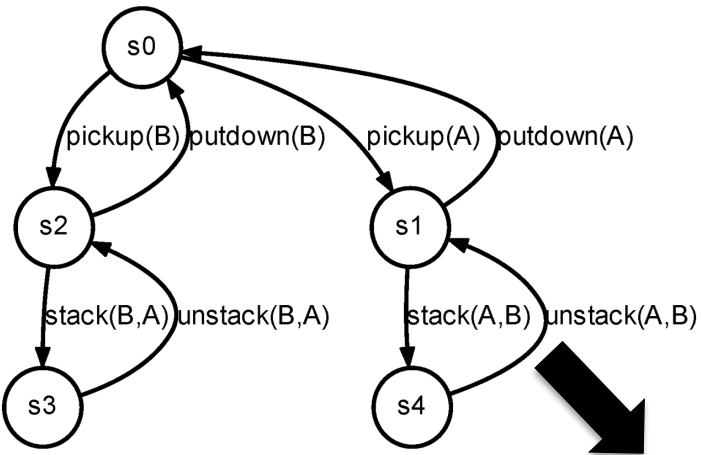
# Delete-Relaxed BW size 2: Detail View

Many new transitions caused by loops, as expected!

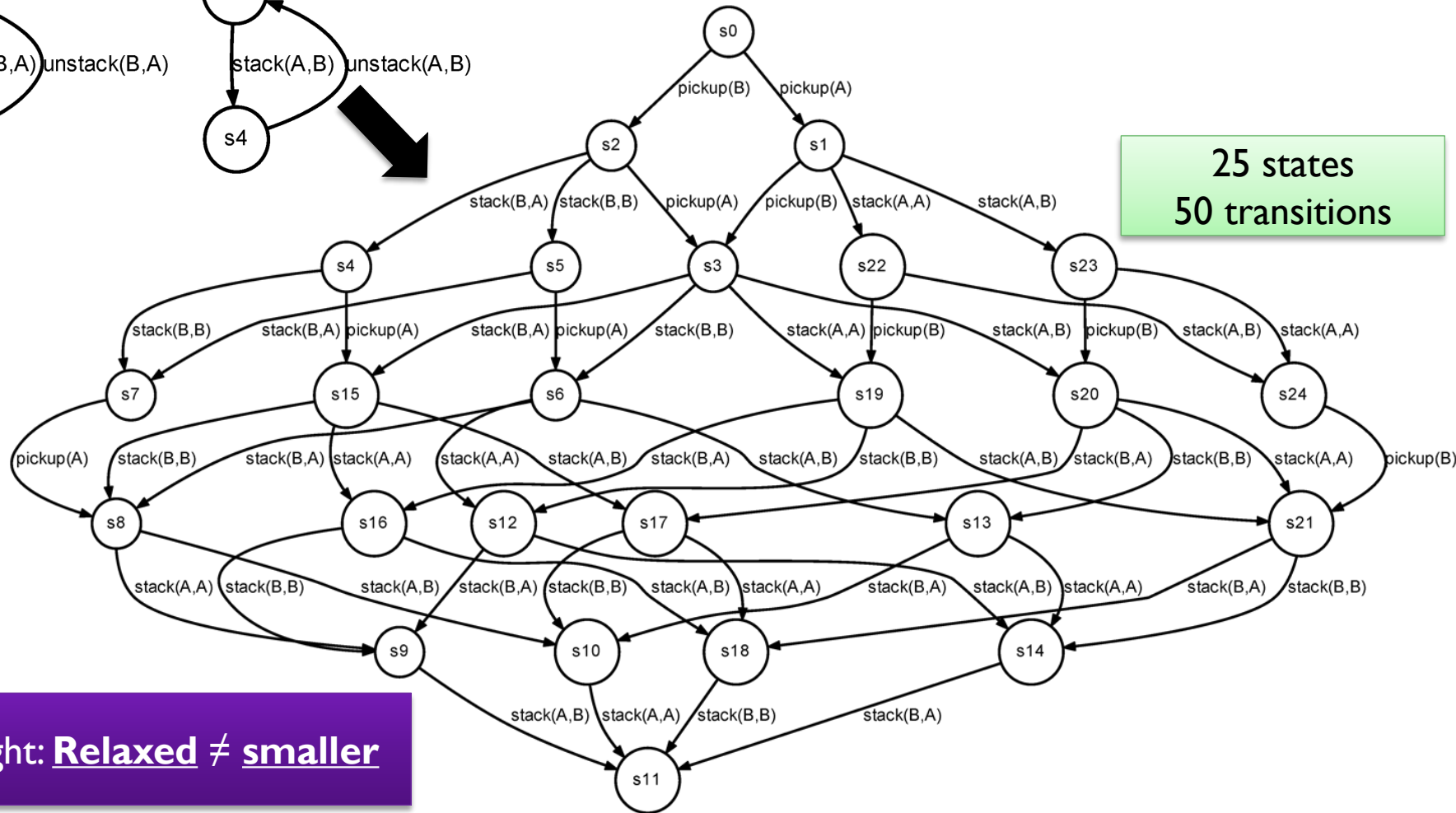


# Delete-Relaxed: "Loops" Removed

5 states  
8 transitions



25 states  
50 transitions



Insight: Relaxed  $\neq$  smaller

# **The Optimal Delete Relaxation Heuristic**

# Optimal Delete Relaxation Heuristic

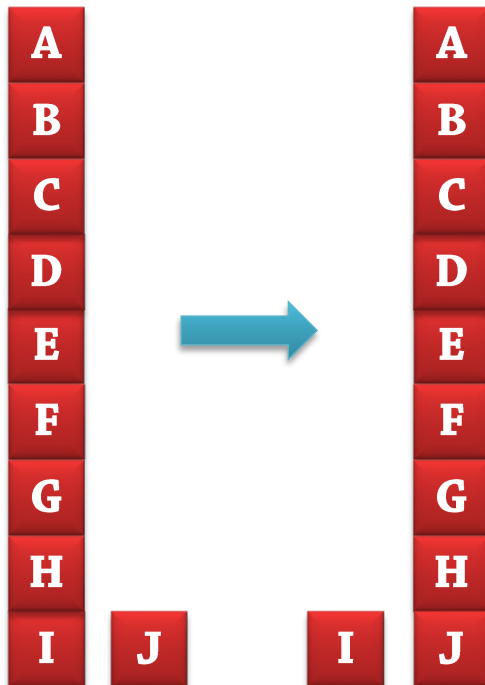


- If **only** delete relaxation is applied:
  - We can calculate the **optimal delete relaxation heuristic**,  $h^+(n)$
  - $h^+(n) =$  the cost of an **optimal solution** to a **delete-relaxed** problem starting in node  $n$

# Accuracy of $h^+$ in Selected Domains

- How close is  $h^+(n)$  to the true goal distance  $h^*(n)$ ?
  - Worst case asymptotic accuracy as problem size approaches infinity:
    - Blocks world:  $1/4 \rightarrow h^+(n) \geq 1/4 h^*(n)$

Optimal plans in delete-relaxed Blocks World  
can be down to 25% of the length of optimal plans in "real" Blocks World



## Standard:

unstack(A,B)	pickup(G)
putdown(A)	stack(G,H)
unstack(B,C)	pickup(F)
putdown(B)	stack(F,G)
unstack(C,D)	pickup(E)
putdown(C)	stack(E,F)
...	pickup(D)
unstack(H,I)	stack(D,E)
stack(H,J)	...

## Relaxed:

unstack(A,B)
unstack(B,C)
unstack(C,D)
unstack(D,E)
unstack(E,F)
unstack(F,G)
unstack(G,H)
unstack(H,I)
stack(H,J)
<b>DONE!</b>



# Accuracy of $h^+$ in Selected Domains (2)



- **How close** is  $h^+(n)$  to the true goal distance  $h^*(n)$ ?
  - **Worst case asymptotic accuracy** as problem size approaches infinity:
    - Blocks world: 1/4 →  $h^+(n) \geq 1/4 h^*(n)$
    - Gripper domain: 2/3 (single robot moving balls)
    - Logistics domain: 3/4 (move packages using trucks, airplanes)
    - Miconic-STRIPS: 6/7 (elevators)
    - Miconic-Simple-ADL: 3/4 (elevators)
    - Schedule: 1/4 (job shop scheduling)
    - Satellite: 1/2 (satellite observations)

## ■ Details:

- Malte Helmert and Robert Mattmüller  
*Accuracy of Admissible Heuristic Functions in Selected Planning Domains*

Accuracy of Admissible Heuristic Functions in Selected Planning Domains

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### Abstract

The efficiency of optimal planning algorithms based on heuristic search crucially depends on the accuracy of the heuristic functions used to guide the search. Often, we are interested in domain-independent heuristics for planning. In order to assess the limitations of domain-independent heuristic planning, we analyze the (sub)accuracy of common domain-independent planning heuristics in the IPC benchmark domains. For a selection of these domains, we analytically investigate the accuracy of the  $h^+$  heuristic, the  $h^*$  family of heuristics, and certain (additive) pattern database heuristics, compared to the perfect heuristic  $h^*$ . Whereas  $h^+$  and additive pattern database heuristics usually return cost estimates proportional to the true cost, non-additive  $h^*$  and non-additive pattern database heuristics can yield results underestimating the true cost by arbitrarily large factors.

### Introduction

Heuristic search with  $h^+$  and similar algorithms remains the most popular method for optimal sequential planning, with significant effort spent on perfecting old heuristic estimators (Helmert et al. 2007) or deriving new ones (Helmert, Helmert, and Hoffmann 2007). While methods not based on state-space search have achieved remarkable success in addressing related problems, such as optimal parallel planning (Koenig and Schaeff 1996, 1999), the state of the art in optimal sequential planning is still defined by heuristic search almost exclusively. Synthetic state-space exploration (Hollkamp and Helmert 2005) is the only non-classical approach that sometimes outperforms heuristic search.

Considering the important role of admissible heuristics for optimal sequential planning, or search in general, the question arises how to evaluate the quality of a given heuristic. A popular method is to run a search algorithm against some benchmark tasks and count the number of node expansions. The fewer nodes an algorithm expands, the better.

While experiments of this kind are empirically useful, there are some questions they cannot address. In particular, their results can almost exclusively be interpreted with relative, i.e., comparative statements: “Heuristic  $h$  expands fewer nodes than heuristic  $h'$  for benchmark suite  $S$ .” Unless experiments show polynomial scaling behavior on a family of

benchmark tasks of growing size, which they very rarely do, the data usually does not lend itself to absolute statements of the type “Heuristic  $h$  is well-suited for solving tasks from benchmark suite  $S$ .” In this contribution, we address this issue by providing absolute quality results for certain popular planning heuristics on some popular benchmark domains taken from the first four International Planning Competitions (McDermott 2000; Helmert 2000; Long and Fox 2005; Hoffmann and Edelkamp 2005), in the form of comparisons to the perfect heuristic function  $h^*$ .

### Planning Domains

We consider the planning domains GRIPPER, LOGISTICS, BLOCKSWORLD, MICONIC-STRIPS, MICONIC-SIMPLE-ADL, SCHEDULE and SATELLITE. Familiarity with the domains is assumed. For an in-depth treatment, we refer to the literature (Helmert 2006).

### Heuristics

We compare the accuracy of  $h^+$ ,  $h^*$ , non-additive and additive pattern database (PDB) heuristics relative to the perfect heuristic  $h^*$ . An admissible heuristic  $h$  maps states to optimistic estimates of the true cost of reaching a goal state from  $s$ . Whenever the planning task to which  $h$  belongs, including the available operators and the goal description, is clear from the context, we will simply write  $h(s)$  without explicitly mentioning operators or goal.

**The  $h^+$  heuristic.** The perfect heuristic  $h^+$  assigns to each state  $s$  the length of a shortest plan from  $s$  to a goal state. Computing  $h^+$  for the initial state of a planning task is PSPACE-equivalent in general (Bylander 1994), but can be easier for fixed domains. For the domains we consider, the problem is NP-equivalent, with the exception of GRIPPER and SCHEDULE, where it is polynomial (Helmert 2006).

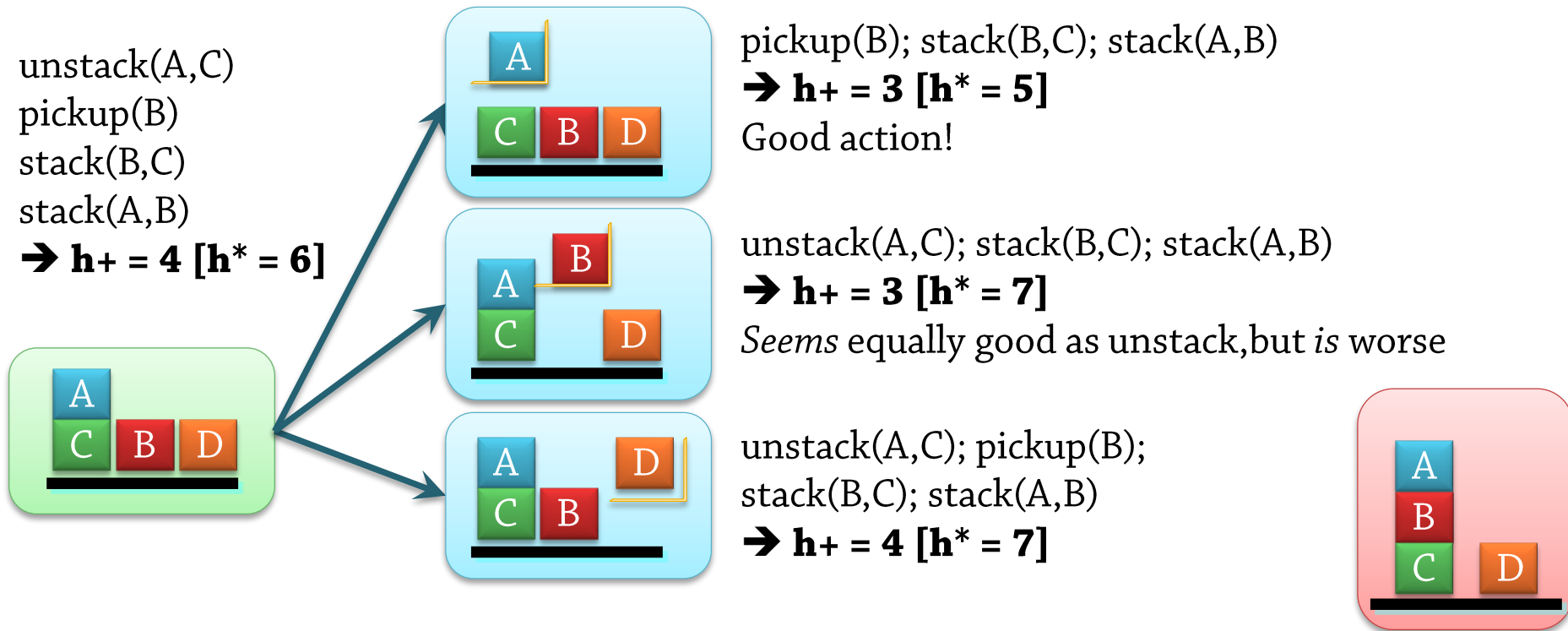
**The  $h^*$  heuristic.** The  $h^*$  heuristic (McDermott 1990; Bostic and Geffner 2001; Hoffmann 2005) assigns to each state  $s$  a value  $h^*(s)$  the length of a shortest plan leading from  $s$  to a goal state in the relaxed task  $T^*$ . Evaluating  $h^*$  is NP-equivalent in general (Bylander 1994), but easier for many of the domains we consider.

**The  $h^*$  Family of Heuristics.** The  $h^*$  family consists of a family of heuristics (Helmert and Geffner 2000) based on

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# Example of Accuracy

- How close is  $h^+(n)$  to the true goal distance  $h^*(n)$ ?
  - In practice: Also depends on the problem instance!



- Performance also depends on the search strategy
  - How sensitive it is to specific types of inaccuracy

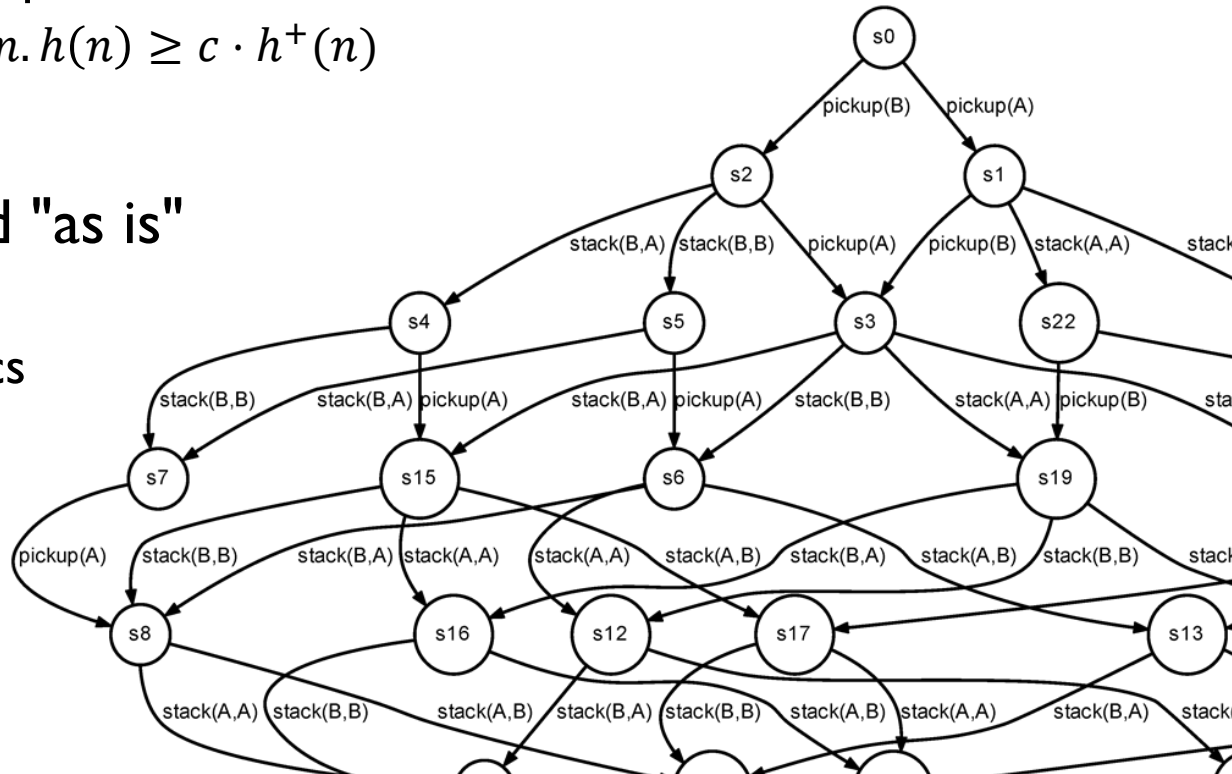
# Computing the Optimal Delete Relaxation Heuristic

- Is  $h^+(n)$  easier to compute than  $h^*(n)$ ?
  - $h^*(n)$  = length of optimal plan for arbitrary planning problem
    - Supports negative effects
    - If we can execute either  $a_1; a_2$  or  $a_2; a_1$ :
      - $a_1$  removes  $p$ ,  $a_2$  adds  $p$  → net result: add  $p$
      - $a_2$  adds  $p$ ,  $a_1$  removes  $p$  → net result: remove  $p$
      - *Both* orders must be considered
  - $h^+(n)$  = length of optimal plan after removing negative effects
    - If we can execute either  $a_1; a_2$  or  $a_2; a_1$ :
      - Must lead to the same state (add  $p_1$  before  $p_2$ , or  $p_2$  before  $p_1$ )
      - Sufficient to consider *one* order
- Incomplete analysis – but  $h^+(n)$  is easier to compute, in the worst case

- Still **difficult** to calculate in general!
  - NP-equivalent (reduced from PSPACE-equivalent)
    - Since you must find **optimal** solutions to the relaxed problem
  - Even a constant-factor approximation is NP-equivalent to compute!
    - Finding  $h(n)$  so that  $\forall n. h(n) \geq c \cdot h^+(n)$

■ Therefore, rarely used "as is"

- But forms the **basis** of many other heuristics

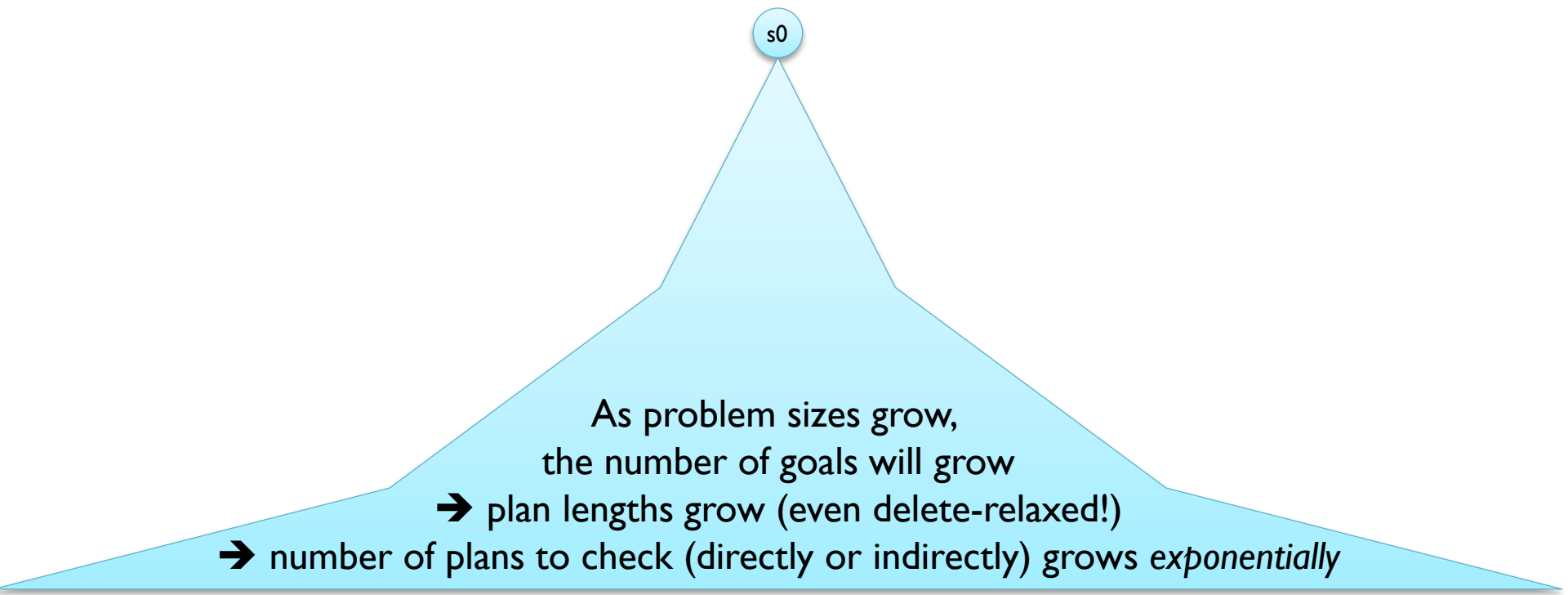


# Optimal Classical Planning: The Admissible $h_1$ Heuristic

# Intuitions (1)

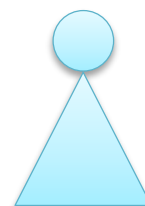
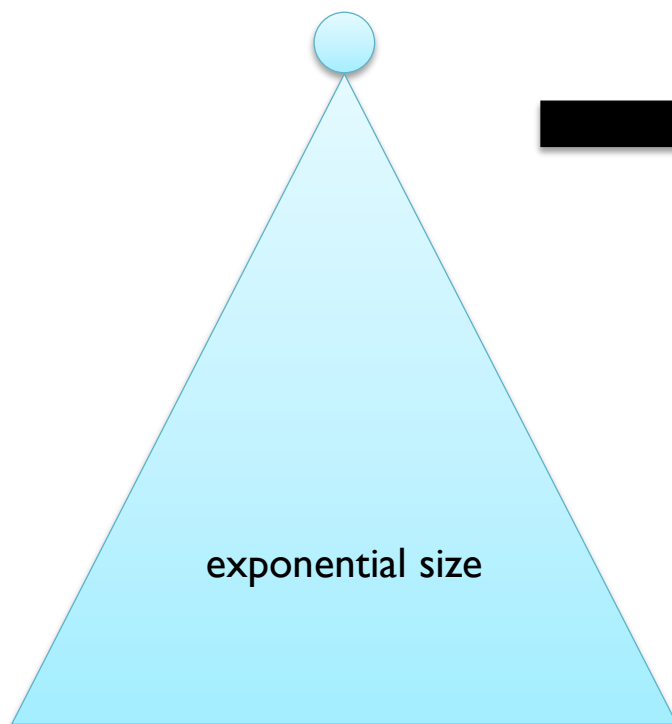
- Why is  $h^+(n)$  so "slow"?

Must compute the exact cost  
of an optimal plan  
achieving all goals



# Intuitions (2)

- Suppose we delete-relax, then only consider **one goal fact**
  - Remove **goal requirements** → add new **goal states** in  $S_g$
- Relaxation!
  - "Old" plans achieving *all* goals are still valid solutions
  - **Also has much shorter solutions**, much faster to compute



Too relaxed!  
And which goal to choose?



# Intuitions (3)

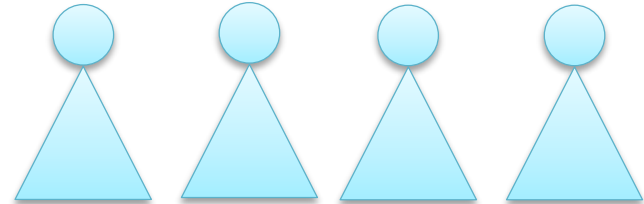


- Given **two admissible heuristics**  $h_A(n)$  and  $h_B(n)$ :
  - $h_{AB}(n) = \max(h_A(n), h_B(n))$  is admissible
  - If neither heuristic overestimates, their maximum cannot overestimate

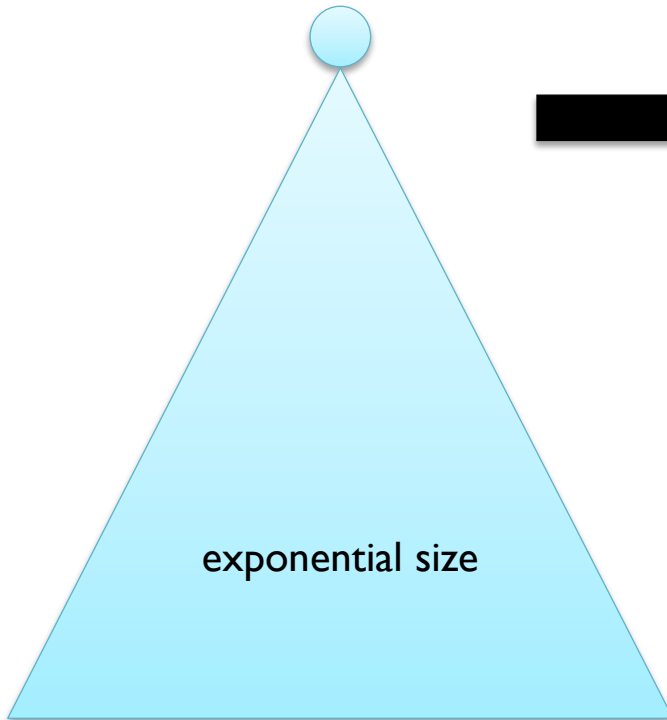
# The $h_1$ Heuristic

- Idea (from HSP<sup>\*</sup>): Consider **one** goal atom **at a time**

Treat **each** goal atom **separately**  
Take the **maximum** of the costs



Uses a **set** of relaxations!



Computing  $h_1(n)$

# The $h_1$ Heuristic: Example (action cost = 1)



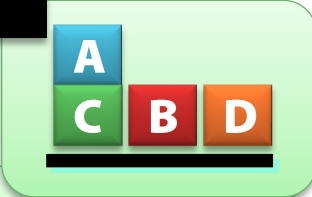
Goal: clear(A) on(A,B) on(B,C) ontable(C) clear(D) ontable(D)



Don't find the best way to achieve *all goal atoms*:  
{ clear(A), on(A,B), on(B,C), on(B,C), ontable(C), clear(D), ontable(D) }

Avoid interactions:  
Find the best way to achieve **clear(A)**  
Then find the best way to achieve **on(A,B)**  
...

Use backward search, starting with the goals



$s_0$ : clear(A), on(A,C), ontable(C), clear(B), ontable(B), clear(D), ontable(D), handempty

# The $h_1$ Heuristic: Example (action cost = 1)

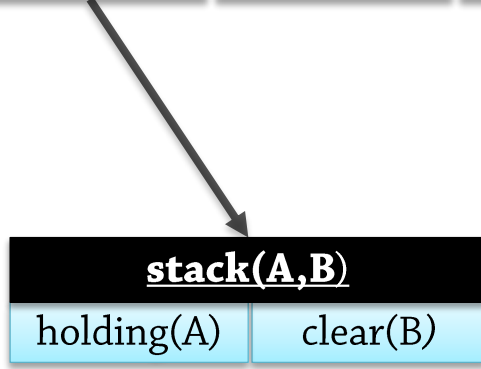


Goal: `clear(A)` `on(A,B)` `on(B,C)` `ontable(C)` `clear(D)` `ontable(D)`

cost 0



First goal atom:  
`clear(A)`  
Already achieved,  
cost 0



How to achieve `on(A,B)`?  
Not true in the initial state.  
Check *all actions* having `on(A,B)`  
as an effect...  
Here: Only `stack(A,B)`!

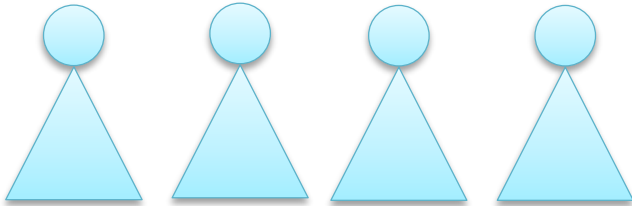
We have two preconditions to achieve.  
  
*Reduce interactions even more:*  
Consider each of *these* as a separate "subgoal"!  
First `holding(A)`, then `clear(B)`.



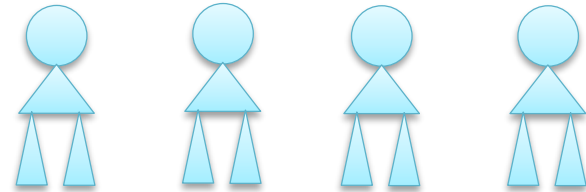
$s_0$ : `clear(A)`, `on(A,C)`, `ontable(C)`, `clear(B)`, `ontable(B)`, `clear(D)`, `ontable(D)`, `handempty`

# The $h_1$ Heuristic: Intuitions (2)

Idea: Treat each **goal atom** separately  
Take the **maximum** of the costs



$h_1(n)$ : Split the problem even further;  
consider *individual subgoals* at every "level"



# The $h_1$ Heuristic: Example (continued)

Goal:

<i>clear(A)</i>	<i>on(A,B)</i>	<i>on(B,C)</i>	<i>ontable(C)</i>	<i>clear(D)</i>	<i>ontable(D)</i>
cost 0	cost 2	cost 2	cost 0	cost 0	cost 0



$$h_1(s_0) = \max(2, 2) = 2$$

<u>stack(A,B)</u>	
holding(A)	<i>clear(B)</i>
cost 1	cost 0

<u>stack(B,C)</u>	
holding(B)	<i>clear(C)</i>
cost 1	cost 1

<u>unstack(A,C)</u>		
<i>handempty</i>	<i>clear(A)</i>	<i>on(A,C)</i>
cost 0	cost 0	cost 0
Search continues: This is cheaper!		

<u>pickup(B)</u>	
<i>handempty</i>	<i>clear(B)</i>
cost 0	cost 0

<u>unstack(A,D)</u>		
<i>handempty</i>	<i>clear(A)</i>	<i>on(A,D)</i>
More calculations show: This is expensive...		

<u>unstack(A,C)</u>		
<i>handempty</i>	<i>clear(A)</i>	<i>on(A,C)</i>



$s_0$ : *clear(A)*, *on(A,C)*, *ontable(C)*, *clear(B)*, *ontable(B)*, *clear(D)*, *ontable(D)*, *handempty*

# The $h_1$ Heuristic: Important Property 1

on(B,C)
cost 2

Each goal considered separately!



We don't search for a **valid plan** achieving on(B,C)!

Then we would need putdown(A)...

The heuristic considers individual subgoals *at all levels*, misses interactions *at all levels*

<b>stack(B,C)</b>	
holding(B)	clear(C)
cost 1	cost 1

Each precondition considered separately!

<b>pickup(B)</b>	
handempty	clear(B)
cost 0	cost 0

Each precondition considered separately!

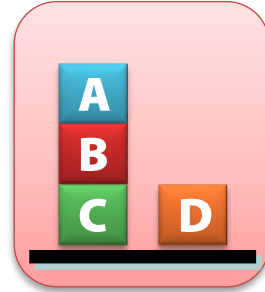
<b>unstack(A,C)</b>		
handempty	clear(A)	on(A,C)



This is why it is fast! No need to consider interactions → no combinatorial explosion



# The $h_1$ Heuristic: Important Property 2



on(B,C)
cost 2

<b><u>stack(B,C)</u></b>	
holding(B)	clear(C)
cost 1	cost 1

<b><u>pickup(B)</u></b>	
handempty	clear(B)
cost 0	cost 0

<b><u>unstack(A,C)</u></b>		
handempty	clear(A)	on(A,C)

Given a problem using **:strips** expressivity, we ignore negative effects!

(Given a goal atom, find an action achieving it, without considering any other effects)

$h_1$  takes the delete relaxation heuristic, relaxes it further

# The $h_1$ Heuristic: Important Property 3

Goal:

<i>clear(A)</i>	<i>on(A,B)</i>	<i>on(B,C)</i>	<i>ontable(C)</i>	<i>clear(D)</i>	<i>ontable(D)</i>
cost 0	cost 2	cost 2	cost 0	cost 0	cost 0



<b><u>stack(A,B)</u></b>	
<i>holding(A)</i>	<i>clear(B)</i>
cost 1	cost 0

<b><u>stack(B,C)</u></b>	
<i>holding(B)</i>	<i>clear(C)</i>
cost 1	cost 1

<b><u>unstack(A,C)</u></b>		
<i>handempty</i>	<i>clear(A)</i>	<i>on(A,C)</i>
cost 0	cost 0	cost 0

The same action can be counted twice!

Doesn't affect admissibility, since we take the **maximum** of subcosts, not the **sum**

<b><u>unstack(A,C)</u></b>		
<i>handempty</i>	<i>clear(A)</i>	<i>on(A,C)</i>

# The $h_1$ Heuristic: Formal Definition

$h_1(s) = \Delta_1(s, g)$  – the heuristic depends on the goal  $g$

- For a **goal**, a **set**  $g$  of facts to achieve:

- $\Delta_1(s, g)$  = the cost of achieving the **most expensive** proposition in  $g$

- $\Delta_1(s, g) = 0$  (zero)

if  $g \subseteq s$  // Already achieved entire goal

- $\Delta_1(s, g) = \max \{ \Delta_1(s, p) \mid p \in g \}$

otherwise // Part of the goal not achieved

The cost of each  
atom in goal  $g$

**Max:** The entire goal  
must be at least as  
expensive as the most  
expensive subgoal

*Implicit* delete relaxation:  
Cheapest way of  
achieving  $p1 \in g$   
may actually delete  $p2 \in g$

So how expensive is it to achieve a single proposition?

# The $h_1$ Heuristic: Formal Definition

$h_1(s) = \Delta_1(s, g)$  – the heuristic depends on the goal  $g$

- For a **single proposition**  $p$  to be achieved:

- $\Delta_1(s, p)$  = the cost of **achieving  $p$  from  $s$**

- $\Delta_1(s, p) = 0$  if  $p \in s$  // Already achieved  $p$

- $\Delta_1(s, p) = \infty$  if  $\forall a \in A. p \notin \text{effects}^+(a)$  // Unachievable

- Otherwise:

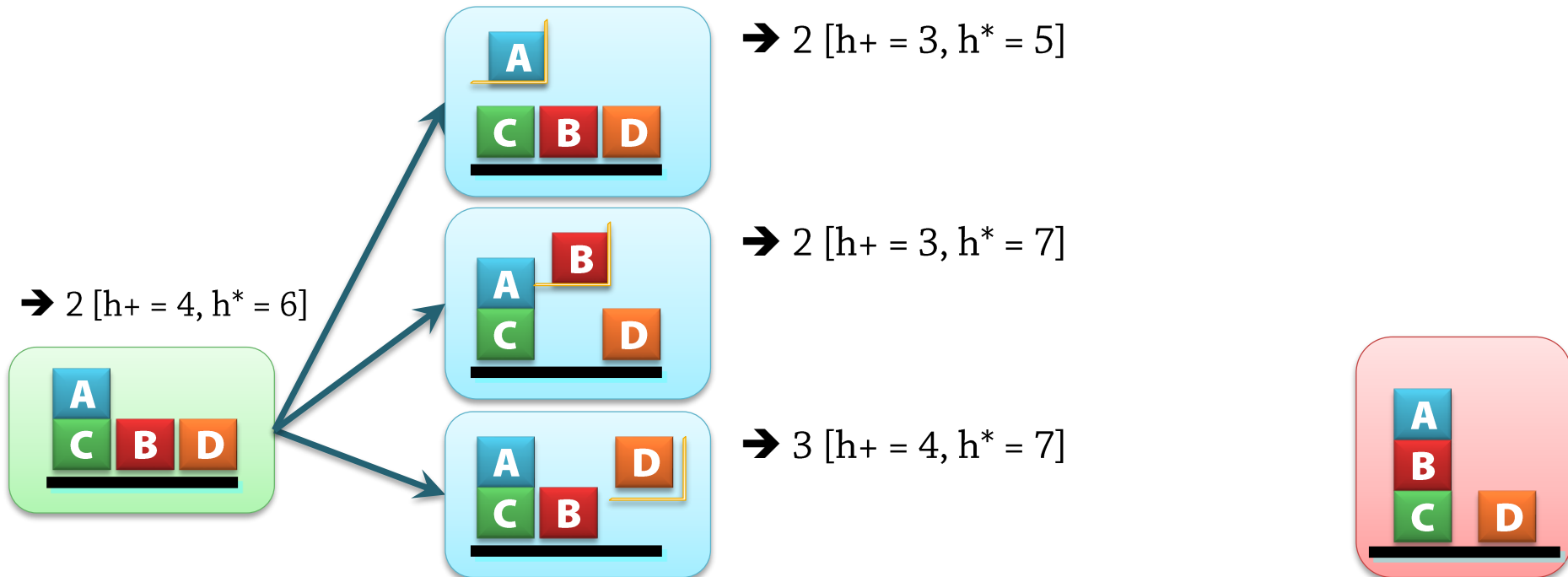
$$\Delta_1(s, p) = \min \{ \text{cost}(a) + \Delta_1(s, \text{precond}(a)) \mid a \in A \text{ and } p \in \text{effects}^+(a) \}$$

Must **execute** an action  $a \in A$  that achieves  $p$ ,  
and before that, *acheive its preconditions*

**Min**: Choose the action  
that lets you achieve the proposition  $p$  as cheaply as possible

# The $h_1$ Heuristic: Examples

- In the problem below:
  - $g = \{ \text{ontable}(C), \text{ontable}(D), \text{clear}(A), \text{clear}(D), \text{on}(A,B), \text{on}(B,C) \}$
- So for any state  $s$ :
  - $\Delta_1(s, g) = \max \{ \Delta_1(s, \text{ontable}(C)), \Delta_1(s, \text{ontable}(D)), \Delta_1(s, \text{clear}(A)), \Delta_1(s, \text{clear}(D)), \Delta_1(s, \text{on}(A,B)), \Delta_1(s, \text{on}(B,C)) \}$
- With unit action costs:



# The $h_1$ Heuristic: Properties



- $h_1(s)$  is:
  - **Easier** to calculate than the optimal delete relaxation heuristic  $h^+$
  - Somewhat **useful** for this simple BW problem instance
  - **Not sufficiently informative** in general
- Example:
  - Forward search in Blocks World using Fast Downward planner,  $A^*$

Blocks	nodes blind	nodes $h_1$
5	1438	476
6	6140	963
7	120375	24038
8	1624405	392065
9	25565656	14863802
10	>84 million (out of mem)	208691676

# Optimal Classical Planning: The Admissible $h_m$ Heuristics

# The $h_m$ Heuristics



- Next idea: Why only consider single atoms?
  - $h_1(s) = \Delta_1(s, g)$ : The most expensive atom
  - $h_2(s) = \Delta_2(s, g)$ : The most expensive pair of atoms
  - $h_3(s) = \Delta_3(s, g)$ : The most expensive triple of atoms
  - ...
  - → A **family** of **admissible** heuristics  $h_m = h_1, h_2, \dots$  for **optimal** classical planning



# The $h_2$ Heuristic

- $h_2(s) = \Delta_2(s, g)$ : The most expensive pair of goal propositions

Goal  
(set)

- $\Delta_2(s, g) = 0$  if  $g \subseteq s$  // Already achieved
- $\Delta_2(s, g) = \mathbf{max} \{ \Delta_2(s, p, q) \mid p, q \in g \}$  otherwise // Can have  $p=q!$

Pair of  
propo-  
sitions  
(maybe  
 $p=q$ )

- $\Delta_2(s, p, q) = 0$  if  $p, q \in s$  // Already achieved
- $\Delta_2(s, p, q) = \infty$  if  $\forall a \in A. p \notin \text{effects}^+(a)$   
or  $\forall a \in A. q \notin \text{effects}^+(a)$
- $\Delta_2(s, p, q) = \mathbf{min} \{$ 
  - $\min \{ \text{cost}(a) + \Delta_2(s, \text{precond}(a)) \mid a \in A \text{ and } p, q \in \text{effects}^+(a) \},$
  - $\min \{ \text{cost}(a) + \Delta_2(s, \text{precond}(a) \cup \{q\}) \mid a \in A, p \in \text{effects}^+(a), q \notin \text{effects}^-(a) \},$
  - $\min \{ \text{cost}(a) + \Delta_2(s, \text{precond}(a) \cup \{p\}) \mid a \in A, q \in \text{effects}^+(a), p \notin \text{effects}^-(a) \}$

- $h_2(s)$  is more informative than  $h_1(s)$ , requires non-trivial time
- $m > 2$  rarely useful

# The $h_2$ Heuristic and Delete Effects

- In this definition of  $h_2$ :

- $\Delta_2(s, p, q) = \min \{$   
     $\text{cost}(a) + \min \{ \Delta_2(s, \text{precond}(a)) \mid a \in A \text{ and } p, q \in \text{effects}^+(a) \},$   
     $\text{cost}(a) + \min \{ \Delta_2(s, \text{precond}(a) \cup \{q\}) \mid a \in A, p \in \text{effects}^+(a), q \notin \text{effects}^-(a) \},$   
     $\text{cost}(a) + \min \{ \Delta_2(s, \text{precond}(a) \cup \{p\}) \mid a \in A, q \in \text{effects}^+(a), p \notin \text{effects}^-(a) \}$   
     $\}$

Takes into account some delete effects

So  $h_2$  is not a *delete* relaxation heuristic (but it is admissible)!

- Misses other delete effects

- Goal:  $\{p, q, r\}$
- A1: Adds  $\{p, q\}$  Deletes  $\{r\}$
- A2: Adds  $\{p, r\}$  Deletes  $\{q\}$
- A3: Adds  $\{q, r\}$  Deletes  $\{p\}$
- $\Delta_2(s, p, q), \Delta_2(s, q, r), \Delta_2(s, p, r) = 1$ : Any pair can be achieved with a single action
- $\Delta_2(s, g) = \max(\Delta_2(s, p, q), \Delta_2(s, q, r), \Delta_2(s, p, r)) = \max(1, 1, 1) = 1,$   
but the problem is unsolvable!

# The $h_2$ Heuristic and Pairwise Mutexes



- If  $\Delta_2(s_0, p, q) = \infty$ :
  - Starting in  $s_0$ , can't reach a state where  $p$  and  $q$  are true
  - Starting in  $s_0$ ,  $p$  and  $q$  are *mutually exclusive (mutex)*
- One-way implication!
  - Can be used to find *some* mutex relations, not necessarily *all*

# The $h_2$ Heuristic and Delete Relaxation



- In the book:
  - $\Delta_2(s, p, q) = \mathbf{min} \{$ 

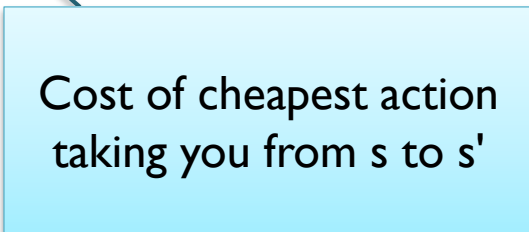
$1 + \min \{ \Delta_2(s, \text{precond}(a))$	$  a \in A \text{ and } p, q \in \text{effects}^+(a) \},$
$1 + \min \{ \Delta_2(s, \text{precond}(a) \cup \{q\})$	$  a \in A, p \in \text{effects}^+(a) \},$
$1 + \min \{ \Delta_2(s, \text{precond}(a) \cup \{p\})$	$  a \in A, q \in \text{effects}^+(a) \}$

 $\}$
- This is **not** how the heuristic is normally presented!
  - Corresponds to applying (full) delete relaxation
  - Uses constant action costs (1)

# The $h_m$ Heuristics: Calculating

- Calculating  $h_m(s)$  **in practice**:
  - Characterized by Bellman equation over a specific search space
  - Solvable using variation of Generalized Bellman-Ford (GBF)
  - (Not part of the course)

$$h^m(s) = \begin{cases} 0 & \text{if } s \subseteq I \\ \min_{s' \in succ(s)} h^m(s') + \delta(s, s') & \text{if } |s| \leq m \\ \max_{s' \subseteq s, |s'| \leq m} h^m(s') & \end{cases}$$



Cost of cheapest action  
taking you from  $s$  to  $s'$

# Accuracy of $h_m$ in Selected Domains



- **How close** is  $h_m(n)$  to the true goal distance  $h^*(n)$ ?
  - **Asymptotic** accuracy as problem size approaches infinity:
    - Blocks world:  $0 \rightarrow h_m(n) \geq 0 h^*(n)$
    - For any constant  $m$ !

# Accuracy of $h_m$ in Selected Domains (2)

- Consider a constructed **family of problem instances**:
  - $10n$  blocks, all on the table
  - Goal:  $n$  specific towers of 10 blocks each
- What is the **true cost** of a solution from the initial state?
  - For each tower, 1 block in place + 9 blocks to move
  - 2 actions per move
  - $9 * 2 * n = 18n$  actions
- $h_1(\text{initial-state}) = 2$  – regardless of  $n!$ 
  - All instances of clear, ontable, handempty already achieved
  - Achieving a single on(...) proposition requires two actions
- $h_2(\text{initial-state}) = 4$ 
  - Achieving two on(...) propositions
- $h_3(\text{initial-state}) = 6$
- ...



As problem sizes grow,  
the number of goals can grow  
and plan lengths can grow indefinitely

But  $h_m(n)$  only considers a constant  
number of goal facts!

Each individual set of size  $m$  does not  
necessarily become harder to achieve,  
and we only calculate *max*, not *sum*...

# Accuracy of $h_m$ in Selected Domains (3)



- **How close** is  $h_m(n)$  to the true goal distance  $h^*(n)$ ?

- **Asymptotic** accuracy as problem size approaches infinity:

- Blocks world: 0                       $\rightarrow h_m(n) \geq 0 h^*(n)$
- Gripper domain: 0
- Logistics domain: 0
- Miconic-STRIPS: 0
- Miconic-Simple-ADL: 0
- Schedule: 0
- Satellite: 0

Still **useful** – this is a **worst-case** analysis  
as **sizes approach infinity!**  
+ Variations such as additive  $h_m$  exist

- For any constant  $m$ !

- Details:

- Malte Helmert, Robert Mattmüller

*Accuracy of Admissible Heuristic Functions in Selected Planning Domains*



# The $h_2$ Heuristic: Accuracy

- **Experimental** accuracy of  $h_2$  in a few classical problems:

Instance	Opt.	$h(\text{root})$
blocks-9	6	5
blocks-11	9	7
blocks-15	14	11
eight-1	31	15
eight-2	31	15
eight-3	20	12
grid-1	14	14
gripper-1	3	3
gripper-2	9	4
gripper-3	15	4

Seems to work well  
for the blocks world...

Less informative for the  
gripper domain!

# The $h_m$ Heuristic: Nodes Expanded

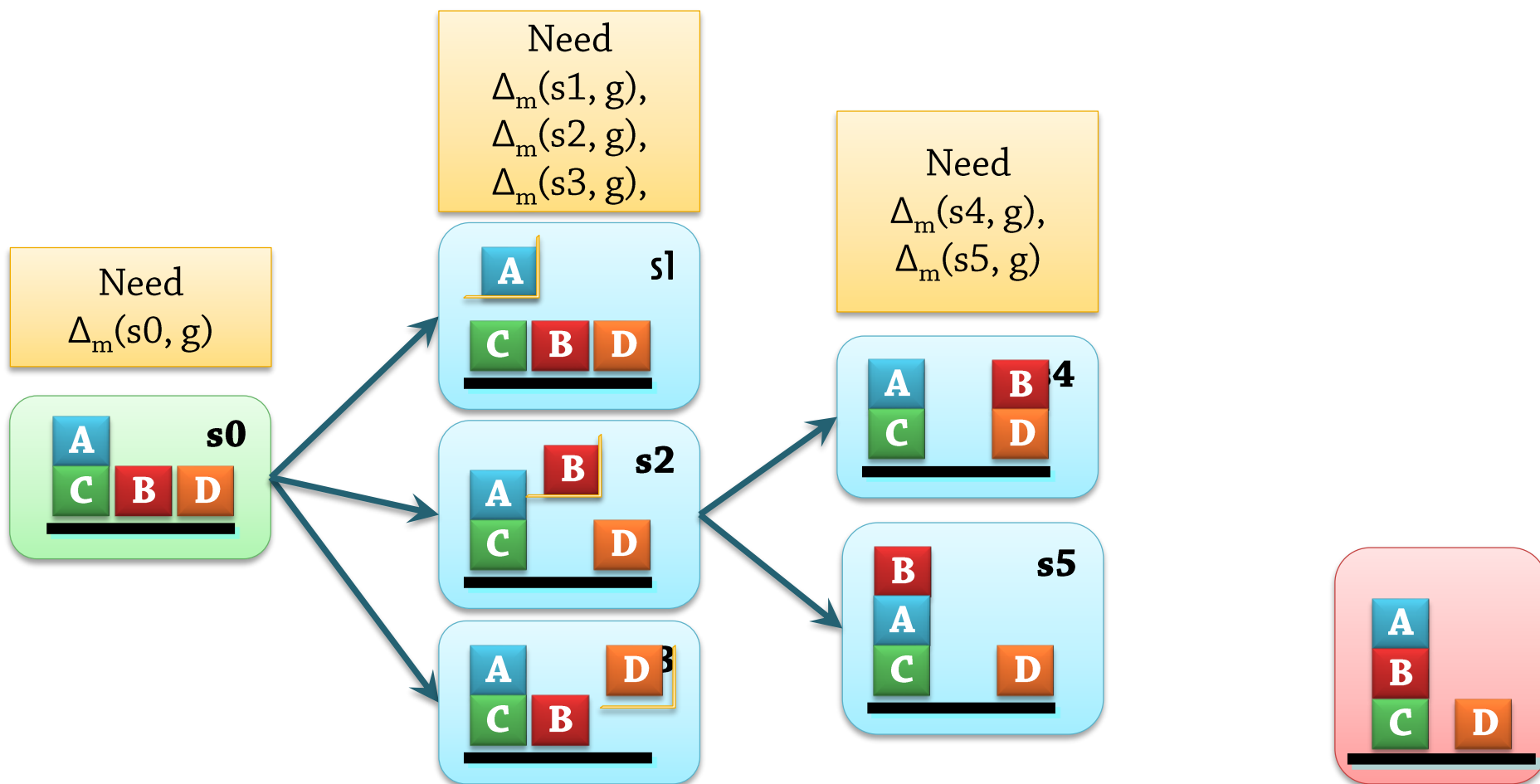


Blocks/length	nodes blind	nodes h1	nodes h2	nodes h3	nodes h4
5	1438	476	112	18	13
6	6140	963	78	23	
7	120375	24038	1662	36	
8	1624405	392065	35971		
9	25565656 (25.2s)	14863802			
10	>84 million (out of mem)	208691676			

# Backward Search and $h_m$ Heuristics

# Forward Search with $h_m$

- Consider  $h_m$  heuristics using forward search:



# Forward Search with $h_m$ : Illustration

Goal:

<i>clear(A)</i>	<i>on(A,B)</i>	<i>on(B,C)</i>	<i>ontable(C)</i>	<i>clear(D)</i>	<i>ontable(D)</i>
cost 0	cost 2	cost 2	cost 0	cost 0	cost 0



<b>stack(A,B)</b>	
<i>holding(A)</i>	<i>clear(B)</i>
cost 1	cost 0

<b>stack(B,C)</b>	
<i>holding(B)</i>	<i>clear(C)</i>
cost 1	cost 1

<b>unstack(A,C)</b>		
<i>handempty</i>	<i>clear(A)</i>	<i>on(A,C)</i>
cost 0	cost 0	cost 0
Search continues: This is cheaper!		

<b>pickup(B)</b>	
<i>handempty</i>	<i>clear(B)</i>
cost 0	cost 0

<b>unstack(A,D)</b>		
<i>handempty</i>	<i>clear(A)</i>	<i>on(A,D)</i>
More calculations show: This is expensive...		

<b>unstack(A,C)</b>		
<i>handempty</i>	<i>clear(A)</i>	<i>on(A,C)</i>



current: *clear(A), on(A,C), ontable(C), clear(B), ontable(B), clear(D), ontable(D), handempty*

**Calculations depend very much on the entire current state!**  
**New search node → new current state → recalculate  $\Delta_m$  from scratch**

# Backward Search with $h_m$

- In backward search:

Need  
 $\Delta_m(s_0, g_3)$ ,  
 $\Delta_m(s_0, g_4)$ ,  
 $\Delta_m(s_0, g_5)$

New search node  $\rightarrow$   
same starting state  $\rightarrow$   
 use the old  $\Delta_m$  values  
 for previously  
 encountered  
 goal subsets

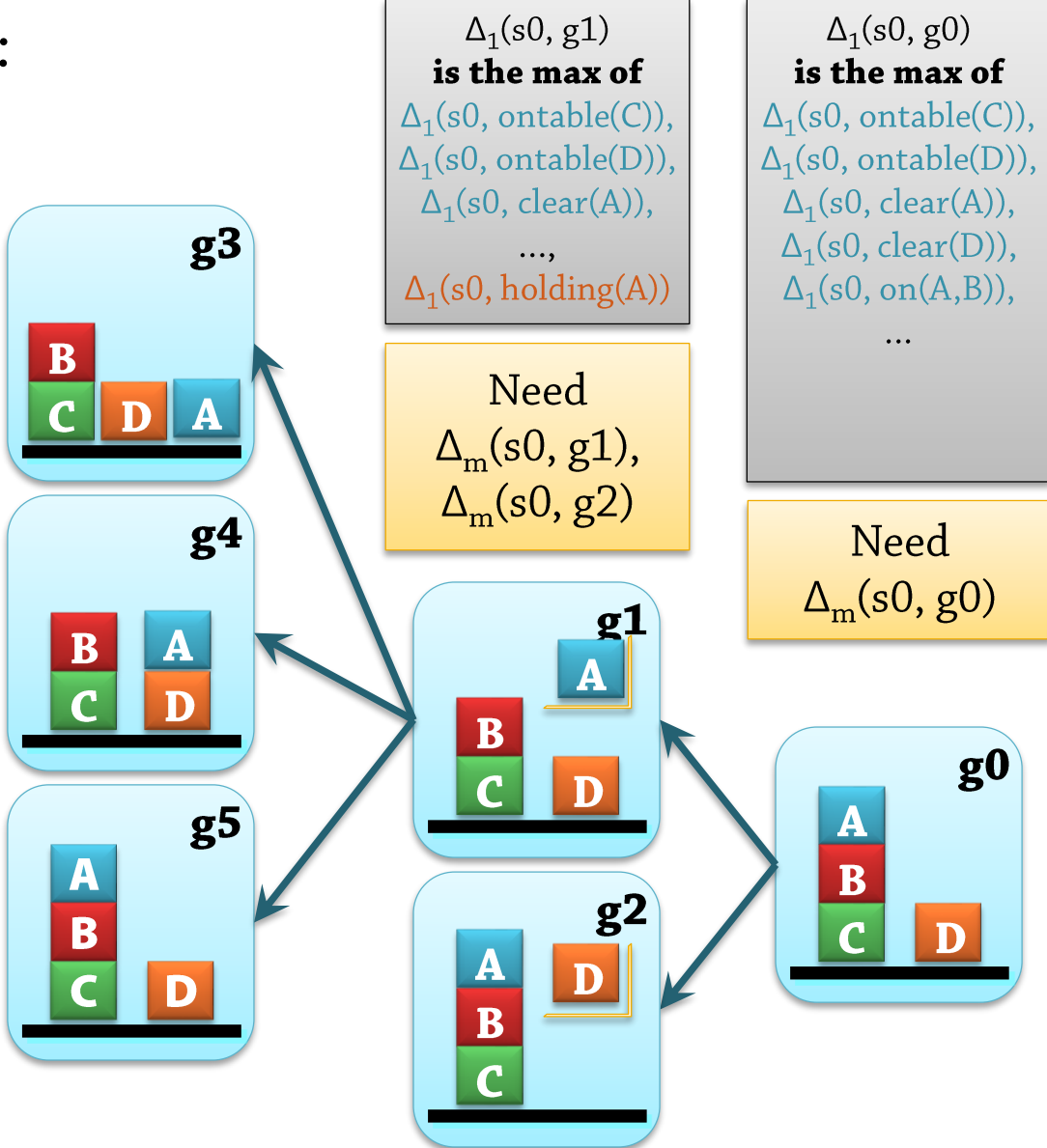
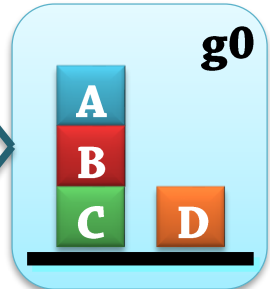
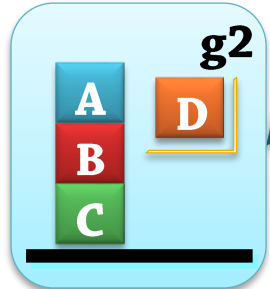
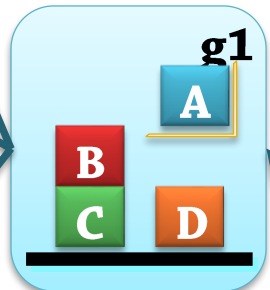


$\Delta_1(s_0, g_1)$   
**is the max of**  
 $\Delta_1(s_0, \text{ontable}(C))$ ,  
 $\Delta_1(s_0, \text{ontable}(D))$ ,  
 $\Delta_1(s_0, \text{clear}(A))$ ,  
 ...,  
 $\Delta_1(s_0, \text{holding}(A))$

Need  
 $\Delta_m(s_0, g_1)$ ,  
 $\Delta_m(s_0, g_2)$

$\Delta_1(s_0, g_0)$   
**is the max of**  
 $\Delta_1(s_0, \text{ontable}(C))$ ,  
 $\Delta_1(s_0, \text{ontable}(D))$ ,  
 $\Delta_1(s_0, \text{clear}(A))$ ,  
 $\Delta_1(s_0, \text{clear}(D))$ ,  
 $\Delta_1(s_0, \text{on}(A, B))$ ,  
 ...

Need  
 $\Delta_m(s_0, g_0)$



- Results:
  - Faster calculation of heuristics
  - **Not applicable for *all* heuristics!**
    - Many other heuristics work better with forward planning

# Heuristics for Satisficing Forward State Space Planning



# Optimal and Satisficing Planning

- Optimal planning often uses admissible heuristics +  $A^*$ 
  - Are there worthwhile alternatives?

- If we need optimality:
  - Can't use non-admissible heuristics
  - Can't expand fewer nodes than  $A^*$

- But we are not limited to optimal plans!
  - High-quality non-optimal plans can be quite useful as well
  - Satisficing planning
    - Find a plan that is sufficiently good, sufficiently quickly
    - Handles larger problems



Investigate many different points on the efficiency/quality spectrum!

# The $h_{\text{add}}$ Heuristic Function

Also called  $h_0$

- $h_m$  heuristics are admissible, but not very informative
  - Only measure the most expensive goal subsets
- For satisficing planning, we do not need admissibility
  - What if we use the sum of individual plan lengths for each atom!
  - Result:  $h_{add}$ , also called  $h_0$

# The $h_{add}$ Heuristic: Example

Goal:

<i>clear(A)</i>	<i>on(A,B)</i>	<i>on(B,C)</i>	<i>ontable(C)</i>	<i>clear(D)</i>	<i>ontable(D)</i>
cost 0	cost 2	cost 3	cost 0	cost 0	cost 0



$h_{add}(s_0) = \text{sum}(2,3) = 5$

**stack(A,B)**

<i>holding(A)</i>	<i>clear(B)</i>
cost 1	cost 0

**stack(B,C)**

<i>holding(B)</i>	<i>clear(C)</i>
cost 1	cost 1

**unstack(A,C)**

<i>handempty</i>	<i>clear(A)</i>	<i>on(A,C)</i>
cost 0	cost 0	cost 0
Cheaper!		

**pickup(B)**

<i>handempty</i>	<i>clear(B)</i>
cost 0	cost 0

**unstack(A,D)**

<i>handempty</i>	<i>clear(A)</i>	<i>on(A,D)</i>
More calculations → expensive...		

**unstack(A,C)**

<i>handempty</i>	<i>clear(A)</i>	<i>on(A,C)</i>
------------------	-----------------	----------------



$s_0$ : *clear(A)*, *on(A,C)*, *ontable(C)*, *clear(B)*, *ontable(B)*, *clear(D)*, *ontable(D)*, *handempty*

# The $h_{add}$ Heuristic: Formal Definition

$h_{add}(s) = h_0(s) = \Delta_0(s, g)$  – the heuristic depends on the goal  $g$

- For a **goal**, a **set**  $g$  of facts to achieve:
  - $\Delta_0(s, g) =$  the cost of achieving the **most expensive** proposition in  $g$ 
    - $\Delta_0(s, g) = 0$  if  $g \subseteq s$  // Already achieved entire goal
    - $\Delta_0(s, g) = \text{sum } \{ \Delta_0(s, p) \mid p \in g \}$  otherwise // Part of the goal not achieved

The cost of each atom  $p$  in goal  $g$

**Sum:** We assume we have to achieve every subgoal separately

So how expensive is it to achieve a single proposition?

# The $h_{add}$ Heuristic: Formal Definition

$h_{add}(s) = h_0(s) = \Delta_0(s, g)$  – the heuristic depends on the goal  $g$

- For a **single proposition**  $p$  to be achieved:

- $\Delta_0(s, p)$  = the cost of **achieving  $p$  from  $s$**

- $\Delta_0(s, p) = 0$  if  $p \in s$  // *Already achieved  $p$*

- $\Delta_0(s, p) = \infty$  if  $\forall a \in A. p \notin \text{effects}^+(a)$  // *Unachievable*

- Otherwise:

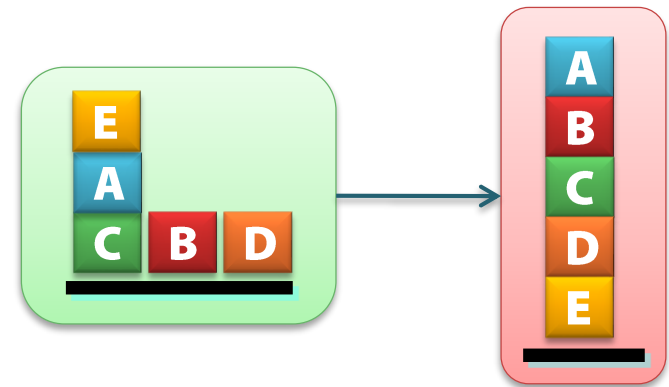
$$\Delta_0(s, p) = \min \{ \text{cost}(a) + \Delta_1(s, \text{precond}(a)) \mid a \in A \text{ and } p \in \text{effects}^+(a) \}$$

Must execute an action  $a \in A$  that achieves  $p$ ,  
and before that, *acheive its preconditions*

**Min:** Choose the action  
that lets you achieve  $p$  as cheaply as possible

# The $h_{add}$ Heuristic: Example

- $h_{add}(s) = \Delta_0(s, g)$ 
  - For another example:
    - **ontable(E)**: unstack(E,A), putdown(E) → 2
    - **clear(A)**: unstack(E,A) → 1
    - **on(A,B)**: unstack(E,A), unstack(A,C), stack(A,B) → 3
    - **on(B,C)**: unstack(E,A), unstack(A,C), pickup(B), stack(B,C) → 4
    - **on(C,D)**: unstack(E,A), unstack(A,C), pickup(C), stack(C,D) → 4
    - **on(D,E)**: pickup(D), stack(D,E) → 2
    - → sum is 16 [ $h_+ = 10$ ,  $h^* = 12$ ]



Can underestimate but also overestimate, not admissible!

# The $h_{add}$ Heuristic: Admissibility



- Why not admissible?
  - Does not take into account interactions between goals
  - Simple case: Same action used
    - on(A,B): unstack(E,A); unstack(A,C); stack(A,B) → 3
    - on(B,C): unstack(E,A); unstack(A,C); pickup(B); stack(B,C) → 4
  - More complicated to detect:
    - Goal: p and q
    - A1: effect p
    - A2: effect q
    - A3: effect p and q
  - To achieve p: Use A1 – No specific action used twice
  - To achieve q: Use A2 – Still misses interactions



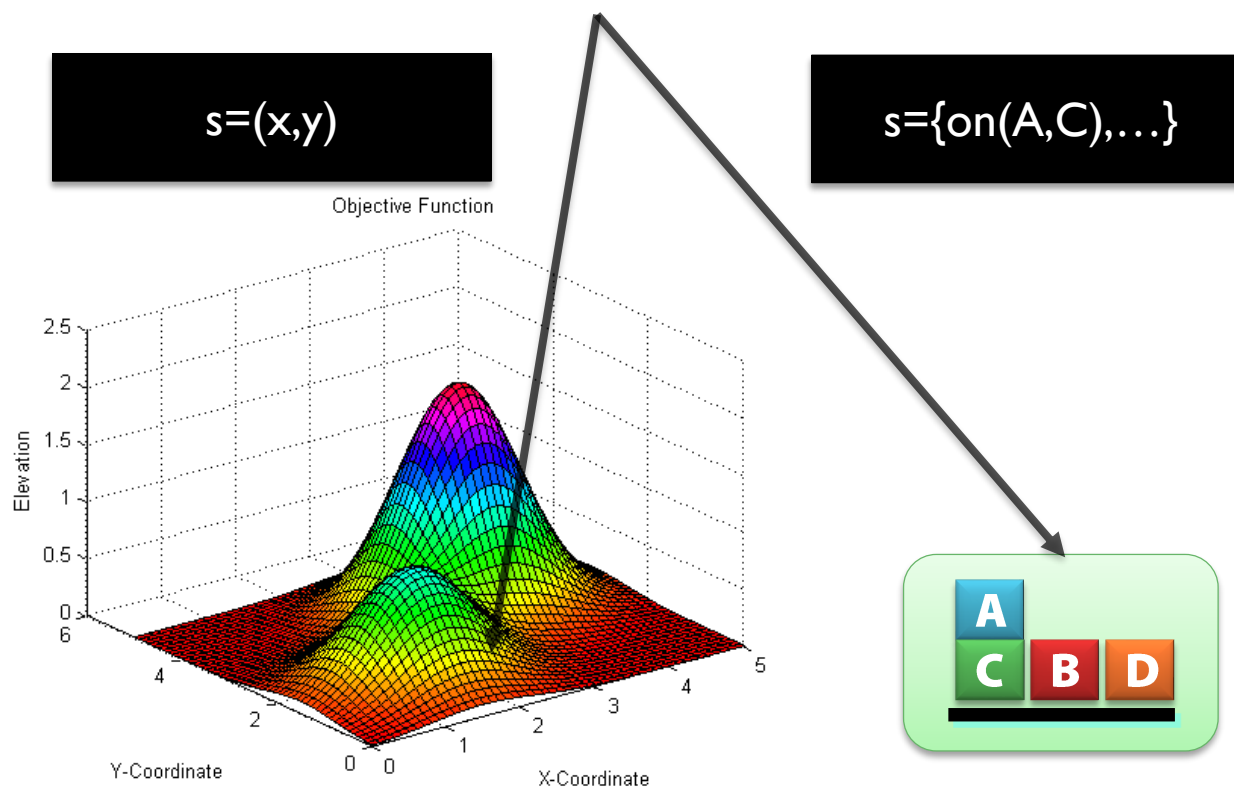
# Hill Climbing in HSP (Heuristic Search Planner)

Satisficing planning, in a nutshell:

Try to move **quickly** towards a **reasonably good solution**

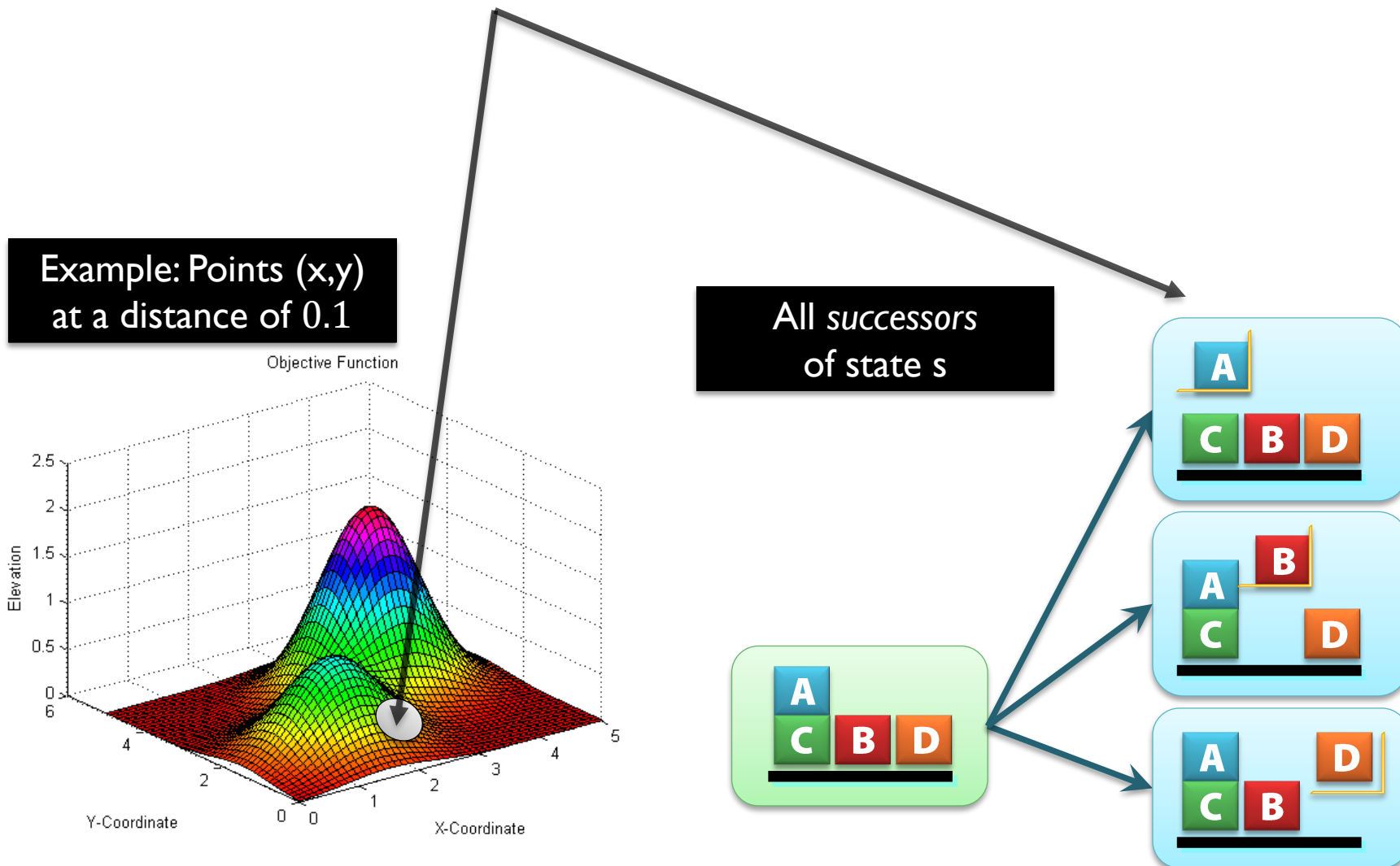
# Hill Climbing (1)

- What about **Steepest Ascent Hill Climbing?**
  - **Greedy local search** algorithm for **optimization problems**
  - (I) Start in some **current location**



# Hill Climbing (2)

- (2) Find the **local neighborhood**, which can easily be reached



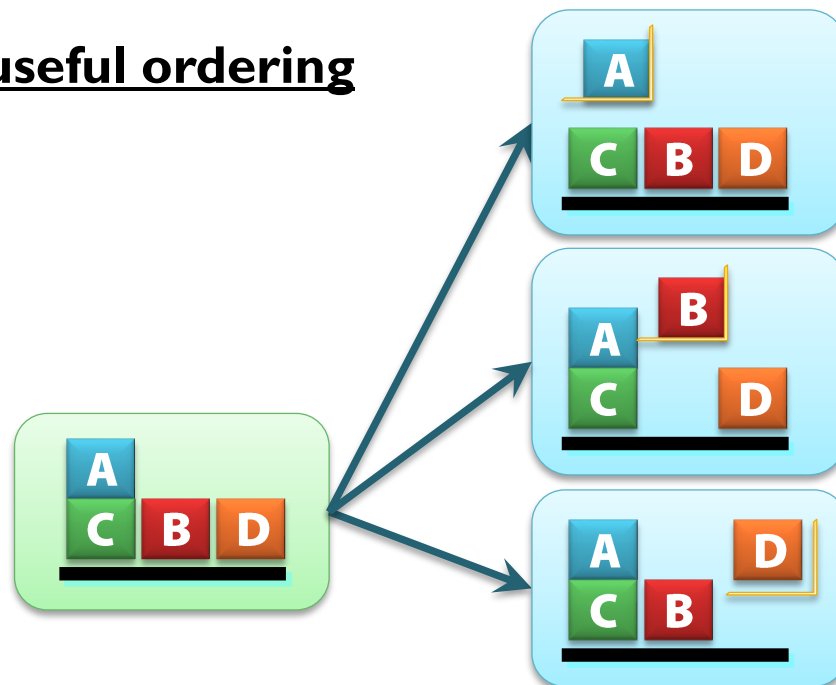
# Hill Climbing (3)

- (3) Make a **locally optimal** choice at each step:  
Chooses the *best* successor/neighbor



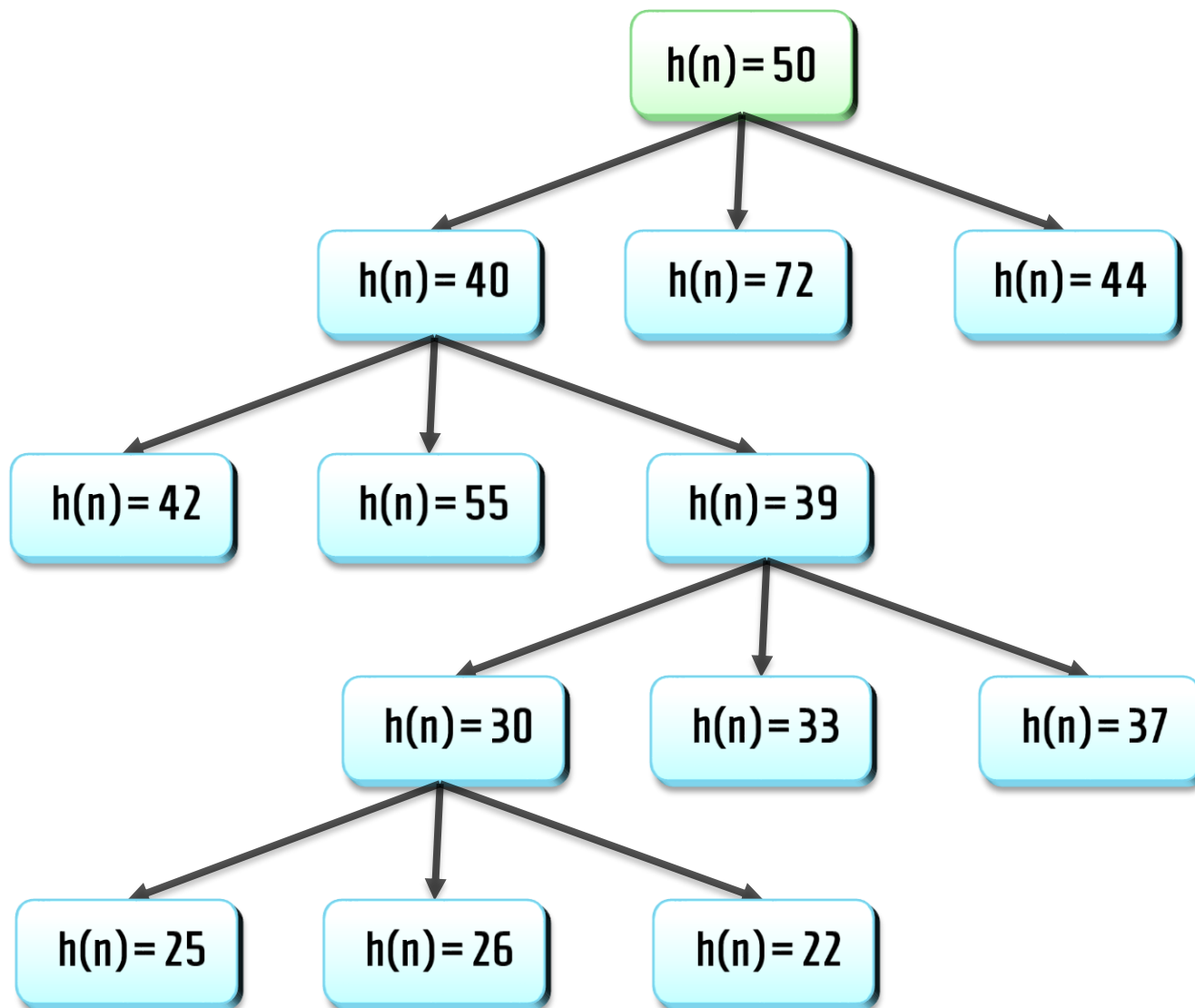
# Hill Climbing (4)

- We don't have a strict *state quality* measure!
  - Goal states are *perfect*, other states are *not solutions*
- But **minimizing heuristic value** might lead to a goal state...
  - (Minimize  $h(n)$  = maximize  $-h(n)$ )
  - → A good heuristic should provide **useful ordering**



# Hill Climbing (5)

- Example of hill climbing search:



# Hill Climbing (6)

## A\* search:

$n \leftarrow$  initial state

$open \leftarrow \emptyset$

### **loop**

**if**  $n$  is a solution **then return**  $n$

expand children of  $n$

calculate  $h$  for children

add children to  $open$

$n \leftarrow$  node in  $open$

minimizing  $f(n) = g(n) + h(n)$

### **end loop**

## **Steepest Ascent Hill-climbing**

$n \leftarrow$  initial state

### **loop**

**if**  $n$  is a solution **then return**  $n$

expand children of  $n$

calculate  $h$  for children

**if** (some child decreases  $h(n)$ ):

$n \leftarrow$  child with minimal  $h(n)$

**else stop** // local optimum

### **end loop**

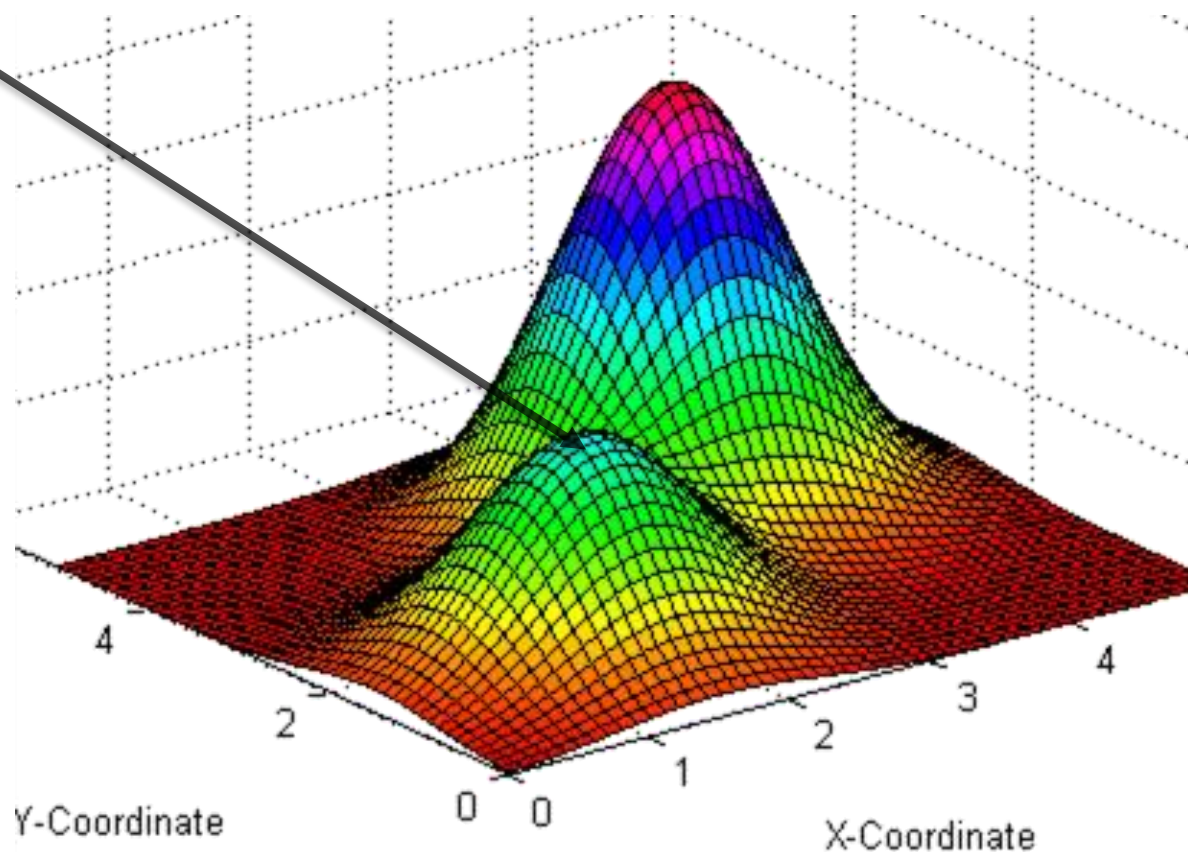
### Be stubborn:

Only consider children of this node, don't even keep track of other nodes to return to

Ignore  $g(n)$ : prioritize finding a plan quickly over finding a good plan

# Local Optima (1)

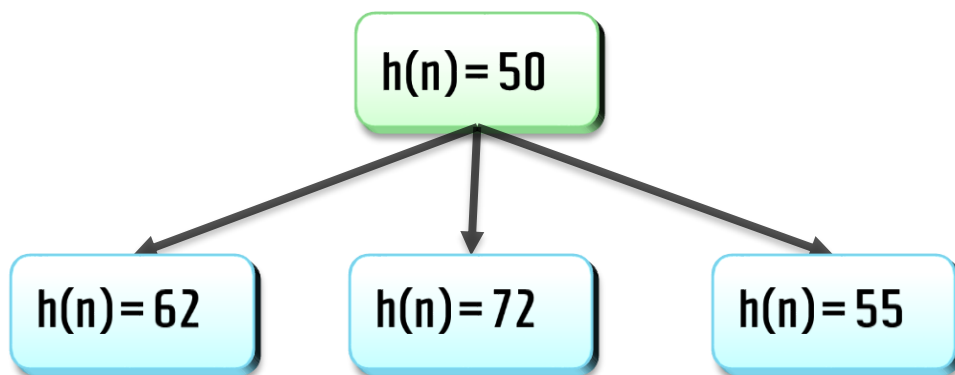
- (4) When there is **nothing better** nearby: Stop!
  - HC is used for *optimization*
    - Any point is a *solution*, we search for the *best* one
  - Might find a *local optimum*:  
The top of a hill





# Local Optima (2)

- Classical planning → *absolute goals*
  - Even if we can't decrease  $h(n)$ , we can't simply *stop*



## **Steepest Ascent**

### **Hill-climbing**

$n \leftarrow$  initial state

#### **loop**

**if**  $n$  is a solution **then return**  $n$

expand children of  $n$

calculate  $h$  for children

**if** (some child decreases  $h(n)$ ):

$n \leftarrow$  child with minimal  $h(n)$

**else stop** // local optimum

**end loop**

# Local Optima (3)

- Standard solution to local optima:  
**Random restart**
  - Randomly choose another node/state
  - Continue searching from there
  - Hope you find a global optimum eventually
- Can *planners* choose *arbitrary* random states?

## **Steepest Ascent**

## **Hill-climbing with Restarts**

$n \leftarrow$  initial state

### **loop**

**if**  $n$  is a solution **then return**  $n$

expand children of  $n$

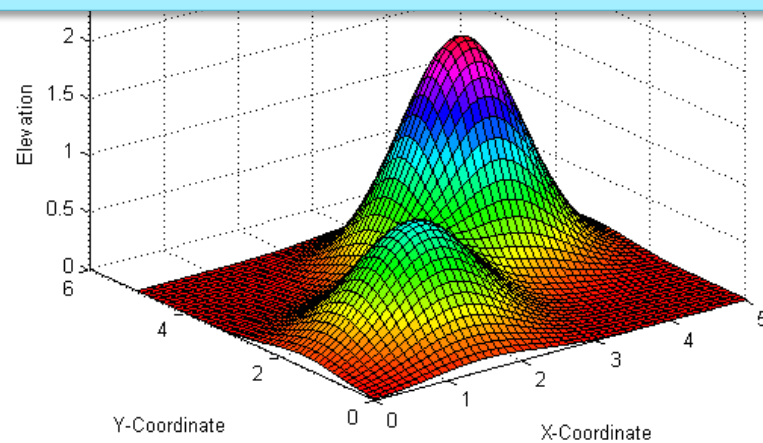
calculate  $h$  for children

**if** (some child decreases  $h(n)$ ):

$n \leftarrow$  child with minimal  $h(n)$

**else**  $n \leftarrow$  some random state

**end loop**



# Local Optima (4)

- In planning:
  - The solution is not a *state* but the *path to the state*
  - Random states may not be reachable from the initial state
- So:
  - Randomly choose another *already visited* node/state
  - This node *is* reachable!

## Steepest Ascent

### Hill-climbing with Restarts (2)

$n \leftarrow$  initial state

#### **loop**

**if**  $n$  is a solution **then return**  $n$

expand children of  $n$

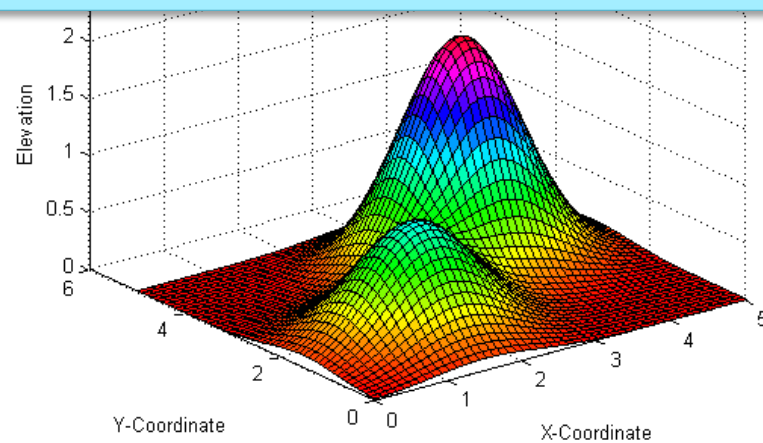
calculate  $h$  for children

**if** (some child decreases  $h(n)$ ):

$n \leftarrow$  child with minimal  $h(n)$

**else**  $n \leftarrow$  some rnd. *visited* state

**end loop**

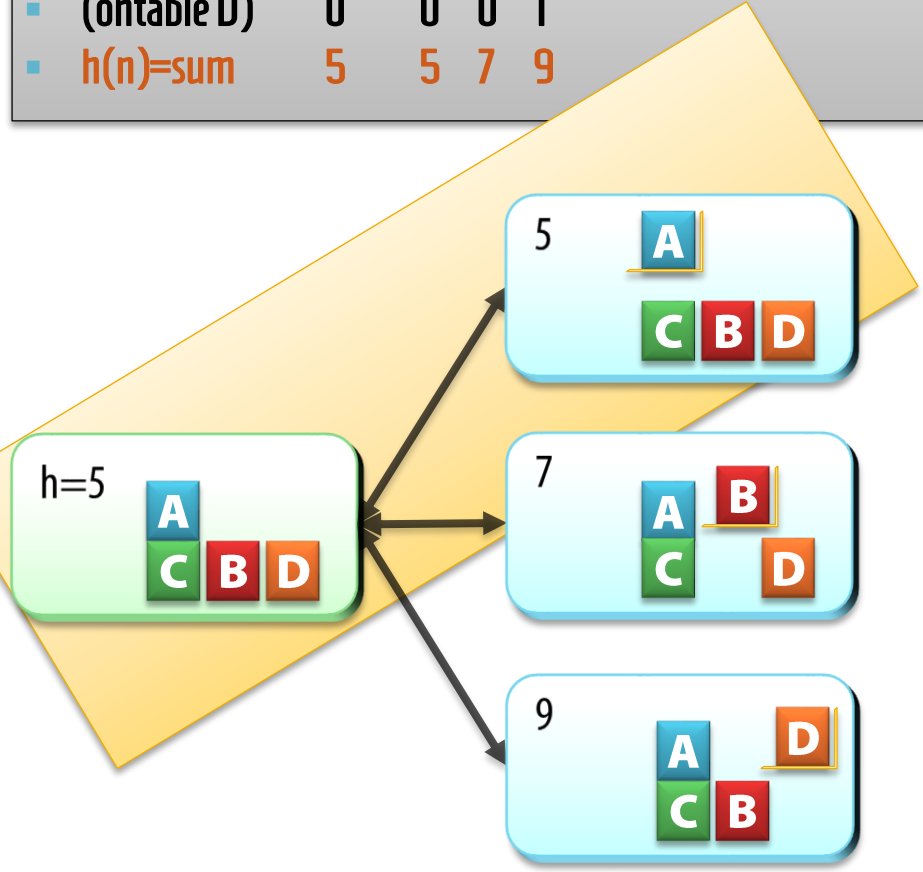


# Hill Climbing with $h_{add}$ : Plateaus

▪ (on A B)	2	1	3	3
▪ (on B C)	3	3	4	4
▪ (clear A)	0	1	0	0
▪ (clear D)	0	0	0	1
▪ (ontable C)	0	0	0	0
▪ (ontable D)	0	0	0	1
▪ $h(n)=sum$	5	5	7	9

No successor improves the heuristic value; some are equal!  
We have a **plateau**...

Jump to a random state immediately?  
No: the heuristic is not so accurate – maybe some child is closer to the goal even though  $h(n)$  isn't lower!  
→ Let's keep exploring:  
Allow a small number of consecutive moves across plateaus



# Plateaus

- A plateau...

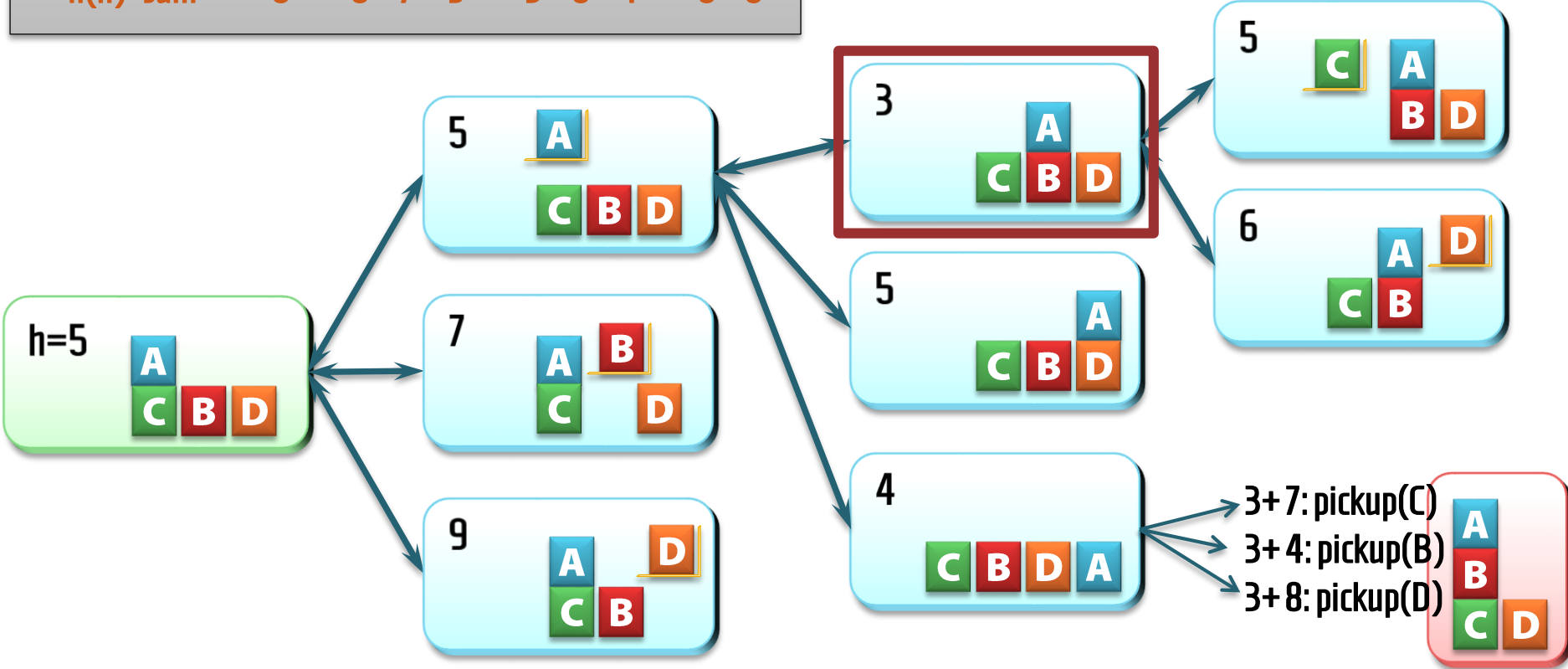


# Hill Climbing with $h_{add}$ : Local Optima

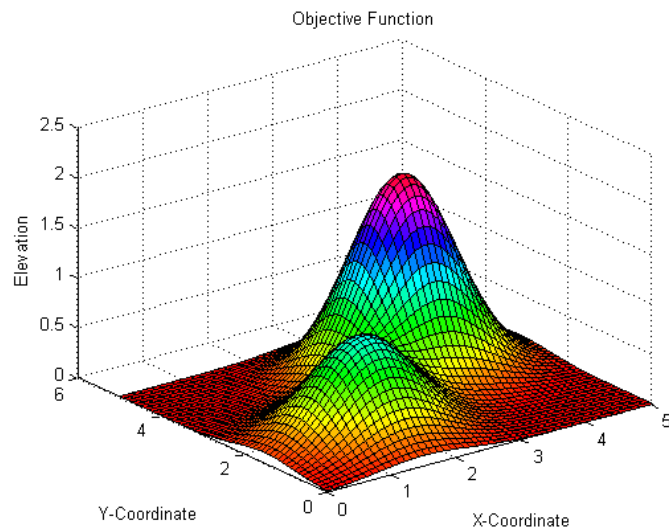
- (on A B)      2    1 3 3    0 2 2    0 0
- (on B C)      3    3 4 4    3 2 2    4 4
- (clear A)     0    1 0 0    0 0 0    0 0
- (clear D)     0    0 0 1    0 1 0    0 1
- (ontable C)   0    0 0 0    0 0 0    1 0
- (ontable D)   0    0 0 1    0 0 0    0 1
- $h(n)=sum$     5    5 7 9    3 5 4    5 6

If we continue, all successors have higher heuristic values!

We have a **local optimum**...  
 Impasse = optimum or plateau  
 Some impasses allowed



- Local optimum: You can't improve the *heuristic function* in one step
  - But maybe you can still get *closer to the goal*:  
The heuristic only *approximates* our real objectives



# Impasses and Restarts

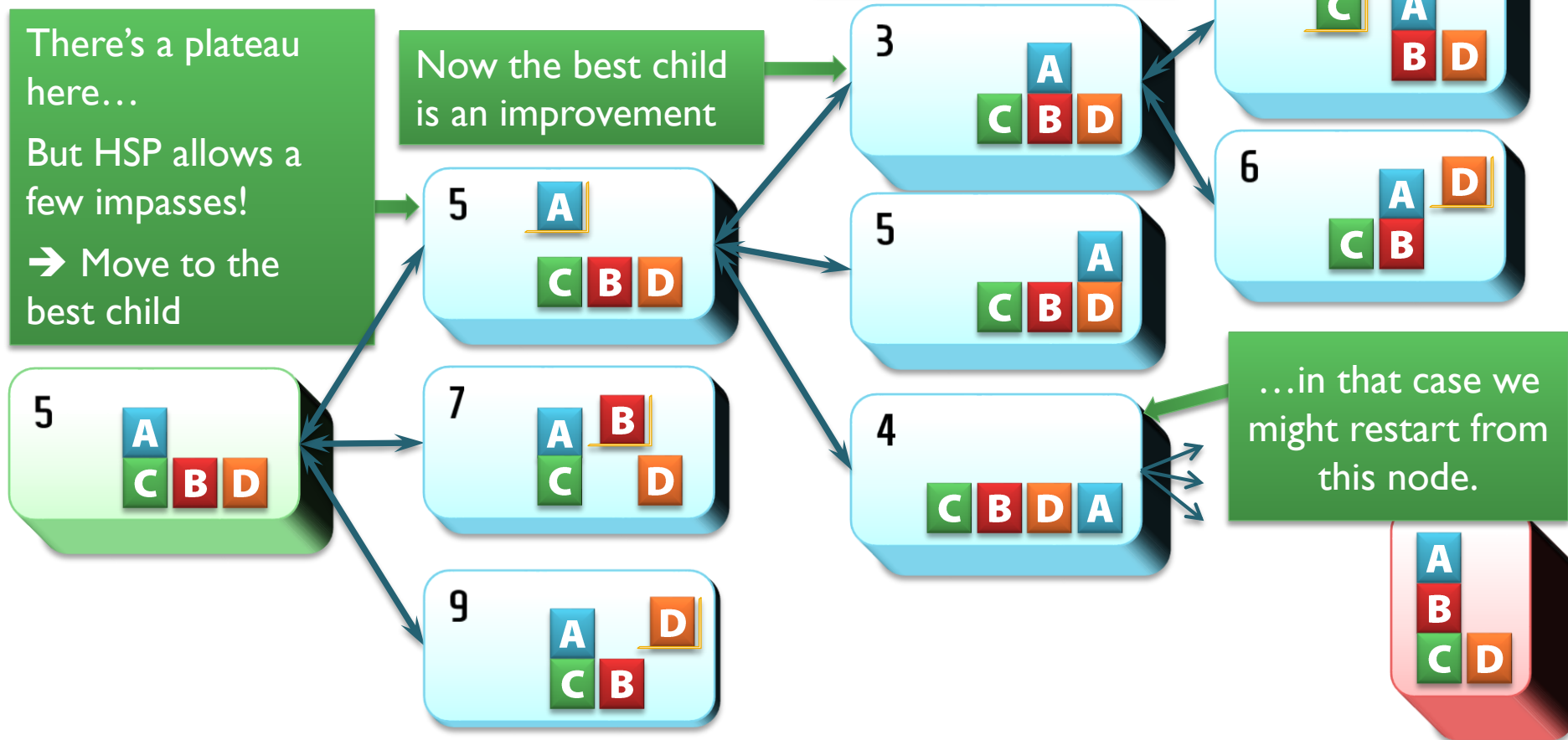


- What if there are **many** impasses?
  - Maybe we *are* in the wrong part of the search space after all...
    - Misguided by  $h_{\text{add}}$  at some earlier step
  - → Select another *promising* expanded node where search continues



# HSP Example

- Example from HSP 1.x:
  - Hill Climbing with  $h_{add}$  allowing some impasses (plus some other tweaks)



# HSP 1: Heuristic Search Planner

- HSP 1.x:  $h_{add}$  heuristic + hill climbing + modifications
  - Works **approximately** like this (some intricacies omitted):

```
    impasses = 0;
    unexpanded = { };
    current = initialNode;
    while (not yet reached the goal) {
        children ← expand(current);           // Apply all applicable actions
        if (children =  $\emptyset$ ) {
            current = pop(unexpanded);
        } else {
            bestChild ← best(children);       // Child with the lowest heuristic value
            add other children to unexpanded in order of h(n); // Keep for restarts!
            if (h(bestChild) ≥ h(current)) {
                impasses++;
                if (impasses == threshold) {
                    current = pop(unexpanded); // Restart from another node
                    impasses = 0;
                }
            }
        }
    }
```

Dead end →  
restart

Essentially  
hill-climbing, but  
not all steps have  
to move "up"

Too many  
downhill/plateau  
moves → escape

Simple structure,  
but highly competitive at its introduction  
(using  $h_{add}$  as a heuristic)

# Heuristics part III

# Pattern Database Heuristics

# Pattern Database Heuristics: Intro

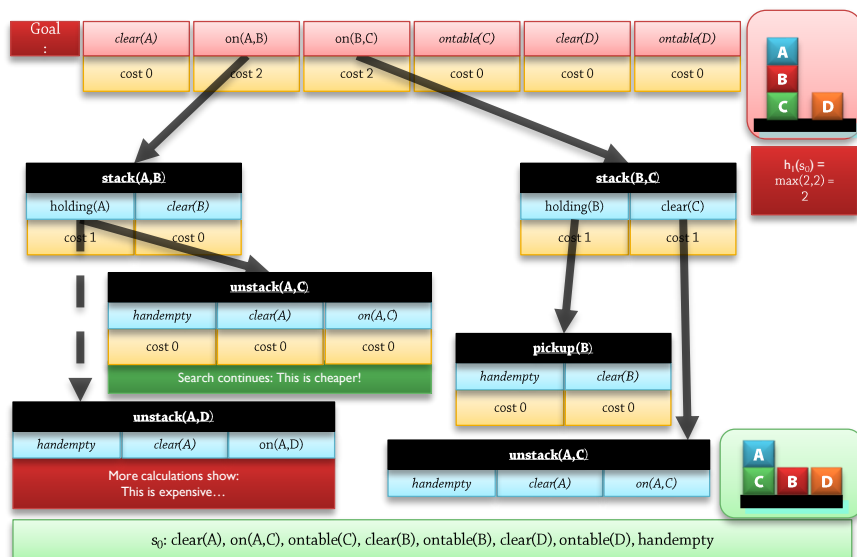
Many heuristics solve subproblems, combine their cost

In each subproblem for the  $h_m$  heuristics:

Pick  $m$  goal literals at a time  
Ignore the others  
Solve a subproblem optimally

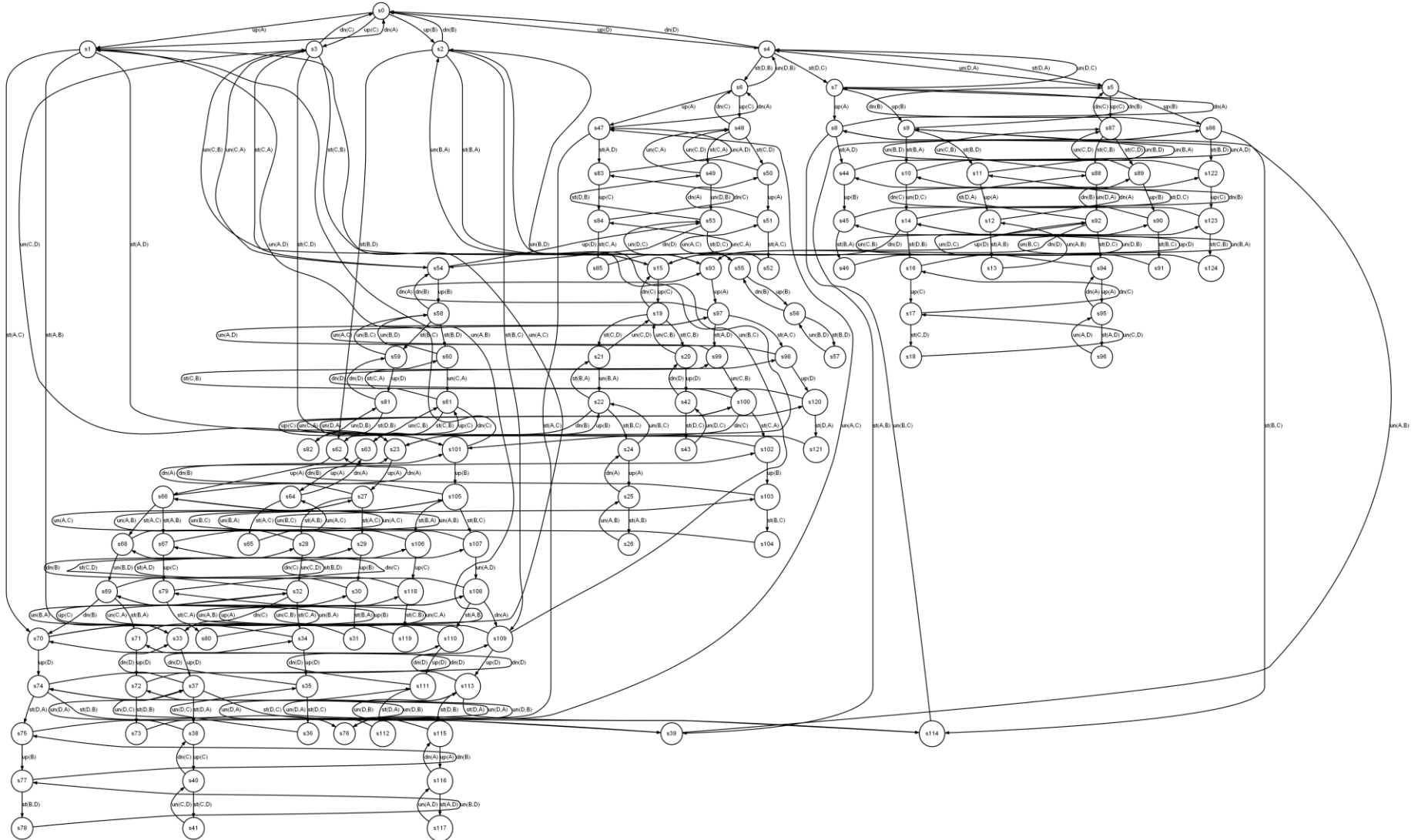
In each subproblem for Pattern Database (PDB) Heuristics

Pick some ground facts from the problem  
Ignore the others  
Solve a subproblem optimally



# BW 4: Achievable States

- Consider physically achievable states in the blocks world, size 4:

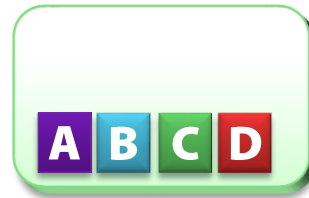


- All ground facts in this problem instance:
  - (on A A)      (on A B)      (on A C)      (on A D)  
(on B A)      (on B B)      (on B C)      (on B D)  
(on C A)      (on C B)      (on C C)      (on C D)  
(on D A)      (on D B)      (on D C)      (on D D)  
(ontable A) (ontable B) (ontable C) (ontable D)  
(clear A)      (clear B)      (clear C)      (clear D)  
(holding A) (holding B) (holding C) (holding D)  
(handempty)

# BW4: Potential Subproblem

- Example: only consider some ground facts related to block A
  - (on A B), (on A C), (on A D), (clear A), (ontable A)

- **Initial state:**



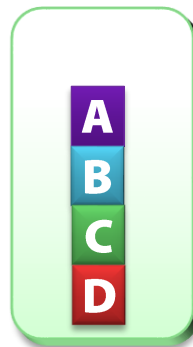
```
ontable(A)
ontable(B)
ontable(C)
ontable(D)
clear(A)
clear(B)
clear(C)
clear(D)
handempty
```



```
ontable(A)
ontable(B)
ontable(C)
ontable(D)
clear(A)
clear(B)
clear(C)
clear(D)
handempty
```

An "abstract state"

- **Goal:**



```
clear(A)
on(A,B)
on(B,C)
on(C,D)
ontable(D)
handempty
```



```
clear(A)
on(A,B)
on(B,C)
on(C,D)
ontable(D)
handempty
```



# BW4: Potential Subproblem (2)



- Including **(on A B), (on A C), (on A D), (clear A), (ontable A)**

- **Example action:** (unstack A B)

- **Before transformation:**

:precondition (and (handempty) (clear A) (on A B))

:effect (and (not (handempty)) (holding A) (not (clear A)) (clear B)  
(not (on A B)))

- **After transformation:**

:precondition (and (clear A) (on A B))

:effect (and (not (clear A)) (not (on A B)))

Loses **some** preconditions  
and effects

- **Example action:** (unstack C D)

- **Before transformation:**

:precondition (and (handempty) (clear C) (on C D))

:effect (and (not (handempty)) (holding C) (not (clear C)) (clear D)  
(not (on C D)))

- **After transformation:**

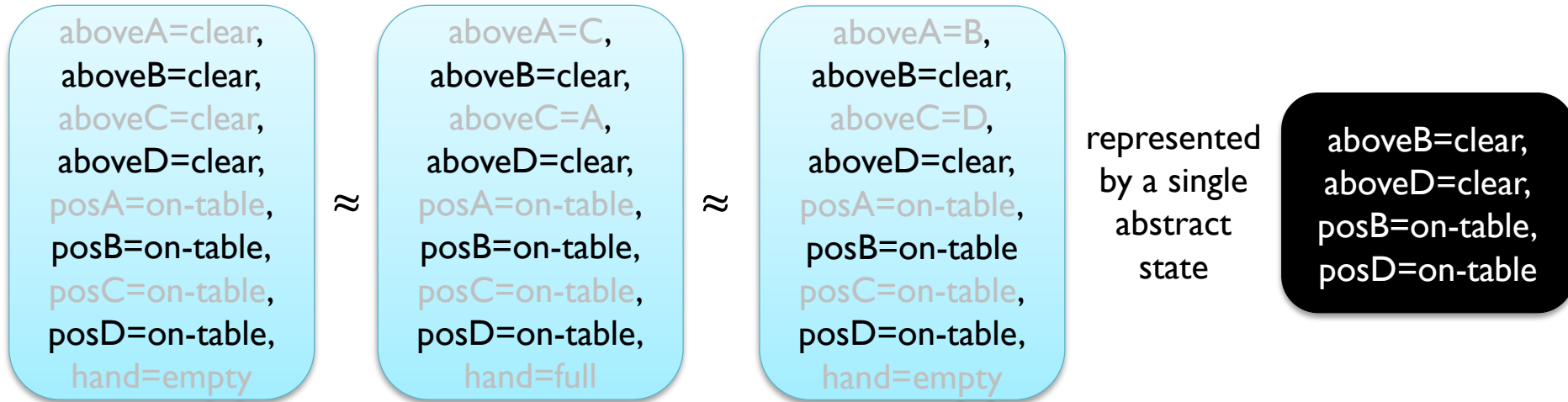
:precondition (and)

:effect (and)

Loses **all** preconditions and  
effects → never used!

# PDB Heuristics: Patterns

- The set of ground facts is called a pattern
  - Many states match the pattern, are represented by a single abstract state
  - Such states are considered *equivalent*



A pattern generally contains few variables/facts – sometimes only one!

# Relaxation?

## ■ Is this a relaxation?

### ■ Yes

### ■ Facts disappear from states...

- $S' = \{s \cap \text{included} \mid s \in S\}$

### ■ But also from precondition/goal requirements!

- If  $a_i$  could be executed in  $s$ ,  
transform( $a_i$ ) can be executed in  $s \cap \text{included}$
- If  $\gamma'$  is the state transition function given transformed actions, then  
 $\gamma'(\text{transform}(a_i), s \cap \text{included}) = \gamma(a_i, s) \cap \text{included}$
- → executable action sequences are preserved
  
- If  $g \subseteq s$ , then  $g \cap \text{included} \subseteq s \cap \text{included}$
- So: Solutions are preserved (but new solutions may arise)

ontable(A)  
ontable(B)  
ontable(C)  
ontable(D)  
clear(A)  
clear(B)  
clear(C)  
clear(D)  
handempty



ontable(A)  
ontable(B)  
ontable(C)  
ontable(D)  
clear(A)  
clear(B)  
clear(C)  
clear(D)  
handempty

# BW4: State Transition Graph

- New reachable state transition graph:

- Current state: Everything on the table, hand empty, all blocks clear

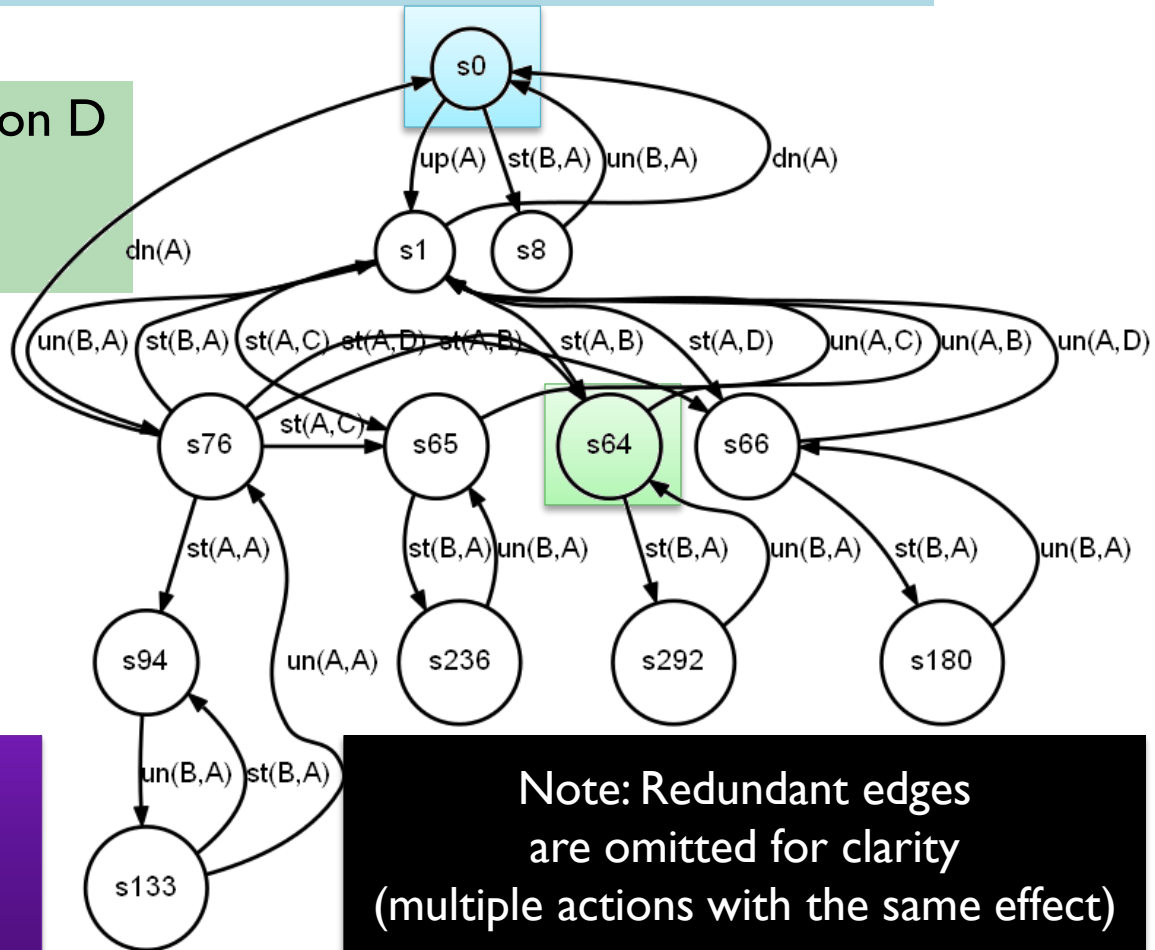
- Abstract state:  $s_0 = \{ (\text{ontable } A), (\text{clear } A) \}$

- Goal state: A on B on C on D

- Abstract goal:  $s_{64} = \{ (\text{on } A \ B), (\text{clear } A) \}$

- Sufficiently few states to quickly compute optimal costs

- Cost is *at least* 2:  
Shortest path  $s_0 \rightarrow s_{64}$



Optimal cost of a relaxation  
→  
admissible heuristic

Note: Redundant edges  
are omitted for clarity  
(multiple actions with the same effect)

As in  $h_m$ , use multiple subproblems!

## Subproblem 2: Some facts related to B

**Current state:** Everything on the table, hand empty, all blocks clear

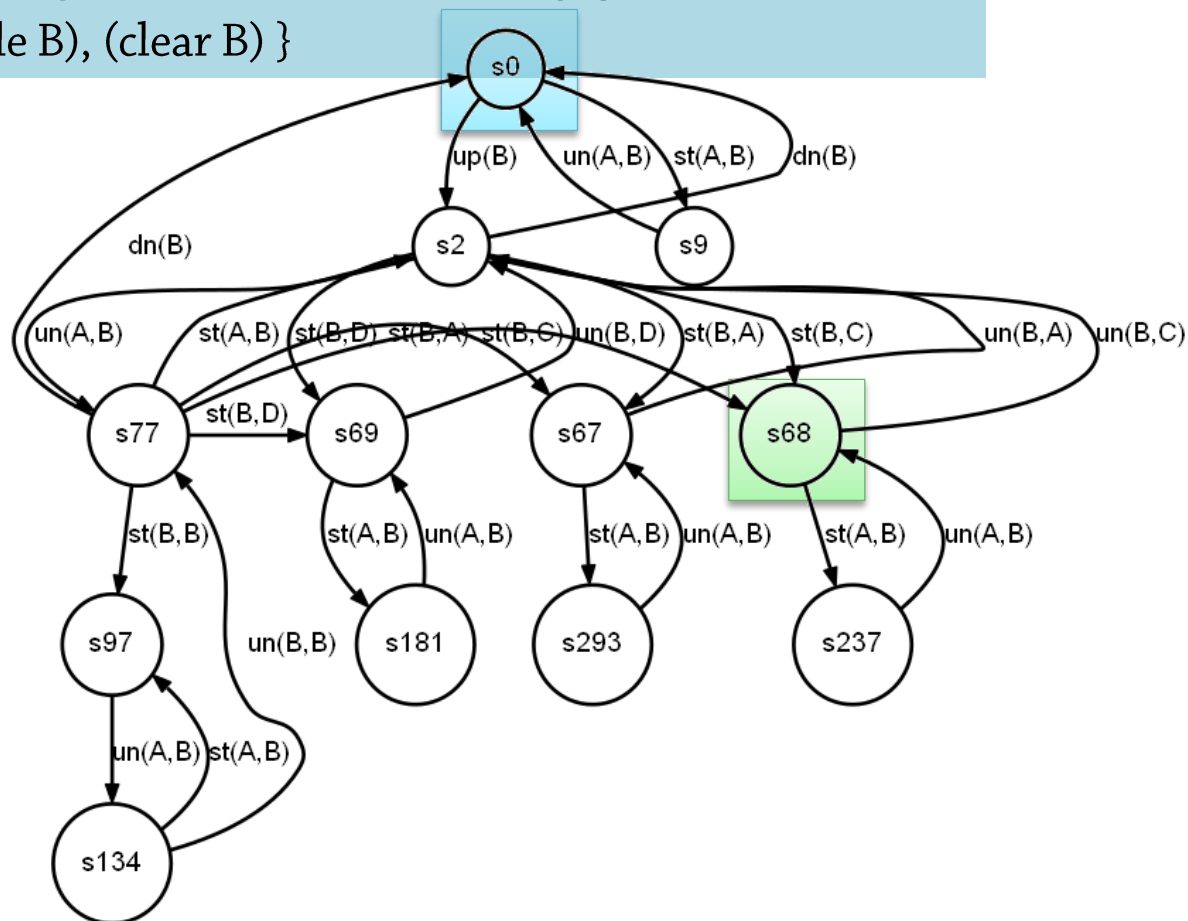
Abstract state:  $\{ (\text{ontable B}), (\text{clear B}) \}$

**Goal state:**

A on B on C on D

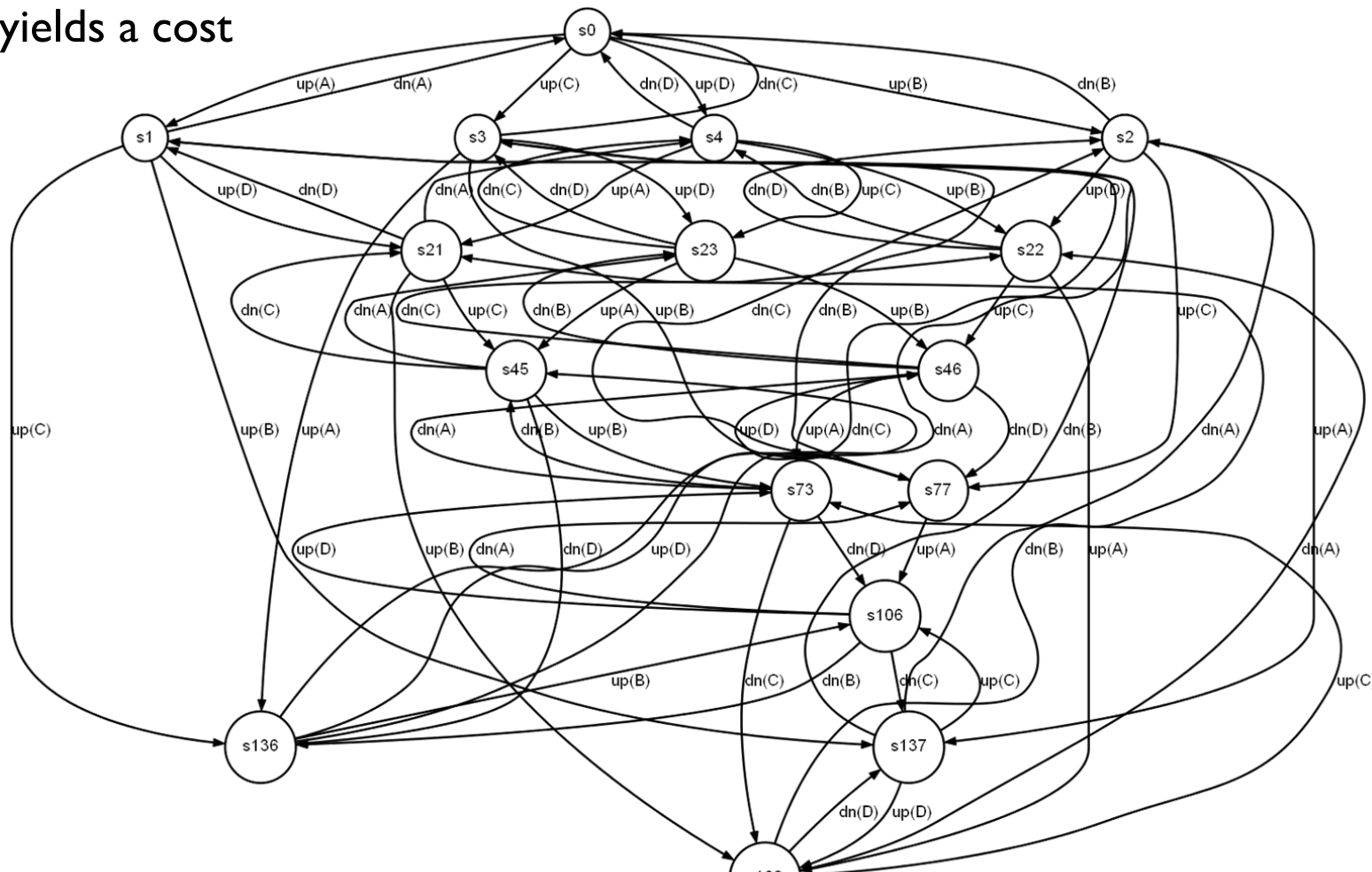
Abstract goal:  $\{ (\text{on B C}) \}$

Find a path,  
compute its cost



# BW4: Subproblem 3

- Subproblem 3: Only consider (holding ?x) facts...
  - Also yields a cost



As in  $h_m$ , take the maximum of these costs → admissible heuristic

# **Pattern Database Heuristics:**

## **State Representation**

# PDB Heuristics: State Variables



- For PDB heuristics, a state variable representation is useful
  - Typically:
    - Reduces the number of facts
    - Provides more information about which states are actually reachable!
  - Model problems using the state variable representation, or let planners convert automatically from predicate representation



# PDB Heuristics: State Variables (2)



- Example: Blocks world with 4 blocks
  - **536,870,912 states** (reachable and unreachable) in the standard predicate representation
  - But in **all states reachable** from "all-on-table" (all "normal" states):
    - Block A is:
      - Held in the gripper
      - Clear – at the top of a tower (possibly a tower of one block)
      - Below B
      - Below C, or
      - Below D
    - Equivalently: Exactly one of these facts is true *in every reachable state* (mutex!)
      - **(holding A), (clear A), (on B A), (on C A), (on D A)**
    - → Remove those facts, introduce state variable **aboveA**  $\in \{ \text{clear, B, C, D, holding} \}$

# PDB Heuristics: State Variables (3)



## ■ Example, continued

- 536,870,912 states (reachable and unreachable) in predicate representation
- 20,000 states (reachable and unreachable) in state variable representation:
  - aboveA  $\in \{ \text{clear, B, C, D, holding} \}$
  - aboveB  $\in \{ \text{clear, A, C, D, holding} \}$
  - aboveC  $\in \{ \text{clear, A, B, D, holding} \}$
  - aboveD  $\in \{ \text{clear, A, B, C, holding} \}$
  - posA  $\in \{ \text{on-table, other} \}$
  - posB  $\in \{ \text{on-table, other} \}$
  - posC  $\in \{ \text{on-table, other} \}$
  - posD  $\in \{ \text{on-table, other} \}$
  - hand  $\in \{ \text{empty, full} \}$

The state variable *translation* is not part of the PDB heuristic!

Using state variables is *useful* because PDBs work better with fewer "irrelevant states" in the state space...

...so we can model using state variables, or let the planner rewrite the problem from PDDL predicates.

**Provides more structure: Obvious that A can't be under B and under C**

**Useful when ignoring facts: Ignore where A is, care about where B is**

# PDB Heuristics: Rewriting the Problem



- **Rewriting** works as before

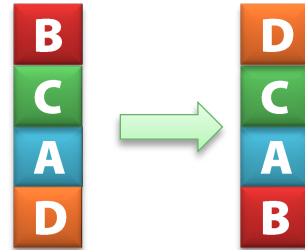
- Suppose the pattern is { **aboveB, aboveD, posB, posD** }

- **Rewrite** the goal

- Suppose that the original goal is expressed as

Original: { aboveB = A, aboveA = C, aboveC = D, aboveD = clear, hand = empty }

- Abstract: { aboveB = A, aboveD = clear }



- **Rewrite** actions, removing some preconds / effects

- (unstack A D) no longer requires aboveA = clear
- (unstack B C) still requires aboveB = clear

- ...

aboveA	∈ { clear, B, C, D, holding }
aboveB	∈ { clear, A, C, D, holding }
aboveC	∈ { clear, A, B, D, holding }
aboveD	∈ { clear, A, B, C, holding }
posA	∈ { on-table, other }
posB	∈ { on-table, other }
posC	∈ { on-table, other }
posD	∈ { on-table, other }
hand	∈ { empty, full }

# PDB Heuristics: Gripper Example

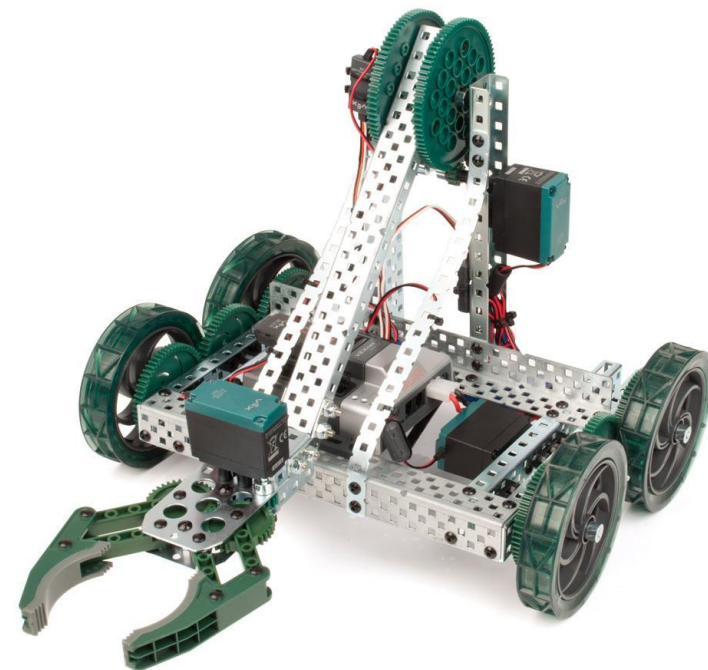
- A common *restricted* gripper domain:
  - **One** robot with **two** grippers
  - **Two** rooms
  - All  $n$  balls originally in the first room
  - Objective: All balls in the second room

**Compact state variable representation:**  
 $\mathbf{loc}(\mathbf{ball}_k) \in \{ \text{room1, room2, gripper1, gripper2} \}$   
 $\mathbf{loc-robot} \in \{ \text{room1, room2} \}$

$2 * 4^n$  states, some unreachable – which ones?  
Standard predicate representation:  $2^{4n+4} = 4^{2n+2}$

## **Possible patterns:**

- $\{ \text{loc}(\mathbf{ball}_1) \}$  → 4 abstract states
- $\{ \text{loc}(\mathbf{ball}_1), \text{loc-robot} \}$  → 8 abstract states
- $\{ \text{loc}(\mathbf{ball}_k) \mid k \leq n \}$  →  $4^n$  abstract states
- $\{ \text{loc}(\mathbf{ball}_k) \mid k \leq \log(n) \}$  →  $4^{\log(n)}$  abstract states
- ...

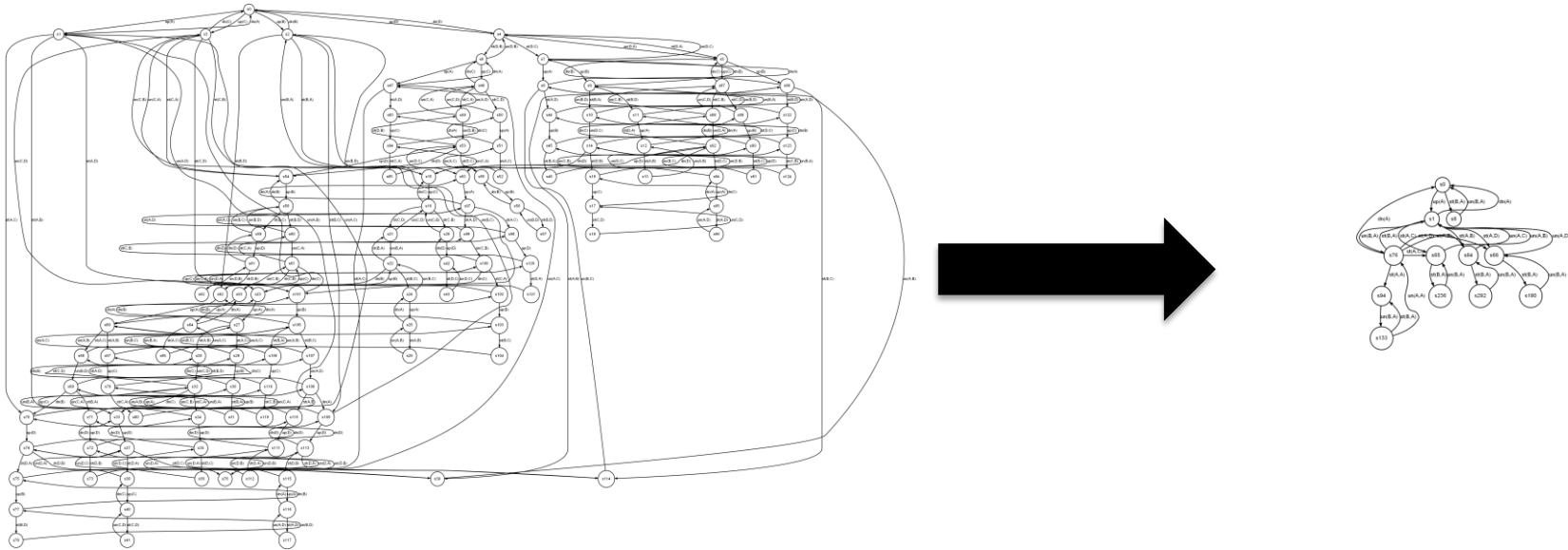


# **Pattern Database Heuristics:**

**Computation**

# PDB Heuristics: Databases!

- Because we keep *few* state variables:
  - Many real states map to the *same* abstract state
  - → Every abstract state may be encountered many times during search
  - → Cache calculated costs

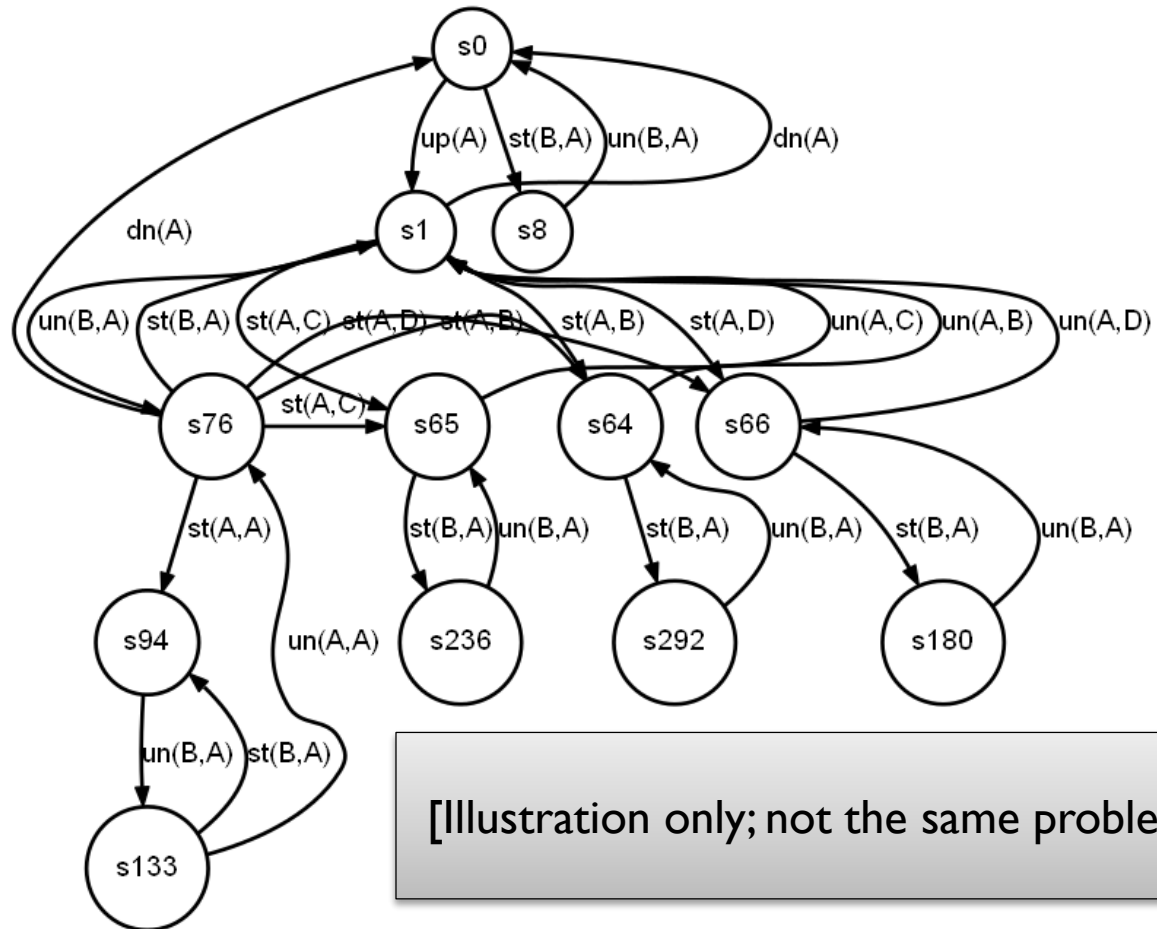


- Dijkstra efficiently finds optimal paths from *all* abstract states
  - → Precalculate **all** heuristic values for each pattern
  - Store in a look-up table – a **database**

# PDB Heuristics: Calculating (1)

- I: Find all abstract states *reachable* from the abstract initial state

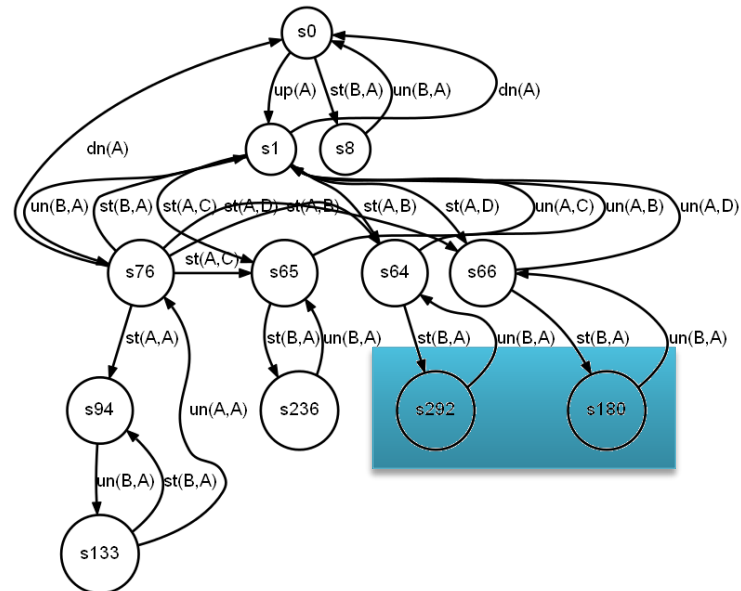
aboveA=clear,  
aboveB=clear,  
aboveC=clear,  
aboveD=clear,  
posA=on-table,  
posB=on-table,  
posC=on-table,  
posD=on-table,  
hand=empty



# PDB Heuristics: Calculating (2)

## ■ 2: Find all *reachable abstract goal states*

- Real goal = { aboveB = A, aboveA = C, aboveC = D, aboveD = clear, hand = empty }
- Abs. goal = { aboveB = A, aboveD = clear }
- Abs. goal states = { aboveB = A, aboveD = clear, posB = on-table, posD = on-table },  
{ aboveB = A, aboveD = clear, posB = on-table, posD = other },  
{ aboveB = A, aboveD = clear, posB = other, posD = on-table },  
{ aboveB = A, aboveD = clear, posB = other, posD = other }





# PDB Heuristics: Calculating (3)



- 3: Compute the database
  - For every reachable abstract state, find a cheapest path to any abstract goal state
  - Can be done with backward search from the set of reachable abstract goal states, using Dijkstra

# PDB Heuristics: Calculating (4)

## Abstract goal states

aboveB = A,  
aboveD = clear,  
posB = on-table,  
posD = on-table

aboveB = A,  
aboveD = clear,  
posB = on-table,  
posD = other

aboveB = A,  
aboveD = clear,  
posB = other,  
posD = on-table

aboveB = A,  
aboveD = clear,  
posB = other,  
posD = other

stack(A,B)

putdown(D)

putdown(D)

aboveB = **clear**,  
aboveD = clear,  
posB = on-table,  
posD = on-table

aboveB = A,  
aboveD = **holding**,  
posB = on-table,  
posD = on-table

aboveB = A,  
aboveD = **holding**,  
posB = on-table,  
posD = **other**

.....

# PDB Heuristics: Databases



## Abstract goal states

aboveB = A,  
aboveD = clear,  
posB = on-table,  
posD = on-table  
**cost 0**

aboveB = A,  
aboveD = clear,  
posB = on-table,  
posD = other  
**cost 0**

aboveB = A,  
aboveD = clear,  
posB = other,  
posD = on-table  
**cost 0**

aboveB = A,  
aboveD = clear,  
posB = other,  
posD = other  
**cost 0**

aboveB = **clear**,  
aboveD = clear,  
posB = on-table,  
posD = on-table  
**cost 1**

aboveB = A,  
aboveD = **holding**,  
posB = on-table,  
posD = on-table  
**cost 1**

aboveB = A,  
aboveD = **holding**,  
posB = on-table,  
posD = other  
**cost 1**

...and so on  
for all reachable  
abstract states

**This database represents an *admissible heuristic*!**

Given a *real* state:

**Find** the unique abstract state that matches; **return** its precomputed cost

# PDB Heuristics: Complexity



- Database:
  - Stores one cost for every **abstract state**  $s$ 
    - Cost is **optimal** within the relaxed problem
    - Cost is **admissible** for the “real” problem
- For the database to be computable in polynomial time:
  - As **problem instances** grow, the **pattern** can (only) grow to include a *logarithmic* number of variables
  - Problem size  $n$ , maximum number of values for a state variable  $d$  → number of pattern variables:  $O(\log n)$ ,  
number of abstract states for the pattern:  $O(d^{\log n}) = O(n^{\log d})$
  - Dijkstra is polynomial in the number of states

**How are PDBs used  
when solving the original planning problem?**

**Step 1: Using a single pattern**

# PDB Heuristics in Forward Search (1)



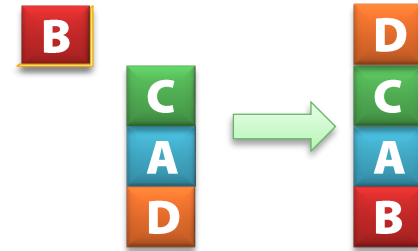
- Step 1: **Automatically** generate a planning space abstraction
  - A pattern, a selection of state variables to consider
  - Choosing a **good** abstraction is a **difficult problem!**
    - Different approaches exist...
- Step 2: Calculate the pattern database
  - As already discussed

# PDB Heuristics in Forward Search (2)

## ■ Step 3: Forward search in the original problem

- For each new successor state  $s_1$ , calculate heuristic value  $h_{pdb}(s_1)$

- Example:  $s_1 = \{$  aboveD = A, aboveA = C, aboveC = clear, aboveB = holding,  
posA = other, posB = other,  
posC = other, posD = on-table,  
hand = full  $\}$



- Convert this to an *abstract* state

- Example:  $s'_1 = \{$  aboveB = holding, aboveD = A, posB = other, posD = on-table  $\}$

- Use the database to quickly look up  $h_{pdb}(s_1) =$   
the cost of reaching the nearest abstract goal from  $s'_1$

aboveB = holding, aboveD = A, posB = other, posD = on-table  $\rightarrow$  cost  $n1$   
aboveB = holding, aboveD = A, posB = other, posD = other  $\rightarrow$  cost  $n2$   
...

**How can PDB heuristics  
become more informative?**



# Accuracy for a Single PDB Heuristic



- **How close** to  $h^*(n)$  can an admissible PDB-based heuristic be?
  - Assuming polynomial computation:
    - Each abstraction can have at most  $O(\log n)$  variables/groups
    - $h(n) \leq$  cost of reaching the *most expensive subgoal* of size  $O(\log n)$

**Significant differences compared to  $h_m$  heuristics!**

Subgoal size is not constant but grows with problem size

On the other hand, does not consider *all* subgoals of a particular size

Decides state variables *in advance* – for  $h_m$ , facts are chosen *on each level*

- But still,  $\log(n)$  grows much slower than  $n$ 
  - → For any given pattern, asymptotic accuracy is (often) 0
  - As before, *practical results* can be better!

- How to increase information?
  - Can't increase the size of a pattern beyond logarithmic growth...
- Can use multiple abstractions / patterns!
  - For each abstraction, compute a separate pattern database
  - Each such cost is an admissible heuristic
    - So the maximum over many different abstractions is also an admissible heuristic
- What is the new level of accuracy?
  - Still 0... *asymptotically*
  - But this can still help *in practice!*

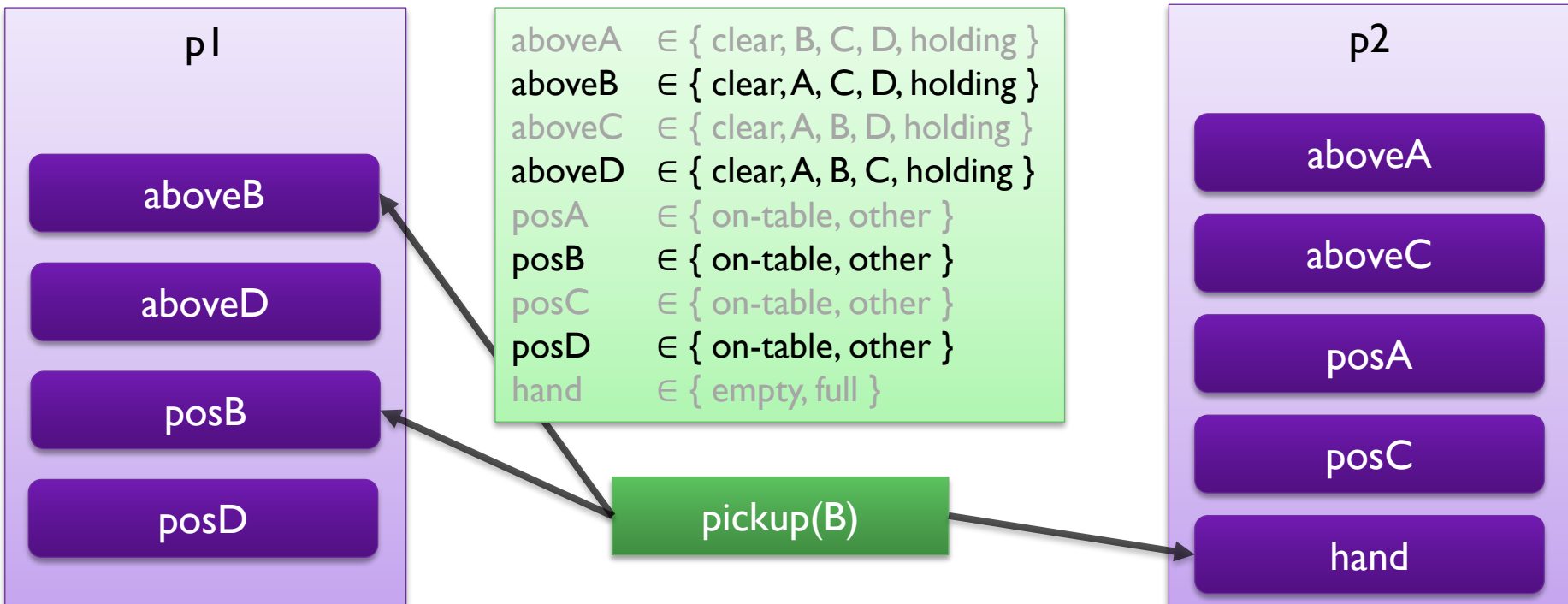
# Additive PDB Heuristics (1)



- To improve further:
  - Define **multiple** patterns
  - **Sum** the heuristic values given by each pattern
- As in  $h_{\text{add}}$ , this **could** lead to **overestimation problems**
  - Some of the effort necessary to reach the goal is counted twice
- To avoid this and create an **admissible** heuristic:
  - Each fact should be in *at most* one pattern
  - Each action should affect facts in *at most* one pattern
  - → **Additive** pattern database heuristics

# Additive PDB Heuristics (2)

- BW: Is  $p1 = \{\text{facts in even rows}\}$ ,  $p2 = \{\text{facts in odd rows}\}$  additive?
  - No: pickup(B) affects {aboveB, posB} in p1, {hand} in p2

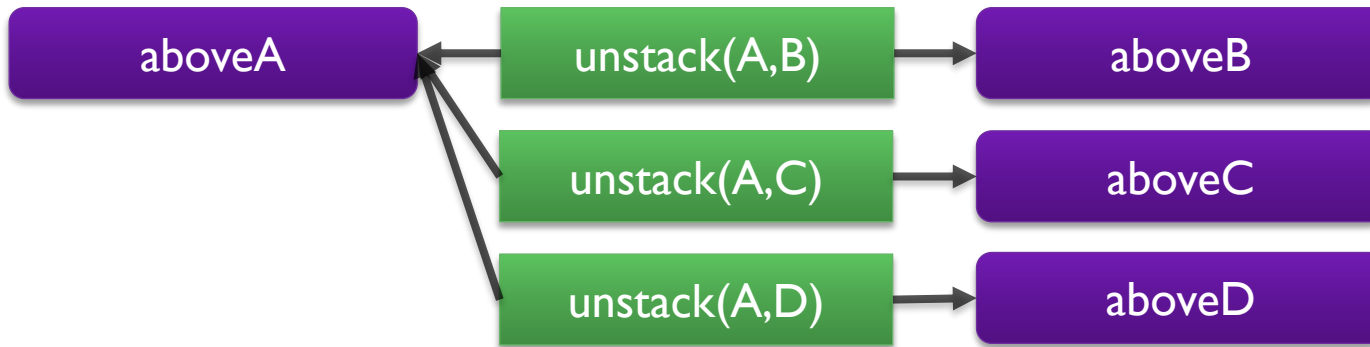


**One potential problem:**

**Both patterns could use pickup(B) in their optimal solutions  
→ sum counts this twice! This is what we're trying to avoid...**

# Additive PDB Heuristics (3)

- BW: Is  $p1=\{\text{aboveA}\}$ ,  $p2=\{\text{aboveB}\}$  additive?
  - No:  $\text{unstack}(A,B)$  affects  $\{\text{aboveB}\}$  in  $p1$ ,  $\{\text{aboveA}\}$  in  $p2$
  - True for *all* combinations of  $\text{aboveX}$

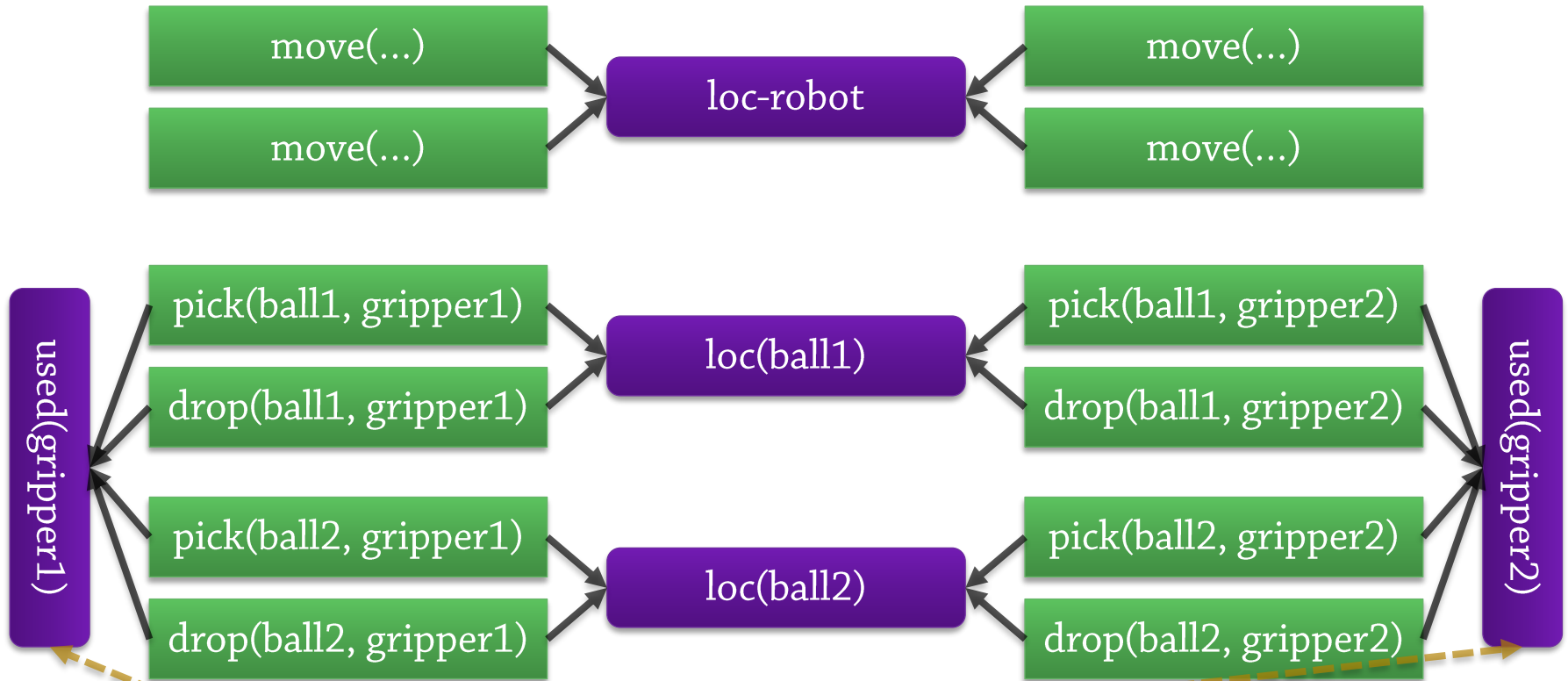


- An additive PDB heur. could use **one** of these:
  - $p1 = \{\text{aboveA}\}$
  - $p1 = \{\text{aboveA}, \text{aboveC}, \text{aboveD}\}$
  - ...
- Can't have **two** separate patterns  $p1, p2$  both of which include an  $\text{aboveX}$ 
  - Those  $\text{aboveX}$  will be directly connected by some unstack action

This formulation of the Blocks World is "connected in the wrong way" for this approach to work well

# Additive PDB Heuristics (4)

- "Separating" patterns in the Gripper domain:

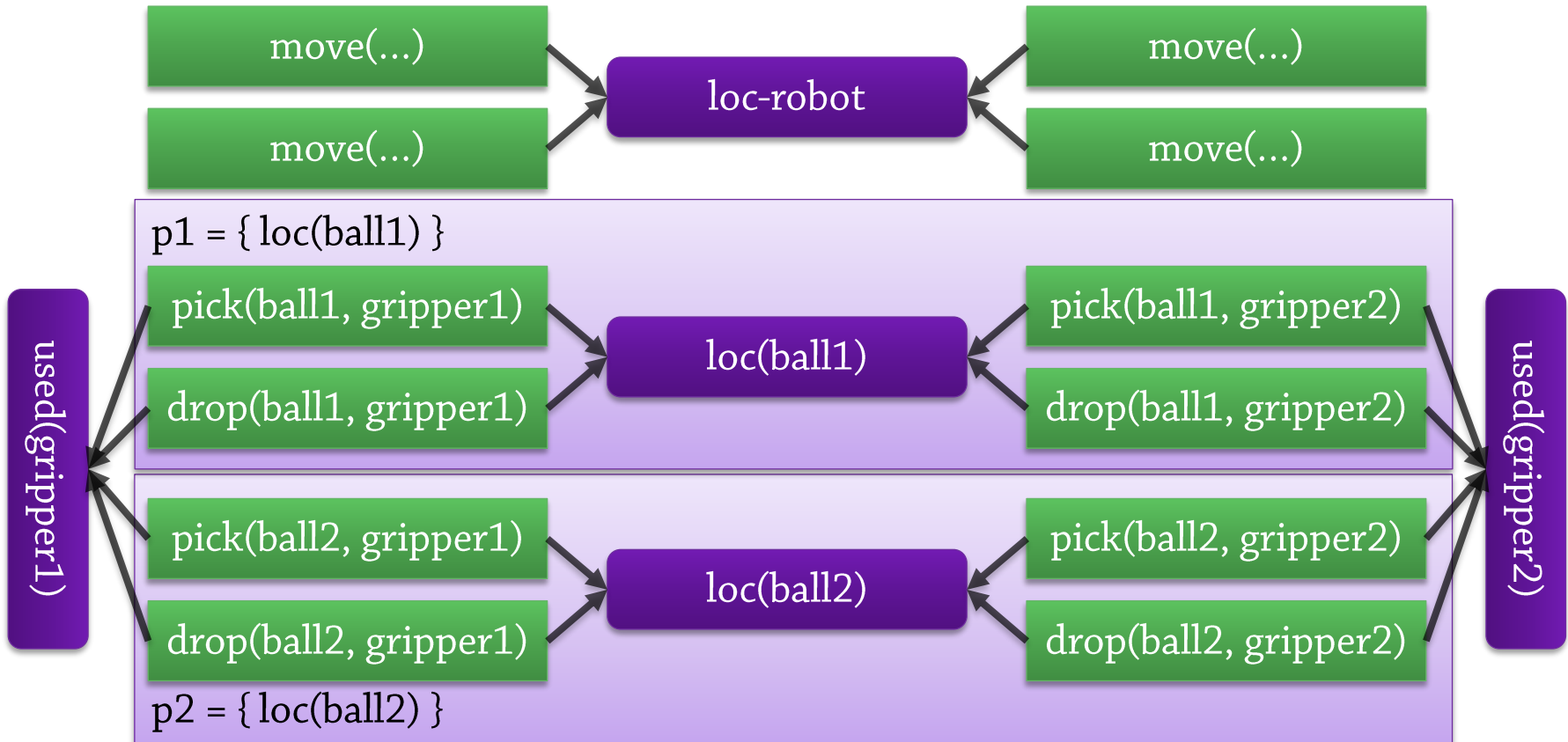


Are these a problem?

$loc(ball_k) \in \{ room1, room2, gripper1, gripper2 \}$   
 $loc-robot \in \{ room1, room2 \}$   
 $used(gripper_k) \in \{ true, false \}$

# Additive PDB Heuristics (5)

- No problem: We don't have to use all variables in patterns!



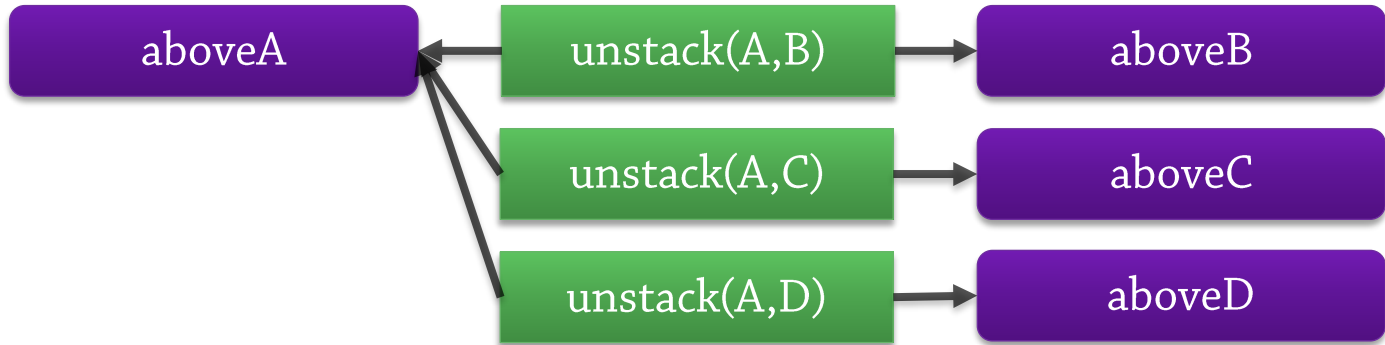
For each pattern we chose one variable

Then we have to include all actions affecting it

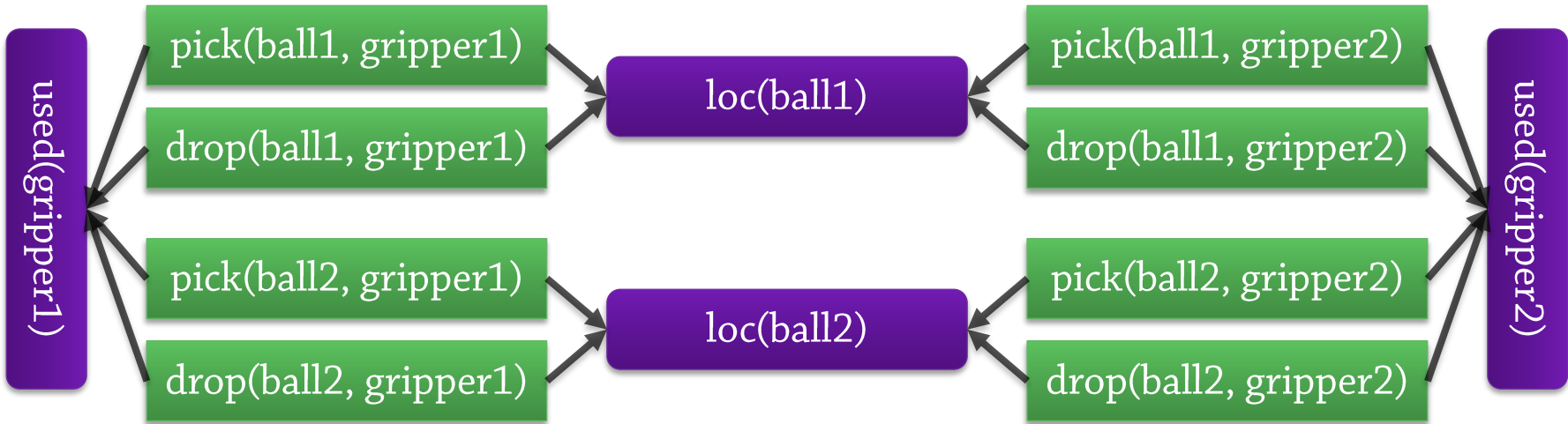
The other variables those actions affect [`used()`] don't have to be part of *any* pattern!

# Additive PDB Heuristics (6)

- Notice the difference in structure!



**BW: Every pair of aboveX facts has a *direct connection* through an action**



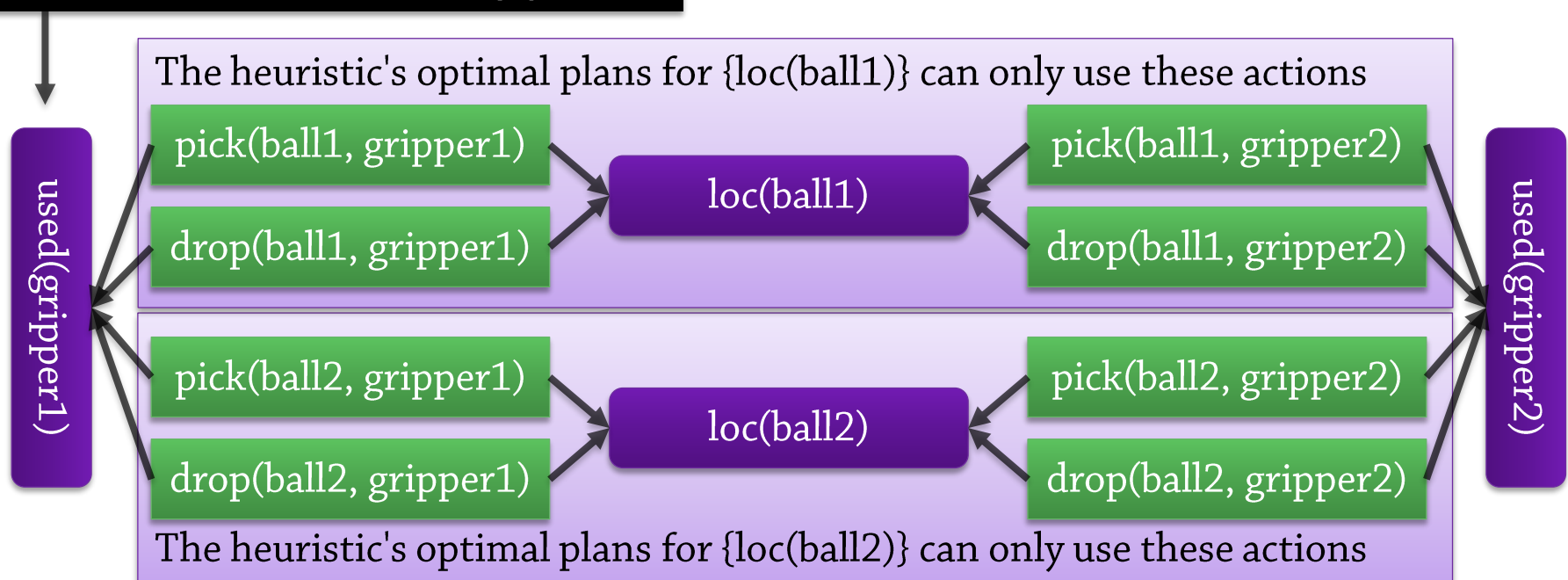
**Gripper: No pair of loc() facts has a *direct connection* through an action**



# Additive PDB Heuristics (7)

- When every action affects facts in at most *one* pattern:
  - The subproblems we generated are completely *disjoint*
    - They achieve **different aspects** of the *goal*
    - Optimal solutions **must** use **different actions**

The heuristic never tries to generate optimal plans for `used(gripper1)` – we have not included it in any pattern



# Additive PDB Heuristics (8)

- Avoids the overestimation problem we had with  $h_{add}$

## Problem earlier:

Goal: p and q

A1: effect p

A2: effect q

A3: effect p and q

To achieve p: Heuristic uses A1

To achieve q: Heuristic uses A2

Sum of costs is 2 – optimal cost is 1, using A3

This cannot happen  
when every action affects facts  
in at most one pattern

→ The abstractions  
are additive

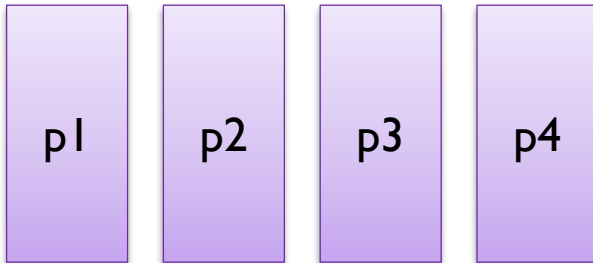
→ Adding costs  
from multiple heuristics  
yields an  
*admissible* heuristic!

# Additive PDB Heuristics (9)

- Can be taken one step further...
  - Suppose we have several sets of additive abstractions:
    - Can calculate an admissible heuristic from **each** additive set, then take the **maximum** of the results as a **stronger** admissible heuristic

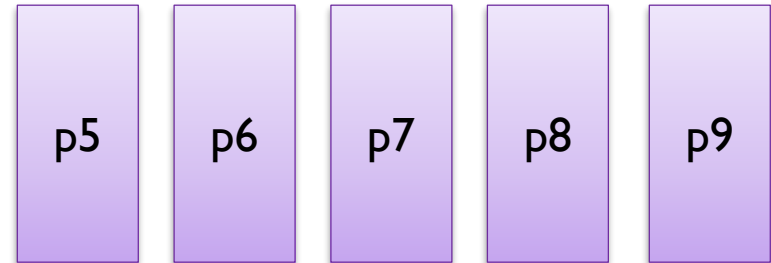
$$\text{Max } \rightarrow \\ \text{admissible heuristic } h_{pdb}^3(s) = \max(h_{pdb}^1(s), h_{pdb}^2(s))$$

$$\text{Sum } \rightarrow \\ \text{admissible heuristic } h_{pdb}^1(s)$$



4 patterns satisfying  
additive constraints

$$\text{Sum } \rightarrow \\ \text{admissible heuristic } h_{pdb}^2(s)$$



5 patterns satisfying  
additive constraints

# Additive PDB Heuristics (10)



- **How close** to  $h^*(n)$  can an **additive** PDB-based heuristic be?
  - For additive PDB heuristics with a single sum, **asymptotic accuracy** as problem size approaches infinity...
- **In Gripper:**
  - In state  $s_n$  there are  $n$  balls in room 1, and no balls are carried
  - Additive PDB heuristic  $h_{add}^{PDB}(s_n)$ :
    - One singleton pattern for each ball location variable  $loc(ball_k)$
    - For each pattern, the optimal cost is 2
      - $pick(ball, room1, gripper1): loc(ball)=room1 \rightarrow loc(ball)=gripper1$
      - $drop(ball, room2, gripper1): loc(ball)=gripper1 \rightarrow loc(ball)=room2$
    - $h_{add}^{PDB}(s_n) = \text{sum for } n \text{ balls} = 2n$
  - Real cost:
    - Use both grippers:  $pick, pick, move(room1, room2), drop, drop, move(room2, room1)$
    - Repeat  $n/2$  times, total cost  $\approx 6n/2 = 3n$
  - $\rightarrow$  Asymptotic accuracy  $2n/3n = 2/3$

# Additive PDB Heuristics (11)

- **How close** to  $h^*(n)$  can an **additive** PDB-based heuristic be?
  - For additive PDB heuristics with a single sum, **asymptotic accuracy** as problem size approaches infinity:

	<b><math>h^+</math> (too slow!)</b>	<b><math>h^2</math></b>	<b>Additive PDB</b>
Gripper	2/3	0	2/3
Logistics	3/4	0	1/2
Blocks world	1/4	0	0
Miconic-STRIPS	6/7	0	1/2
Miconic-Simple-ADL	3/4	0	0
Schedule	1/4	0	1/2
Satellite	1/2	0	1/6

- **Only achieved** if the planner **finds** the best combination of abstractions!
- This is a very difficult problem in itself!

# Heuristics part IV

# **An Overview of Landmark Heuristics**

# Landmark Heuristics (1)

## Landmark:

”a **geographic feature** used by explorers and others to **find their way** back or through an area”





# Landmark Heuristics (2)

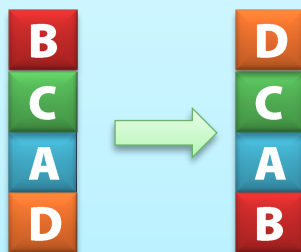
## Landmarks in planning:

Something you must *pass by/through* in every solution to a specific planning problem

Assume we are currently in state  $s$ ...

### Fact Landmark for $s$ :

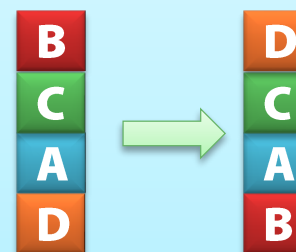
A **fact** that is **not true** in  $s$ , but must be true at some point in every solution starting in  $s$



clear(A)  
holding(C)  
...

### Formula Landmark for $s$ :

A **formula** that is **not true** in  $s$ , but must be true at some point in every solution starting in  $s$

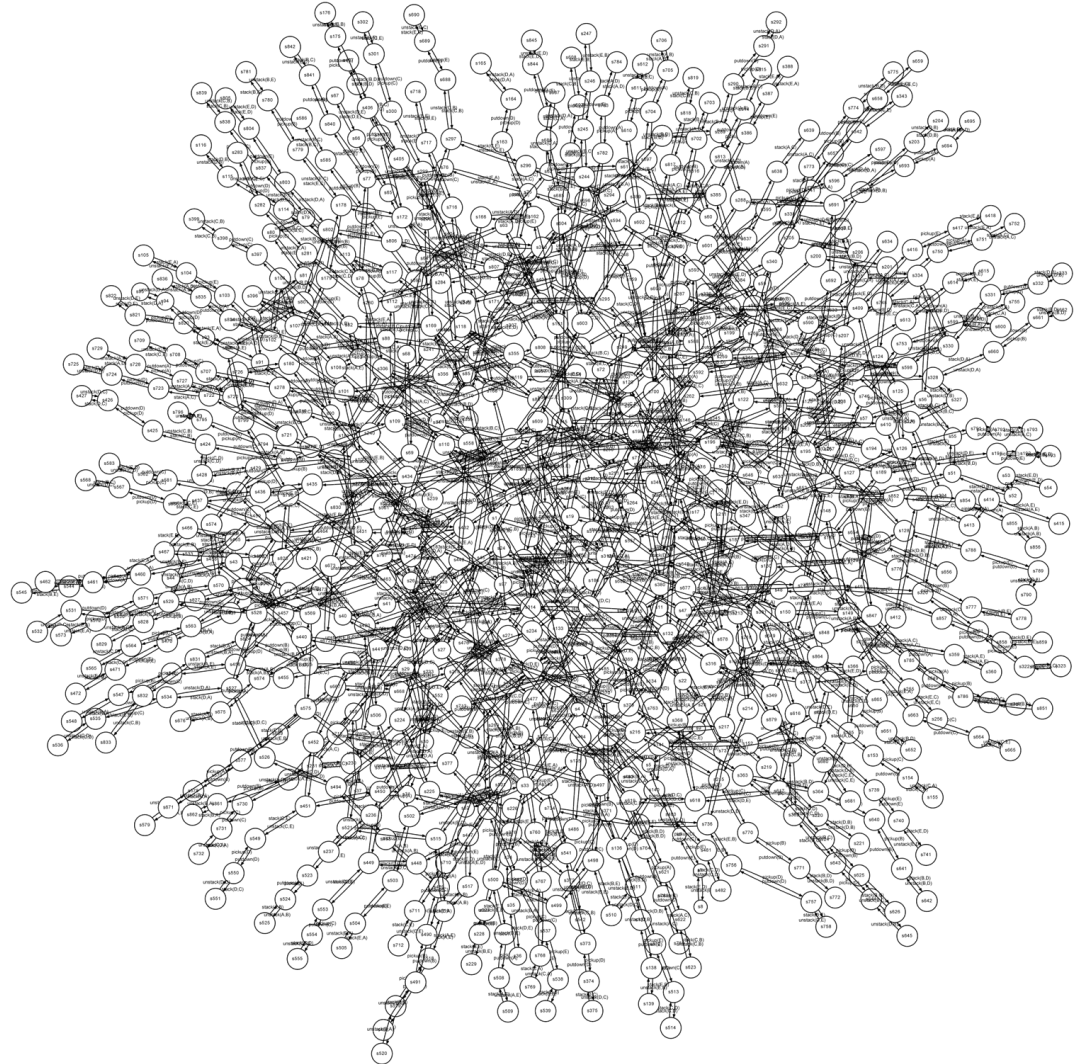


clear(A)  $\wedge$  handempty  
...

# Landmark Heuristics (3)

## Facts and formulas, not states! Why?

- Usually **many** paths lead from  $s$  to a goal state
  - Few states are shared among **all** paths
  - Many **facts** occur along all paths



Not "we must reach the landmark state"!

Instead "we must reach some state that satisfies the fact/formula landmark"

# Landmark Heuristics (4)

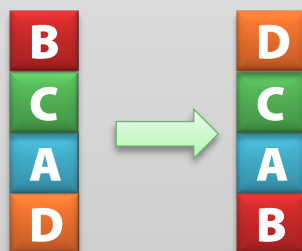
## Landmarks in planning:

Something you must *pass by/through* in every solution to a specific planning problem

Assume we are currently in state  $s$ ...

### Fact Landmark for $s$ :

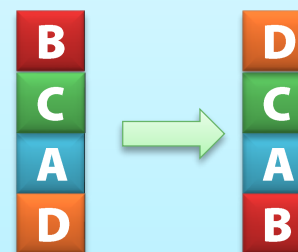
A **fact** that is not true in  $s$ , but must be true at some point in every solution starting in  $s$



clear(A)  
holding(C)  
...

### Action Landmark for $s$ :

An **action** that must be used in every solution starting in  $s$



unstack(B,C)  
putdown(B)  
stack(D,C)

...but *not* putdown(C)! (Why?)

...so the effects of action landmarks are *fact landmarks*, and so are their *preconds*

(except those facts that are already true in  $s$ )

# Landmark Heuristics (5)



- Generalization:

- **Disjunctive** action landmark  $\{a_1, a_2, a_3\}$  for state  $s$ 
  - Every solution starting in state  $s$  and reaching a goal must use *at least one* of these actions
- **From action to fact:**
  - Every fact in  $(\cap\{\text{eff}(a) \mid a \in \text{landmark}\} - s)$  is a fact landmark for  $s$
- **From fact to action:**
  - If  $p$  is a fact landmark, then  $\{a \in A \mid p \in \text{eff}(a)\}$  is a disjunctive action landmark for  $s$
  - Not necessarily minimal:  
Some of the actions may not be required  
(removing an action can still result in a disjunctive A.L.)

# **Finding Landmarks: A (Too) General Technique**

# Finding Landmarks: General Technique

- One general technique for discovering landmarks:

## Current planning problem, P

Initial state does not include atom A



## Modified planning problem, P'

*Removed all actions  
that add atom A*



...then every solution to P  
must use one of the removed actions

→ Action set is a disj. act. landmark

→ Atom A is a fact landmark



If this problem (P') is unsolvable...

**Test:**

**Delete relaxation of P' is  
unsolvable,**

**or  $h_m(s_0) = \infty$ , or ...**

**→ P' is unsolvable**

**Unsolvable when removing a set of actions**

**→ some action in the set must be used → disjunctive action landmark!**

# Finding Landmarks: General Technique (2)



- This technique is very general
  - Applicable to *any* planning problem, *any* atom
- General techniques tend to be widely applicable but slow...

# Verifying Landmarks (1)



- How difficult is it to verify that an action is an action landmark, in the general case?
  - Suppose we can verify this
  - Then given any STRIPS problem  $P$ , we can determine if it has a solution:
    - Add a new action:
      - **cheat**
        - :precond true
        - :effects goal-formula
    - If **cheat** is an action landmark, then it is *needed* in order to solve the problem
      - the original problem was *unsolvable*
  - → As difficult as solving the planning problem (PSPACE-complete)



# Verifying Landmarks (2)



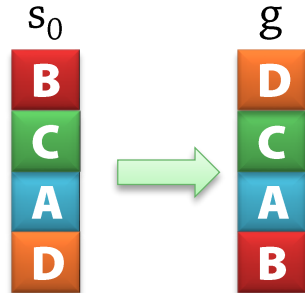
- How difficult is it to verify that a fact is a fact landmark, in the general case?
  - Suppose we can verify this
  - Then given any STRIPS problem  $P$ , we can determine if it has a solution:
    - Add a new fact:
      - **cheated** (false in the initial state)
    - Add new action:
      - **cheat**  
:precond true :effects  
(and **cheated** goal-formula)
    - If **cheated** is a fact landmark,  
then **cheat** was necessary → the original problem was unsolvable
  - → Again , as difficult as solving the planning problem

But of course there are special cases...

# Finding Landmarks: Efficiently

# Means-Ends Analysis (1)

- Discover landmarks using means-ends analysis



The goals are (obviously) fact landmarks,  
except those true in the current state:  
**clear(D), on(D,C), on(A,B), ontable(B)**

on(D,C) is a landmark,  
on(D,C) is not true in the current state ( $s_0$ )  
→ we must *cause* **on(D,C)** with an action

All actions causing on(D,C) require holding(D),  
which is not true in the current state  
→ **holding(D) is a landmark!**

Actions causing holding(D) require handempty,  
but handempty is true in the current state  
→ **handempty is not necessarily a landmark**

# Means-Ends Analysis (2)



## ■ Formally:

- Discovering landmarks through means-ends analysis

- // All unachieved goal facts are fact landmarks

fact-landmarks  $\leftarrow$  g - currentstate

**do** {

**for each** p in landmarks {

    achievers  $\leftarrow$   $\{a \in A \mid p \in \text{eff}(a)\}$

    candidates  $\leftarrow$   $\bigcap_{a \in \text{achievers}} \text{pre}(a)$

    fact-landmarks  $\leftarrow$  fact-landmarks  $\cup$  (candidates - currentstate)

  }

} **until** no more fact-landmarks found

Add those facts  
that are preconditions of *all* actions  
achieving the known landmark p  
and that are not true  
in the current state

# Means-Ends Analysis (3)

- **Weakness** of means-ends analysis:

- Suppose the goal is  $\{A, B, C, D\}$ , initial state is  $\{\}$
- Processing for landmark A:

Action A1  
effect A  
precond X, Y, Z

Action A2  
effect A  
precond V, W, X

$\{X, Y, Z\} \cap \{V, W, X\} = \{X\}$   
→ Discover landmark X

- Processing for landmark X:

Action A3  
effect X  
precond P, Q

Action A4  
effect X  
precond R, S

$\{P, Q\} \cap \{R, S\} = \emptyset$   
→ No landmarks,  
"stop" processing

Maybe all actions achieving P require Z,  
and all actions achieving R also require Z  
Weakness: We do not check this! Why?

Checking interactions across branches → full backward-chaining  
→ complexity as in full plan generation...

# Domain Transition Graphs (1)

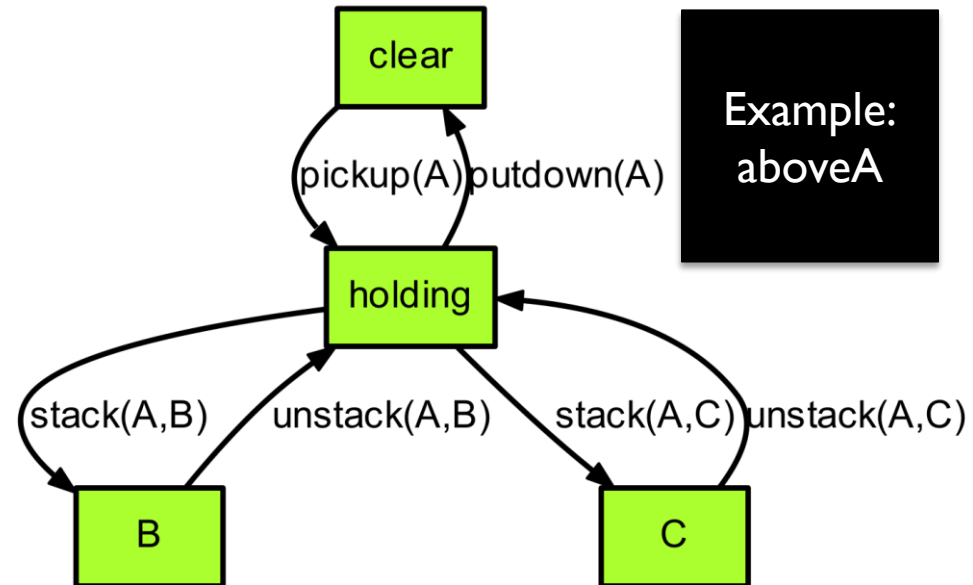
## ■ General concept: domain transition graphs

### ■ Assume we use a state variable representation

- Each variable has a **domain**, a set of possible values
- $\text{aboveA} \in \{ \text{clear}, B, C, \text{holding} \}$
- $\text{aboveB} \in \{ \text{clear}, A, C, \text{holding} \}$
- $\text{aboveC} \in \{ \text{clear}, A, B, \text{holding} \}$
- $\text{posA} \in \{ \text{on-table}, \text{other} \}$
- $\text{posB} \in \{ \text{on-table}, \text{other} \}$
- $\text{posC} \in \{ \text{on-table}, \text{other} \}$
- $\text{hand} \in \{ \text{empty}, \text{full} \}$

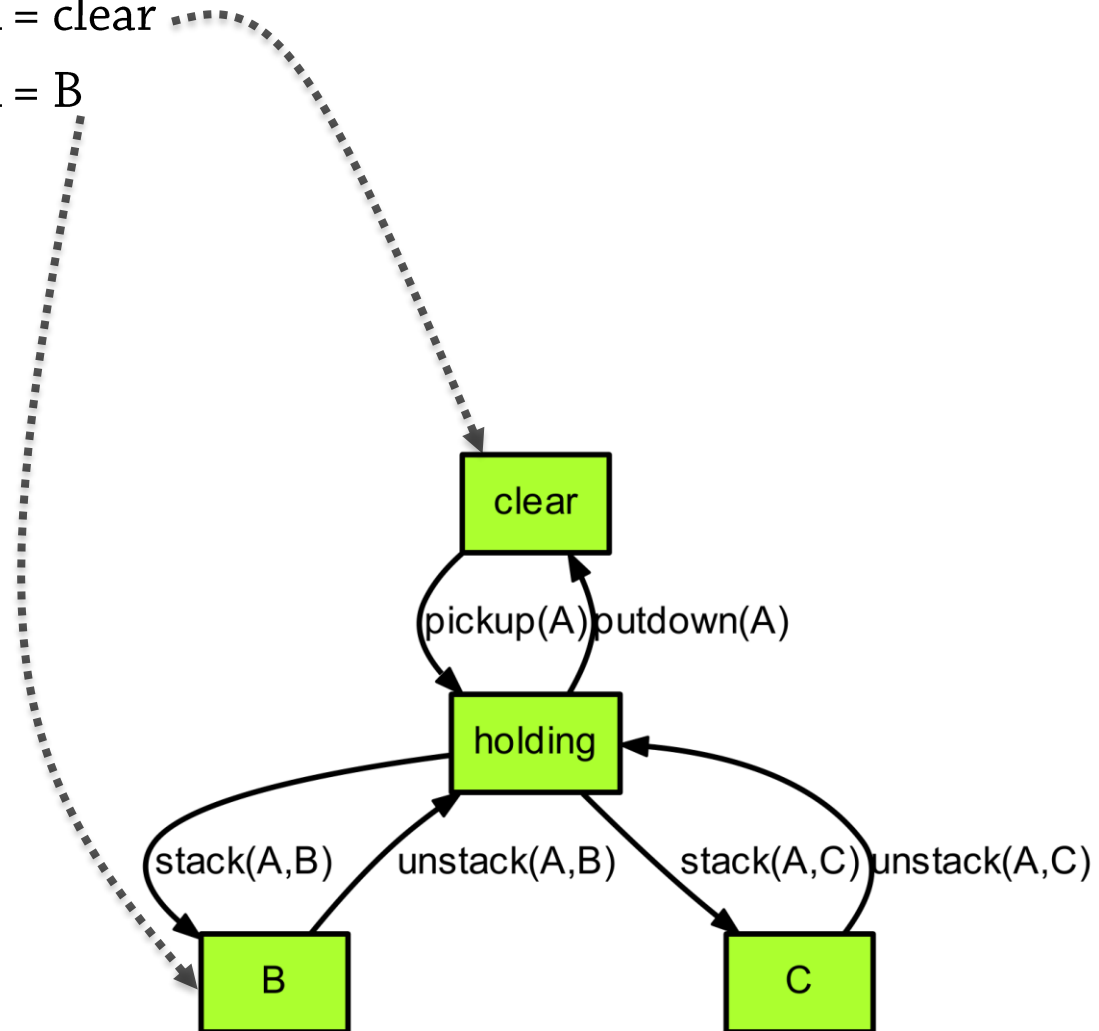
### ■ For each state variable:

- Add a node for each value
- Add an edge for each action changing the value



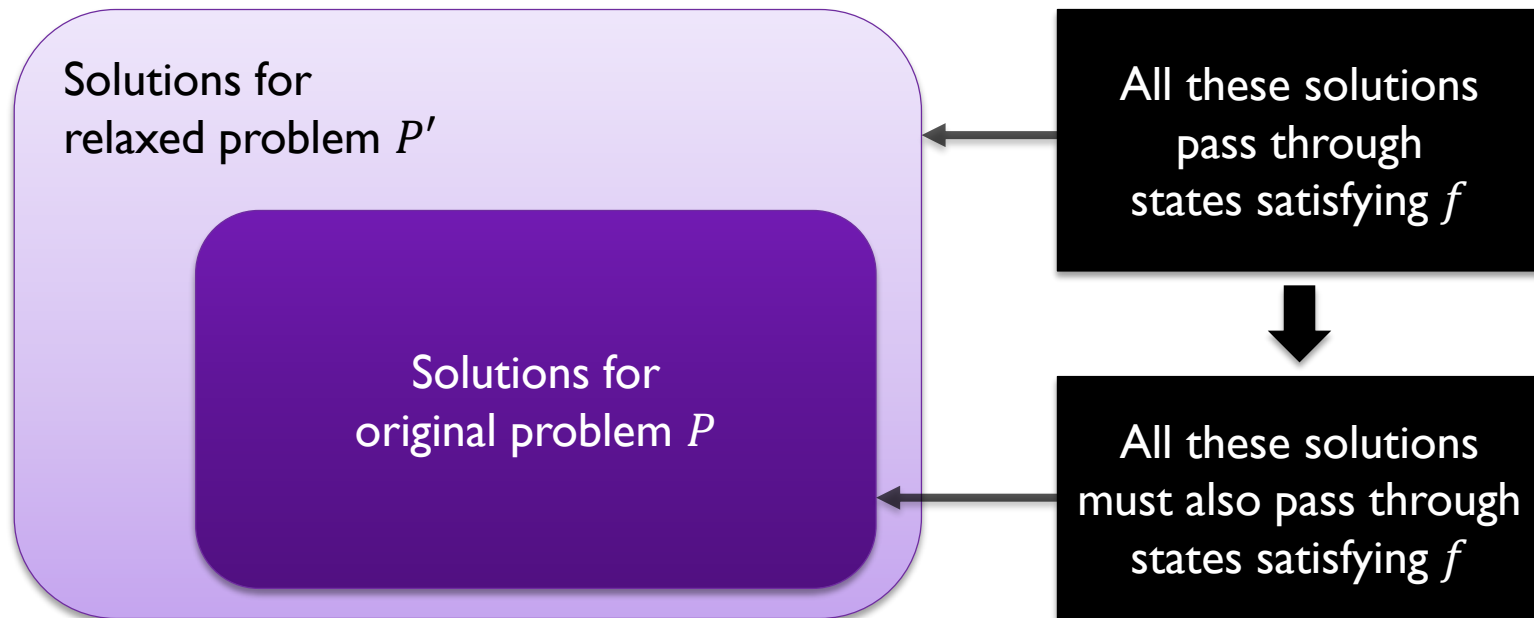
# Landmarks from DTGs

- Suppose:
  - In the current state,  $\text{aboveA} = \text{clear}$
  - In the goal,  $\text{aboveA} = \text{B}$
- Then  $\text{aboveA} = \text{holding}$  is a landmark



# Landmarks and Relaxation

- Assume a problem  $P$ , and a **relaxed problem**  $P'$ 
  - Suppose  $f$  is a fact landmark for a  $P'$



- Then  $f$  is a fact landmark for the original problem as well!
- Similarly for action landmarks, etc.



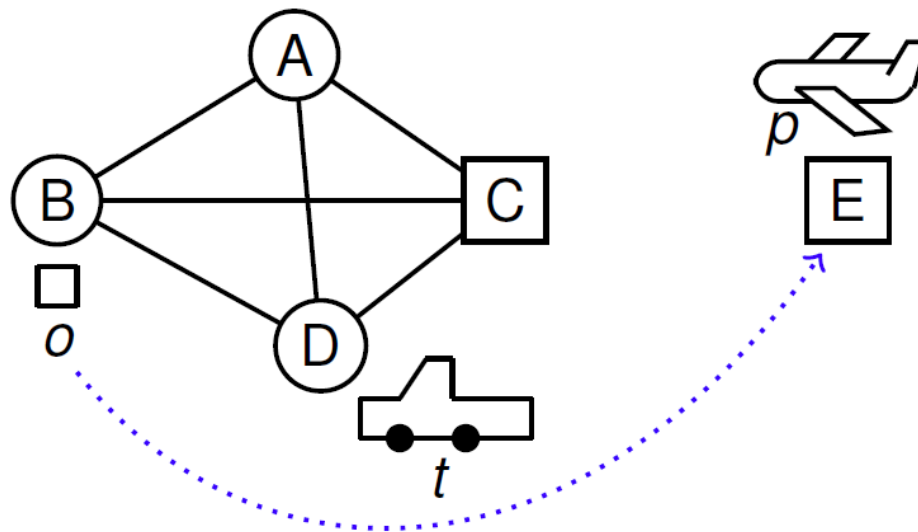
- Many other techniques exist...
  - Beyond the scope of the course
- Also, can sometimes find or approximate **necessary orderings**
  - We must achieve holding(A), *then* holding(B)

# Using Landmarks as Subgoals

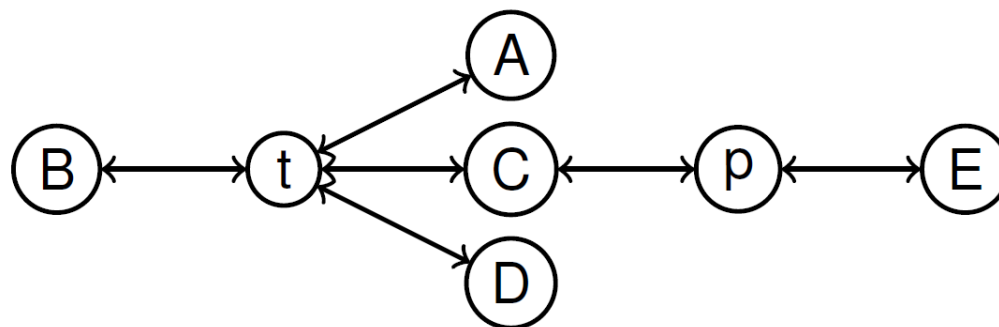
# Example

- Example Problem:

- Truck  $t$  transports object  $o$  within road network  $A/B/C/D$
- Airplane  $p$  transports object between airports  $C/E$
- Goal: Object at  $E$



- Domain transition graph for location of object:

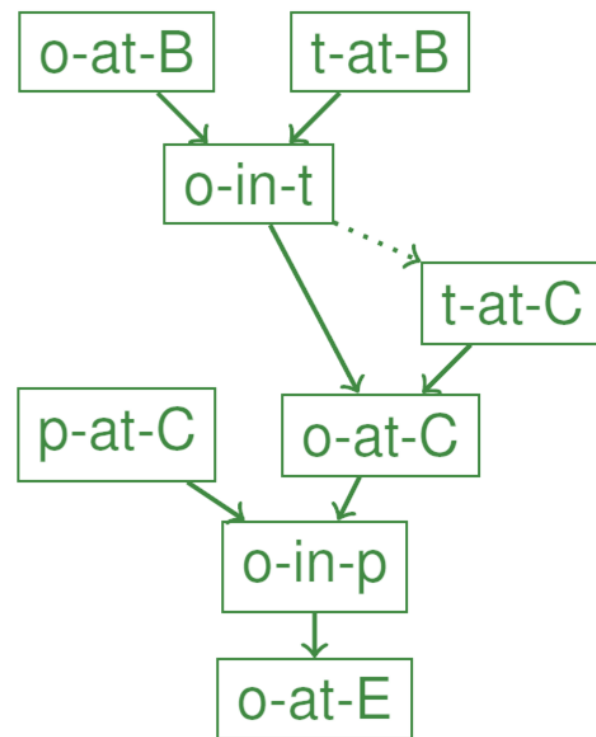
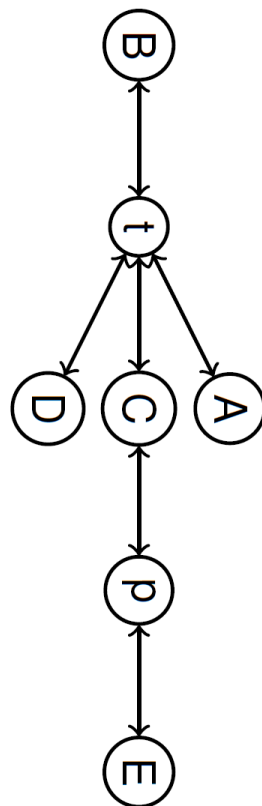
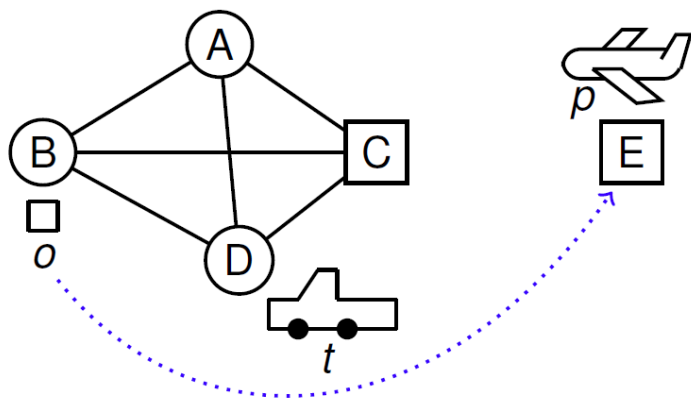


# Landmarks as Subgoals (1)

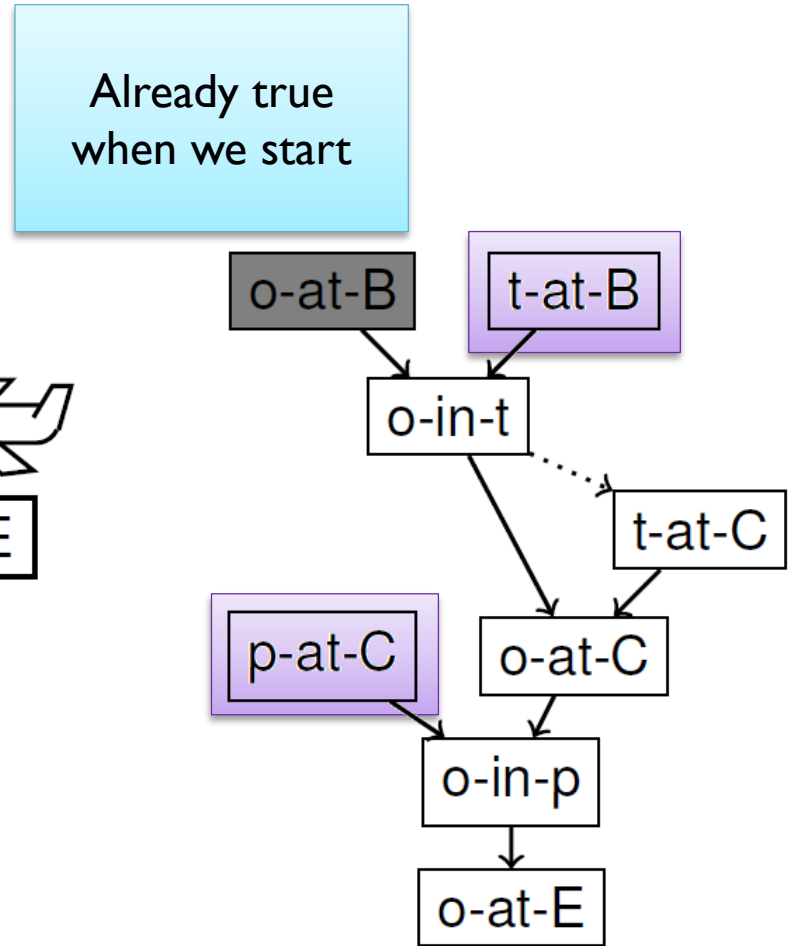
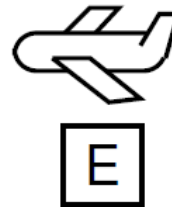
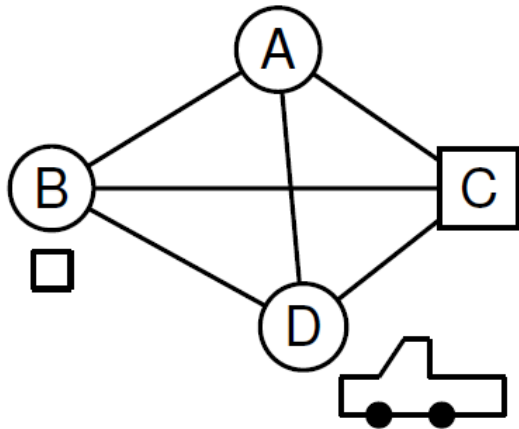
- Use of landmarks:

- As **subgoals**: Try to achieve each landmark **in succession**, using inferred landmark **orderings**

- Example from *Karpas & Richter: Landmarks – Definitions, Discovery Methods and Uses*



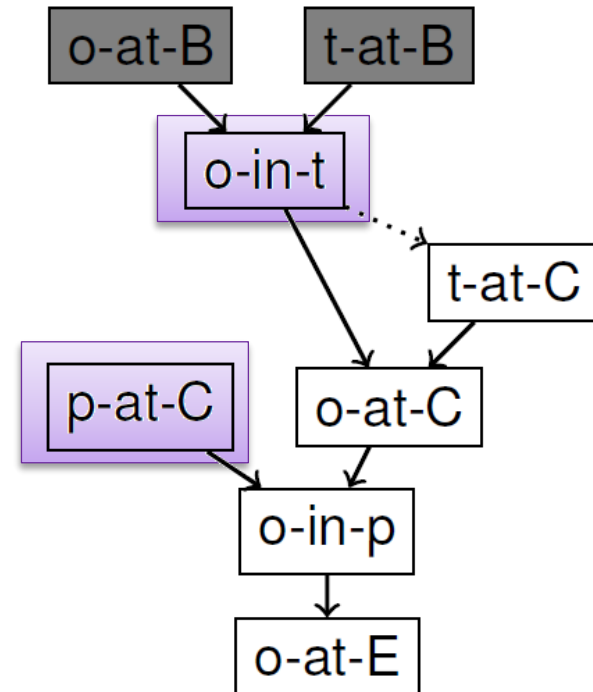
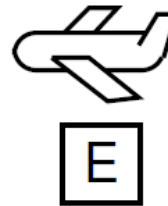
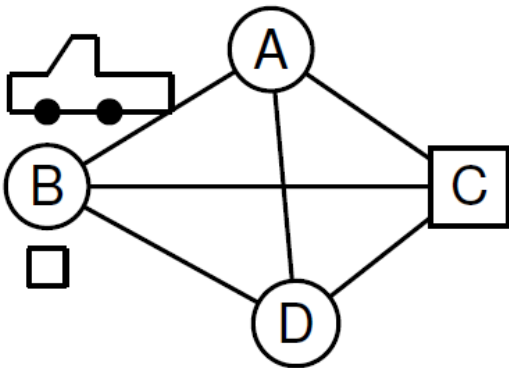
# Landmarks as Subgoals (2)



Two landmarks could be "first" (all predecessors achieved)  
Current goal:  $t\text{-at-B} \vee p\text{-at-C}$  (disjunctive!)

# Landmarks as Subgoals (3)

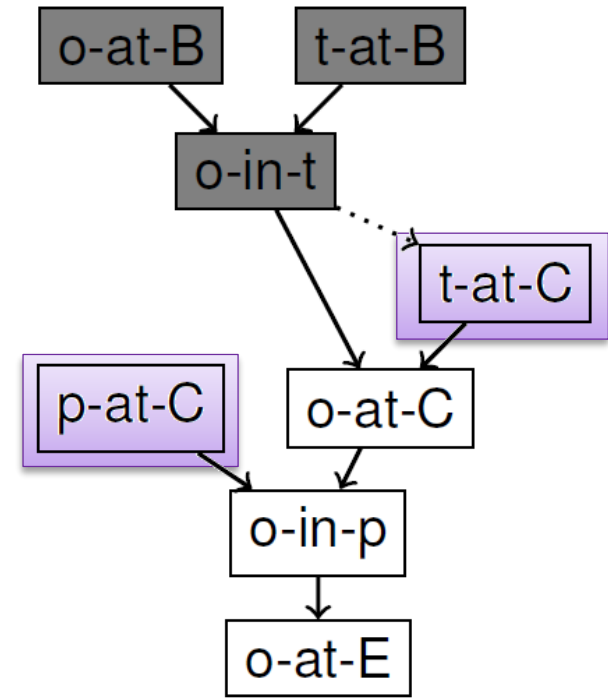
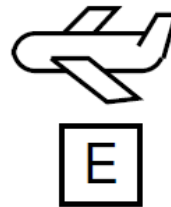
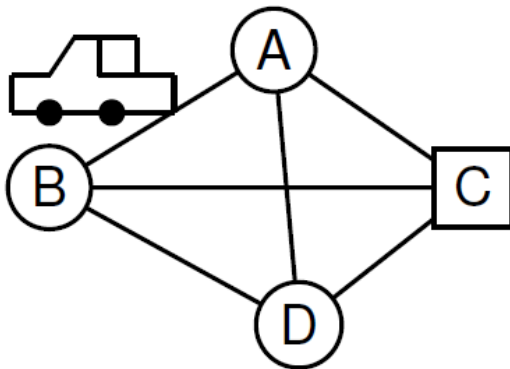
Suppose we begin by achieving t-at-B:  
Simple planning problem,  
results in a single action -- drive(t, B)



Current goal: o-in-T or p-at-C

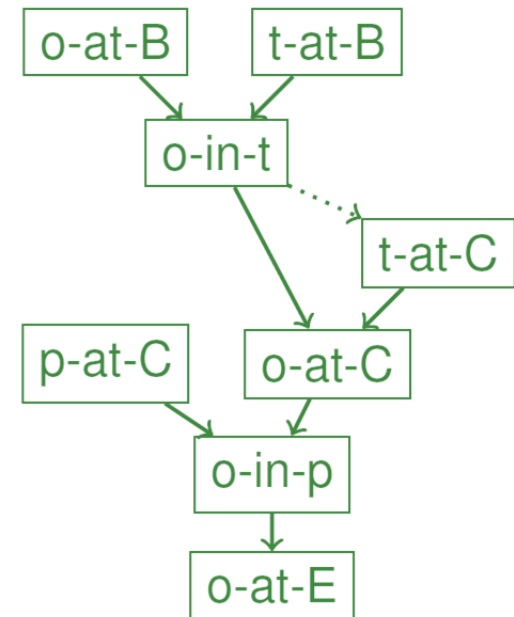
# Landmarks as Subgoals (4)

Suppose we continue by achieving o-in-T:  
Simple planning problem,  
results in a single action -- load-truck(o,t,B)



# Landmarks as Subgoals (5)

- Sometimes very helpful, but:
  - There are still *choices* to be made – backtrack points!
  - Optimizing for one **part** of the overall goal at a time:
    - Can't see the whole picture
    - Can miss opportunities:  
Cheapest solution *here* → more expensive solution *later*
    - Can be incomplete:  
Cheapest solution *here* → impossible to solve *later*





# Landmark Counts and Costs

# Landmarks for Heuristics: Intro

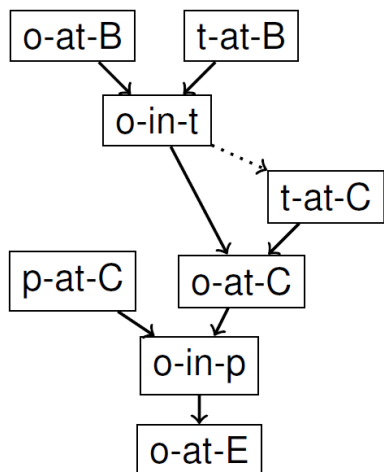


- Use of landmarks:
  - As a basis for non-admissible heuristic estimates in standard forward state space search
  - Pioneered by LAMA, which is:
    - The winner of the *sequential satisficing* track of the 2008/2011 competitions
  - If LAMA-2011 had participated in IPC-2014 (the latest competition):
    - Would have been 12th of 21 planners
  - But LAMA is *part* of the following planners from the 2014 competition:
    - IBaCoP2, 1st place in the sequential satisficing track
    - IBaCoP, 2nd place in the sequential satisficing track
    - ArvandHerd, 1st place in the sequential multi-core track
    - IBaCoP, 2nd place in the sequential multi-core track

# Landmark Counts and Costs (1)

- LAMA counts landmarks:
  - Identifies a set of landmarks that still need to be achieved after reaching state  $s$  through path (action sequence)  $\pi$

■  $L(s, \pi) =$



$(L \setminus \text{Accepted}(s, \pi))$

All discovered landmarks, minus those that are *accepted* as achieved (has become true *after* predecessors are achieved!)

$\cup$

$\text{ReqAgain}(s, \pi)$

Plus those we can show will have to be re-achieved

Not admissible: One action may achieve multiple landmarks!

# Landmark Counts and Costs (2)



- The **LAMA heuristic** combines:
  - The **number** of landmarks still to be achieved in a state
  - FF heuristics (relaxed planning graph)
- Searches for **low-cost plans**
  - But we also want to find plans quickly!
  - Heuristics estimate both:
    - Cost of *actions* required to reach the goal
    - Cost of the *search effort* required to reach the goal
- **Search strategy**:
  - First, **greedy best-first** (create a solution as quickly as possible)
  - Then, **repeated weighted A\*** search with decreasing weights
- Iteratively improve the plan – **anytime planning!**

# Landmark Counts and Costs (3)

- Other uses of landmarks:

- As a basis for admissible heuristic estimates

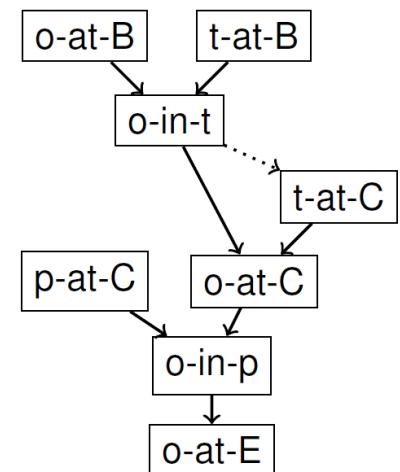
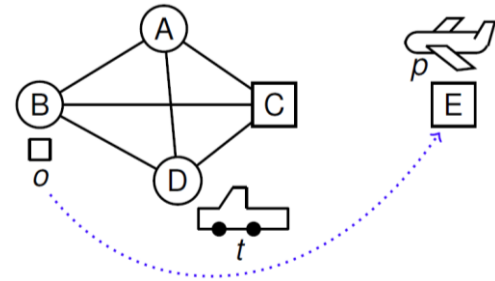
- Idea: The cost of each action is *divided* across the landmarks it achieves

- Simplified example:

- Suppose there is a goto-and-pickup action of cost 10, that achieves both t-at-B and o-in-t
- Suppose *no other action* can achieve these landmarks
- One can then let (for example)  
 $\text{cost}(\text{t-at-B})=3$  and  $\text{cost}(\text{o-in-t})=7$

- The sum of the cost of remaining landmarks is then an admissible heuristic

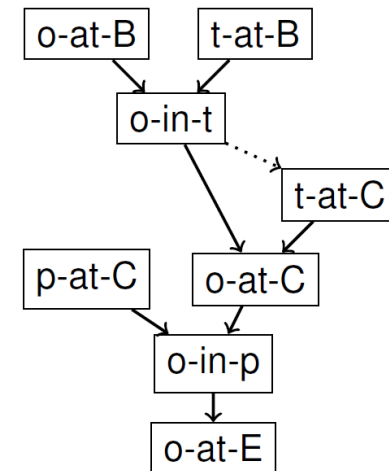
- Must decide how to split costs across landmarks
- Optimal split *can* be computed polynomially, but is still expensive



# Landmarks: Modified Problem

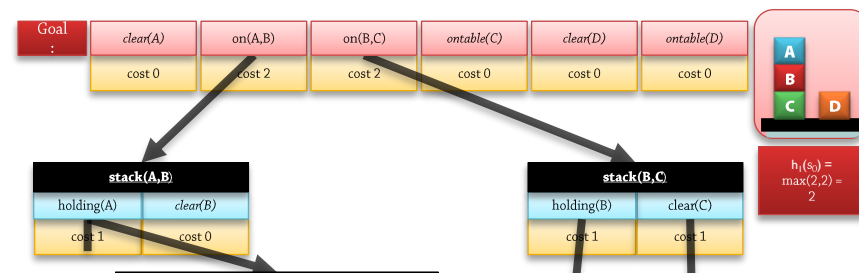
- Landmarks as a basis for a modified planning problem

- Add new predicates "achieved-landmark-n"
  - Concretely: *object-has-been-in-plane*
- An action achieving a landmark makes the corresponding predicate true
  - (load object plane) → *object-has-been-in-plane* := true
- The goal requires all such predicates to be true
  - (:goal *object-has-been-in-plane* ...)



- Any *other* heuristic can be applied to the modified problem!

- $h_1(s)$ : What is the cost of achieving *object-has-been-in-plane*?



# Search Techniques

# Dual Queue Techniques



# Helpful Actions and Completeness

- Recall FF's helpful actions

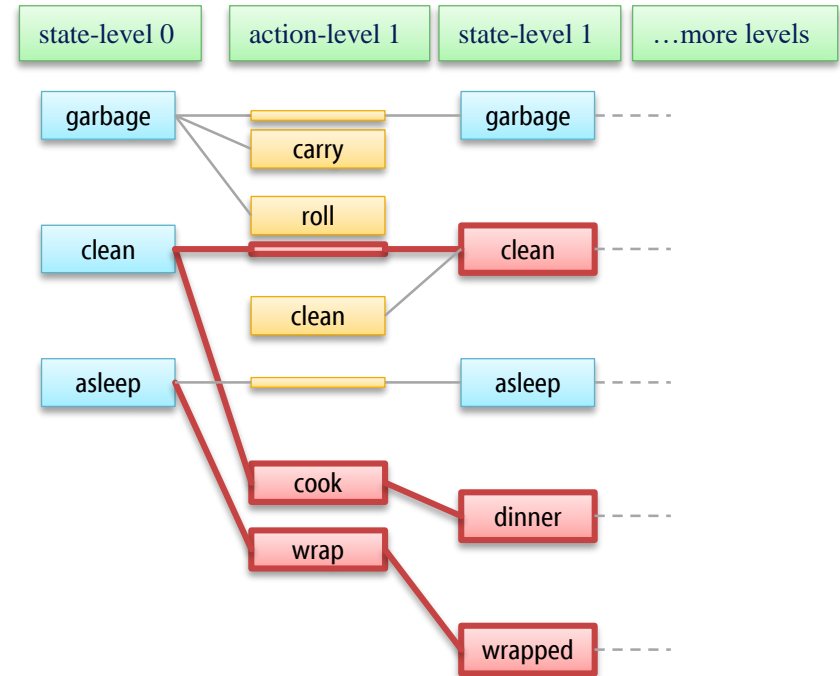
- ≈ Actions chosen in the first level of the relaxed planning graph when computing the heuristic

- FF uses these to prune the tree in Enforced Hill Climbing

- Leads to incompleteness
- May search for a long time, exhaust the search space, then start over using complete search

- "Helpful actions" are more likely to be helpful

- But skipping the other actions completely is too strict!
- Fast Downward: Prioritize helpful actions ("preferred successors")



- **Dual queues** (“open lists”):  
One for **ordinary** successors, one for **preferred** successors
  - In each expansion step:
    - Pick the **best** action from the **preferred** queue
      - Expand it (create successors); place each successor in the appropriate queue
    - Pick the **best** action from the **non-preferred** queue
      - Expand it (create successors); and place each successor in the appropriate queue
  - Fewer preferred successors than non-preferred
    - Takes less time to reach a node in the preferred queue → we *prefer* these
  - If we “misclassified” an action as non-helpful:
    - We don’t have to exhaust the “preferred part” of the search space before we can “recover”

- **Boosted Dual Queues:**
  - Used in later versions of Fast Downward and LAMA
  - Whenever **progress** is made (better h-value reached):
    - Expand 1000 *preferred* successors
  - If progress is made again within these 1000 successors:
    - Add another 1000, accumulating
    - (Progress made after 300 → keep expanding 1700 more)
  - After reaching the preferred successor limit:
    - Expand a node from the non-preferred queue
  - Still complete
    - More aggressive than ordinary dual queues
    - Less aggressive than pure pruning

# Deferred Evaluation / Lazy Search

- Standard **best-first** search:

- Remove the "best" (most promising) state from the priority queue
- Check whether it satisfies the goal
- Generate all successors
- Calculate their heuristic values
- Place in priority queue ("open list")

← Typically takes most of the time

- Potentially faster: **Deferred Evaluation** (Fast Downward, ...)

- Remove the "best" state from the priority queue
- Check whether it satisfies the goal
- Calculate **its** heuristic value (only one!)
- Generate all successors
- Place in priority queue using the **parent's** heuristic value

Takes less time, but less accurate heuristic – "one step behind"  
Often faster but lower-quality plans

# Parameter Optimization and Portfolio Planners

A general technique – not limited to state-space search!

# Parameter Optimization (1)



- Some planners have many parameters to tweak
  - In early planning competitions, domains were known in advance
    - Participants could manually adapt their "domain-independent" planners...
  - Somewhat exaggerated quote from IPC-2008 results:
    - if domain name begins with "PS" and part after first letter is "SR":  
use algorithm 100
    - else if there are 5 actions, all with 3 args, and 12 non-ground predicates:  
use algorithm -1000
    - else if all predicates ground and 10th/11th domain name letters "PA":  
use algorithm -1004
    - else if there are 11 actions and action name lengths range from 5 to 28:  
use algorithm 107
  - From 2008, this was no longer allowed
    - Planners were handed in
    - Then the organizers ran the planners – also on previously unknown domains

# Parameter Optimization (2)



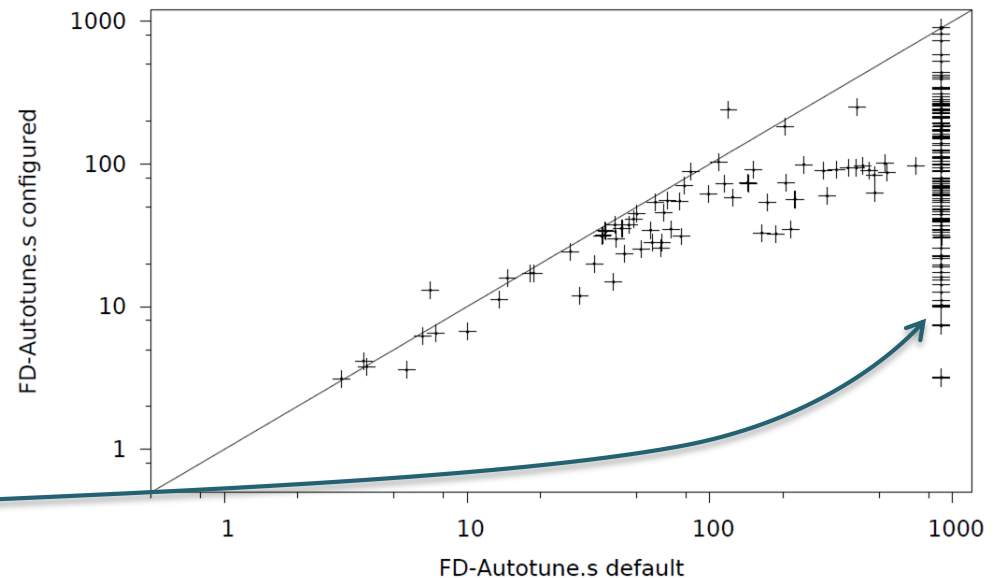
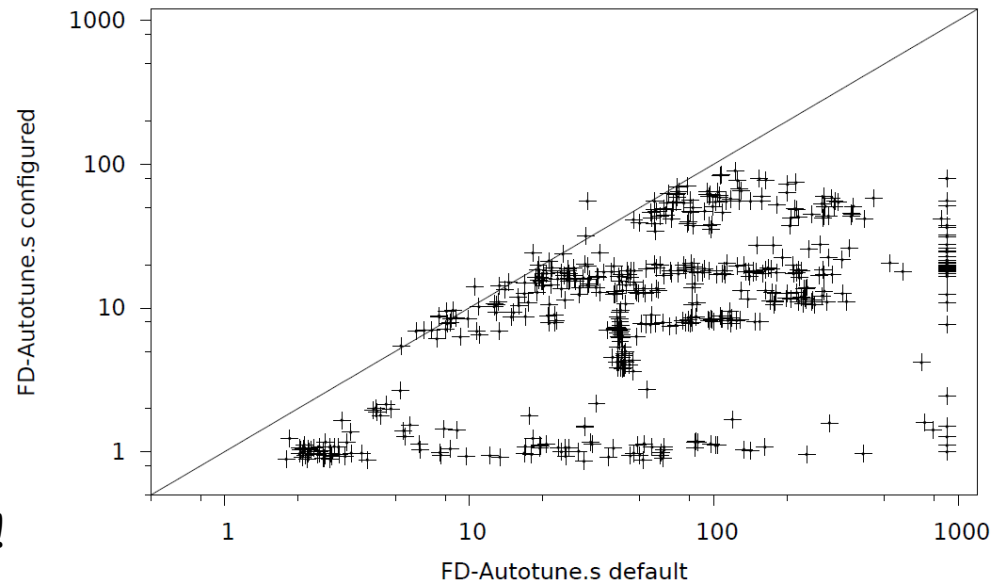
- How about *automatically* learning parameters?
  - One specific form of learning in planning – others exist
  - Experimental application to **Fast Downward**
    - Optimization for speed: 45 params,  $2.99 * 10^{13}$  possible configurations
    - Optimization for quality: 77 params,  $1.94 * 10^{26}$  possible configurations
  - Example parameters:
    - **Heuristics used:**  
 $h_{\max} = h_0, h_m, h_{\text{add}}, h_{\text{FF}}, h_{\text{LM}}$  (landmarks),  $h_{\text{LA}}$  (admissible landmarks), goal count, ...
    - Method used to **combine heuristics**: Max, sum, selective max (learns which heuristic to use per state), tie-breaking, Pareto-optimal, alternation
    - **Preferred operators** used or not, for each heuristic
      - Like FF's helpful actions, but used for *prioritization*, not pruning
    - **Search strategy** combinations: Eager best-first, lazy best-first, EHC
    - ...
  - Parameter learning framework **ParamILS** used



# Parameter Optimization (3): Results

- **Under** the diagonal = **faster** than default configuration
  - For 540 small **training instances**:
    - Very good results
    - To be expected – parameters tuned for these specific problems!
  - For 270 larger **test instances**:
    - From the same domains
    - Performance still improves

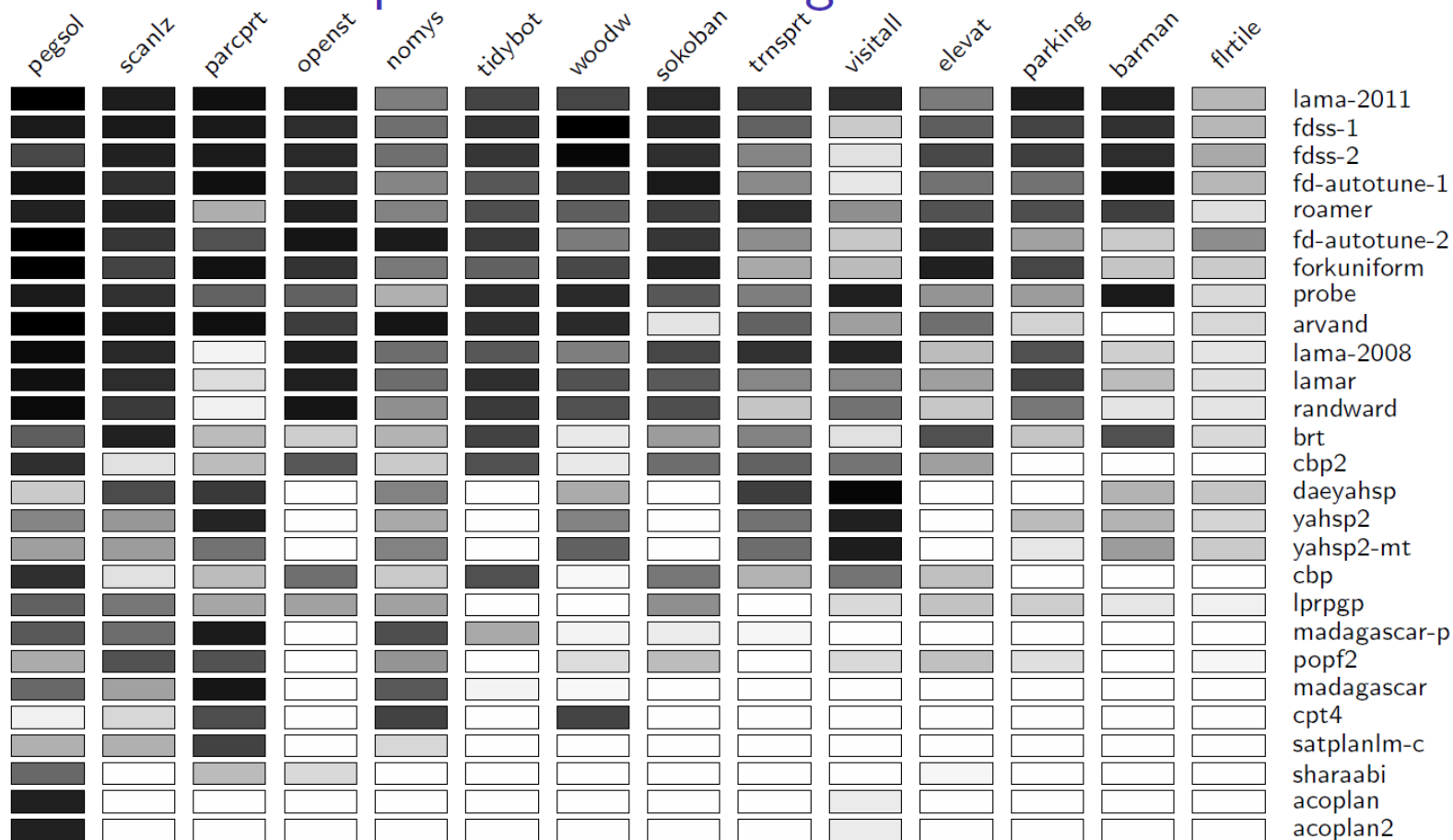
Unsolvable in 900 seconds by the default configuration



# Parameter Optimization (4): Results

- Results from the **satisficing** track of IPC-2011
  - Two versions of FD-autotune competed, adapted to *older* domains
  - Some were reused in this competition, most were new

## Sequential Satisficing track: Results

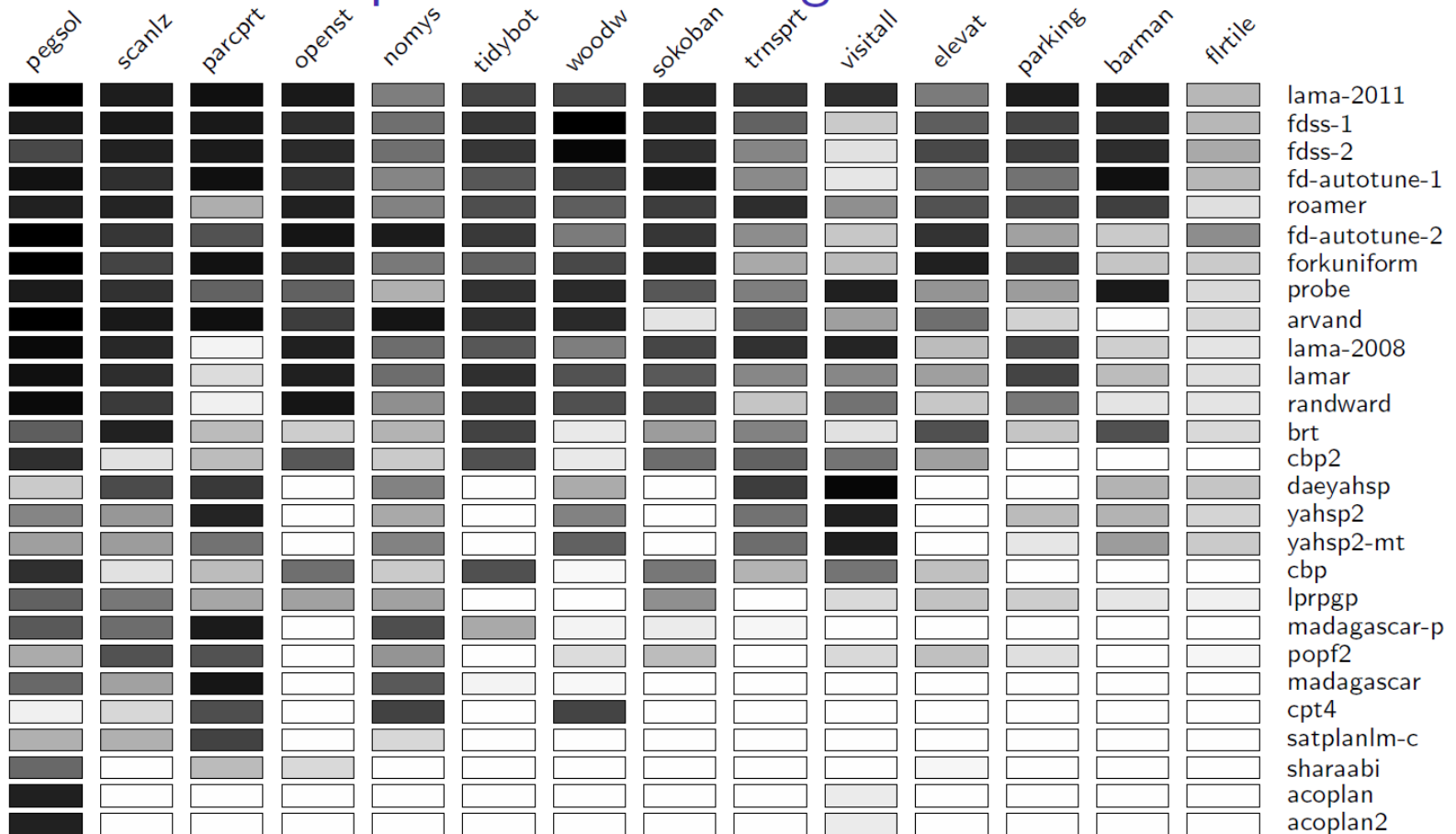


Darker = better!

# Portfolio Planning (1)

- Observation:
  - Different planners seem good in different domains!

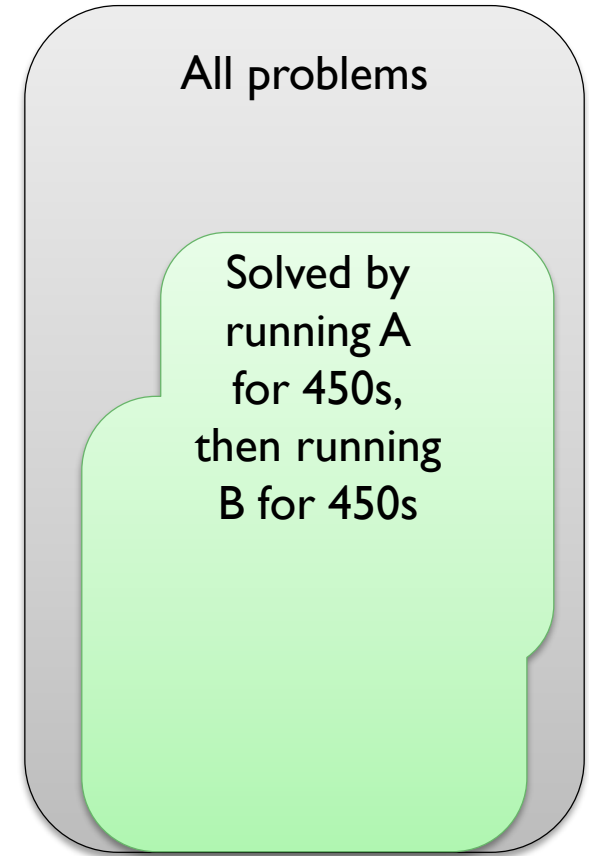
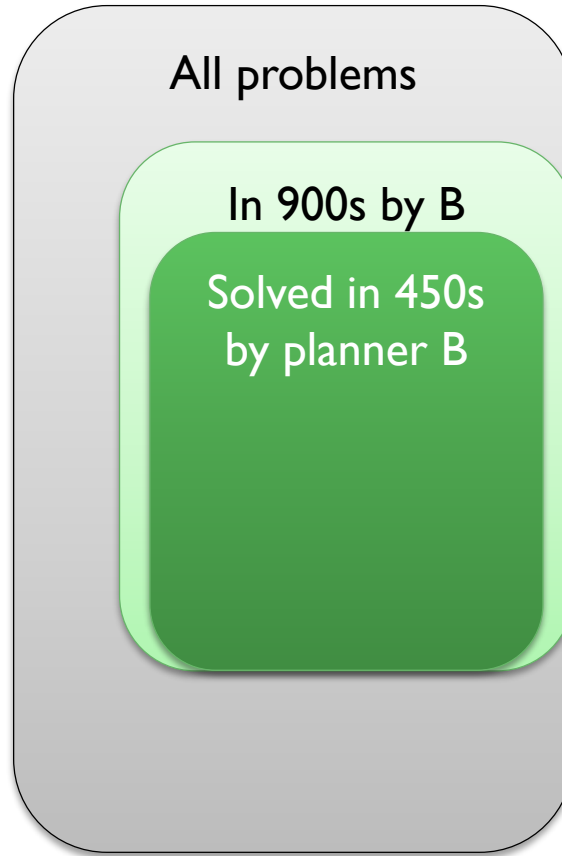
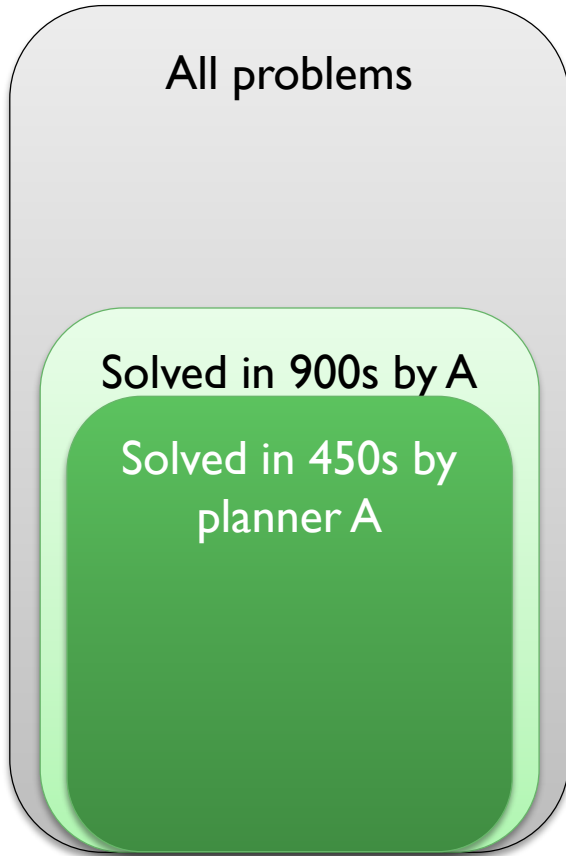
## Sequential Satisficing track: Results



Darker = better!

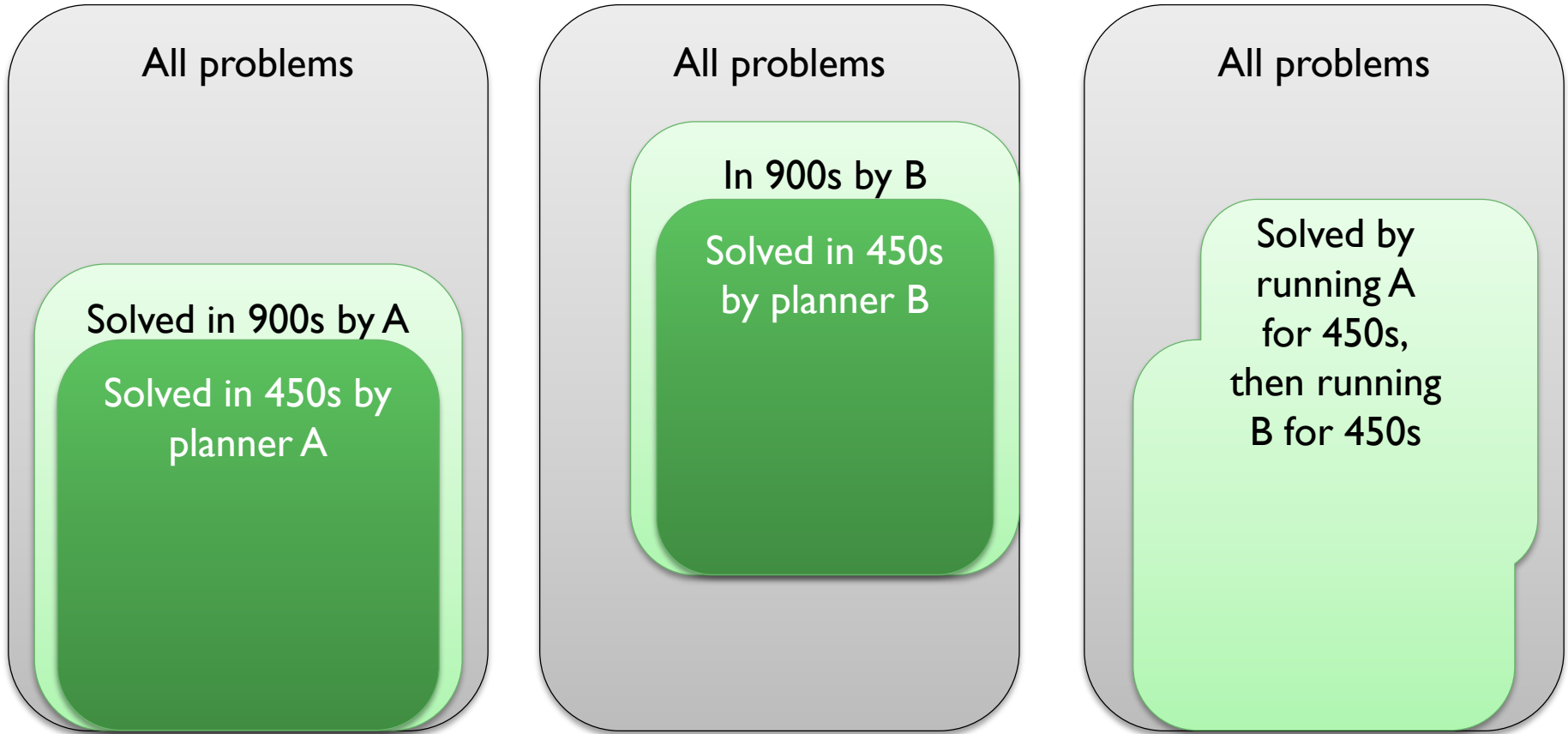
# Portfolio Planning (2)

- Further analysis would show:
  - Even if two planners solve equally many problems in one domain, they may solve **different** problems
  - Also, planners often return plans **quickly** or **not at all**



# Portfolio Planning (3)

- The competition has a fixed time limit
  - Can benefit from splitting this across **multiple algorithms!**
  - → **Portfolio** planning



## ■ Fast Downward Stone Soup: Learning

- Which configurations to use
- How much time to assign to each one
- Given test examples from older domains

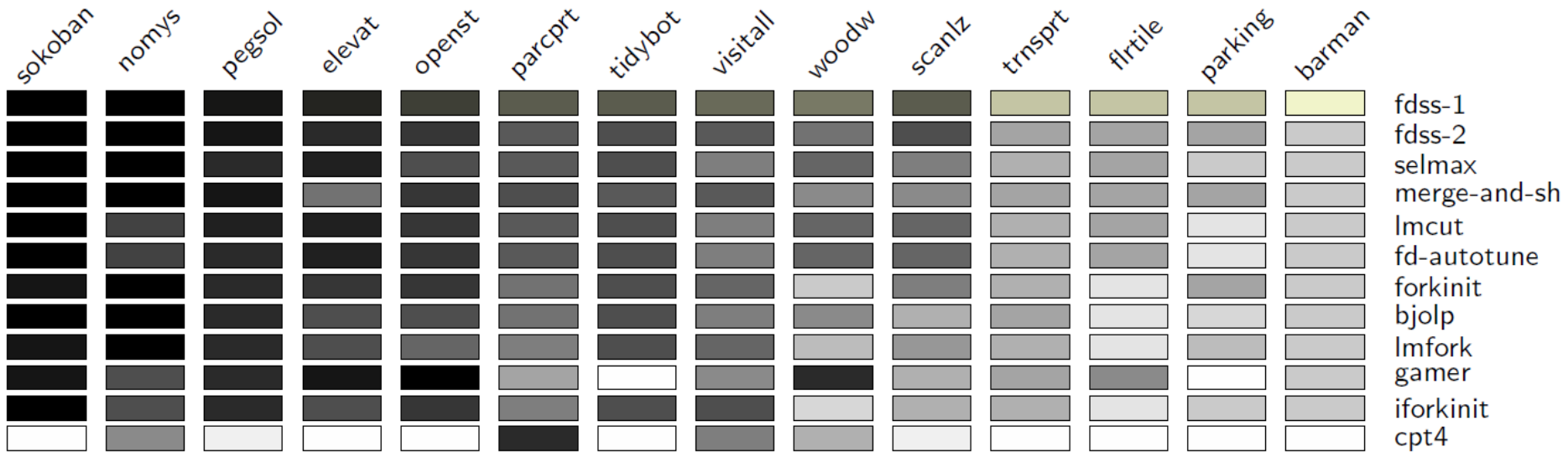
Algorithm	Score	Time	Marginal
BJOLP	605	455	46
RHW landmarks	597	0	—
LM-cut	593	569	26
$h^1$ landmarks	588	0	—
M&S-bisim 1	447	175	8
$h^{\max}$	427	0	—
M&S-bisim 2	426	432	20
blind	393	0	—
M&S-LFPA 10000	316	0	—
M&S-LFPA 50000	299	0	—
M&S-LFPA 100000	286	0	—
Portfolio	654	1631	
“Holy Grail”	673		

Configurations  
learned for  
sequential optimal  
planning

# Portfolio Planning (5)

- Results from IPC-2011:

## Sequential Optimization track: Results



- Results from IPC-2014:
  - Sequential Satisficing Track
    - **#1: IBaCoP -- portfolio planner, 12 planners, 150 seconds per planner**
      - ARVAND (Nakhost, Valenzano, and Xie 2011)
      - FD-AUTOTUNE 1 & 2 (Fawcett et al. 2011)
      - FD STONE SOUP (FDSS) 1 & 2 (Helmert et al. 2011)
      - LAMA 2008 & 2011 (Richter, Westphal, and Helmert 2011)
      - PROBE (Lipovetzky and Geffner 2011)
      - MADAGASCAR (Rintanen 2011)
      - RANDWARD (Olsen and Bryce 2011)
      - YAHSP2-MT (Vidal 2011)
      - LPG-TD (Gerevini et al. 2004)
    - **#2: IBaCoP2 -- portfolio planner**
      - Before the competition: Extracted interesting properties of planning problems; used ML to learn which planners were most likely to solve them
      - At the competition: Used the learned model to classify new problems; applied the 5 planners that seemed most useful (360 seconds each)